

**AGENDA
BOARD OF SUPERVISORS
SONOMA COUNTY
575 ADMINISTRATION DRIVE, ROOM 102A
SANTA ROSA, CA 95403**

TUESDAY

MAY 21, 2013

8:30 A.M.

(The regular afternoon session commences at 2:00 p.m.)

Susan Gorin	First District	Veronica A. Ferguson	County Administrator
David Rabbitt	Second District	Bruce Goldstein	County Counsel
Shirlee Zane	Third District		
Mike McGuire	Fourth District		
Efren Carrillo	Fifth District		

This is a simultaneous meeting of the Board of Supervisors of Sonoma County, the Board of Directors of the Sonoma County Water Agency, the Board of Commissioners of the Community Development Commission, the Board of Directors of the Sonoma County Agricultural Preservation and Open Space District, the Board of Directors of the Northern Sonoma County Air Pollution Control District, the Sonoma County Public Finance Authority, the Sonoma Clean Power Authority, and as the governing board of all special districts having business on the agenda to be heard this date. Each of the foregoing entities is a separate and distinct legal entity.

The Board welcomes you to attend its meetings which are regularly scheduled each Tuesday at 8:30 a.m. Your interest is encouraged and appreciated.

AGENDAS AND MATERIALS: Agendas and most supporting materials are available on the Board's website at <http://www.sonoma-county.org/board/>. Due to legal, copyright, privacy or policy considerations, not all materials are posted online. Materials that are not posted are available for public inspection between 8:00 a.m. and 5:00 p.m., Monday through Friday, at 575 Administration Drive, Room 100A, Santa Rosa, CA.

SUPPLEMENTAL MATERIALS: Materials related to an item on this agenda submitted to the Board after distribution of the agenda packet are available for public inspection in the Board of Supervisors office at 575 Administration Drive, Room 100A, Santa Rosa, CA, during normal business hours.

DISABLED ACCOMMODATION: If you have a disability which requires an accommodation, an alternative format, or requires another person to assist you while attending this meeting, please contact the Clerk of the Board at (707) 565-2241, as soon as possible to ensure arrangements for accommodation.

Public Transit Access to the County Administration Center:

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APPROVAL OF THE CONSENT CALENDAR

The Consent Calendar includes routine financial and administrative actions, are usually approved by a single majority vote. There will be no discussion on these items prior to voting on the motion unless Board Members or the public request specific items be discussed and/or removed from the Consent Calendar.

PUBLIC COMMENT

Any member of the audience desiring to address the Board on a matter on the agenda: Please walk to the podium and after receiving recognition from the Chair, please state your name and make your comments. In order that all interested parties have an opportunity to speak, please be brief and limit your comments to the subject under discussion. Each person is usually granted 3 minutes to speak; time limitations are at the discretion of the Chair.

8:30 A.M. CALL TO ORDER

PLEDGE OF ALLEGIANCE

I. APPROVAL OF THE AGENDA

(Items may be added or withdrawn from the agenda consistent with State law)

II. BOARD MEMBER ANNOUNCEMENTS

III. CONSENT CALENDAR

(Items 1 through 35)

PRESENTATIONS/GOLD RESOLUTIONS

(Items 1 through 4)

PRESENTATIONS AT BOARD MEETING

1. Adopt Gold Resolution recognizing and honoring May 27, 2013, as “Memorial Day” in Sonoma County. (Human Services)
2. Adopt a Gold Resolution proclaiming the week of June 2 – 8, 2013 as National Beach Safety Week. (Regional Parks)
3. Adopt a Gold Resolution recognizing the week of May 19 – 25, 2013 as National Public Works Week. (Transportation and Public Works)

PRESENTATIONS AT DIFFERENT DATE

4. Adopt a Gold Resolution proclaiming May 19 – 25, 2013 as Emergency Medical Services Week in Sonoma County. (Health Services)

SONOMA COUNTY WATER AGENCY

(Directors: Gorin, Rabbitt, Zane, McGuire, Carrillo)

5. Authorize the Chair to execute the second amended agreement with Morrison & Associates, Inc. for Bay Area Integrated Regional Water Management Plan consulting services (\$180,000 for a new total of \$330,000; extending the term by two years for a new end date of June 30, 2015).
6. Authorize the Chair to execute an agreement with GMA Hydrology, Inc. to provide hydrographic survey services of the Russian River for the amount of \$155,951; agreement terminates on December 31, 2013. (Fourth District)
7. Authorize the Chair to execute an agreement with Burchard & Rinehart to provide appraisal services related to the Dry Creek Habitat Enhancement Project for an amount not-to-exceed \$150,000; agreement terminates on January 1, 2017. (Fourth District)

CONSENT CALENDAR (Continued)

8. Authorize the Chair to execute an agreement with the Sotoyome Resource Conservation District to provide research, assessment, and outreach services in the Russian River Watershed (\$92,109; agreement terminates on December 31, 2015). (First, Fourth, and Fifth Districts)

SONOMA COUNTY WATER AGENCY

(Directors: Gorin, Rabbitt, Zane, McGuire, Carrillo)

AND

SONOMA VALLEY COUNTY SANITATION DISTRICT

(Directors: Gorin, Rabbitt, K. Brown)

9. Authorize the Chair of the Sonoma County Water Agency and the Chair of the Sonoma Valley County Sanitation District to sign the North Bay Water Reuse Authority third amended Memorandum of Understanding. (First and Second Districts)

AGRICULTURAL COMMISSIONER

10. Adopt a Resolution authorizing the Sonoma County Agricultural Commissioner/Sealer to execute agreements and amendments to agreements awarded in Fiscal Year 2013-14 and calendar years 2013 and 2014 with the United States Department of Agriculture (USDA), State of California Department of Food and Agriculture (CDFA), California Department of Pesticide Regulations (CDPR), and Division of Measurement Standards (DMS), for various ongoing agricultural and weights & measures programs.

CLERK-RECORDER-ASSESSOR / COUNTY ADMINISTRATOR

11. Adopt a Resolution authorizing County employee participation in the United Way Day of Caring on September 11, 2013 and reaffirming a partnership with the United Way of the Wine Country to develop joint projects that benefit the community and promote Civic Services & Community Engagement.

**AUDITOR-CONTROLLER-TREASURER TAX COLLECTOR /
COUNTY ADMINISTRATOR / INFORMATION SYSTEMS**

12. Authorized the Chair to execute first amendment with Maverick Solutions, LLC for additional professional training services related to the Enterprise Financial System (EFS) Implementation Pro in the amount of \$39,756 for a revised amount not to exceed \$195,206; and Authorize the Auditor-Controller-Treasurer-Tax Collector, upon recommendation of the EFS Project Leadership Team, to amend the agreement for professional training services in an amount not to exceed \$25,000 in the event that additional training opportunities are identified and deemed critical to the EFS Project.

COUNTY COUNSEL
AND
AGRICULTURAL PRESERVATION AND OPEN SPACE DISTRICT
COMMUNITY DEVELOPMENT COMMISSION
SONOMA COUNTY WATER AGENCY

(Directors/Commissioners: Gorin, Rabbitt, Zane, McGuire, Carrillo)

13. Authorize the United Way of the Wine Country to conduct the annual Combined Fund Drive with the employees of the County of Sonoma, Sonoma County Water Agency, Sonoma County Agricultural Preservation and Open Space District, and Sonoma County Community Development Commission and delegate authority to the Combined Fund Drive co-chairs to sign the Memorandum of Understanding with United Way of the Wine Country.

GENERAL SERVICES / HEALTH SERVICES

14. New lease for the Department of Health Services / Behavioral Health Division – Authorize the General Services Director to execute a lease with SR Office Properties, LLC (Landlord), for approximately 1,896 sq. ft. of office space in Suite 211, of the building located at 2227 Capricorn Way, Santa Rosa, for an initial rate of \$1.65 per sq. ft. per month (approximately \$3,128.40 per month or \$37,540.80 per year), which is subject to adjustment as more particularly described in said lease, for an initial five-year term, with two 5-year options.

GENERAL SERVICES / HUMAN SERVICES

15. Lease expansion and new lease for Human Services Department / Economic Assistance Division – Authorize the General Services Director to execute a new lease with Parkway Properties 14, LLC, for approximately 28,864 sq. ft., for an initial rate of \$1.62 per sq. ft. per month (approximately \$46,760 per month or \$561,116 per year), subject to adjustment as more particularly described in said lease, for an initial term of seven years, plus options to extend the term of the lease and the rentable area in the building located at 520 Mendocino Avenue, Santa Rosa, CA

HEALTH SERVICES

16. Authorize the Director of Health Services to execute an agreement with the County of Mendocino for the County of Sonoma to provide Emergency Medical Services Agency administration for the period July 1, 2013 through June 30, 2016 and to receive revenue in an amount not to exceed \$301,532.
17. Authorize the Director of Health Services to execute Standard Agreement Number 13-90014 with the California Department of Health Care Services for the period July 1, 2013 through June 30, 2016 for the County to receive Federal Financial Participation reimbursement for Medi-Cal Administration Activities in an amount not to exceed \$18,000,000; and Authorize the Director of Health Services to execute a Targeted Case Management Provider Participation Agreement with the California Department of Health Care Services for the period July 1, 2013 through June 30, 2018 for the County to receive Federal Financial Participation reimbursement based on an annual cost report and encounter rate.

HUMAN RESOURCES
AND
AGRICULTURAL PRESERVATION AND OPEN SPACE DISTRICT
COMMUNITY DEVELOPMENT COMMISSION
NORTHERN SONOMA COUNTY AIR POLLUTION CONTROL DISTRICT
SONOMA COUNTY WATER AGENCY

(Directors/Commissioners: Gorin, Rabbitt, Zane, McGuire, Carrillo)

18. Authorize the Director of Human Resources to execute Amendment No. 2 to the administrative services agreement with Anthem Blue Cross for third party claims administration and provider network access for the County of Sonoma Health Plans, for the term June 1, 2013 to May 31, 2014, which includes a 4% increase in the rate to \$48.12 per enrollee per month, with an estimated annual cost of \$1,009,943.
19. Authorize the Director of Human Resources to execute an amendment to the agreement with Wameworks (formerly FBMC), extending the term of the agreement through June 30, 2013; and Authorize the Director of Human Resources to execute an agreement with P & A Group to provide Health Reimbursement Arrangement (HRA) administration services for the term June 1, 2013 through May 31, 2016.
20. Authorize the Director of Human Resources to execute an amendment to the agreement with Managed Health Network to provide employee assistance program (EAP) services to eligible County employees and their family members, at the rate of \$3.12 per employee per month, with an estimated cost of \$301,600 for a two-year term from June 1, 2013 through May 31, 2015.
21. Authorize the Director of Human Resources to execute an amendment to the current agreement with Futures Rehab, Inc. for occupational health services to increase the maximum contract amount from \$40,000 to \$60,000 for the term July 1, 2012 through June 30, 2013; and Authorize the Director of Human Resources to execute a new agreement with Futures Rehab, Inc. for occupational health services in an amount not to exceed \$150,000 for the term July 1, 2013 through June 30, 2015.
22. Adopt a Concurrent Resolution amending the Memorandum of Understanding between the County and SEIU Local 1021 to abolish the classification of Systems Support Technician Trainee and to reflect the re-titling of the classification of Veteran Claims Worker III to Senior Veterans Claim Worker; and amending Salary Resolution No. 95-0926 to reflect the re-titling of the classification of Records Manager to Records and Information Manager, to establish the new classification of and salary for General Services Deputy Director, to abolish the classifications of Deputy Director-General Services and Reprographics Manager, and to reflect salary reduction for the classification of Board of Supervisors' Aide, effective May 21, 2013; and adopt a Resolution amending the Department Allocation List for the General Services Department to reflect the deletion of 2.0 Full-Time Equivalent Deputy Director-General Services allocations and the addition of 2.0 General Services Deputy Director allocations, effective May 21, 2013.

CONSENT CALENDAR (Continued)

HUMAN SERVICES

23. Authorize the Director of Human Services to execute amendments to existing therapeutic services contracts with Kathleen Dark, PhD by \$10,000 for a new total of \$35,000 through June 30, 2013, and Rodolfo Rodriguez, PhD by \$15,000 for a new total of \$40,000 through June 30, 2013.

PROBATION

24. Authorize the Chief Probation Officer to execute an agreement with the University of Cincinnati Corrections Institute (UCCI) for specialized evidence-based practices training for the period of May 22, 2013 through May 21, 2014, with two one-year renewal options, for a total not to exceed the amount of \$120,000 annually.
25. Authorize the Chief Probation Officer to execute an agreement with Dr. Jack E. Sherman for specialized dental services at the Sonoma County Juvenile Hall for the period of May 21, 2013 through May 20, 2014, for a total not to exceed the amount of \$46,000.

REGIONAL PARKS

26. Accept and approve the Sonoma County Parks & Recreation Advisory Commission's report of accomplishments for 2012 and work plan for 2013.
27. Award the bid for the Cardoza Creek Restoration Project to Glissman Excavating in the amount of \$209,018, with an anticipated term of May 21, 2013- October 2014. (First and Second Districts)

SHERIFF'S OFFICE

28. Authorize the Sheriff to execute the 2013 Lake Sonoma law enforcement services contract with the U.S. Army Corps of Engineers for the Sheriff's Office to receive \$108,228 for the term of May 10, 2013 through September 29, 2013.

TRANSPORTATION AND PUBLIC WORKS

29. Salmon Creek Water Collection and Treatment System Improvement Project (CSA #41) – Adopt a Resolution accepting Grant of Easement deed for a temporary construction easement; authorizing execution of agreement for purchase and sale of easement; authorizing payment of \$2,500, including \$-0- severance, plus possible future monthly rental payments (as needed for up to six months) of \$410.26 per month; lands of Jackson Marital Trust (APN 101-011-026). (Fifth District)
30. Approve Amendment No. 1 with Natural Gas Systems for compressed natural gas (CNG) fueling system maintenance services for a three-year period beginning July 1, 2013 through June 30, 2016 not to exceed \$129,432 annually.

CONSENT CALENDAR (Continued)

31. Guerneville Street Lighting on Fife Creek Bridge Project – Phase 2 – Adopt a Resolution accepting Grant of Utility Easement deed, and land; authorizing execution of agreement for purchase and sale of easement; authorizing payment of \$1,000, including \$-0- severance; authorizing proration and transfer of taxes on said land; and authorizing refunds, if applicable, pursuant to §5096 of the Revenue and Taxation Code; lands of Isaac, LLC, a California Limited Liability Company (APN 071-180-002); Project No. W12001. (Fifth District)

MISCELLANEOUS

32. Approval of Minutes – (A) Approve the minutes of the meeting of May 7, 2013 for the following: Agricultural Preservation and Open Space District, Community Development Commission, Russian River County Sanitation District, Sonoma County Water Agency, and Board of Supervisors; (B) Approve the minutes of the meeting of May 7, 2013 of the Sonoma Valley County Sanitation District

APPOINTMENTS/REAPPOINTMENTS

(Items 33 through 35)

33. Appoint Susan Harvey and Raymond Decker, to the Child Care Planning Council of Sonoma County, for a term ending December 31, 2013 and appoint Nicole Noel Mitchell, Stephen Zollman and Katie Welch for a term ending December 31, 2014. (Human Services)
34. Reappoint Pam Stafford for a two year term to the Parks and Recreation Advisory Commission beginning on May 21, 2013 and ending May 21, 2015. (Third District)
35. Reappoint Steve Rabinowitsh to the Agricultural Preservation and Open Space District Advisory Committee for a two year term beginning on May 21, 2013 and ending May 21, 2015. (Third District)

IV. REGULAR CALENDAR

(Items 36 through 38)

CLERK-RECORDER-ASSESSOR

36. Presentation of Registrar of Voters Going Green Initiative.

OCCIDENTAL COUNTY SANITATION DISTRICT
RUSSIAN RIVER COUNTY SANITATION DISTRICT

SOUTH PARK COUNTY SANITATION DISTRICT
SONOMA COUNTY WATER AGENCY

(Directors: Gorin, Rabbitt, Zane, McGuire, Carrillo)

AND

SONOMA VALLEY COUNTY SANITATION DISTRICT

(Directors: Gorin, Rabbitt, K. Brown)

37. **10:00 A.M.** – Sewer Rates and Written Report of Charges – Conduct a public hearing and
- (A) Adopt Resolutions (5) overruling objections, adopting a report on charges for sewerage services, and confirming charges for Airport-Larkfield-Wikiup Sanitation Zone, Geyserville Sanitation Zone, Penngrove Sanitation Zone, Sea Ranch Sanitation Zone, Occidental County Sanitation District, Russian River County Sanitation District, Sonoma Valley County Sanitation District, South Park County Sanitation District.
 - (B) Adopt an Ordinance setting sewer service charges, on behalf of Sonoma County Water Agency Sanitation Zones Airport-Larkfield-Wikiup, Geyserville, Penngrove, and Sea Ranch, calling for collection on the tax roll for all Zones, and remaining in effect until modified by the Board, and making findings and determination of exemption pursuant to the California Environmental Quality Act. (4/5 vote required)
 - (C) Adopt Ordinances (4) of the Occidental County Sanitation District, Russian River County Sanitation District, Sonoma Valley County Sanitation District, and South Park County Sanitation District setting sewer service charges, calling for collection on the tax roll, and remaining in effect until modified by the Board, and making findings and determination of exemption pursuant to the California Environmental Quality Act. (2/3 vote required) (4/5 vote required)

GENERAL SERVICES / COUNTY ADMINISTRATOR / REGIONAL PARKS

38. Receive the recommended Five-Year Capital Project Plan for the period Fiscal Year 2013-2014 through Fiscal Year 2017-2018 and direct staff to submit the Plan to the Sonoma County Planning Commission for General Plan Consistency Review.

V. CLOSED SESSION CALENDAR

(Items 39 through 45)

39. The Board of Supervisors will consider the following in closed session: Conference with Legal Counsel – Pending Litigation – Name of Case: County of Sonoma v. PG&E, et al. and Hakala v. PG&E, et al. Sonoma County Superior Court SCV 248835 (Govt. Code Section 54956.9(d)(1)).
40. The Board of Supervisors will consider the following in closed session: Conference with Legal Counsel – Existing Litigation – Name of Case: Sonoma County Association of Retired Employees (SCARE) v. Sonoma County. United States District Court, Northern District: Case No. CV-09-4432 CW (Govt. Code Section 54956.9 (d)(1)).
41. The Board of Supervisors will consider the following in closed session: Conference with Legal Counsel – Pending Litigation – Name of Case: County of Sonoma v. Zollo 248835 (Govt. Code Section 54956.9(d)(1)).
42. The Board of Supervisors will consider the following in closed session: Conference with Legal Counsel – Initiation of Litigation (Govt. Code Section 54956.9(d)(4)).
43. The Board of Supervisors will consider the following in closed session: Conference with Legal Counsel – Pending Litigation – Settlement Negotiations – Name of Case: County of Sonoma v. Berkholtz/Yee – Case No. SCV 251970 (Govt. Code Section 54956.9(d)(1)).
44. The Board of Supervisors will consider the following in closed session: Conference with Legal Counsel – Existing Litigation – Name of Case: County of Sonoma, et al. v. Kathleen Sibelius, Secretary of the United States Department of Health and Human Services – USDC-NDC Case No. 3:07-cv-02888-JSW – Medicare Class Action Lawsuit (Govt. Code Section 54956.9(d)(1)).
45. The Board of Supervisors, the Board of Directors of the Sonoma County Water Agency, the Board of Commissioners of the Community Development Commission, and the Board of Directors of the Agricultural Preservation and Open Space District will consider the following in closed session: Conference with Labor Negotiator, Agency Negotiator: Wendy Macy/Carol Allen/Janet Cory Sommer, Burke & Associates and Carol Stevens, Burke & Associates. Employee organization: All. Unrepresented employees: All, including retired employees (Govt. Code Section 54957.6 (b)).

VI. REGULAR AFTERNOON CALENDAR

(Items 46 through 49)

2:00 P.M. - RECONVENE FROM CLOSED SESSION

46. Report on Closed Session.
47. **PUBLIC COMMENT ON MATTERS NOT LISTED ON THE AGENDA**
(Comments are restricted to matters within the Board jurisdiction. The Board will hear public comments at this time for up to thirty minutes. Please be brief and limit your comments to three minutes. Any additional public comments will be heard at the conclusion of the meeting.)
48. Permit and Resource Management Department: Review and possible action on the following:
- a) Acts and Determinations of Planning Commission/Board of Zoning Adjustments
 - b) Acts and Determinations of Project Review and Advisory Committee
 - c) Acts and Determinations of Design Review Committee
 - d) Administrative Determinations of the Director of Permit and Resource Management
49. **ADJOURNMENTS**

NOTE: The next regular meeting will be held on June 4, 2013 at 8:30 a.m.

Upcoming Hearings (All dates tentative until each agenda is finalized)

- 1. June 4th (AM) – Prop 218 Fee Hearing Salmon Creek Water District
- 2. June 10th – 21st – Budget Hearings
- 3. June 18th (AM) – Refuse Fee Schedule Hearing
- 4. June 18th (PM) – CPH12-0004; State of California – Iron Rangers; Sonoma
- 5. June 25th (PM) – PLP12-0037; Zoning Text Amendment, Rio Nido
- 6. June 25th (PM) – Second General Plan Amendment



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 1
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Human Services Department

Staff Name and Phone Number:

Diane Kaljian - (707) 565-5950

Supervisorial District(s):

All

Title: Memorial Day Recognition

Recommended Actions:

Approve resolution recognizing and honoring May 27, 2013 as "Memorial Day" in Sonoma County

Executive Summary:

There are approximately 33,000 Veterans in Sonoma County, as well as many families who have lost loved ones in service to our nation. This resolution is an acknowledgement of their sacrifice.

Prior Board Actions:

Every year the Board of Supervisors honors Sonoma County Veterans for their service.

Strategic Plan Alignment Goal 4: Civic Services and Engagement

To publically celebrate and honor veterans of the armed services for their patriotism, bravery, and loyal dedication to serving our country.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 0	Total Sources	\$ 0

Narrative Explanation of Fiscal Impacts (If Required):

There is no fiscal impact resulting from this administrative action.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

None.

Attachments:

Resolution

Related Items "On File" with the Clerk of the Board:

None.



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

4/5 Vote Required

**Resolution Of The Board Of Supervisors Of The County Of Sonoma, State Of California,
Honoring Memorial Day.**

Whereas, Memorial Day was first observed as Decoration Day on May 30, 1868, as an occasion to decorate the graves of Civil War soldiers; and

Whereas, after World War I, Decoration Day was expanded to honor service members killed in all of our nation's wars and,

Whereas, after World War II, Decoration Day became known as Memorial Day; and

Whereas, in 1971, Congress established Memorial Day as a federal holiday to be observed on the last Monday of May; and

Whereas, as we observe Memorial Day in 2013, it is important to reflect upon the contributions and sacrifices the men and women of our armed forces have made in upholding the principles of democracy and liberty while in service to our nation; and

Whereas, approximately 33,000 Veterans and numerous families who have lost loved ones in service to our nation reside in Sonoma County; and

Whereas, it is fitting to honor and commend the citizens of Sonoma County, as well as the men and women that served with military units based in Sonoma County, who were killed in the line of duty; and

Whereas, we can never fully repay our debt of gratitude to the heroic men and women who perished as a result of their service,

Now, Therefore, Be It Resolved that the Sonoma County Board of Supervisors do hereby recognize May 27, 2013, as Memorial Day and call upon all citizens to honor those men and women who have lost their lives while serving to protect this great nation.

Resolution #

Date:

Page 2

Supervisors:

Gorin:

Zane:

McGuire:

Carrillo:

Rabbitt:

Ayes:

Noes:

Absent:

Abstain:

So Ordered.



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 2
(This Section for use by Clerk of the Board Only.)

To:

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Regional Parks

Staff Name and Phone Number:

Bert Whitaker (707) 565-2041

Supervisorial District(s):

1,2,3,4,5

Title: National Beach Safety Week June 2-8, 2013

Recommended Actions:

Resolution of the Board of Supervisors proclaiming the week of June 2-8, 2013 as National Beach Safety Week

Executive Summary:

Each year, as summer begins, the United States Lifesaving Association sponsors National Beach Safety Week in an effort to remind beach patrons to use caution in and around the aquatic environment. The Sonoma County Regional Parks Department is an active member of the United States Lifesaving Association. Sonoma County Regional Parks, the Sonoma County Sheriff's Department, the Army Corps of Engineers, various city pools and recreation departments, Safe Kids Sonoma County and the American Red Cross are active members the Sonoma County Water Safety Coalition. The goals of the Sonoma County Water Safety Coalition include promoting health and safety in the aquatic environment, bilingual outreach to Sonoma County residents regarding the inherent dangers of the aquatic environment, specifically that of the Russian River and promoting safe enjoyment of the diverse aquatic recreational opportunities in Sonoma County.

The objective of National Beach Safety Week, June 2-8, 2013 is to educate citizens on the importance of how to be safe in the inherently dangerous aquatic environment, while also promoting safe alternatives such as visiting lifeguarded recreation areas. This objective stresses the following water safety tips:

1. Swim Near a Lifeguard
2. Learn to Swim
3. Never Swim Alone
4. Don't Fight the Current
5. Swim Sober
6. Leash Your Board
7. Don't Float Where You Can't Swim
8. Lifejacket = Boating Safety
9. Don't Dive Headfirst, Protect Your Neck
10. At Home You're the Lifeguard

During June 2nd -8th, Regional Parks exemplifies National Beach Safety Week through public outreach and water safety education. Some of the exciting new outreach programs include piloting the River RESCUE program along four Russian River parks and preparing for a Junior Lifeguard Program at Doran Beach. The River RESCUE program teams a Park Ranger with two open water lifeguards. The team will travel high visited parks and provide water safety education and outreach while retaining the ability to make a water rescue if necessary. The Junior Lifeguard program at Doran Beach provides water safety education, environmental education and the first ocean junior lifeguard program in Sonoma County. Also during this week on June 8th, Regional Parks with its' partners in the Russian River Drowning Prevention Coalition and the Sonoma County Water Safety Committee will be hosting Vamos a Nadar in Healdsburg. The Vamos a Nadar program is in its 10th year providing free swim lessons and water safety information to children and families. Through the program over 2,000 children and 4,000 parents have received water safety information, free and discounted swim lessons.

With the Board's resolution and increased public outreach and awareness of aquatic safety while recreating in Sonoma County, visitors and residents will be better prepared to recreate and know how to do so safely, reducing the risk of injury and drowning.

Prior Board Actions:

The Board adopted resolutions proclaiming National Beach Safety Week; May 22, 2012 May 11, 2010, May 22, 2007, May 25, 2006, May 17, 2005.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 0	County General Fund	\$ 0
Add Appropriations Req'd.	\$ 0	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 0	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):

0

Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
NA			
Attachments:			
Related Items “On File” with the Clerk of the Board:			



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

4/5 Vote Required

**Resolution Of The Board Of Supervisors Of The County Of Sonoma, State Of California,
Proclaiming June 2- 8, 2013 As National Beach Safety Week.**

Whereas, the beautiful beaches and aquatic facilities of Sonoma County represent a world renowned recreational resource; and

Whereas, public swimming areas throughout Sonoma County serve an important role in our lives for the recreation and health opportunities they provide; and

Whereas, the aquatic environment presents dangers that can be effectively managed through public awareness, education and the vigilance of professional open water lifeguards and aquatic rescue personnel; and

Whereas, for reasons of public safety, an annual reminder of the joys and hazards associated with the aquatic environment are appropriate at the beginning of the busy summer beach season; and

Whereas, residents and visitors alike should remember to: Swim Near a Lifeguard, Learn to Swim, Never Swim Alone, Don't Fight the Current, Swim Sober, Leash Your Board, Don't Float Where You Can't Swim, Lifejacket = Boating Safety, Don't Dive Headfirst, Protect Your Neck, At Home You're the Lifeguard; and

Now, Therefore, Be It Resolved that the Board hereby proclaims the week of June 2-8, 2013 as National Beach Safety Week in Sonoma County, and urges residents and visitors to enjoy themselves in and around the many aquatic recreational opportunities while taking appropriate measures to protect themselves and their families.

Resolution #

Date:

Page 2

Supervisors:

Gorin:

Zane:

McGuire:

Carrillo:

Rabbitt:

Ayes:

Noes:

Absent:

Abstain:

So Ordered.



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 3
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Transportation and Public Works

Staff Name and Phone Number:

Susan Klassen – (707) 565-2231

Supervisorial District(s):

All

Title: National Public Works Week

Recommended Actions:

Approve Resolution recognizing the week of May 19 – 25, 2013 as National Public Works Week.

Executive Summary:

National Public Works Week (NPWW) was instituted as a public education campaign by the American Public Works Association (APWA) in 1960, calling attention to the importance of public works in community life. This week's recognition seeks to enhance the prestige of these often-unsung heroes of our society, the professionals who serve the public good every day with steadfast dedication.

The Sonoma County Department of Transportation and Public Works is committed to providing quality transportation and public works services within Sonoma County in a responsive and professional manner for the benefit of the general public. The professionals staffing the County's Transportation and Public Works Department help to ensure that Sonoma County's infrastructure and public services remain accessible and reliable. Every resident of the County is touched in some way by the services provided by these outstanding public servants.

Prior Board Actions:

5/15/12: Board approved Resolution recognizing National Public Works Week; 5/17/11: Board approved Resolution recognizing National Public Works Week; 5/18/10: Board approved Resolution recognizing National Public Works Week; 5/12/09: Board approved Resolution recognizing National Public Works Week; 5/13/08: Board approved Resolution recognizing National Public Works Week.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

The County's Transportation and Public Works Department help to ensure that Sonoma County's infrastructure and public services remain accessible and reliable.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):

Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

Attachments:

Resolution

Related Items "On File" with the Clerk of the Board:



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

4/5 Vote Required

**Resolution Of The Board Of Supervisors Of The County Of Sonoma, State Of California,
Recognizing The Week of May 19 – 25, 2013, As National Public Works Week.**

Whereas, public works services provided in our community are an integral part of the everyday lives' of Sonoma County Citizens; and

Whereas, the support of an understanding and informed citizenry is vital to the efficient operation of public works systems and programs such as transit, air service, solid waste disposal, and streets, highways and bridges maintenance and construction; and

Whereas, the health, safety and comfort of the residents of Sonoma County greatly depends on these services; and

Whereas, the quality and effectiveness of the development and operation of these services, as well as their planning, design, and construction, is vitally dependent upon the efforts and skills of the public works professionals; and

Whereas, the efficiency of the qualified and dedicated personnel who staff public works departments is materially influenced by the people's attitude and understanding of the importance of the work they perform.

Now, Therefore, Be It Resolved that the County of Sonoma recognizes the week of May 19 – 25, 2013, as National Public Works Week.

Supervisors:

Gorin:

Zane:

McGuire:

Carrillo:

Rabbitt:

Ayes:

Noes:

Absent:

Abstain:

So Ordered.



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 4
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma County

Board Agenda Date: May 21, 2013

Vote Requirement: No Vote Required

Department or Agency Name(s): Department of Health Services

Staff Name and Phone Number:

Rita Scardaci, 565-4700

Supervisorial District(s):

Countywide

Title: Emergency Medical Services Week

Recommended Actions:

Adopt a Resolution proclaiming May 19 - 25, 2013 as Emergency Medical Services Week in Sonoma County.

Executive Summary:

The local Emergency Medical Services (EMS) system in Sonoma County is comprised of numerous private and public sector organizations such as fire departments and districts, County parks, law enforcement departments, air and ground ambulance service providers, hospitals, and 9-1-1 dispatch centers. The EMS team includes dispatchers, first responders, emergency medical technicians, paramedics, nurses, physicians, educators, administrators, and citizen advisory groups. Together, these organizations and individuals provide the citizens of and visitors to Sonoma County with responsive, top quality EMS services.

Each year, one week is designated to recognize those persons and agencies that make up the EMS team and to educate the public about the services provided. For 2013, May 19-25 has been designated National EMS Week with the theme "EMS: One Mission. One Team." EMS providers in the County use this week to acknowledge and commend the work of their staff in providing quality medical care. This year marks the thirty-second anniversary of the Sonoma County EMS system.

The focal event for EMS Week activities in Sonoma County is the Survivors' Reunion sponsored by the Sonoma County Paramedic Association. The twentieth annual reunion of patient survivors and rescuers will be held on May 23, 2013 at Imagery Winery, where local emergency response agencies and dignitaries will join in recognizing our local heroes. The reunion is always a touching celebration of lives saved.

Prior Board Actions:

5/17/11 - Resolution declaring May 15 - 21, 2011 Emergency Medical Services Week; 5/15/12 - Resolution declaring May 20 - 26, 2012 as Emergency Medical Services Week.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community			
Recognizing EMS Week in Sonoma County honors all EMS responders in the County for their important work. Sonoma County honors firefighters, first responders, paramedics, emergency medical technicians, nurses, doctors, dispatchers, and all other lay people who make this EMS system work in Sonoma County.			
Fiscal Summary - FY 12-13			
Expenditures		Funding Source(s)	
Budgeted Amount	\$ 0	County General Fund	\$ 0
Add Appropriations Req'd.	\$ 0	State/Federal	\$ 0
	\$	Fees/Other	\$ 0
	\$	Use of Fund Balance	\$ 0
	\$	Contingencies	\$ 0
	\$		\$
Total Expenditure	\$ 0	Total Sources	\$ 0
Narrative Explanation of Fiscal Impacts (If Required):			
N/A			
Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
N/A			
Attachments:			
Resolution.			
Related Items "On File" with the Clerk of the Board:			
None.			



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

4/5 Vote Required

**Resolution Of The Board Of Supervisors Of The County Of Sonoma, State Of California,
Declaring May 19-25, 2013 As Emergency Medical Services Week In Sonoma County.**

Whereas, emergency medical services is a vital public service;

Whereas, the members of emergency medical services teams are ready to provide lifesaving care to those in need, 24 hours a day, seven days a week;

Whereas, access to quality emergency care dramatically improves the survival and recovery rate of those who experience sudden illness or injury;

Whereas, emergency medical services teams consist of emergency physicians, emergency nurses, emergency medical technicians, paramedics, firefighters, dispatchers, educators, administrators, and others;

Whereas, the members of emergency medical services teams, whether career or volunteer, engage in thousands of hours of specialized training and continuing education to enhance their lifesaving skills; and

Whereas, it is appropriate to recognize the value and the accomplishments of emergency medical services providers by designating Emergency Medical Services Week.

Now, Therefore, Be It Resolved that the Board of Supervisors of the County of Sonoma proclaims May 19-25, 2013 Emergency Medical Services Week throughout Sonoma County, and call upon all County Departments to join with private organizations and community members to celebrate with activities to promote and acknowledge the many benefits of emergency medical services to our residents.

Supervisors:

Gorin:

Zane:

McGuire:

Carrillo:

Rabbitt:

Ayes:

Noes:

Absent:

Abstain:

So Ordered.



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 5
(This Section for use by Clerk of the Board Only.)

To: Board of Directors, Sonoma County Water Agency

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Sonoma County Water Agency

Staff Name and Phone Number:

Brad Sherwood / 547-1927

Supervisorial District(s):

All Districts

Title: Bay Area Integrated Regional Water Management Plan Consulting Services

Recommended Actions:

Authorize Chair to execute the Second Amended Agreement with Morrison & Associates, Inc. for Bay Area Integrated Regional Water Management Plan Consulting Services (\$180,000 for a new total of \$330,000; extending the term by two years for a new end date of June 30, 2015).

Executive Summary:

This item requests approval to enter into a second amended agreement with Morrison & Associates, Inc. (Consultant) for consulting services in support of the Bay Area Integrated Regional Water Management Plan (\$180,000 for a new total of \$330,000; amended agreement terminates on June 30, 2015).

History - Integrated Plan Funding

In August 2003, the Board directed the Sonoma County Water Agency (Water Agency) to coordinate the preparation of an Integrated Regional Water Management Plan (Plan) for the Bay Area and North Coast regions to position the Water Agency to qualify for Proposition 50 funding. Proposition 50, approved by voters in 2002, includes \$380 million for grants to support the development and implementation of Integrated Regional Water Management Plans throughout California. Since the passage of Proposition 50, voters also approved Proposition 84 in November 2006, which provides an additional \$1 billion in Integrated Regional Water Management grant funding, including \$138 million for the Bay Area Region, \$37 million for the North Coast Region, and more than \$400 million for projects that promote sustainable communities. The Bay Area Region includes the nine Bay Area counties including the portion of Sonoma County that drains into San Francisco Bay. The Water Agency's leadership and direction was crucial to development of the Plan and the Bay Area-wide coalition that developed the Plan, and continues to coordinate the ongoing planning effort.

Prior Agreements with Consultant

The Water Agency and Consultant first entered into an agreement to provide technical assistance, coordination, and consulting services related to the development of the Plan on April 30, 2004, (2004 Agreement). Over the following six years, the 2004 Agreement was amended nine times with the

approval of the Water Agency's Board of Directors.

On June 21, 2011, the Board authorized the Chair to execute the Agreement for Bay Area Integrated Regional Water Management Plan Consulting Services between the Water Agency and Morrison & Consultant (2011 Agreement) in the amount of \$75,000. The 2011 Agreement end date was June 30, 2013.

The first amended 2011 Agreement added \$75,000 to enable Consultant to continue its work in support of the Plan; there was no change to the term end date.

Selection Process

To achieve the Water Agency's goal of receiving funding for the Plan and improving integration of water resource planning efforts in the Bay Area, the Board authorized the General Manager/Chief Engineer to enter into the 2004 Agreement with the Consultant. The Consultant was selected based on its knowledge of Bay Area water supply, sanitation, and flood control issues, and its experience working with the major funding agencies under Proposition 50 and 84. Given Consultant's success under the 2004 Agreement, the Water Agency and Consultant entered into the 2011 Agreement to update contract terms and enable Consultant to continue its work in support of the Plan. The Water Agency does not have sufficient specialized staff to carry out these activities.

Services to be Performed

The proposed second amended 2011 Agreement adds a task for development of the Bay Area Hydrometeorology Testbed (weather prediction) Project (Project), increases the Agreement amount by \$180,000 to allow Consultant to continue its work and for the additional task, and extends the term from June 30, 2013, to June 30, 2015.

The Water Agency is currently working with the National Oceanic and Atmospheric Administration to develop the Project to better forecast atmospheric river conditions, otherwise known as extreme events such as floods and droughts. The Project will allow water managers and counties across the Bay Area to better manage water supplies by utilizing the new data systems for reservoir releases. The new data is gathered by the deployment of state-of-the-art radar systems that currently do not exist in the Bay Area. The weather modeling created by the Project will provide the tools needed by water managers to manage water supply systems throughout the Bay Area and be used as a model nationwide. The Consultant's work will include, but not be limited to, working with local, state and federal agencies and non-governmental organizations to develop Project support, such as coalition development and securing funding through the Plan. The Consultant will work closely with the Water Agency and the National Oceanic and Atmospheric Administration to prepare Project materials and conduct monthly meetings with stakeholders to develop support and provide public outreach when directed by the Water Agency.

In addition, the proposed second amended 2011 Agreement will allow the Consultant to continue providing support to the Water Agency for the Plan, including coordination of grant funding requests, assistance in providing input on grant guidelines, assistance in updating the Plan, and assistance in addressing specific needs related to Water Agency water supply, flood control, and sanitation programs and services that are eligible for Plan grant funding. The work is expected to benefit the Water Agency

by positioning it favorably to receive continued grant funding support for eligible Water Agency projects under the Proposition 84 State Department of Water Resources Integrated Regional Water Management Plan grant funding programs.

The Consultant participates on the Water Agency's behalf in the Plan Project Selection Committee to ensure that Water Agency's projects are considered in the package of Bay Area projects competing for Proposition 84 funding. Proposition 84 grant monies would assist the Water Agency in implementing the ongoing Sonoma Valley Groundwater Management Plan and recycled water projects in the Sonoma Valley and along San Pablo Bay – specifically the North Bay Water Reuse Project, the Napa Sonoma Marsh Restoration Project, and the Sonoma Valley Recycled Water Project.

The Consultant also participates in the Bay Area Integrated Regional Water Management's Planning and Process Committee to ensure that the North Bay Sub-Region obtains a fair share of the Bay Area's Proposition 84 allocation; participates in Coordinating Committee meetings for the Plan to ensure that the Water Agency's interests are addressed; participates in the Roundtable of Regions statewide Plan coordination efforts; and maintains relationships with other Bay Area water resource agencies, the Department of Water Resources, and other resource and regulatory agencies in order to address and protect the Water Agency's interests within the Plan process.

In the first round of Proposition 50 funding in 2006, the Bay Area received \$12.5 million for water supply, recycling, and conservation projects. Of the \$12.5 million, Water Agency-related projects received approximately \$1 million that included funding for a Bay Area Regional Community Outreach Program and a design component for the Napa Marsh Restoration/Recycled Water Project.

In the first round of Proposition 84 funding in 2010, the Bay Area received \$30.1 million, including \$765,000 for Water Agency service area water conservation programs that directly benefited sanitation districts such as the Sonoma Valley County Sanitation District, and \$2 million for the North Bay Water Reuse Authority, which includes \$625,000 for recycled water pipeline construction activities within the Sonoma Valley County Sanitation District.

In the second round of Proposition 84 funding in 2012, pending State Department of Water Resources final approval, the Bay Area will receive \$20 million including \$825,000 for the Petaluma Flood Impact Reduction, Water & Habitat Quality, Recreation Project – Phase IV; \$1.02 million for the Sonoma Valley Recycled Water Project - Phase 2; and \$250,000 for Water Agency share of the \$2.7 million Bay Area Water Conservation Program.

The Consultant's work to date has been crucial to the success of the Bay Area Integrated Regional Water Management Plan and securing funding totaling \$3.66 million for recycled water, water supply, conservation, and flood protection projects. With total incurred expenses of \$1.158 million, the return on investment is estimated at 215%.

Prior Board Actions:

7/31/12: Authorize Chair to execute the First Amended Agreement for Bay Area Integrated Regional Water Management Plan Consulting Services between Sonoma County Water Agency and Morrison & Associates (\$75,000; term end June 30, 2013)

6/21/11: Authorize Chair to execute the Agreement for Bay Area Integrated Regional Water Management Plan Consulting Services between Sonoma County Water Agency and Morrison & Associates (\$75,000; term end June 30, 2013)

4/30/04 –

11/17/09: Authorized the General Manager/Chief Engineer to enter into the Agreement for Bay Area Integrated Regional Water Management Plan – Consulting Services with Morrison & Associates, Inc., and nine subsequent amended agreements

Strategic Plan Alignment Goal 2: Economic and Environmental Stewardship

The work conducted by the Consultant supports environmental stewardship projects. The Consultant also facilitates grant funding opportunities to leverage local funding programs to implement these projects.

Water Agency Water Supply Goals and Strategies, Goal 2: Protect the Water Agency's existing water rights and our clean high-quality water supply, and improve system resiliency by continuing to develop alternative supplies.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 90,000	Water Agency Gen Fund	\$ -0-
Add Appropriations Req'd.	\$ -0-	State/Federal	\$ -0-
	\$	Various Funds - Fees/Other	\$ 90,000
	\$	Use of Fund Balance	\$ -0-
	\$	Contingencies	\$ -0-
	\$		\$
Total Expenditure	\$ 90,000	Total Sources	\$ 90,000

Narrative Explanation of Fiscal Impacts (If Required):

\$90,000 is available in fiscal year 2012/2013 and will be encumbered towards this project for work in FY 12/13 as well as the remainder of the contract term from the following funds:

- 1) \$25,000 from Flood Control Zone 2A Petaluma 673301-6570
- 2) \$25,000 from Flood Control Zone 3A Valley of the Moon 673400-6570
- 3) \$40,000 from Sonoma Valley County Sanitation District 653105-6570

The remainder of the expenditures, \$90,000, will be budgeted in Fiscal Year 2013/2014 from the following funds:

- 1) \$25,000 from Flood Control Zone 2A Petaluma 673301-6570
- 2) \$25,000 from Flood Control Zone 3A Valley of the Moon 673400-6570
- 3) \$40,000 from Sonoma Valley County Sanitation District 653105-6570

Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
None.			
Attachments:			
None.			
Related Items “On File” with the Clerk of the Board:			
Agreement (4 Copies)			

DT:\\fileserver\Data\CL\Agenda\agrees\05-21-2013 WA Bay Area IRWMP_summ.docm

CF/47-0-21 MORRISON & ASSOCIATES (AGREE FOR BAY AREA INTEGRATED REGIONAL WATER MANAGEMENT PLAN CONSULTING SERVICES) TW 10/11-121B (ID 3234)



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 6
(This Section for use by Clerk of the Board Only.)

To: Board of Directors, Sonoma County Water Agency

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Sonoma County Water Agency

Staff Name and Phone Number:

Greg Guensch 547-1972

Supervisorial District(s):

Fourth

Title: Hydrographic Survey of the Russian River

Recommended Actions:

Authorize Chair to execute an agreement with GMA Hydrology, Inc. to provide hydrographic survey services along the Russian River for the amount of \$155,951; agreement terminates on December 31, 2013.

Executive Summary:

This item requests approval to enter into an agreement with GMA Hydrology, Inc. (Consultant) for hydrographic survey services for the amount of \$155,950 with a term end date of December 31, 2013.

History of Item/Background

The National Marine Fisheries Service (Fisheries) concluded in the Russian River Biological Opinion (Biological Opinion) that the continued operation of Coyote Valley and Warm Springs Dams by the U.S. Army Corps of Engineers and the Sonoma County Water Agency (Water Agency) in a manner similar to recent historic practices are likely to jeopardize the critical habitat for endangered Central California Coast coho salmon and threatened Central California Coast steelhead. Specifically, Fisheries concluded that the artificially elevated summertime minimum flows in the Russian River and Dry Creek that are required by the State Water Resources Control Board's Decision 1610 result in high water velocities that reduce rearing habitat quality and quantity for coho salmon and steelhead.

The Biological Opinion mandates that the Water Agency petition the State Water Resources Control Board to reduce the Decision 1610 minimum instream flows in the Russian River in order to improve rearing habitat conditions for endangered or threatened salmon and steelhead. The Water Agency is currently preparing a draft Environmental Impact Report pursuant to California Environmental Quality Act to support flow changes. In an effort to evaluate the effect of minimum instream flow changes on fish habitat in the Russian River, the Water Agency is internally developing computer models of selected river reaches to map the spatial patterns of depths and velocities over a range of river flows. The flow model results will be combined with information on the depths and velocities that are most suitable for

rearing salmonids in order to map and quantify the habitat available at existing and proposed flow rates. Development of these models requires specialty Consultant services to conduct underwater and ground surveys that will provide detailed topography of the river channel through the study reaches. These surveys involve the collection of sonar-based bathymetry data that requires specialty equipment such as boat-mounted sonar depth recorders that are integrated with a real-time global position tracking system. This particular application in the Russian River is challenging due to the shallow depths, obstacles, and irregularity of the river environment. The Water Agency will use these models to compare the habitat conditions associated with the flow alternatives developed through the Draft Environmental Impact Report process and verify the proposed flow alternatives required by the Biological Opinion.

Selection Process

On January 15, 2013, the Water Agency issued a Request for Qualifications to the following three firms:

1. Affiliated Researchers, Tawas, Michigan
2. Environmental Data, San Rafael, CA
3. GMA Hydrology, Inc., Arcata, CA

All three respondents submitted statements of qualifications and met the minimum qualifications set forth. The following criteria were used to evaluate each firm: responsiveness to the work requirements (for example, understanding of issues and challenges associated with mapping bathymetry in small rivers containing shallow areas, obstructions, vegetation, etc.), presentation of a sound approach to meeting project requirements, professional qualifications, overall performance commitment, demonstrated ability to perform the work in accordance with good practices common to the industry, time required, exceptions to agreement terms, and cost.

The Consultant was selected to perform the work for the subject agreement. Consultant ranked highest with all reviewers and demonstrated most comprehensively that it understood the technical and logistical challenges associated with the project, limitations of the methodologies, time and funding required for the work, and equipment and methodologies that would be required. It also had the most experience with this type of work, and put forth a detailed methodology for implementing the work.

Services To Be Performed

Under the proposed Agreement, the Consultant will collect and process terrestrial and bathymetric topographic survey data along four reaches of the Russian River. The topographic data will be used by Consultant to develop digital elevation models of the study reaches. These models will in turn be used by Water Agency staff to model fish rearing habitat in the Russian River. The cost of services will not exceed \$155,951; the term end date is December 31, 2013.

Prior Board Actions:

09/22/2009 Resolution No. 09-0894 adopted, authorizing and directing the General Manager/Chief Engineer of the Sonoma County Water Agency in consultation with County Counsel to petition the State Water Resources Control Board to permanently change minimum instream flows in the mainstream Russian River and Dry Creek to those required by the National Marine Fisheries Service's September 24, 2008 Biological Opinion.

Strategic Plan Alignment Goal 2: Economic and Environmental Stewardship			
<p>This work aligns with the County’s goal of Economic and Environmental Stewardship by both supporting the Sonoma County Water Agency’s efforts to improve fish habitat conditions as mandated by the Russian River Biological Opinion, and providing quantitative justification for the Russian River flows that are being required.</p> <p>Water Agency Water Supply Goals and Strategies, Goal 1: Work with Water Contractors to retain and improve the reliability of the water supply production and distribution systems, including during short-term emergencies, such as earthquakes, and during long-term challenges caused by extended droughts and global climate change.</p>			
Fiscal Summary - FY 12-13			
Expenditures		Funding Source(s)	
Budgeted Amount	\$ 155,951	Water Agency Gen Fund	\$ 0
Add Appropriations Req’d.	\$ 0	State/Federal	\$
	\$	Russian River Projects Fund Fees/Other	\$ 155,951
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 155,951	Total Sources	\$ 155,951
Narrative Explanation of Fiscal Impacts (If Required):			
Fiscal Year 2012/2013 appropriation of \$155,951 is available in the Russian River Projects fund. No additional appropriation is required.			
Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
N/A			
Attachments:			

Related Items “On File” with the Clerk of the Board:

Agreement (4 Copies)

JB\\FILESERVER\DATA\CL\AGENDA\AGREES\05-21-2013 WA HYDROGRAPHIC
SURVEY_SUMM.DOCM

CF/45-4-21 GMA HYDROLOGY, INC. (AGREE FOR BATHYMETRIC AND TERRESTRIAL SURVEY OF
THE RUSSIAN RIVER) TW 12/13-162 (ID 4697)



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 7
(This Section for use by Clerk of the Board Only.)

To: Board of Directors, Sonoma County Water Agency

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Sonoma County Water Agency

Staff Name and Phone Number:

Kevin Campbell 547-1921

Supervisorial District(s):

Fourth

Title: Dry Creek Habitat Enhancement Project, Mile 2 and Mile 3 – Appraisal Services

Recommended Actions:

Authorize Chair to execute an agreement with Burchard & Rinehart to provide appraisal services related to the Dry Creek Habitat Enhancement Project for an amount not-to-exceed \$150,000; agreement terminates on January 1, 2017.

Executive Summary:

This item requests approval to enter into an agreement with Burchard & Rinehart Real Estate Appraisers & Consultants (Consultants) for appraisal services in support of the Dry Creek Habitat Enhancement Projects, Mile 2 and Mile 3.

History Of Item/Background

In September 2008, the National Marine Fisheries Service issued the Biological Opinion on the water supply, flood control, and channel maintenance operations in the Russian River watershed that are conducted by the Sonoma County Water Agency (Water Agency), U.S. Army Corps of Engineers, and Mendocino County Russian River Flood Control District. The Biological Opinion is a 15-year plan based on the results of a Section 7 consultation with National Marine Fisheries Service under the federal Endangered Species Act.

The Biological Opinion identifies the operation of Warm Springs Dam as adversely modifying critical habitat in Dry Creek and jeopardizing the recovery of endangered coho salmon and threatened steelhead. Among other requirements, the Biological Opinion requires the Water Agency to conduct habitat enhancement projects along significant portions of Dry Creek and/or pursue alternatives that may lower minimum flows in Dry Creek during critical fish-rearing months.

The future Dry Creek Habitat Enhancement Projects, Mile 2 and Mile 3 (Projects) are being developed in accordance with the Reasonable and Prudent Alternatives within the Biological Opinion and will be an important component of a larger effort to improve conditions for salmonid species in the Dry Creek and

Russian River watersheds. The purpose of the Projects is to continue with development of habitat enhancement projects and to evaluate the effectiveness of these projects prior to implementing other portions of the Biological Opinion.

Selection Process

On May 15, 2012, Water Agency issued a Request for Qualifications to the following firms:

1. Appraisal Associates, Sebastopol, CA
2. Bowman Associates, Santa Rosa, CA
3. Dana Burwell, MAI, Healdsburg, CA
4. Herb Case & Associates, Sausalito, CA
5. The Crocker Company, Santa Rosa, CA
6. Diaz, Diaz & Boyd, Inc. Real Estate Appraisers & Consultants, Pleasanton, CA
7. Coates Field Service Inc., Walnut Creek, CA
8. Harding Appraisal Company, Santa Rosa, CA
9. Charles W. Glynn, MAI, Sebastopol, CA
10. Redwood Empire Appraisal, Petaluma, CA
11. Patrick Idiart and Associates, Petaluma, CA
12. Howard Levy Appraisal Group Inc., Santa Rosa, CA
13. Raymond Mattison, Santa Rosa, CA
14. The Anthony Mills Company, Petaluma, CA
15. Polsley Appraisal, Clayton, CA
16. Burchard & Rinehart Real Estate Appraisers & Consultants, Walnut Creek, CA
17. Associated Services Appraisal Partners, Napa, CA
18. Dean Strupp, Little River, CA
19. David Tattersall and Company, San Rafael, CA
20. Vice Appraisal Company, Santa Rosa, CA
21. Williams and Associates, Santa Rosa, CA
22. Associated Right of Way Services, Pleasant Hill, CA

Seven firms submitted Statements of Qualifications. Subject matter staff from the Water Agency, Department of Transportation and Public Works, and City of Santa Rosa evaluated the Statements of Qualifications. Six of the respondents were placed on a list of qualified consultants. The following criteria was used to evaluate each firm: experience with valuations of pipeline and public works projects, professional qualifications and general appraisal experience, experience with eminent domain, and ability to perform the work in a responsive manner. In addition, a 5% local preference weighting was given to firms from Sonoma County.

Burchard & Rinehart Real Estate Appraisers & Consultants, was selected to perform the work under the subject agreement for an amount not-to-exceed \$150,000 and a term end date of January 1, 2017. Burchard & Rinehart were selected from the list of qualified consultants based on their experience with the Dry Creek Habitat Enhancement Projects together with the exceptional quality of the appraisal services they provide.

Services To Be Performed

Under the proposed Agreement, the Consultants will be responsible for appraising the value of the

property rights needed for the Projects and will prepare appraisal reports needed by the Water Agency to facilitate acquisition of the necessary property rights. The appraisals will include the valuation of the easement rights needed for construction of the Habitat Enhancement Projects within Dry Creek and will also include the value of the larger vineyard and rural residential creek parcels over which the easements will be located. Due to the size and scope of the appraisals required, the Water Agency does not have the resources or staff to complete the appraisals.

Prior Board Actions:

- 11/20/12 Approved Second Amended Agreement for Engineering Design for the Dry Creek Habitat Enhancement Demonstration Project
- 05/22/12 Approved Agreements for Purchase of Large Woody Debris for the Dry Creek Demonstration Project
- 05/04/10 Approved Agreement for Engineering Design for the Dry Creek Habitat Enhancement Demonstration Project
- 03/30/10 Approved Agreement for Dry Creek Habitat Restoration Feasibility Study-Phase II
- 02/09/10 Approved As-Needed Appraisal Services Contract with Burchard & Rinehart for Dry Creek Habitat Enhancement Demonstration Project
- 10/07/08 Approved Agreement for Dry Creek Habitat Restoration Feasibility Study-Phase I
- 06/10/08 Approved Agreement for Flow-related EIR/EIS Phase I Environmental Services and Stakeholder Assessment

Strategic Plan Alignment Goal 2: Economic and Environmental Stewardship

This Project advances this goal by meeting the environmental criteria for critical coho and steelhead habitat set forth in the Russian River Biological Opinion, restoring some natural features and functions to the Creek, and securing the ability to use Dry Creek to cost-effectively convey water from Warm Springs Dam at flow rates that meet present and future (increased) water demands. The project also supports the County economy by providing work for local and regional contractors and consultants, demand for building materials, and a steady flow of visitors to the sites and associated wineries.

Water Agency Water Supply Goals and Strategies, Goal 3:

Ensure that water will be available to customers at all times, including during short-term emergencies, such as earthquakes, and long-term challenges caused by extended droughts and global climate change.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 150,000	Water Agency Gen Fund	\$ 0
Add Appropriations Req'd.	\$ 0	State/Federal	\$ 0
	\$	Warm Springs Dam - Fees/Other	\$ 150,000
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 150,000	Total Sources	\$ 150,000

Narrative Explanation of Fiscal Impacts (If Required):

Fiscal Year 2012/2013 appropriation of \$150,000 is from the Warm Springs Dam fund (673806). No further appropriation is required.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

N/A

Attachments:

N/A

Related Items "On File" with the Clerk of the Board:

Agreement (4 Copies)



County of
Sonoma
Agenda Item
Summary Report

Agenda Item Number: 8
(This Section for use by Clerk of the Board Only.)

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

To: Board of Directors, Sonoma County Water Agency

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Sonoma County Water Agency

Staff Name and Phone Number:

David Cuneo / 547-1935

Supervisorial District(s):

First, Fourth, and Fifth

Title: Cooperative Agreement for Russian River Watershed Programs (2013-2015)

Recommended Actions:

Authorize Chair to execute an agreement with the Sotoyome Resource Conservation District to provide research, assessment, and outreach services in the Russian River Watershed (\$92,109; agreement terminates on December 31, 2015).

Executive Summary:

This item requests approval to enter into an agreement with the Sotoyome Resource Conservation District (Conservation District) in the amount of \$92,109 for research and assessment services through December 31, 2015.

HISTORY OF ITEM/BACKGROUND

The Conservation District is one of three resource conservation districts whose boundaries cover a portion of the Russian River Watershed (Watershed). Conservation District's boundaries encompass approximately 50% of the Watershed.

The Conservation District's Russian River Watershed Program (Program), adopted in January 1997, includes providing landowner assistance; public education and information; watershed stewardship; watershed monitoring; interagency coordination and resource enhancement; and planning and implementation to assist local groups in improving land management and restoring fish habitat. The Conservation District is seeking funding from the Sonoma County Water Agency (Water Agency) to carry out portions of the Conservation District's Program.

The Water Agency and Conservation District have worked cooperatively on past Russian River Biological Opinion related projects, including instream habitat improvement projects on Grape Creek and Crane Creek. The partnership with the Conservation District has provided the Water Agency access to landowners on creeks and streams in the Watershed; enhanced the Water Agency's understanding of watershed conditions; created opportunities to educate agricultural and urban communities about key issues, including water conservation, greywater use, rainwater catchment, and development of habitat enhancement for steelhead and coho; and contributes to the Water Agency's goals of protecting water quality and recovering endangered fish populations.

SERVICES TO BE PERFORMED

The Conservation District is in the process of preparing a Mill Creek Watershed Management Plan (Plan) which will include discussions on water quantity, water quality, sediment load reduction, as well as recommendations for improving resource sustainability in the Mill Creek watershed. Mill Creek is a tributary to Dry Creek and provides important spawning and rearing habitat for coho and steelhead. The goal of the Plan is to work towards meeting the Environmental Protection Agency’s nine elements of an effective watershed management plan.

Under the proposed Agreement with the Water Agency, the Conservation District will conduct an assessment of existing large wood structures within the Mill Creek watershed and prepare an evaluation report documenting the existing structures and identifying potential future salmonid habitat projects. The large wood assessment will be included in an appendix of the Plan being prepared by the Conservation District. The completed Plan will be provided as a deliverable under this Agreement.

The Conservation District will perform outreach and development, including conducting landowner outreach and developing conservation and habitat enhancement projects related to the Program.

The Conservation District will provide quarterly progress reports and a final summary report of work performed under the Agreement.

The cost of services will not exceed \$21,700 in fiscal year 2012/2013; \$27,000 in each fiscal year 2013/2014 and 2014/2015; and \$16,409 in fiscal year 2015/2016; agreement terminates December 31, 2015. The total agreement amount is \$92,109.

Prior Board Actions:

12/14/2010: Approved agreement between Water Agency and the Resource Conservation District for funding of cooperative Russian River Watershed programs (\$37,000; term end 12/31/2012).

Strategic Plan Alignment Goal 2: Economic and Environmental Stewardship

The project funded by this agreement aligns with Goal 2 by supporting the Water Agency’s continued efforts to monitor and enhance habitat for fisheries within the Russian River watershed.

Water Agency Water Supply Goals and Strategies, Goal 2: Protect the Water Agency's existing water rights and our clean, high-quality water supply, and improve system resiliency by continuing to develop alternative supplies.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 21,700	Water Agency Gen Fund	\$ -0-
Add Appropriations Req'd.	\$ -0-	State/Federal	\$ -0-
	\$	Warm Springs Dam (Special Revenue) and Russian River Projects Fees/Other	\$ 21,700
	\$	Use of Fund Balance	\$ -0-
	\$	Contingencies	\$ -0-
	\$		\$
Total Expenditure	\$ 21,700	Total Sources	\$ 21,700

Narrative Explanation of Fiscal Impacts (If Required):

Fiscal Year 2012/2013 appropriation of \$21,700 is from the Warm Springs Dam (Special Revenue - \$4,700) and Russian River Projects (\$17,000) funds. Future funding will be budgeted in the following fiscal years:

1. Fiscal Year 2013/2014 expenditures of \$27,000: Warm Springs Dam (Special Revenue – \$10,000) and Russian River Projects (\$17,000) funds.
2. Fiscal Year 2014/2015 expenditures of \$27,000: Warm Springs Dam (Special Revenue – \$10,000) and Russian River Projects (\$17,000) funds.
3. Fiscal Year 2015/2016 expenditures of \$16,434: Warm Springs Dam (Special Revenue – \$3,314) and Russian River Projects (\$13,095) funds.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

None.

Attachments:

None.

Related Items "On File" with the Clerk of the Board:

Agreement (4 Copies)



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 9
(This Section for use by Clerk of the Board Only.)

To: Board of Directors, Sonoma County Water Agency and Sonoma Valley County Sanitation District

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Sonoma County Water Agency and Sonoma Valley County Sanitation District

Staff Name and Phone Number:

Kevin Booker, 521-1865

Supervisory District(s):

First and Second Districts

Title: North Bay Water Reuse Authority Third Amended Memorandum of Understanding

Recommended Actions:

Authorize the Chair of the Sonoma County Water Agency and the Chair of the Sonoma Valley County Sanitation District to sign the North Bay Water Reuse Authority Third Amended Memorandum of Understanding.

Executive Summary:

This item recommends the Chair of the Sonoma County Water Agency and the Chair of the Sonoma Valley County Sanitation District sign the North Bay Water Reuse Authority Third Amended Memorandum of Understanding. Approving the 3rd amended Memorandum of Understanding will allow the North Bay Water Reuse Authority to continue its successful endeavors at regional collaboration.

This year, the North Bay Water Reuse Authority members proposed changes to North Bay Water Reuse Authority Second Amended Memorandum of Understanding, approved in October 2010, to address governance, membership, participation in Phase 2, sharing of costs and resources, distribution of funds, membership initiation, membership termination, and other issues. The changes would provide additional specificity as to the rights and obligations of the member's vis-à-vis the receipt of other potential funding. In addition, under the proposed amendment, two new members would join the North Bay Water Reuse Authority, the Marin Municipal Water District and the City of Petaluma. The addition of these two new members will strengthen the North Bay Water Reuse Authority and increase the ability of the North Bay Water Reuse Authority to compete for federal and state funding.

As the North Bay Water Reuse Authority transitions from Phase 1 to Phase 2, it is necessary to revise the Second Amended Memorandum of Understanding to accommodate some members who do not want to be part of and pay costs associated with Phase 2, and to allow new members to join the organization as part of Phase 2 without having to pay Phase 1 expenses. The attached Third Amended

Memorandum of Understanding allows these goals to be achieved. The Third Amended Memorandum of Understanding expands the governing board to allow for new members, but does not fundamentally change the governance structure of the organization.

Changes from the previous version include:

1. Includes 2 new agencies - City of Petaluma and Marin Municipal Water District.
2. Revised maps and definitions for Phase 2.
3. Defines a structure for Phase 2 costs to be shared among partner agencies.
4. Allows for Associate Members - agencies that are part of the organization but do not have any Phase 1 or Phase 2 projects, and defines their annual membership fee and non-voting role in the association.
5. Allows the Technical Advisory Committee to create subcommittees, such as a Finance Subcommittee, to provide analysis and research and make recommendations to the Technical Advisory Committee.
6. Sets the initiation fee for new members at 0.6% of the new member agency's project costs as determined by the Phase 2 Scoping Study and sets a 2-step process for the payment of this fee. The fee is distributed to Phase 1 member agencies based on the same percentage of fees paid into the association.
7. Clarifies when an organization may terminate membership in the association, and whether any ongoing financial responsibilities or benefits follow termination.
8. Sets the term of the Memorandum of Understanding at 5 years.

Finally, the amended Memorandum of Understanding, similar to the existing Memorandum of Understanding, designates the Sonoma County Water Agency to act as the Administrative Agency for the purpose of carrying out the provisions of this Memorandum of Understanding.

Government agencies such as the U. S. Bureau of Reclamation and the California Department of Water Resources have policies that promote funding of regional partnerships over independent jurisdictions. The North Bay Water Reuse Authority has position itself to take advantage of those preferences. To date, North Bay Water Reuse Authority has received over \$2.5 million in state funding and over \$15 million federal funding. In addition, North Bay Water Reuse Authority is seeking an additional \$1 million from Department of Water Resources Proposition 84, Round 2 and is waiting on an award announcement from the U.S. Bureau of Reclamation of a potential \$3.5 million grant. Approving the 3rd amended Memorandum of Understanding will let the North Bay Water Reuse Authority carry on its successful accomplishments at regional partnership.

Prior Board Actions:

10/26/10: Concurrent Action Authorizing the Chair of the Sonoma County Water Agency and the Chair of the Sonoma Valley County Sanitation District to sign the North Bay Water Reuse Authority Seconded Amended Memorandum of Understanding.

Strategic Plan Alignment Goal 3: Invest in the Future

The 3rd amended MOU will assist in the Invest of Infrastructure systems in the County. This regional collaborations, will allow agencies to save money in the long-term through cost-sharing of future projects.

Water Agency Water Supply Goals and Strategies, Goal 2: Protect the Water Agency's existing water rights and our clean, high-quality water supply, and improve system resiliency by continuing to develop alternative supplies.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):

In the NBWRA Board approved FY13-14 budget for feasibility studies for expanded use of recycled water, the Water Agency's contribution for consultant services would be \$109,000 and the Sonoma Valley County Sanitation District's contribution would be \$121,000.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

N/A

Attachments:

N/A

Related Items "On File" with the Clerk of the Board:

North Bay Water Reuse Authority Third Amended MOU (18 copies)



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 10
(This Section for use by Clerk of the Board Only.)

To: Board Of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Agricultural Commissioner /Sealer

Staff Name and Phone Number:

Tony Linegar 565-2371

Supervisorial District(s):

Title: Agricultural and Weights & Measures Program Contracts

Recommended Actions:

Adopt resolution authorizing the Sonoma County Agricultural Commissioner/Sealer to execute agreements and amendments to agreements awarded in fiscal year 13/14 and calendar years 2013 and 2014 with the United States Department of Agriculture (USDA), State of California Department of Food and Agriculture (CDFA), California Department of Pesticide Regulations (CDPR), and Division of Measurement Standards (DMS), for various ongoing agricultural and weights & measures programs.

Executive Summary:

The recommended action will allow the Agricultural Commissioner/Sealer or his authorized representative to execute the agreements or amendments to the agreements specified below with the USDA, CDFA, CDPR and DMS for existing programs for services performed by or for the Agricultural Commissioner's Office in FY 13-14 and calendar years 2013 and 2014. This eliminates the need to prepare individual Board reports for each of these agreements. This process will result in more efficient use of departmental, County Counsel, County Administrator's Office, and Board staff time. The Board has granted the Agricultural Commissioner/Sealer this authority annually since September 14, 2010.

The proposed action applies only to contracts for ongoing programs. The Agricultural Commissioner/Sealer would seek Board approval for any agreements for new regulatory programs.

The State concurs with this process, as it is consistent with how other California Agricultural Commissioners/Sealers process their contracts. County Counsel also concurs that since the contracts are in a standard form not subject to negotiation, the contracts do not require further Counsel review.

The Agricultural Commissioner/Sealer is requesting authorization to execute the following contracts, with the current estimated annual revenue or expenses in amounts indicated below. Should revenues or expenses in these contracts change significantly, we will utilize the appropriate county process to adjust our budget accordingly. The two contracts that are awarded for a calendar, rather than fiscal year, are noted.

Program/Activity	Estimated Annual Revenue (R)/Expenditure (E)	Agency
W&M Petroleum Program	\$8,400 (R)	DMS
Egg Quality Control	\$11,340 (R)	CDFA
Sudden Oak Death	\$18,905 (R)	CDFA
Pest Detection	\$145,429 (R)	CDFA
Pest Detection – EGVM (Calendar Year 2013)	\$974,831 (R)	CDFA
Pest Detection – EGVM (Calendar Year 2014)	\$825,000 (R)	CDFA
Pest Exclusion – EGVM (Calendar Year 2013)	\$198,230 (R)	CDFA
Pest Exclusion – EGVM (Calendar Year 2014)	\$100,000 (R)	CDFA
Nursery Inspection	\$2,500 (R)	CDFA
Ag Statistics	\$1,600 (R)	CDFA
Pesticide Use Reporting	\$19,737 (R)	CDPR
Organic Registration & Spot Inspection	\$27,840 (R)	CDFA
Pest Exclusion - LBAM	\$28,249 (R)	CDFA
High Risk Pest Exclusion	\$16,769 (R)	CDFA
Pierce's Disease Control – GWSS (12/13 & 13/14)	\$555,314 (R)	CDFA
Federal Shell Egg Surveillance	\$7,667 (R)	CDFA
Wildlife Services	\$76,292 (E)	USDA

Prior Board Actions:

The Board has annually authorized the Agricultural Commissioner/Sealer to enter into contractual agreements with CDFA and CDPR for Agricultural and Weights & Measures (W&M) activities since September 14, 2010.

Strategic Plan Alignment Goal 2: Economic and Environmental Stewardship

Fiscal Summary - FY 13-14

Expenditures		Funding Source(s)	
Budgeted Amount	\$		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):

None. FY 13/14 monies are in budget and FY 14/15 will be budgeted (some contracts are multi-year).

Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
Attachments:			
Resolution.			
Related Items “On File” with the Clerk of the Board:			
None.			



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

4/5 Vote Required

RESOLUTION OF THE BOARD OF SUPERVISORS OF THE COUNTY OF SONOMA, STATE OF CALIFORNIA, AUTHORIZING THE SONOMA COUNTY AGRICULTURAL COMMISSIONER/SEALER TO EXECUTE AGREEMENTS AND AMENDMENTS TO AGREEMENTS AWARDED IN FISCAL YEAR 13/14 AND CALENDAR YEARS 2013 AND 2014 WITH: THE UNITED STATES DEPARTMENT OF AGRICULTURE (USDA), STATE OF CALIFORNIA DEPARTMENT OF FOOD AND AGRICULTURE (CDFA), CALIFORNIA DEPARTMENT OF PESTICIDE REGULATIONS (CDPR), AND DIVISION OF MEASUREMENT STANDARDS (DMS), FOR VARIOUS ONGOING AGRICULTURAL AND WEIGHTS & MEASURES PROGRAMS

Whereas, Sonoma County maintains contracts with USDA, CDFA, CDPR, and DMS for various agriculture and weights and measures programs on behalf of the state and federal government, and

Whereas, it is in the best interest of Sonoma County agriculture and all citizens of the County to enter into these agreements, and

Whereas, State of California will reimburse the County for expenses incurred by the County under these agreements,

Whereas, County shall pay USDA for services it renders to the County;

Now, Therefore, Be It Resolved, that the Sonoma County Agricultural Commissioner/Sealer or authorized representative be authorized to sign the following agreements and amendments to agreements awarded in fiscal year 13/14 and calendar years 2013 and 2014 with USDA, CDFA, CDPR, DMS, for various agricultural and weights and measures programs, excluding agreements for new programs.

Resolution #

Date:

Page 2

Program/Activity	Estimated Annual Revenue (R)/Expenditure (E)	Agency
W&M Petroleum Program	\$8,400 (R)	DMS
Egg Quality Control	\$11,340 (R)	CDFA
Sudden Oak Death	\$18,905 (R)	CDFA
Pest Detection	\$145,429 (R)	CDFA
Pest Detection – EGVM (Calendar Year 2013)	\$974,831 (R)	CDFA
Pest Detection – EGVM (Calendar Year 2014)	\$825,000 (R)	CDFA
Pest Exclusion – EGVM (Calendar Year 2013)	\$198,230 (R)	CDFA
Pest Exclusion – EGVM (Calendar Year 2014)	\$100,000 (R)	CDFA
Nursery Inspection	\$2,500 (R)	CDFA
Ag Statistics	\$1,600 (R)	CDFA
Pesticide Use Reporting	\$19,737 (R)	CDPR
Organic Registration & Spot Inspection	\$27,840 (R)	CDFA
Pest Exclusion - LBAM	\$28,249 (R)	CDFA
High Risk Pest Exclusion	\$16,769 (R)	CDFA
Pierce's Disease Control – GWSS (12/13 & 13/14)	\$555,314 (R)	CDFA
Federal Shell Egg Surveillance	\$7,667 (R)	CDFA
Wildlife Services	\$76,292 (E)	USDA

Be It Further Resolved, the foregoing authorization shall not apply to new agreements.

Supervisors:

Gorin:

Zane:

McGuire:

Carrillo:

Rabbitt:

Ayes:

Noes:

Absent:

Abstain:

So Ordered.



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 11
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Clerk-Recorder-Assessor, County Administrator

Staff Name and Phone Number:

Ray Leonard – X1880

Supervisorial District(s):

All

Title: 2013 United Way Day of Caring

Recommended Actions:

Adopt a Resolution authorizing County employee participation in the United Way Day of Caring on September 11, 2013 and reaffirming a partnership with the United Way of the Wine Country to develop joint projects that benefit the community and promote Civic Services & Community Engagement

Executive Summary:

The Day of Caring Planning Committee requests the Board's approval of a 15th consecutive year of County of Sonoma participation in the United Way Day of Caring event. The Day of Caring involves employees from various public and private employers who annually participate during one work day performing jobs such as painting, yard cleanup, minor construction work, and gardening for non-profit organizations or their clients. Each year, the County sponsors up to 400 County employees to complete the Day of Caring projects.

Organizing and planning our part of the Day of Caring is a joint effort with the United Way of the Wine Country and its partners (Agilent, Redwood Credit Union, Kaiser Hospital, Santa Rosa Junior College and Sonoma State University). As in years past, the Day of Caring Committee will work to select or develop projects that will build a better and stronger community overall. The Project Team will work to identify community projects and conduct preliminary site visits to determine necessary resources for each potential project location. In many cases, the work performed by County staff who dedicate their time benefits County departments and community service agencies with County contracts. Applications received from County employees who wish to participate in the Day of Caring during their work day will be reviewed by a team of County employees to ensure that team member time and skills are effectively and efficiently utilized.

The Day of Caring Committee enthusiastically supports and recommends the approval of the 2013 Day of Caring. The committee feels it is extremely important, during the economic recovery period, that the County and its employees continue to show the leadership and compassion that has been demonstrated to the community in the past. The County's contribution of funding support, time and talent make a key

difference in individual people's lives. The initiative provides employees with an avenue which facilitates their desire to support the community while feeling positive about their involvement. The General Fund \$4,500 contribution will help support the Day of Caring projects with tools, signage, United Way sponsorship fees, meeting material and supplies, as well as support for the event set up and cleanup and other administrative cost.

The attached Resolution authorizes County employees to participate in the 2013 Day of Caring.

Prior Board Actions:

The Sonoma County Board of Supervisors has authorized the County's participation in the Day of Caring each year since 1999.

Strategic Plan Alignment Goal 4: Civic Services and Engagement

The Day of Caring promotes civic service through the combined efforts of the employee volunteers, county agencies and United Way of the Wine Country with each project benefiting the most vulnerable and/or the community in general.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$	County General Fund	\$ 4500.00
Add Appropriations Req'd.	\$ 4500.00	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 4500.00	Total Sources	\$ 4500.00

Narrative Explanation of Fiscal Impacts (If Required):

From General Fund \$4,500 contribution to help with tools, signage, United Way sponsorship fees, meeting material and supplies, as well as support for the event set up and cleanup and other administrative cost.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

Attachments:
Resolution
Related Items "On File" with the Clerk of the Board:



County of Sonoma

State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

4/5 Vote Required

Resolution Of The Board Of Supervisors Of The County Of Sonoma, State Of California, Authorizing County Employee Participation In The United Way Day Of Caring On September 11, 2013.

Whereas, this Board has recognized and honored community volunteers and community-based agencies for their service to County programs; and

Whereas, this Board has also recognized and honored County employees for their community volunteer service to the citizens of Sonoma County; and

Whereas, the County of Sonoma and United Way of the Wine Country have partnered for 15 years to mobilize employees for a day of community service by assisting seniors, public agencies and non-profit agencies with minor construction, painting, yard work and debris removal offers another productive and beneficial way to recognize the volunteer spirit of County employees and provide additional service to the citizens of Sonoma County; and

Whereas, a request has been made to allow up to 400 County employees to be released from their normal duties and participate in the 2013 United Way Day of Caring on September 11, 2013, in service to County Departments, County-funded community-based agencies, and at the homes of individuals who are clients of County programs; and

Whereas, the Day of Caring event focuses on the needs of the community and reflects the goodwill that is produced in the community through the donation of a day's labor on important community projects through the Day of Caring.

Now, Therefore, Be It Resolved by the Board of Supervisors of the County of Sonoma that this Board believes that allowing approximately 200 volunteer County employees to spend a normal work day in service to our community, as part of the United Way Day of Caring on September 11, 2013, is in the public interest and is consistent with this Board's continuing recognition of volunteerism and public service by the employees of Sonoma County.

Resolution #

Date:

Page 2

Be It Further Resolved that this Board approves the request to solicit volunteers among County employees to participate in the 2013 United Way Day of Caring and that such participation by County employees will be considered as a regular day of work while so participating.

Be It Further Resolved that this Board approves expenditure of up to \$4,500 from the County General Fund for administrative costs to support the Day of Caring.

Supervisors:

Gorin:

Zane:

McGuire:

Carrillo:

Rabbitt:

Ayes:

Noes:

Absent:

Abstain:

So Ordered.



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 12
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): County Administrator, Auditor-Controller-Treasurer-Tax Collector,
Information Systems Department

Staff Name and Phone Number:

Blane Jolliff 565-8322

Supervisorial District(s):

All

Title: Enterprise Financial System (EFS) Project Professional Training Services Amendment

Recommended Actions:

Authorized Chair to execute First Amendment with Maverick Solutions, LLC for additional professional training services related to the Enterprise Financial System (EFS) Implementation Pro in the amount of \$39,756 for a revised amount not to exceed \$195,206.

Authorize Auditor-Controller-Treasurer-Tax Collector, upon recommendation of the EFS Project Leadership Team, to amend the agreement for professional training services in an amount not to exceed \$25,000 in the event that additional training opportunities are identified and deemed critical to the EFS Project.

Executive Summary:

Staff requests approval of First Amendment of Agreement for Professional Training Services with Maverick Solutions LLC. Service Agreement Amendment is in the amount of \$39,756 and is for 4 additional classes that were identified and deemed important for Core Project Team members to attend to help insure EFS Project success.

Additionally, approval authorization is being requested for the Auditor-Controller-Treasurer-Tax Collector, upon recommendation of the EFS Project Leadership Team, to amend the agreement in the event that additional training opportunities are identified and deemed critical in an amount not to exceed \$25,000 in total.

Background

At the February 5, 2013 Board of Supervisor's meeting approval was granted for the EFS Implementation Project to replace the existing, mainframe-based finance and budget systems with a new, non-

mainframe based integrated financial system to serve all the entities governed by the Board of Supervisors. Key components of the approved project are core team training and end user training, both being identified as critical to a successful implementation. Recognizing the importance of Core Project Team training a professional training services agreement with Maverick Solutions LLC was included within the February 5, 2013 EFS approved project request.

The initial agreement was for the on-site delivery of 12 training sessions with a duration of 1 – 5 days , and a target of audience of 4 -16 students depending upon the class topic and complexity. Contracted training sessions addressed both the functional and technical perspectives of the software and were scheduled to be delivered for each module at the beginning of each phase.

Based on a refined training plan developed through increased exposure and hands on interaction with the PeopleSoft 9.1 software, the EFS Project Leadership Team has prioritized additional Core Project Team training opportunities in order to maximize team member expertise and to leverage that knowledge to provide the broader end user training later in the project implementation time line.

Working with Maverick Solutions, four additional small group classes have been identified as follows:

• nVision for GL	4 students, 4 days	\$13,800
• Security	4 students, 3 days	11,100
• UPK Developer	4 students, 4 days	13,800
• Server Admin and Installation	4 students, 4 days	<u>13,800</u>
		\$52,500
	Pre-Payment Discount	<u>(12,744)</u>
		\$39,756

In order to recognize the cost savings of the prepayment discount offering from Maverick Solutions LLC prepayment of the currently agreed upon “total not to exceed amount of \$155,450”, less any previous billed amounts, is to be made to Maverick.

The proposed Amendment does not change the term of the agreement which is effective February 5, 2013 until the completion of training.

Budget

This Service Agreement Amendment amount would increase the total not to exceed contract amount with Maverick Solution LLC to \$195,206. The additional cost of \$39,756 is to be covered through line items saving realized to date, and does not represent an increase in the overall EFS Project approved budget.

Future Amendments

It is anticipated that as the EFS project progresses, the Leadership Team will continue to work closely with Maverick to provide training opportunities that provide the maximum benefit within existing project budget constraints. Therefore, this item also requests authority for the Auditor-Controller-Treasurer-Tax Collector, upon recommendation of the EFS Implementation Project Leadership Team, to

execute amendments to the contract with Maverick Solutions LLC up to \$25,000 in the event that future priority training needs are identified as system implementation progresses. Any such amendment will be approved as to form by County Counsel.

Prior Board Actions:

February 5, 2013: approved the EFS Implementation Project Budget and associated vendor contracts for software and services, including professional training services with Maverick Solutions LLC

Strategic Plan Alignment Goal 3: Invest in the Future

This amendment supports the successful implementation of the Enterprise Financial System Project, which improves County fiscal management and reporting, and increases transparency. The EFS Project is also included in the 2013 Priorities adopted by the Board on March 12, 2013.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 39,735	County General Fund	\$ 39,756
Add Appropriations Req'd.	\$ 0	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 39,756	Total Sources	\$ 39,756

Narrative Explanation of Fiscal Impacts (If Required):

Sufficient appropriations are available within the \$3.9 million of deallocated tobacco settlement securitization funds budgeted in FY 12-13 for the EFS Implementation Project first year costs.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

Attachments:

Attachment A –First Amendment to Agreement for Professional Training Services with Maverick

Solutions LLC

Related Items “On File” with the Clerk of the Board:

Agreement for Professional Training Services with Maverick Solutions, LLC

**FIRST AMENDMENT
TO
AGREEMENT FOR PROFESSIONAL TRAINING SERVICES**

This first amendment, Dated as of _____, 2013, is by and between the COUNTY OF SONOMA, a political subdivision of the State of California (hereinafter "County") and Maverick Solutions, LLC,(hereinafter "Consultant").

WHEREAS, County and Consultant entered into an Agreement February 5, 2013 for professional training services to be provided by consultant to County's Enterprise Financial System (EFS) Implementation Core Project Team, and

WHEREAS, training delivery was identified by specific class topic, and

WHEREAS, additional training needs have been identified that will provide direct benefit to the Core Project Team, and

WHEREAS, it is necessary to amend the terms of the existing agreement, and to amend Exhibit "A" to include the additional identified training needs consisting of an additional 4 training sessions, and

WHEREAS, the potential exists for additional critical training needs being identified as the EFS Implementation Project progresses.

THEREFORE, THE PARTIES HERETO agree to amend the Agreement for professional training services dated February 5, 2013, as follows:

AGREEMENT

The Agreement is amended as follows:

- I. Item 1 – Total not to exceed price to be increased by \$39,756 to a revised not to exceed amount of \$195,207. Price increase consists of \$52,500 for four (4) additional training sessions, offset by a prepayment discount of \$12,744.
- II. Item 2 – Exhibit "A" of the agreement for services shall be changed to include the four (4) additional PeopleSoft training courses to be delivered onsite. In order for the County to be entitled to the prepayment discount identified below, all future courses in the original agreement, and those specified below, must be paid within 45 days of the effective date of this amendment:

• nVision for GL	4 students, 4 days	\$13,800
• Security	4 students, 3 days	11,100
• UPK Developer	4 students, 4 days	13,800
• Server Admin and Installation	4 students, 4 days	<u>13,800</u>
		\$52,500
	Prepayment Discount	<u>(12,744)</u>
		\$39,756

- III. Item 3 – Upon the recommendation of the EFS Project Leadership Team authorize the Auditor-Controller-Treasurer-Tax Collector to amend the contract in an amount not to exceed \$25,000 in the event that additional training opportunities are identified and deemed critical to the EFS Project and/or the need to continue utilization of the “sandbox” for the monthly usage fee of \$1,500 per sandbox.

BE IT FURTHER AGREED that all other terms and conditions contained in the original agreement dated February 5, 2013, shall remain in full force and effect as though fully set forth herein

IN WITNESS WHEREOF, the parties hereto have executed this Amendment as of the effective date.

CONSULTANT: _____

COUNTY: COUNTY OF SONOMA

By: _____

By: _____

CHAIR
BOARD OF SUPERVISORS

Name: _____

Date: _____

Title: _____

ATTEST:

Date: _____

CLERK OF THE BOARD OF
SUPERVISORS

APPROVED AS TO FORM FOR COUNTY:

By: _____

County Counsel

Date: _____



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 13
(This Section for use by Clerk of the Board Only.)

To: Sonoma County Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): County Counsel

Staff Name and Phone Number:

Bruce Goldstein (707) 565-2421

Supervisorial District(s):

Title: 2013 United Way Combined Fund Drive

Recommended Actions:

Authorize the United Way of the Wine Country to conduct the annual Combined Fund Drive with the employees of the County of Sonoma, Sonoma County Water Agency, Sonoma County Agricultural Preservation and Open Space District, and Sonoma County Community Development Commission and delegate authority to the Combined Fund Drive co-chairs to sign the Memorandum of Understanding with United Way of the Wine Country.

Executive Summary:

Background: The Combined Fund Drive Steering Committee requests the Board's approval of the 23rd consecutive year of participation in the United Way Combined Fund Drive event. The annual Combined Fund Drive ("CFD") theme for 2013 is: "Heart of Sonoma County." This year's goal is to reach \$300,000 and 30% employee participation. The MOU with the United Way is attached.

Last year's theme, "Commitment to the Community," included videos that featured employees and the agencies that moved them to donate their time and energy, including production of an entertaining video featuring the Board of Supervisors. The campaign also featured a BBQ lunch designed to "kick-up" the campaign. Press Democrat coverage highlighted the fact that Sonoma County employees' generosity raised more than \$3.1 million since 2002, third in Northern California in giving, behind only San Francisco and Santa Clara counties. The 2012 CFD Campaign raised a total of \$282,950 (the highest since the 2009 campaign) with 26% of employees donating.

The 2013 Combined Fund Drive Campaign will also include a BBQ lunch and active communication strategy and, knowing the generosity of Sonoma County employees and retirees, it promises to be a success.

Prior Board Actions:			
Board has approved County participation in the Combined Fund Drive each year since 1990.			
Strategic Plan Alignment Goal 4: Civic Services and Engagement			
Through the participation of the Combined Fund Drive Campaign, County employees and retirees are permitted to expand upon their civil service role, contribute to the success of external and internal nonprofit agencies, and invest in our community.			
Fiscal Summary - FY 13-14			
Expenditures		Funding Source(s)	
Budgeted Amount	\$ 4,500	County General Fund	\$ 4,500
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 4,500	Total Sources	\$ 4,500
Narrative Explanation of Fiscal Impacts (If Required):			
The Combined Fund Drive Steering Committee's recommended FY 13-14 budget is \$4,500.			
Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
N/A			
Narrative Explanation of Staffing Impacts (If Required):			
N/A			
Attachments:			
Memorandum of Understanding Between United Way of the Wine Country and the County of Sonoma for the Principal Combined Fund Drive Agency			
Related Items "On File" with the Clerk of the Board:			
None.			



**Memorandum of Understanding
Between United Way of the Wine Country and
the County of Sonoma for the Principal Combined Fund Drive Agency**

The County of Sonoma and United Way of the Wine Country (UWWC) agree:

That pursuant to County of Sonoma's Employee Fund Drive Policy, United Way of the Wine Country will be the Principal Combined Fund Drive Agency (PCFDA).

That this plan for the 2013 Fall Fund Drive is to establish payroll deductions from the salaries or wages of County of Sonoma officers and employees for the year beginning January 1, 2014.

PFDC Agency Name: United Way of the Wine Country
Contact Person: Melissa Dobar
Address: 418 B Street, Suite 400
Santa Rosa, CA 95401
Telephone: (707) 528-4485 x112

UWWC further agrees:

To conduct an annual fundraising campaign with the goal of representing all federations equally.

That the percentage of fundraising and administrative costs to be charged in 2013 by the PCFDA to affiliated and nonaffiliated organizations is 10 percent.

To include verbiage on eWay and paper pledge instructions to read: There is a minimum donation of \$5.00/per pay period (or \$130.00 annual) for payroll deduction pledges that are designated to federations and organizations other than United Way.

To include a statement on the paper pledge form and on eWay which reads: "If paying by check, please make your check payable to County of Sonoma Employee Combined Fund Drive".

To pay out to all Agencies and Federations and coordinate their participation in the Fund Drive campaign.

That the first agency/federation distribution will occur no later than the 15th of the first month of the quarter following receipt of the first payroll disbursement from the County of Sonoma. All payouts thereafter will be made on the 15th of the first month of each quarter (June, September, December, March) provided the minimum of \$50.00 has been accrued.

That the boundary of the area that this federation normally solicits public contributions from is Sonoma, Mendocino, Lake, Humboldt and Del Norte Counties.

That we hereby certify under penalty of perjury that our organization is in compliance with the provisions of the Fair Employment Practice Act, Part 4.5 (commencing with Section 1410) of Division 2 of the Labor Code.

To solicit contributions only during the Fund Drive and to not make public or distribute donor information.

To provide an electronic version of the agency brochure to the County of Sonoma Campaign Committee and provide other campaign materials to be disbursed to County Employee Campaign Leaders and employees during the fund drive as needed. The brochure will list all affiliated charitable organizations along with their contact information.

To provide an eWay online pledging website on which the County of Sonoma officers and employees may designate contributions to affiliated and unaffiliated agencies.

To provide an electronic copy of the form on which County of Sonoma employees that do not have access to the Internet may print in order to designate contributions to affiliated and unaffiliated agencies. Such form will be provided in PDF and distributed to the County of Sonoma Campaign Committee to be posted on the employee Intranet/Sharepoint site in order to be accessed by County Employee Campaign Leaders. We recommend three copies of the completed form be made and distributed to the following parties for accounting purposes:

1. County of Sonoma Payroll
2. The PCFDA (UWWC)
3. The employee

To provide the County representatives with full financial reports (electronic or paper) of the Fund Drive at least every two weeks during the campaign and within 60 days of close of campaign including details of employee designations. Upon close of campaign year or by March 31, 2014, agreed upon reports (electronic or paper) will be provided showing contributions and payouts at the campaign level.

To distribute payroll deductions to write-in designations made by employees upon verification. In the case of designations made to a write-in, (a non-federated agency) the agency is eligible for donations if it is tax-exempt according to IRS Code 501(c)3. UWWC may request agencies to provide proof of their 501(c)3 status before sending any payments to the agency. In the event an agency does not respond, or does not meet eligibility, UWWC will call the donor directly to identify an alternative agency choice.

The County of Sonoma further agrees:

To cooperate with enhancements to go paperless.

To provide an electronic file to United Way with each payroll check disbursement which should include; Employee's ID/unique identifier, employee name and dollar amount. County of Sonoma Payroll Department will email the electronic file to UWWC beginning with the first 2014 payment.

To send all payroll deductions for all agencies and federations to United Way.

To comply with pledge instructions in the Campaign Booklet and in eWay.

All checks **NOT** made payable to "County of Sonoma Employee Combined Fund Drive" will be returned to the donor by the Campaign Advisor for proper acknowledgment.

That in the event of a Federation default, reallocation decisions will be made by the County Campaign Chairs.

To limit designated contributions for payroll deductions to a maximum of 8 designations per donor (eWay and Paper).

To insure that any one time non-payroll designated donation is for not less than \$50.00.

To promote online capture tool (eWay) awareness throughout the campaign using email, messaging and department head communications.

By executing this MOU, each signatory represents that they have the authority, on the date of execution, to execute this MOU on behalf of their respective governing bodies. At least two copies of this MOU shall be signed and exchanged by the parties, each of which shall be considered an original document.

Bruce Goldstein , Sonoma County Combined Fund Co-Chair (signature / date)

Mike Kallhoff,
United Way President & CEO (signature / date)



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 14
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma County

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): General Services / Health Services

Staff Name and Phone Number:

José Obregón, General Services, 707-565-2977
Rita Scardaci, Health Services, 707-565-4778

Supervisorial District(s):

All

Title: New lease for the Department of Health Services / Behavioral Health Division

Recommended Actions:

Authorize the General Services Director, or his Deputy to execute a lease with SR Office Properties, LLC (Landlord), for approximately 1,896 sq. ft. of office space in Suite 211, of the building located at 2227 Capricorn Way, Santa Rosa, for an initial rate of \$1.65 per sq. ft. per month (approximately \$3,128.40 per month or \$37,540.80 per year), which is subject to adjustment as more particularly described in said lease, for an initial five-year term, with two 5-year options.

Executive Summary:

Department of Health Services Program information. This matter involves the Department of Health Services (DHS) Behavioral Health Division (BHD). The DHS BHD's SonomaWORKS Counseling and Substance Use Disorders Services (SUDS) programs provide for assessment, individual and group therapy, psycho-educational groups, referral and linkage to substance use treatment resources, limited psychiatrist consultation, and crisis intervention to clients referred by the County's Human Services Department (HSD) SonomaWORKS program. DHS BHD provides these services to HSD clients, with the goal of reducing and eliminating mental health and substance use-related barriers to employment. Services are provided by licensed clinicians and certified alcoholic and drug abuse (AOD) counselors.

Due to the highly confidential and sensitive services involved, DHS BHD has recognized the need to move to a space that allows for adequate and confidential office space and provides for the co-location of service staff. Currently, DHS BHD provides these program services daily by utilizing 'interview' rooms available in the adjacent premises currently leased by HSD for its SonomaWORKS and JobLink programs. Though DHS BHD currently pays no rent for the use of this space, the need for staff to find adequate and available spaces on a day-to-day basis to meet with clients is inconvenient, time-consuming and impractical.

Proposed New Lease Terms. DHS wishes to lease office space comprising approximately 1,896 rentable sq. ft., located at 2227 Capricorn Way, Santa Rosa, to accommodate the 8 staff positions, and to provide meeting rooms necessary for the SonomaWORKS and SUDS programs and staffing. Please see attached floor plan, [Attachment 1](#).

According to the terms of the proposed lease, the occupancy date would occur on or about May 22, 2013, after tenant improvements (i.e., new carpet, new paint, installing a wall and door in the open area, and installation of three 110-V outlets) are made by the landlord at Landlord's sole cost and expense. Based on recent lease negotiations with the Landlord, the proposed rental rate of \$1.65 per sq. ft. approximates market value from the Interim Real Estate Manager's perspective. For the 5-year initial term, the base rent would be adjusted by \$.05 per sq. ft. annually. For the extended option terms, rent would be increased annually, by \$.05 per sq. ft. annually on the 1st year of each extended term. Rent would be increased on the 2nd, 3rd, 4th and 5th years of each extended term, using the Consumer Price Index, but in no case would rent be increased more than 3% per annum. Please see [Attachment 2](#) for a summary of the proposed lease terms. The County has the right to cancel the lease for any reason, upon 90 days' notice and by payment of unamortized costs of tenant improvements.

Lease Concessions. County will not be required to pay rent for the first two months of the proposed lease, or \$6,256.80 (\$3,128.40 per month).

Funding. The SonomaWORKS Counseling and SUDS programs are funded by HSD. DHS BHD reduced the AOD Counselor staffing by 0.30 full time equivalent (FTE), providing a Salaries & Benefits savings of \$35,425.00, which would be applied towards the rental cost associated with the proposed lease space, budgeted at \$35,425.00.

In order to mitigate any SUDS impact, the AOD Counselor staffing was increased from .50 FTE to .75 FTE, and one of the Mental Health licensed clinicians now provides both Mental Health and SUDS services.

Recommendation. Staff recommends that the Board authorize the General Services Director, or his Deputy, to execute a lease with SR Office Properties, LLC (Landlord), for approximately 1,896 sq. ft. of office space in Suite 211, of the building located at 2227 Capricorn Way, Santa Rosa, for an initial rate of \$1.65 per sq. ft. per month (approximately \$3,128.40 per month or \$37,540.80 per year), which is subject to adjustment as more particularly described in said lease, for an initial five-year term, with 2 five-year options.

Prior Board Actions:

04/23/13 – Authorized the Board Clerk to publish a notice, declaring intent to execute a lease with SR Office Properties, LLC

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

The proposed lease provides dedicated and confidential office and meeting room space for which the Department of Health Services Behavioral Health Division may provide services to its clients, through the SonomaWORKS Counseling and Substance Use Disorders Services (SUDS) programs. The goal of these

programs is to reduce and eliminate mental health and substance use-related barriers to employment for clients referred by County’s Human Services’ SonomaWORKS program.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):

The commencement date for the proposed lease is anticipated to be May 22, 2013. According to the terms of the proposed lease, D.H.S. will not be required to pay rent nor utilities for the first two months of occupancy, and therefore no fiscal impact for FY12-13 is anticipated.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

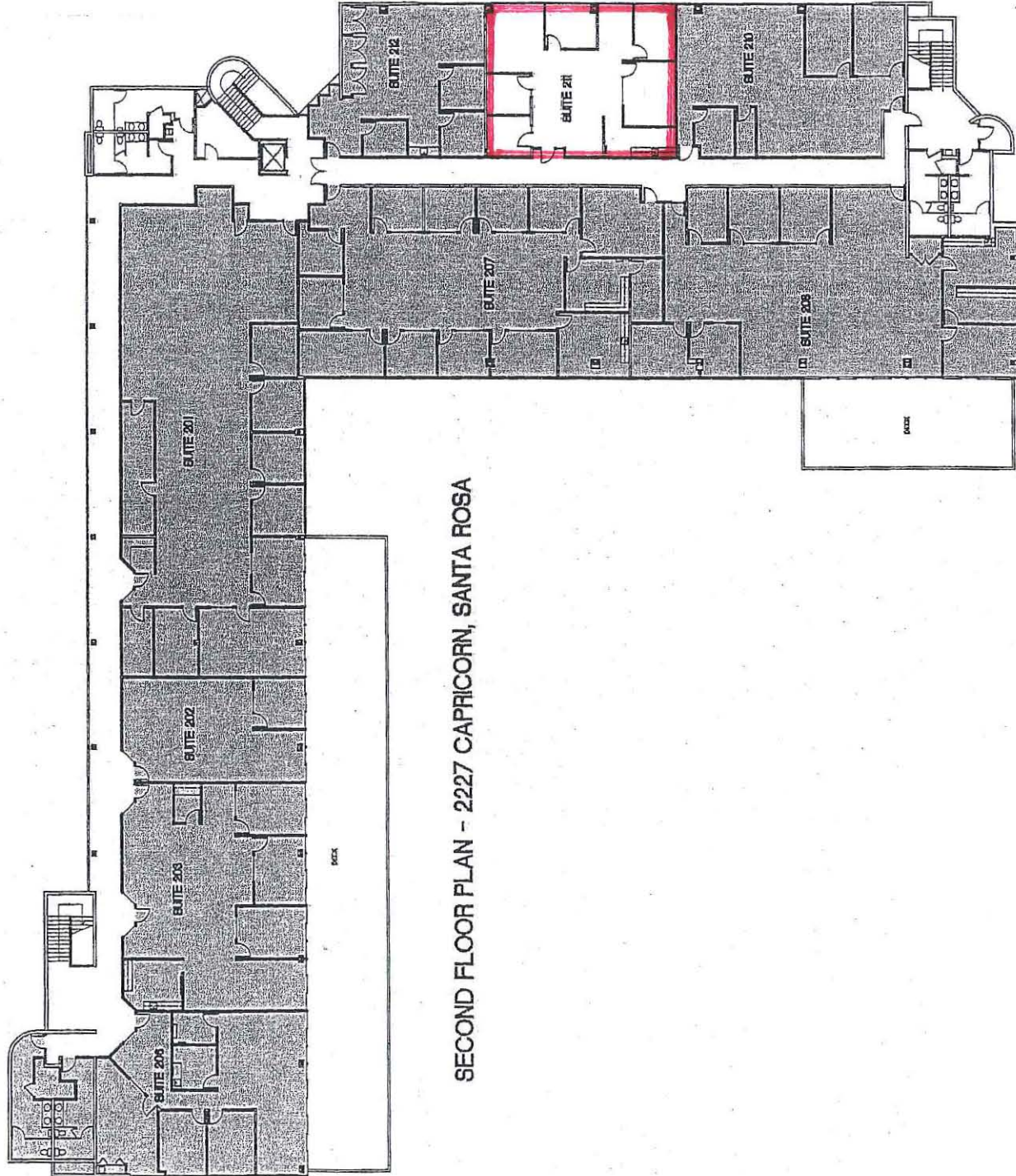
Narrative Explanation of Staffing Impacts (If Required):

Attachments:

Diagram; lease terms

Related Items “On File” with the Clerk of the Board:

Copy of proposed lease



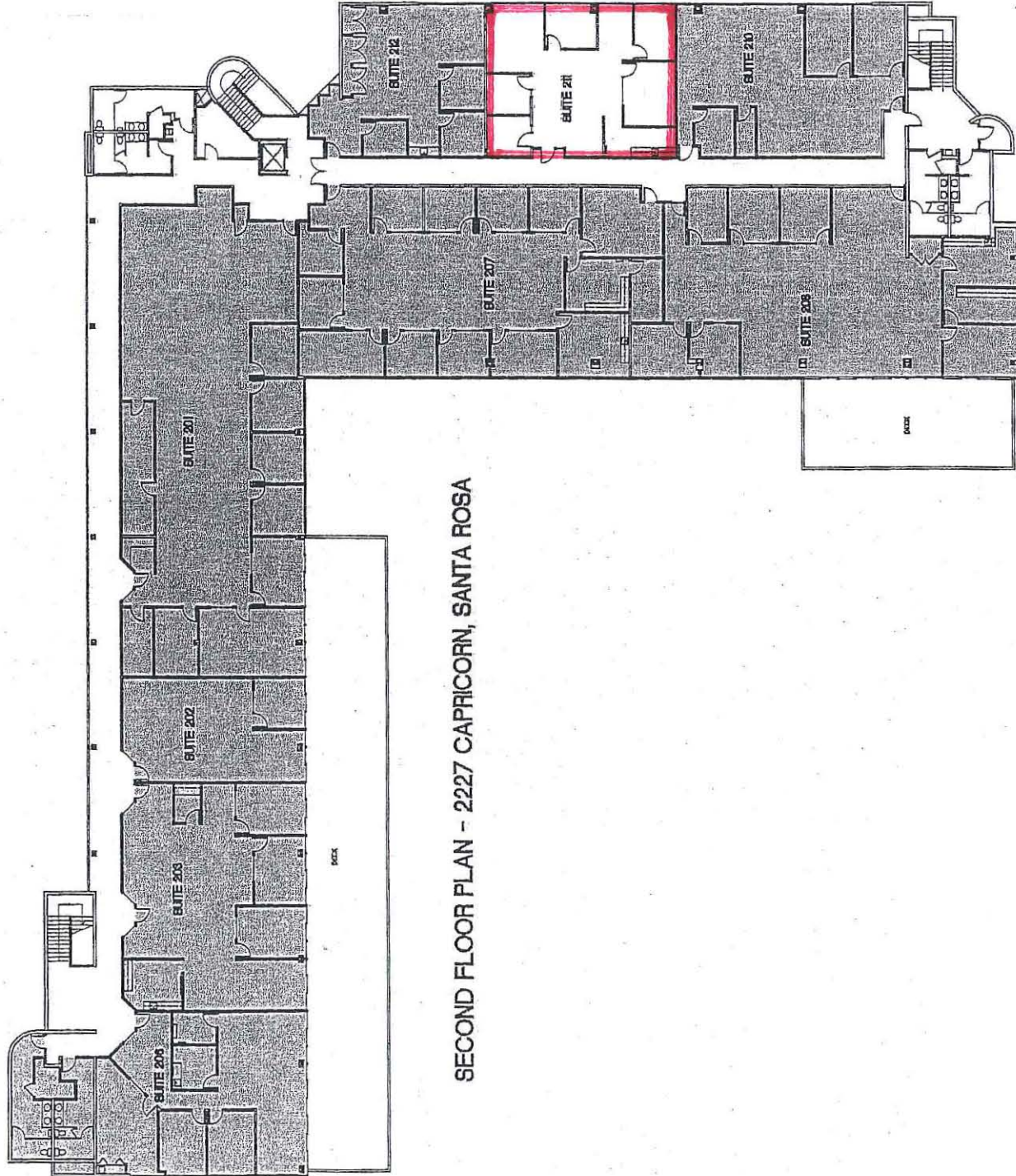
SECOND FLOOR PLAN - 2227 CAPRICORN, SANTA ROSA

← PREMISES

Attachment 2

Proposed Lease Terms

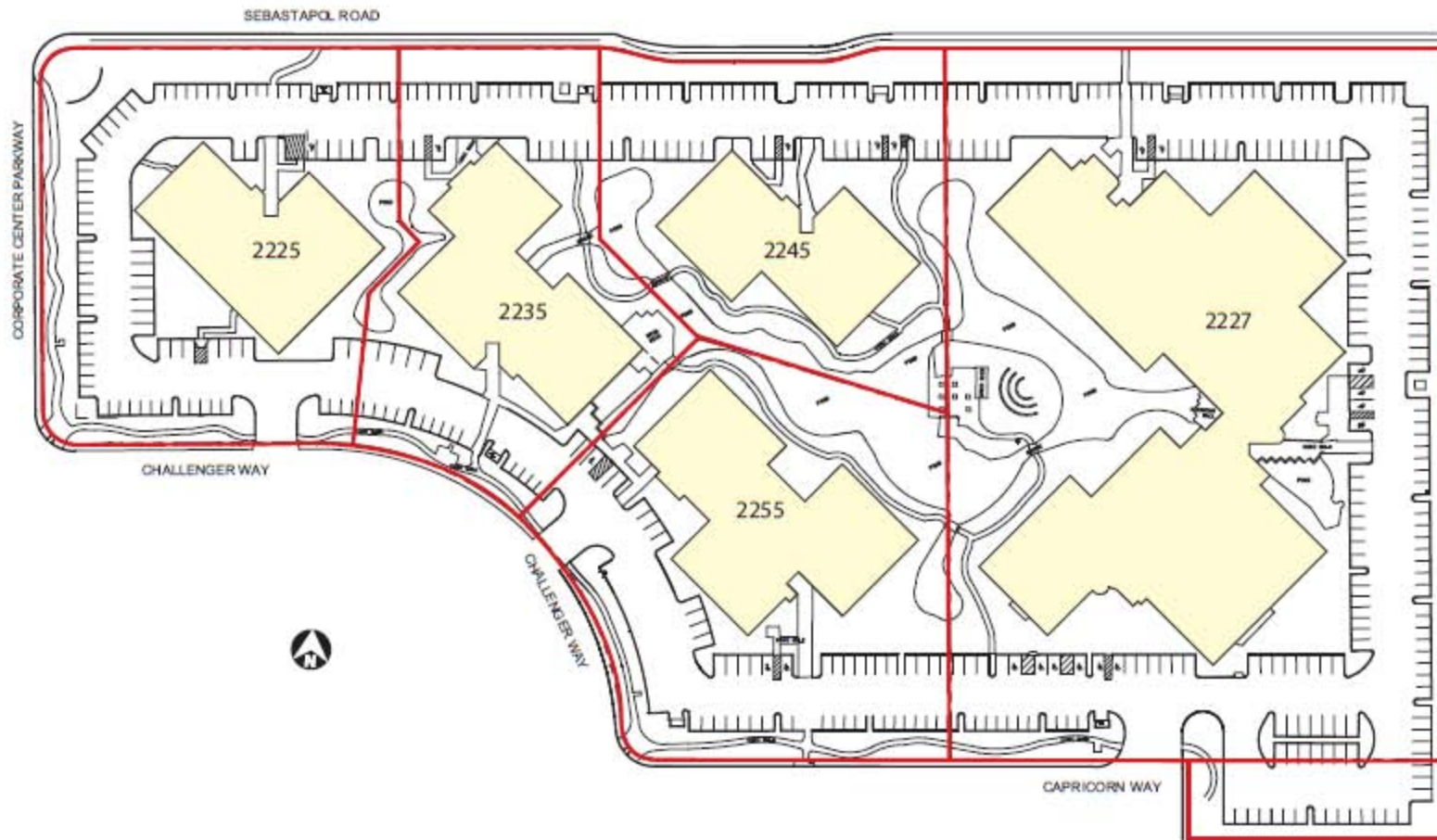
- Premises:** Approximately 1,896 rentable sq. ft. of improved office space in a two-story, 75,028 sq. ft. office building. Please see the attached preliminary floor plan and site plan.
- Parking:** Six spaces in the parking area associated with the building.
- Initial Term:** Sixty months.
- Rental Rate:** The initial rental rate is \$1.65 per rentable sq. ft. per month, full-service, or \$3,128.40 per month. PG&E expense is estimated at \$0.20 per rentable sq. ft. per month. Rent shall be subject to \$0.05 per sq. ft. increases per year for the Initial Term.
- Tenant Improvements:** Landlord, at Landlord's sole cost and expense, shall install new Building-standard carpet, repaint the Premises, install a wall and door in the open area, designed by County, and install up to three 110-V outlets.
- Lease Concessions:** Rent for the first two months of the Lease shall be free (\$6,256.80).
- Extension Option:** Two (2) options to extend the term for five (5) years each. Rent for the 1st year of each extended term shall be adjusted by \$0.05 per sq. ft. for the then current rental rate. Rent for the second, third, fourth and fifth year of each extended term shall be increased by CPI, however, by no more than 3% per year.
- Cancellation Option:** The County has the right to cancel the lease, upon 90 days' notice and by payment of unamortized costs of tenant improvements, for any reason.



SECOND FLOOR PLAN - 2227 CAPRICORN, SANTA ROSA

← PREMISES

SITE PLAN





County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 15
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma County

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): General Services / Human Services

Staff Name and Phone Number:

José Obregón, General Services, 707-565-2977
Jerry Dunn, Human Services, 707-565-5855

Supervisorial District(s):

All

Title: Lease expansion and new lease for Human Services Department / Economic Assistance Division

Recommended Actions:

Authorize the General Services Director, or his Deputy, to execute a new lease with Parkway Properties 14, LLC, for approximately 28,864 sq. ft., for an initial rate of \$1.62 per sq. ft. per month (approximately \$46,760.00 per month or \$561,116.00 per year), subject to adjustment as more particularly described in said lease, for an initial term of seven years, plus options to extend the term of the lease and the rentable area in the building located at 520 Mendocino Avenue, Santa Rosa, CA

Executive Summary:

General. This matter involves a proposed new lease for the Human Services Department (“H.S.D.”) Economic Assistance Division. H.S.D. currently occupies approximately 21,806 rentable sq. ft. of office space, in the building located at 520 Mendocino Avenue, Santa Rosa (“Premises”), under a lease, dated July 28, 1998 (“1998 Lease”), with Parkway Properties 14, LLC (“Landlord”). On November 27, 2007, your Board authorized the County to exercise a five-year option to extend the term through December 31, 2013.

The Economic Assistance Service Center (EASC) located at 520 Mendocino Avenue serves individuals and families receiving Medi-Cal, CalFresh, Path2Health and County Medical Services Program (CMSP) benefits and services (approximately 49,000 ongoing cases). H.S.D. currently has 99 employees at EASC, including 14 Eligibility Workers hired to fill open positions and new positions in preparation for health care reform, pursuant to the Patient Care and Affordable Care Act (Health Care Reform). Currently 5 of these workers are in training and will have to go into temporary workspaces until the lease is final. An additional 9 Eligibility Workers are slated to be added as part of the F.Y. 2013-14 Budget. Those workers will then be trained and are scheduled to be working shortly after the lease is completed. Both the Paulin Building and the current Mendocino Avenue facility house the Economic Assistance Division and are filled to capacity with no room for Health Care Reform expansion.

H.S.D. wishes to lease approximately 7,058 rentable sq. ft. ("Expansion Space")(Attachment 1) of additional office space on the 2nd floor in the same building it currently occupies, to accommodate the addition of 25 current and future FTE staff positions, provide conference rooms and storage space necessary for its Medi-Cal, CalFresh, CMSP, Path2Health, and Health Care Reform Programs. The total leased premises after the addition of the Expansion Space would be approximately 28,864 rentable sq. ft.

Proposed New Lease. As the 1998 Lease expires on December 31, 2013, staff entered into negotiations with the Landlord, in order to: 1) lower the monthly rent currently being paid by H.S.D., which is \$2.62 per sq. ft., or \$57,131.72 per month; 2) redefine the Premises to include the proposed Expansion Space; and 3) memorialize the negotiated terms into a lease.

According to the terms of the proposed lease, the occupancy date would occur on or about August 1, 2013. The proposed rental rate of \$1.62 per sq. ft. with full service, approximates market rental value in the opinion of the Interim Real Estate Manager. The base rental rate for the new premises would be annually adjusted, using the Consumer Price Index, but in no case would rent be increased more than 4% per annum. Attachment 2 is a summary of lease terms as agreed upon with the Landlord through the negotiation process.

Lease Savings. The current rental rate under the 1998 Lease is \$2.62 per sq. ft., which rental rate includes the parking permit fee of approximately \$.25 per sq. ft. County Real Estate staff negotiated a lower rental rate of \$1.62 per sq. ft. for the proposed new lease, which would not include the monthly parking permit fee. Under the proposed lease, the County would be responsible for securing its own off-site parking permits at its cost. In the event that the parking permit fees charged by the City of Santa Rosa exceeded the annual Consumer Price Index ("CPI") increase, Landlord would reimburse the County for the actual cost increase above the parking permit fees, not to exceed 10%. The savings realized with the new negotiated rental rate of \$1.62 per sq. ft. for the existing Premises pursuant to the 1998 Lease, for the period of August 1, 2013 (commencement date of the proposed lease) through December 31, 2013 (expiration of the original 1998 Lease) would total approximately \$81,772.50.

Funding. Funding for the rent and utilities for the new lease is included in the H.S.D. F.Y. 2013-2014 Budget. Funding for the parking costs will be added to the F.Y. 2013-14 Budget as part of the technical adjustments. Funding for the tenant improvements is included in a capital projects account dedicated to Human Services improvement costs.

Recommendations. Staff recommends that the Board authorize the General Services Director, or his Deputy, to execute a new lease with Parkway Properties 14, LLC, for approximately 28,864 sq. ft., for an initial rate of \$1.62 per sq. ft. per month (approximately \$46,760.00 per month or \$561,116.00 per year), subject to adjustment as more particularly described in said lease, for seven years plus options, in the building located at 520 Mendocino Avenue, Santa Rosa, CA.

Prior Board Actions:

04/23/13 – Authorized Board Clerk to publish a notice, declaring intent to execute the subject lease with Parkway Properties 14, LLC

11/27/07 – Authorized General Services Director, or his Deputy, to exercise option to extend lease term

05/13/03 – Authorized General Services Director to exercise option to extend lease term

07/28/98 – Authorized Chairman to execute lease
 06/28/98 – Declared intent to enter into lease
 12/16/97 – Authorized General Services Director to secure additional space to implement SonomaWORKS program

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

The proposed lease allows the H.S.D. Economic Assistance Division to provide space for additional program staff for Health Care Reform, within the same building as its current Premises, which is centrally located in downtown Santa Rosa, and close to public transportation.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):

The proposed commencement date for the new premises is anticipated to be August 1, 2013, with no resultant increase in monthly lease rent for the Fiscal Year 2012-2013. Lease costs for Fiscal Year 2013-2014 are included in the Proposed Budget.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

Attachments:

Preliminary floor plan; proposed lease terms

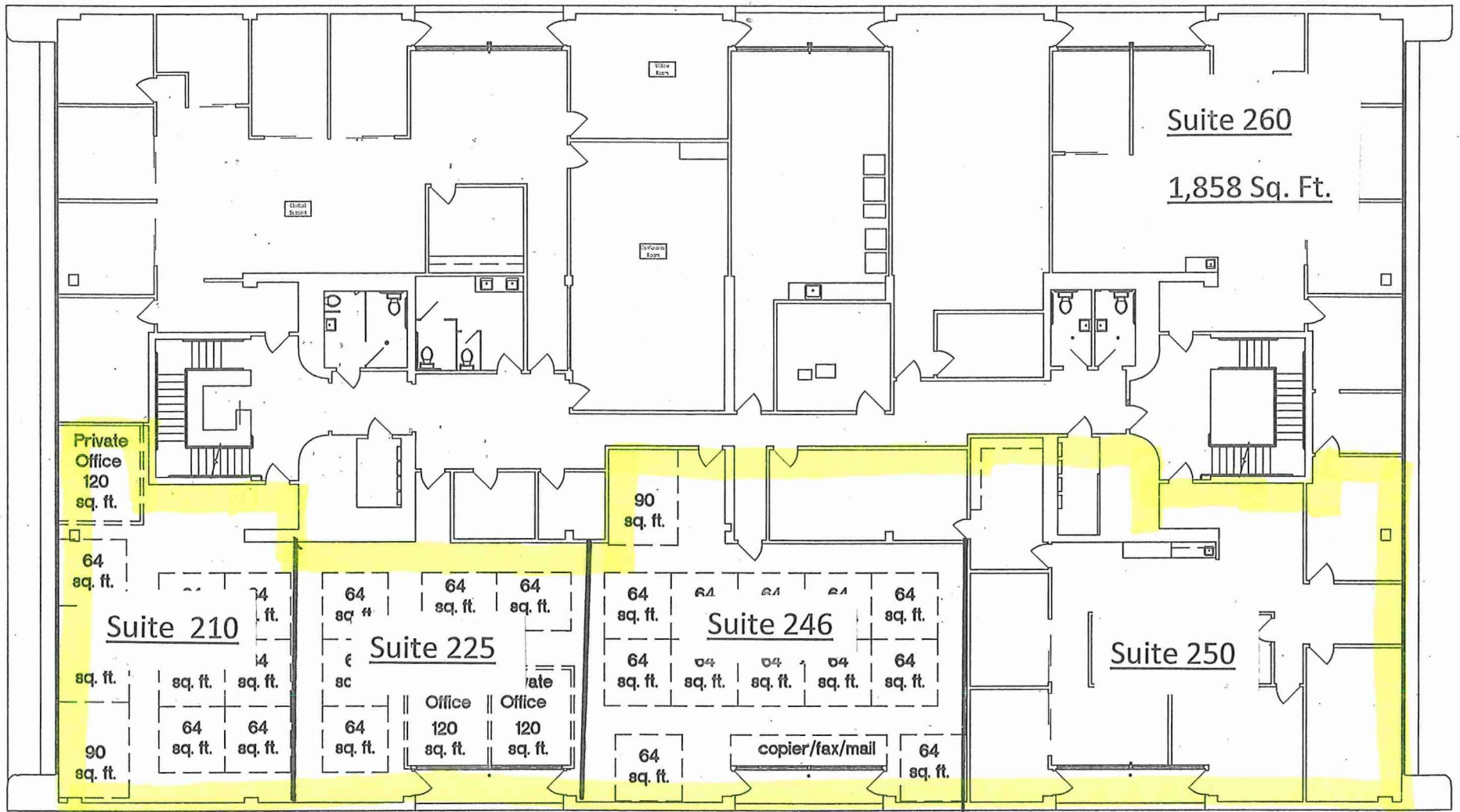
Related Items "On File" with the Clerk of the Board:

Copy of proposed lease

Attachment 2

Proposed New Facility Lease Terms

- Premises:** Approximately 28,864 rentable sq. ft. of office space located in that three-story building (Building) commonly known as 520 Mendocino Avenue, in the City of Santa Rosa. (Please see the attached preliminary floor plan.)
- Parking:** County will be responsible for securing its own off-site parking permits at its cost. In the event that the City of Santa Rosa cost per parking permit increases at a rate that exceeds the annual CPI increase (using the 1982-1984 San Francisco/Oakland/San Jose "all items" Bay Area CPI), for any lease year, Landlord will reimburse County the actual cost increase above the parking permit fee for each parking permit used by County, NTE 10%. The total number of parking permits subject to reimbursement by Landlord is 125, in the public parking garage in closest proximity to the Building.
- Initial Term:** 7 years, with three, 3-year options to extend the term.
- Rental Rate:** \$1.62 per sq. ft. per month, full-service. Landlord is responsible for all building expenses, including janitorial.
- Rental Adjustment:** The rental rate is increased on the first anniversary of the lease year by the CPI rate for the Oakland-San Francisco-San Jose area, with a maximum of three percent (4%) per annum.
- Tenant Improvements:** The premises will be constructed by the Landlord to meet the specific requirements of the Human Services Department Economic Assistance Division, pursuant to preliminary architectural program and outline specifications approved and provided by County. Landlord shall pay for all design and architectural services, completion of construction documents and permitting for construction of the tenant improvements.
- Termination:** The County may terminate the lease, upon 180 days' prior written notice and upon payment of unamortized tenant improvements, lease concessions, legal fees and brokerage commissions, if: (a) the County Board of Supervisors or the State of California fails to appropriate sufficient funds for the rental of the property; (b) the County Board of Supervisors or the State of California discontinues, in whole or in part, the program; (c) the funding, whether County, State or Federal, for the program is reduced or withdrawn; or (d) for any other reason.



520 Mendocino Ave. Santa Rosa CA. Second Floor T.I. Area





County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 16
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma County

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Department of Health Services

Staff Name and Phone Number:

Rita Scardaci, 565-4700

Supervisorial District(s):

Countywide

Title: Emergency Medical Services Agency Administration Agreement

Recommended Actions:

Authorize the Director of Health Services to execute an agreement with the County of Mendocino for the County of Sonoma to provide Emergency Medical Services Agency administration for the period July 1, 2013 through June 30, 2016 and to receive revenue in an amount not to exceed \$301,532.

Executive Summary:

This item requests authorization for the Director of Health Services to execute an agreement with the County of Mendocino for the Department to provide Emergency Medical Services Agency administration for the County of Mendocino for the period July 1, 2013 through June 30, 2016 and to receive revenue in an amount not to exceed \$301,532.

Pursuant to Division 2.5 of the California Health and Safety Code (Sections 1797-1799), the County of Sonoma Emergency Medical Services (EMS) Agency is responsible for oversight and regulation of the local EMS system of coordinated emergency medical care, injury prevention, and disaster medical response. The Board of Supervisors has designated the Sonoma County Department of Health Services as the local EMS Agency for Sonoma County and authorized previous agreements to receive funding from Mendocino County to provide EMS administrative services. The Sonoma - Mendocino partnership has been in place since late 1993.

Under the terms of the Mendocino County agreements, Sonoma County staff perform Mendocino EMS administration functions for Mendocino County including medical control and oversight; certification and accreditation of personnel; approval and oversight of base hospitals; authorization of advanced life support programs; and responsibility to maintain the Mendocino County portion of the regional hospital communications system. Mendocino County provides clerical services and supplies necessary to support the Mendocino EMS program office.

In return for services provided by Sonoma County, Mendocino County will pay to Sonoma County \$95,000 per year for the three year term of the Agreement FY 13-14 through FY 15-16 plus the pro rata proportion of the EMS systems and hospital emergency communication system, for a total not to exceed \$301,532. The FY 13-14 EMS systems portion of this agreement is \$4,532 and is included in the proposed

budget. The pro rata portion for subsequent fiscal years not to exceed \$6,000 each year will be included in the appropriate year budgets.

Prior Board Actions:

3/23/10 - Approved agreements with Mendocino and Napa Counties for EMS Agency Administration Services for FY 09-10; 12/6/11 - Approved agreement with Mendocino County for EMS Agency Administration Services for FY 11-12; 6/19/12 – Approved agreement with Mendocino County for EMS Agency Administration Services for FY 12-13.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

Consolidated oversight and regulation of the local EMS system results in increased efficiency and effectiveness in providing coordinated emergency medical care, injury prevention, and disaster medical response.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 0	County General Fund	\$ 0
Add Appropriations Req'd.	\$ 0	State/Federal	\$ 0
	\$	Fees/Other	\$ 0
	\$	Use of Fund Balance	\$ 0
	\$	Contingencies	\$ 0
	\$		\$
Total Expenditure	\$ 0	Total Sources	\$ 0

Narrative Explanation of Fiscal Impacts (If Required):

This agreement has no FY 12-13 fiscal impact. Funding of \$99,532 is included in the FY 13-14 budget. Funding of \$101,000 each year will be included in the FY 14-15 and FY 15-16 budgets.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

N/A

Attachments:

Emergency Medical Services Agency Administration Agreement with Mendocino County.

Related Items "On File" with the Clerk of the Board:

None.

**COUNTY OF MENDOCINO
STANDARD SERVICES AGREEMENT
FOR EMERGENCY MEDICAL SERVICES AGENCY
ADMINISTRATION – MENDOCINO COUNTY**

This Agreement, dated as of MARCH 12, 2013, is by and between the COUNTY OF MENDOCINO, hereinafter referred to as "MENDOCINO," and the COUNTY OF SONOMA, a political subdivision of the State of California, hereinafter referred to as "SONOMA".

WITNESSETH:

WHEREAS, pursuant to Government Code Section 31000, MENDOCINO may retain independent contractors to perform special services to or for COUNTY of MENDOCINO or any department thereof; and,

WHEREAS, COUNTY of MENDOCINO desires to obtain EMERGENCY MEDICAL SERVICES AGENCY ADMINISTRATION ("Services"); and

WHEREAS, SONOMA is professionally qualified to provide such services and is willing to provide same to COUNTY; and

WHEREAS, the term of this Agreement shall be from July 1, 2013 through June 30, 2016.

WHEREAS, the Emergency Medical Services System and Prehospital Emergency Medical Care Personnel Act (Section 1797, et seq. of the California Health and Safety Code, hereinafter referred to as the "Act"), requires counties which elect to develop an emergency medical services (EMS) program to designate a Local Emergency Medical Services Agency (LEMSA); and

WHEREAS, California Health and Safety Code Section 1797.200 authorizes counties to enter into contracts for the purpose of local emergency medical services administration and take such actions as envisioned by this agreement; and

WHEREAS, MENDOCINO has designated SONOMA as its local EMS Agency and delegated its LEMSAs responsibilities in accordance with the Act and Title 22 of the California Code of Regulations and desires to contract with SONOMA to provide services related to those local EMS Agency responsibilities; and

WHEREAS, MENDOCINO and SONOMA recognize that the LEMSAs Director and LEMSAs have specific responsibilities and authorities as specified by State statute; and

WHEREAS, MENDOCINO and SONOMA have mutually agreed as to the subject matter contained herein and upon a method to finance the responsibilities undertaken by SONOMA pursuant to this Agreement;

NOW, THEREFORE, in consideration of the mutual promises, covenants, and conditions herein contained, the parties hereto agree as follows:

I. Agency Designation

Pursuant to Health and Safety Code Section 1797.200, MENDOCINO has designated Sonoma County Department of Health Services as MENDOCINO's local EMS Agency and contracts with SONOMA for the functions delegated to SONOMA in this Agreement. All functions not specifically delegated to SONOMA shall be retained by MENDOCINO. Notwithstanding any delegation, MENDOCINO specifically reserves the right to approve, before implementation or promulgation, any local EMS Agency policy, rule, regulation, function or other action that effects MENDOCINO's financial obligations, including, but not limited to, those treated under Welfare and Institutions Code Section 17000, et seq.

- A. SONOMA and MENDOCINO agree that the Mendocino County Board of Supervisors may approve and authorize agreements with Mendocino County EMS provider agencies desiring to provide Limited Advanced Life Support, Advanced Life Support, and Enhanced Basic Life Support, and other levels of services as defined by the local EMS Agency and State regulations, and that such agreements shall fulfill the requirements of Sections 100126 and 100167 of Title 22 of the California Code of Regulations and local EMS policy and procedures. Such agreements and any subsequent amendments shall be subject to the written review and recommendation to consider and take action thereon by the Administrator of the EMS Agency and EMS Medical Director(s) before execution by the Mendocino County Board of Supervisors.
- B. SONOMA and MENDOCINO agree that the Mendocino County Board of Supervisors may approve and authorize agreements which designate Mendocino County base hospitals or other entities to provide medical direction to EMS personnel pursuant to Health and Safety Code Section 1798.100. SONOMA and MENDOCINO further agree that such agreements and any subsequent amendments shall be subject to the written review and recommendation to consider and take action thereon by the Regional Administrator of the EMS Agency and EMS Medical Director(s) before execution by the Mendocino County Board of Supervisors. Such agreements shall satisfy the provisions of the Act and Sections 100127 and 100168 of Title 22 of the California Code of Regulations.
- C. EMS system medical direction and management shall be under the medical control of the EMS Medical Director(s) of the EMS Agency. Such medical control shall be in accordance with the Act and Title 22 of the California Code of Regulations.
- D. Nothing in this Agreement shall be construed to create an agreement under Health and Safety Code Section 1475 between SONOMA and MENDOCINO.

II. MENDOCINO Responsibilities

- A. MENDOCINO shall provide administrative support and legal counsel to the EMS Agency for matters directly pertaining to the Mendocino County portion of the EMS system including, but not limited to, local ordinances, disciplinary investigations and actions, and actual or potential litigation.
- B. MENDOCINO shall be solely responsible for any expenses related to the maintenance of the Mendocino County owned and operated EMS radio system(s).
- C. With exception for those specific responsibilities assigned to SONOMA under the terms of this Agreement, MENDOCINO shall be responsible for complying with all federal, state, and local laws, rules, and regulations associated with the continuing

operation of the Mendocino County portion of the EMS system. Such responsibility shall include, but is not limited to, the payment of fees necessary to ensure compliance with all necessary licenses and permits.

III. SONOMA Responsibilities

SONOMA shall provide administrative staff personnel for the EMS Agency and shall perform the following, all in accordance with the Act and this Agreement:

- A. Plan, implement, and evaluate the designated components of the emergency medical service system in Mendocino County in accordance with the appropriate provisions of the Act pursuant to Health and Safety Code Section 1797.204;
- B. Authorize and implement advanced life support or limited advanced life support programs pursuant to Division 2.5 of the California Health and Safety Code and Title 22, Division 9, of the California Code of Regulations, for the delivery of emergency medical care to the sick and injured at the scene of an emergency, during transport to a general acute care hospital, during inter-facility transfer, while in the emergency department of a general acute care hospital until care responsibility is assumed by the regular staff of that hospital, and during training within the facilities of a participating general acute care hospital pursuant to Health and Safety Code Sections 1797.206 and 1797.218, and Title 22 of the California Code of Regulations;
- C. Pursuant to Health & Safety Code Section 1797.202, contract with or employ a physician(s) to be the EMS Medical Director and/or, as necessary, Deputy EMS Medical Director, herein jointly and individually referred to as "Medical Director(s)", to provide medical control and assure medical accountability pursuant to Health and Safety Code Section 1798 and Title 22 of the California Code of Regulations;
- D. As necessary, develop and submit a plan to the Sonoma and Mendocino County Boards of Supervisors and the State EMS Authority for an emergency medical services system, and consistent with such plan, coordinate and otherwise facilitate arrangements necessary to develop the local EMS system. Such plan shall be revised as necessary pursuant to Health and Safety Code Sections 1797.250, 1797.252 and 1797.254. SONOMA and MENDOCINO agree that if changes are necessary to such EMS Plan, and those changes do not effect both counties, such changes only require the approval of the Board of Supervisors of the county affected by the changes;
- E. Assist MENDOCINO in developing, adopting, implementing, and enforcing ordinances governing the transport of patients who are receiving care from EMS personnel consistent with the provisions of Health and Safety Code Section 1797.222;
- F. Establish policies and procedures to assure medical control of the EMS system to meet any medical control requirements including, but not limited to, dispatch, patient destination policies, patient care guidelines, and quality assurance requirements pursuant to Health and Safety Code Section 1797.220 and Title 22 of the California Code of Regulations;
- G. Upon the recommendation or request of MENDOCINO, create an exclusive operating area(s) pursuant to the provisions of Health and Safety Code Section 1797.224;

- H. Approve and monitor training programs at the Emergency Medical Technician-I (EMT-I), Advanced Emergency Medical Technician (AEMT), Emergency Medical Technician-Paramedic (EMT-P), and Mobile Intensive Care Nurse (MICN) levels, as those terms are defined in Health and Safety Code Sections 1797.80, 1797.82, 1707.84, and 1797.56 respectively, and determine whether the operation of such training programs are in compliance with the Act, and approve training programs if they are found to be in compliance pursuant to Health and Safety Code Sections 1797.206 and 1797.208 and Title 22 of the California Code of Regulations;
- I. As necessary, establish additional training or qualifications which are greater than those currently in effect, as a condition precedent for certification and accreditation within the local EMS area in an advanced life support or limited advanced life support prehospital care system pursuant to Health and Safety Code Section 1797.214;
- J. The Medical Director(s) shall, in conjunction with an approved training institution, cause to be issued a certificate to an individual providing prehospital medical care, upon proof of satisfactory completion of an approved training program and passage of the examination for competence, and shall recertify such individual based upon appropriate criteria pursuant to Health and Safety Code Section 1797.210 and Title 22 of the California Code of Regulations;
- K. The Medical Director(s) may deny, suspend, or revoke any certificate issued under the provisions of the Act or place any certificate holder on probation in accordance with the provisions of Health and Safety Code Section 1798.200 and Title 22 of the California Code of Regulations.
- L. As necessary, the Medical Director(s) may, place on probation, suspend, or revoke the approval of any training program under EMS Agency's jurisdiction for failure to comply with State law or regulation pursuant to Health and Safety Code Section 1798.202 and Title 22 of the California Code of Regulations;
- M. Consistent with State regulations and Mendocino County ordinances, develop a schedule of fees for testing, certification, and accreditation in an amount sufficient to cover the reasonable cost of administering the process. Such fees may be charged to defray testing and certification costs pursuant to Health and Safety Code Section 1797.212. SONOMA shall develop a mechanism to ensure that MENDOCINO receives all appropriate revenue from such applicable fees;
- N. Establish policies and procedures for medical direction of prehospital emergency medical care personnel which shall be implemented by the base hospitals pursuant to Health and Safety Code Section 1798.2 and Title 22 of the California Code of Regulations;
- O. Develop triage and transfer protocols to facilitate prompt delivery of patients to appropriate designated facilities pursuant to Health and Safety Code Section 1798.170 and Title 22 of the California Code of Regulations;
- P. Establish guidelines and standards for completion and operation of formal transfer agreements between hospitals with varying levels of care pursuant to Health and Safety Code 1798.172;
- Q. As necessary, evaluate any alleged violation of EMS Agency transfer protocols, guidelines, or agreements, and take whatever corrective action it deems appropriate

when an actual violation has occurred pursuant to Health and Safety Code Section 1798.205;

- R. Provide liaison with the Mendocino County Emergency Medical Care Committee and providers to meet MENDOCINO's needs pursuant to Health and Safety Code Section 1797.274;
- S. The Medical Director(s) may approve or conduct any scientific or trial study of the efficiency of the prehospital emergency use of any drug, device, or treatment procedure within the Mendocino County EMS system pursuant to Health and Safety Code Section 1797.221;
- T. As necessary and at the direction of the Mendocino County Board of Supervisors, develop and submit a plan, and update as required, to the State EMS Authority, of the Mendocino County trauma care system pursuant to Health and Safety Code Sections 1797.257, 1797.258 and 1798.166 and Title 22 of the California Code of Regulations;
- U. As necessary and at the direction of the Mendocino County Board of Supervisors, develop and implement a trauma care system and establish policies and procedures consistent with State minimum standards pursuant to Health and Safety Code Sections 1798.162 and 1798.163 and Title 22 of the California Code of Regulations;
- V. As necessary and at the direction of the Mendocino County Board of Supervisors, designate trauma facilities as part of the trauma care system pursuant to Health and Safety Code Section 1798.165 and Title 22 of the California Code of Regulations;
- W. Review applications for grants and contracts for federal, state, or private funds concerning emergency medical services or related activities in Mendocino County pursuant to Health and Safety Code Section 1797.256;
- X. Authorize, as necessary, other hospitals or facilities that do not have a basic emergency services permit to provide medical direction to prehospital personnel in accordance with Health and Safety Code Section 1798.101.
- Y. Approve alternate base stations to provide medical direction as necessary pursuant to Health and Safety Code Section 1798.105.
- Z. Ensure that the EMS Medical Director(s) evaluate and report to the State EMS Authority regarding EMT-Paramedic acts or omissions that appear to constitute grounds for disciplinary action pursuant to Health and Safety Code Section 1798.201.
- AA. Perform any requirements consistent with State EMS Authority requirements for local EMS agencies necessary to ensure continued state and/or federal funding for the EMS Agency;
- BB. Submit regular reports to the Mendocino County Director of Public Health on the status of and any pertinent issues involving the Mendocino County portion of the EMS system. Such reports shall be submitted on a quarterly basis at a minimum.
- CC. Perform any additional functions consistent with the requirements for a local EMS agency pursuant to the provisions of the Act.

- DD. Develop and implement a hospital emergency communications system for the MENDOCINO portion of the Regional EMS System.

IV. Consideration

- A. For services rendered under the terms of this Agreement for the period of fiscal years 2013/14, 2014/15 and 2015/16 commencing on July 1, 2013 and ending on June 30, 2016, MENDOCINO shall compensate SONOMA the sum of ninety-five thousand dollars (\$95,000) annually.
- B. In addition, MENDOCINO shall pay SONOMA the sum of four thousand five hundred thirteen dollars and sixty four cents (\$4,513.64) to maintain EMSsystem, the hospital emergency communications system, for the MENDOCINO portion of the Regional EMS System for FY 2013-2014. Thereafter, this amount may be adjusted each subsequent fiscal year to reflect actual costs incurred for the MENDOCINO portion of the Regional EMS system.
- C. Should this agreement be extended pursuant to Section VIII. Term and Termination, MENDOCINO shall pay SONOMA the pro-rated sum necessary to maintain EMSsystem, the hospital emergency communications system, for the MENDOCINO portion of the Regional EMS System until the formation of the Joint Powers Agreement (sum not to exceed its pro-rated share consistent with past allocation formulas (based on per-capita calculation)).

V. Provision of Space, Equipment and Supplies

- A. For the purposes of performing the services required by this Agreement, SONOMA shall furnish all equipment, and supplies necessary to maintain the level of service set forth in this Agreement except as specifically set forth herein.
- B. MENDOCINO shall provide in-kind support in the form of dedicated office space to serve for exclusive use of the local EMS Agency office, and office equipment and supplies, stationary, notices, forms, use of telephones, postage, and small tools at a location within the boundaries of Mendocino County, and transportation, as necessary, for EMS Agency staff and the Medical Director(s) to perform the responsibilities outlined herein.

VI. Status of Employees

- A. As between the parties hereto, all persons employed or contracted by SONOMA shall be solely employees or contractees of SONOMA and not employees or contractees of MENDOCINO. SONOMA shall be solely responsible for salaries and benefits of such personnel, including Worker's Compensation.
- B. As between the parties hereto, all persons employed or contracted by MENDOCINO shall be solely employees or contractees of MENDOCINO and not employees or contractees of SONOMA. MENDOCINO shall be solely responsible for salaries and benefits of such personnel, including Worker's Compensation.
- C. MENDOCINO shall be responsible for supervising Mendocino EMS Agency staff, hiring and assigning personnel, establishing standards of performance, determining and effecting discipline, determining training required, maintaining personnel files, and other matters relating to the performance of services and control of personnel.

- D. SONOMA shall be responsible for supervising Sonoma EMS Agency staff, hiring and assigning personnel, establishing standards of performance, determining and effecting discipline, determining training required, maintaining personnel files, and other matters relating to the performance of services and control of personnel.

VII. Indemnification

- A SONOMA shall defend, indemnify, and hold harmless MENDOCINO, and each and all of its officers, agents and employees from and against all claims, actions, lawsuits, and judgements, however denominated, for any and all damage to property or for injury to, or death of, any person which results from the performance by SONOMA, or the failure by SONOMA to perform, any of its duties under the terms of this agreement.
- B MENDOCINO shall defend, indemnify, and hold harmless SONOMA, and each and all of its officers, agents and employees from and against all claims, actions, lawsuits, and judgements, however denominated, for any and all damage to property or for injury to, or death of, any person which results from the performance by MENDOCINO, or the failure by MENDOCINO to perform, any of its duties under the terms of this agreement.

VIII. Term and Termination

- A This Agreement shall be effective from July 1, 2013 and shall terminate on June 30, 2016; unless terminated earlier in accordance with the provisions specified herein.
- B Either party may terminate this Agreement without cause by giving thirty (30) days written notice to the other party. Upon termination, SONOMA shall turn over to MENDOCINO all writings, documents, plans, files and computer programs produced by SONOMA to implement this Agreement and refund to MENDOCINO any pro-rata share of payments made in advance.
- C Upon mutual written agreement by both parties, this agreement may be extended beyond the termination date specified herein. Any such agreement shall specify the term and compensation related to such extension.

IX. Entire Agreement

This Agreement constitutes the entire agreement between MENDOCINO and SONOMA with respect to the subject matter hereof and supersedes all previous negotiations, proposals, agreements, commitments, writings, advertisements, publications and understandings of any nature whatsoever unless expressly included in this Agreement.

X. Amendment/Modification

Except as specifically provided herein, this Agreement may be amended or modified on in writing with the prior written authorization of both parties.

IN WITNESS WHEREOF, the parties hereto have executed this Agreement as of the day and year first above written.

COUNTY OF MENDOCINO

By *Dan Hamburg* Date: MAR 12 2013
Dan Hamburg, Chair and/or
Board of Supervisors, Vice Chair

ATTEST:

CARMEL J. ANGELO, Clerk of said Board

By *Carmel J. Angelo* Date: 3/12/13
Deputy

I hereby certify that according to the provisions of Government Code Section 25103, delivery of this document has been made.

CARMEL J. ANGELO, Clerk of said Board

By *Carmel J. Angelo* Date: 3/12/13
Deputy

HEALTH AND HUMAN SERVICES AGENCY:
APPROVAL RECOMMENDED

By *Stacey Cryer* Date: 2/8/13
Stacey Cryer, Director
Health & Human Services

EXECUTIVE OFFICE REVIEW:
APPROVAL RECOMMENDED

By *Carmel J. Angelo* Date: 2-13-13
Carmel J. Angelo, Chief Executive Officer

Fiscal Review:

By *hdm* Date: 2-13-13
Deputy CEO/Fiscal

INSURANCE REQUIREMENTS:
KRISTIN McMENOMEY, Director
General Services Agency

By *Kristin McMenemy* Date: 2-15-13
RISK MANAGER

APPROVED AS TO FORM:

Thomas R. Parker, County Counsel

By *Thomas R. Parker* Date: 2-14-13

COUNTY OF SONOMA

Department of Health Services

By _____ Date: _____
Rita Scardaci, Director
Department of Health Services

Reviewed as to form:
Jeff
Deputy County Counsel

NAME AND ADDRESS:

County of Sonoma
Department of Health Services
3313 Chanate Road
Santa Rosa, CA 95404
(707) 565-4700
Tax ID #: 94-6000539

By signing above, signatory warrants and represents that he/she executed this Agreement in his/her authorized capacity and that by his/her signature on this Agreement, he/she or the entity upon behalf of which he/she acted, executed this Agreement.



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 17
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma County

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Department of Health Services

Staff Name and Phone Number:

Rita Scardaci, 565-4700

Supervisorial District(s):

Countywide

Title: Medi-Cal Administrative Activities and Targeted Case Management Agreements

Recommended Actions:

Authorize the Director of Health Services to execute Standard Agreement Number 13-90014 with the California Department of Health Care Services for the period July 1, 2013 through June 30, 2016 for the County to receive Federal Financial Participation reimbursement for Medi-Cal Administration Activities in an amount not to exceed \$18,000,000.

Authorize the Director of Health Services to execute a Targeted Case Management Provider Participation Agreement with the California Department of Health Care Services for the period July 1, 2013 through June 30, 2018 for the County to receive Federal Financial Participation reimbursement based on an annual cost report and encounter rate.

Executive Summary:

This item requests authorization of an agreement with the California Department of Health Care Services for the County Department of Health Services to receive Federal Financial Participation reimbursement for Medi-Cal Administration Activities in an amount not to exceed \$18,000,000, from July 1, 2013 through June 30, 2016, as well as authorization of a Targeted Case Management Provider Participation Agreement with the California Department of Health Care Services for the County Department of Health Services to receive Federal Financial Participation reimbursement based on an annual cost report and encounter rate from July 1, 2013 through June 30, 2018.

Medi-Cal Administrative Activities Program

The Board has approved the participation of Sonoma County in the State's Medi-Cal Administrative Activities (MAA) Program since 1995. The purpose of the MAA Program is to assist the State Department of Health Care Services (DHCS) in the proper and efficient administration of the Medi-Cal program by improving the availability and accessibility of Medi-Cal services to eligible and potentially eligible individuals and their families.

The MAA Program offers an opportunity for Local Government Agencies (LGAs) to obtain federal

reimbursement for the cost of certain administrative activities necessary for the proper and efficient administration of the Medi-Cal program. Reimbursement is provided for the following MAA activities:

Medi-Cal Outreach - any activities that educate Medi-Cal and potential Medi-Cal beneficiaries about Medi-Cal services.

Facilitating the Medi-Cal Application – explaining the Medi-Cal eligibility process and rules to prospective applicants and helping individuals complete an eligibility application.

Non-Emergency, Non-Medical Transportation - providing transportation to Medi-Cal eligible individuals to Medi-Cal covered services.

Contract Administration - Staff time necessary to identify, recruit and contract with agencies to provide Medi-Cal services and/or activities related to helping at-risk individuals access health services or become eligible for Medi-Cal.

Program Planning and Policy Development – Staff time dedicated to developing strategies to increase the capacity of the Medi-Cal system and to close gaps in the provision of Medi-Cal covered services.

MAA Coordination and Claims Administration - Staff time for the administration of the MAA program including the preparation and submission of MAA claiming plans, and insuring the prompt and accurate billing of quarterly MAA claims to the State of California for continues revenue receipt.

For the period of July 1, 2013 through June 30, 2016, DHCS will reimburse Sonoma County Department of Health Services up to \$6,000,000 per fiscal year for a three-year total of \$18,000,000 for the cost of certain administrative activities necessary for the proper and efficient administration of the Medi-Cal program.

Targeted Case Management Program

The Board has approved the participation of Sonoma County in the Targeted Case Management Program (TCM) since October 1995. The purposed of the TCM Program is to provide specialized case management to specific high-risk target populations (i.e., children, fragile adults, institutional risks). TCM Program services focus on providing face-to-face support and assistance to access medical, social and educational services.

Through this agreement, Sonoma County will receive Federal Financial Participation (FFP) reimbursement for these activities based on an annual cost report and encounter rate. As of May 1, 2013 FY 12-13 revenue of \$189,340 has been received. Revenue of \$319,077 was received for FY 11-12 and revenue of \$548,245 was received for FY 10-11. The term of this agreement is July 1, 2013 through June 30, 2018. The Department has budgeted \$988,317 in reimbursements for FY 13-14 based on estimated potential for reimbursement.

Prior Board Actions:

On May 20, 2003 the Board authorized execution of a MAA Agreement with the state for the period 7/1/2003 through 6/30/2006. On April 25, 2006 the Board authorized execution of an amendment to the MAA Agreement extending the term to 6/30/2008. On July 8, 2008 the Board authorized execution of a MAA Agreement with the state for the period 7/1/2008 through 6/30/2011. On May 24, 2011 the Board authorized execution of an amendment to the MAA Agreement extending the term to 6/30/2013. On May 22, 2007 the Board authorized execution of a TCM Provider Participation Agreement with the state for the period 7/1/2007 through 6/30/13.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community			
Funding will allow the County to effectively and efficiently administer the Medi-Cal program and improve the availability and accessibility of Medi-Cal services to eligible and potentially eligible individuals and their families.			
Fiscal Summary - FY 12-13			
Expenditures		Funding Source(s)	
Budgeted Amount	\$ 0	County General Fund	\$ 0
Add Appropriations Req'd.	\$ 0	State/Federal	\$ 0
	\$	Fees/Other	\$ 0
	\$	Use of Fund Balance	\$ 0
	\$	Contingencies	\$ 0
	\$		\$
Total Expenditure	\$ 0	Total Sources	\$ 0
Narrative Explanation of Fiscal Impacts (If Required):			
The \$6,000,000 FY 13-14 Federal Financial Participation (FFP) reimbursement is included in the proposed FY 13-14 budget. Future year FFP reimbursement will be included in FY 14-15 and FY 15-16 budgets. Additional Federal Financial Participation (FFP) reimbursement will be received for the Targeted Case Management Program activities based on an annual cost report and encounter rate.			
Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
N/A			
Attachments:			
California Department of Health Care Services Standard Agreement Number 13-90014, California Department of Health Care Services Medi-Cal Targeted Case Management Provider Participation Agreement			
Related Items "On File" with the Clerk of the Board:			
None			

REGISTRATION NUMBER	AGREEMENT NUMBER 13-90014
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1. This Agreement is entered into between the State Agency and the Contractor named below:

STATE AGENCY'S NAME Department of Health Care Services	(Also known as DHCS, CDHS, DHS or the State)
CONTRACTOR'S NAME Sonoma County	(Also referred to as Contractor)

2. The term of this Agreement is: July 1, 2013 through June 30, 2016

3. The maximum amount of this Agreement is: \$ 18,000,000
Eighteen Million Dollars

4. The parties agree to comply with the terms and conditions of the following exhibits, which are by this reference made a part of this Agreement.

Exhibit A – Scope of Work	8 pages
Exhibit B – Budget Detail and Payment Provisions	6 pages
Exhibit C * – General Terms and Conditions	<u>GTC 610</u>
Exhibit D (F) – Special Terms and Conditions (Attached hereto as part of this agreement)	26 pages
Exhibit E – Additional Provisions	4 pages
Exhibit F – Contractor's Release	1 page
Exhibit G – HIPAA Business Associate Addendum	14 pages

Items shown above with an Asterisk (*), are hereby incorporated by reference and made part of this agreement as if attached hereto. These documents can be viewed at <http://www.ols.dgs.ca.gov/Standard Language/default.htm>.

IN WITNESS WHEREOF, this Agreement has been executed by the parties hereto.


CONTRACTOR		California Department of General Services Use Only
CONTRACTOR'S NAME (if other than an individual, state whether a corporation, partnership, etc.) Sonoma County		
BY (Authorized Signature) 	DATE SIGNED (Do not type)	
PRINTED NAME AND TITLE OF PERSON SIGNING Rita Scardaci, Director of Health Services		
ADDRESS 3313 Chanate Road Santa Rosa, CA 95404		
STATE OF CALIFORNIA		
AGENCY NAME Department of Health Care Services		<input type="checkbox"/> Exempt per:
BY (Authorized Signature) 	DATE SIGNED (Do not type)	
PRINTED NAME AND TITLE OF PERSON SIGNING Jayna Querin, Chief, Contracts, Purchasing & Support Services Section		
ADDRESS 1501 Capitol Avenue, Suite 71.5195, MS 1403, P.O. Box 997413, Sacramento, CA 95899-7413		

Exhibit A
Scope of Work

1. Service Overview

Contractor agrees to provide to the California Department of Health Care Services (DHCS) the services described herein:

Contractor shall perform Medi-Cal Administrative Activities (MAA) on behalf of DHCS to assist in the proper and efficient administration of the Medi-Cal Program by improving the availability and accessibility of Medi-Cal Services to Medi-Cal eligible and potentially eligible individuals and their families. These activities include: Medi-Cal Outreach, Facilitating Medi-Cal Application, Medi-Cal Non-Emergency Transportation, Contracting for Medi-Cal Services, Program Planning and Policy Development, Medi-Cal Administrative Activities Coordination and Claims Administration and Training.

2. Service Location

The activities shall be performed at applicable facilities within the Sonoma County geographic region.

3. Service Hours

The services shall be provided during normal Contractor working hours and days.

4. Project Representatives

A. The project representatives during the term of this Agreement will be:

Department of Health Care Services

James Williams, Chief
County-Based Administrative Activities Unit
Telephone: (916) 552-9075
Fax: (916) 324-0738
E-Mail: james.williams2@dhcs.ca.gov

Sonoma County

Rita Scardaci
Director of Health Services
Telephone: (707) 565-4639
Fax: (707) 565-4642
E-Mail: rscardaci@sonoma-county.org

B. Direct all inquiries to:

Department of Health Care Services

Administrative Claiming Local & Schools
Services Branch
Attention: Frank Sullivan
1501 Capitol Ave., MS 4603
P.O. Box 997436
Sacramento, CA 95899-7436

Telephone: (916) 552-9052
Fax: (916) 324-0738
E-Mail: frank.sullivan@dhcs.ca.gov

Sonoma County

Attention: Diana Stornetta
3313 Chanate Road
Santa Rosa, CA 95404

Telephone: (707) 565-4782
Fax: (707) 565-4642
E-Mail: dstornet@sonoma-county.org

C. Either party may make changes to the information above by giving written notice to the other party. Said changes shall not require an amendment to this agreement.

Exhibit A
Scope of Work

5. Services to be Performed

A. The following Medi-Cal Administrative Activities (MAA) are *eligible* for Federal Financial Participation (FFP) only when they are identified in a MAA Claiming Plan approved by the State and the Centers for Medicare and Medicaid Services (CMS):

1) **Allowable Medi-Cal Outreach:** This activity may consist of discrete campaigns or may be an ongoing activity. This activity is directed to groups or individuals targeted to two goals:

- a. Bringing potential eligibles into the Medi-Cal system for the purpose of determining Medi-Cal eligibility.
- b. Bringing Medi-Cal eligibles into Medi-Cal services.

Outreach may consist of discrete campaigns or may be an ongoing activity, such as: sending teams of employees into the community to contact homeless alcoholics or drug abusers; establishing a telephone or walk-in service for referring persons to Medi-Cal services or eligibility offices; operating a drop-in community center for underserved populations, such as minority teenagers where Medi-Cal eligibility and service information is disseminated.

NOTE: Public health outreach conducted by Local Government Agencies (LGAs) shall not duplicate the requirements on Medi-Cal managed care providers to pursue the enrollment of Medi-Cal eligibles in their service areas.

c. Allowable outreach activities shall be discounted by the Medi-Cal percentage or not discounted as follows:

(1) Not Discounted: Outreach campaigns directed to the entire population to encourage potential Medi-Cal eligibles to apply for Medi-Cal are allowable, and the costs do not have to be discounted by the Medi-Cal percentage. These campaigns are Medi-Cal only eligibility outreach campaigns:

- a) Outreach campaigns directed toward bringing Medi-Cal eligibles into Medi-Cal covered services are allowable and the costs do not have to be discounted by the Medi-Cal percentage. In such campaigns, the language should clearly indicate that the message is directed only to persons eligible for Medi-Cal, and not the general public. These campaigns are service campaigns, targeted on specific Medi-Cal services, such as Early and Periodic Screening, Diagnosis and Treatment (EPSDT).
- b) A health education program or campaign may be allowable as a Medi-Cal administrative cost if it is targeted specifically to Medi-Cal services and for Medi-Cal eligible individuals, such as an educational campaign on immunization addressed to parents of Medi-Cal children. If the entire campaign is focused on Medi-Cal, the costs need not be discounted.

(2) Discounted: Outreach campaigns directed towards bringing specific high risk populations (including both Medi-Cal and non-Medi-Cal persons) into health care services are only allowable to the extent they bring Medi-Cal eligibles into Medi-Cal services. The costs of these activities are claimable but discounted by the Medi-Cal percentage.

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- a) If a specific Medi-Cal health education program is included as part of a broader general health education program, the Medi-Cal portion may be allowable if the cost of the general health education program is discounted according to the Medi-Cal percentage. Telephone, walk-in, or drop-in services for referring persons to Medi-Cal services, sometimes called "Information and Referral" are also allowable and discounted by the Medi-Cal percentage.
 - b) Discount methods approved by DHCS and CMS for calculating the Medi-Cal percentage discount may be utilized.
 - c) The Contractor may contract with non-governmental agencies or programs to conduct outreach activities. The subcontracted providers of TCM services, except in local education agencies, may conduct outreach activities, so long as the TCM service(s) and outreach activities are not performed by the same subcontractor employee. The subcontracted providers shall maintain an accurate accounting and reporting of the time spent on providing TCM services and performing allowable MAA outreach activities.
- 2) **Not-Allowable Medi-Cal Outreach:** Some activities that are not considered Medi-Cal outreach under any circumstances are:
- a. General preventive health education programs or campaigns addressed to lifestyle changes in the general population (e.g., Substance Abuse Narcotics Education (SANE), Drug Abuse Resistance Education (DARE), dental prevention, antismoking, alcohol reduction, etc.) are not allowable MAA.
 - b. Outreach campaigns directed toward encouraging persons to access social, educational, legal or other services not covered by Medi-Cal are not allowable.
- 3) **Facilitating Medi-Cal Application (Eligibility Intake):** This activity includes explaining Medi-Cal eligibility rules and the Medi-Cal eligibility process to prospective applicants; assisting an applicant to fill out a Medi-Cal eligibility application; gathering information related to the application and eligibility determination or re-determination from a client, including resource information and third party liability information, as a prelude to submitting a formal Medi-Cal application to the county welfare department; and/or providing necessary forms and packaging all forms in preparation for the Medi-Cal eligibility determination. This activity does not include the eligibility determination itself. These costs do not have to be discounted. The Contractor may contract with non-governmental agencies or programs to conduct eligibility intake activities. Providers of TCM services may conduct eligibility intake, so long as the service(s) and eligibility intake are not performed by the same employee. The non-governmental agencies or programs shall maintain an accurate accounting and reporting of the time spent on providing TCM services and performing Medi-Cal eligibility intake activities.
- 4) **Non-Emergency, Non-Medical Transportation:** The actual costs of arranging and providing non-emergency, non-medical transportation, and accompaniment, when medically necessary, by an attendant (not a TCM case manager) of Medi-Cal eligibles to Medi-Cal services are allowable as a Medi-Cal administrative cost to the extent that such costs are actually borne by the Contractor in accordance with 42 Code of Federal Regulations, Section 440.170. Examples of allowable non-emergency, non-medical transportation costs include: taxi vouchers, bus tokens, mileage etc. The cost of mileage, meals and lodging will be no higher than allowed for travel by the federal General Services Administration. The cost of providing non-emergency, non-medical

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transportation for which no actual cost is borne by the State or Contractor is not an allowable MAA cost.

- a. **Separate Transportation Unit or Service:** In situations where a Contractor operates a separate transportation unit or contracts for the provision of transportation services, the costs of the unit or the contractor of actually providing the Medi-Cal non-emergency, non-medical transportation services for Medi-Cal eligibles to Medi-Cal covered services are an allowable Medi-Cal administrative cost. Costs may be calculated on a per mile or per trip basis for each Medi-Cal client transported, or by any other method allowed by Federal Law and Regulation.
 - b. **Transportation Costs and Targeted Case Management (TCM):** The costs of arranging for transportation of Medi-Cal eligibles to Medi-Cal services are part of the TCM rate. Therefore, the costs incurred by TCM case managers in arranging transportation for Medi-Cal eligibles to Medi-Cal services are not claimable as Medi-Cal administration. The TCM rate includes the travel costs incurred by the TCM case manager in providing the TCM services. A TCM case manager may transport or accompany a Medi-Cal eligible to a Medi-Cal service appointment only if the case manager is performing case management functions while actually accompanying the client. In such situations, the costs of the accompanying and transportation will be in the TCM rate and should not be claimed separately as an administrative activity.
- 5) **MAA Implementation Training:** Activities include the giving or receiving of training related to the overall implementation of the MAA program.
 - 6) **Other Training:** Training activities shall be time studied in accordance with the purpose of the training. For example, training related to Medi-Cal outreach shall be claimed as "Outreach"; training related to assisting a potential applicant complete a Medi-Cal application shall be claimed as "Facilitating Medi-Cal Application", etc. Training that is unrelated to MAA is not allowable.
 - 7) **Contracting for Medi-Cal Services:** This activity involves entering into agreements with community based organizations or other provider agencies for the provision of Medi-Cal services other than TCM and/or MAA. The costs of TCM subcontract administration should be included in the TCM rate.

NOTE: A Contractor has the option of claiming the costs of contract administration for allowable MAA, such as Outreach, under that activity or the costs may be claimed under Contract Administration. Under no circumstances are the costs of contract administration for allowable MAA to be claimed under both Contract Administration and the activity, such as Outreach. Contracting for Medi-Cal services may only be claimed under Contract Administration.

Contracting for Medi-Cal services and/or MAA is claimable as an administrative activity when the administration of those agreements meets all of the following criteria:

- a. The contract administration is performed by an identifiable unit of one or more employees, whose tasks officially involve contract administration, according to the duty statements or job descriptions of the employees being claimed.

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- b. The contract administration involves contractors that provide Medi-Cal services and/or MAA. The costs of contracting for TCM services with non-LGA providers should be claimed as part of the TCM rate. These costs cannot be separately claimed as MAA.
- c. TCM case managers and LGA subcontractors cannot claim for contract management. It is claimable only when performed by an LGA.
- d. The administrative costs of contracting by LGAs as service providers under managed care arrangements may not be claimed administratively and are considered to be in the managed care capitation payment to the LGA.
- e. The contract administration must be directed to one or more of the following goals:
 - (1) Identifying, recruiting, and contracting with community agencies as Medi-Cal service contract providers;
 - (2) Providing technical assistance to Medi-Cal subcontractors regarding County, State and Federal regulations;
 - (3) Monitoring provider agency capacity and availability; and
 - (4) Ensuring compliance with the terms of the agreement.

The contracts being administered must be for Medi-Cal services and/or MAA and may involve Medi-Cal populations only or may be general medical service agreements involving Medi-Cal and other indigent, non-Medi-Cal populations. When the contract involves a Medi-Cal and non-Medi-Cal population, the costs of contract administration shall be **discounted** by the Medi-Cal percentage.

- 8) **Program Planning and Policy Development (PP&PD):** This activity may be claimed at the enhanced rate (75 percent FFP) if performed by a Skilled Professional Medical Personnel (SPMP), or the non-enhanced rate (50 percent FFP) if performed by a non-SPMP.
- a. Allowable: This activity is claimable when performed, either part-time or full-time, by one or more Contractor employees and subcontractors whose tasks officially involve PP&PD. Contractor employees performing this activity must have the tasks identified in the employee's position descriptions/duty statements. If the programs serve both Medi-Cal and non-Medi-Cal clients, the costs of PP&PD activities must be allocated according to the Medi-Cal percentages being served by the programs.

This activity is claimable as a direct charge for Medi-Cal administration only when PP&PD is performed by a unit of one or more Contractor employees who spend 100 percent of their paid working time performing this activity. This activity is claimable only if the administrative amounts being claimed for PP&PD persons and activities are not otherwise included in other claimable cost pools; and the amounts being claimed for such persons employed by (and activities taking place in) a service provider setting are not otherwise being reimbursed through the billable service rate of that provider. Costs for persons performing this activity less than 100 percent of their time will be based on a time-survey.

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In LGAs with county-wide managed care arrangements, PP&PD activities are claimable as Medi-Cal administration only for those services that are excluded from the managed care contracts.

Under the conditions specified above, the following tasks are allowable as MAA under this activity:

- (1) Developing strategies to increase Medi-Cal system capacity and close Medi-Cal service gaps. This includes analyzing Medi-Cal data related to a specific program or specific group.
 - (2) Interagency coordination to improve delivery of Medi-Cal services.
 - (3) Developing resource directories of Medi-Cal services/providers.
 - (4) For subcontractors, some PP&PD support services are allowable, e.g., developing resource directories, preparing Medi-Cal data reports, conducting needs assessments, or preparing proposals for expansion of Medi-Cal services.
- b. Not allowable: This activity is not allowable if staff performing this function are employed full-time by service providers, such as clinics. The full costs of the employee's salary are assumed to be included in the billable fee-for-service rate and separate MAA claiming is not allowed.

This activity is not allowable if staff who deliver services part-time in a LGA service provider setting, such as a clinic, are performing PP&PD activities relating to the service provider setting in which they deliver services.

- 9) **General Administration:** This includes activities that are eligible for cost distribution on an OMB Circular A-87 approved cost allocation basis. These costs are to be distributed proportionately to all of the activities performed:
- a. Attend or conduct general, non-medical staff meetings;
 - b. Develop and monitor program budgets;
 - c. Provide instructional leadership, site management, supervise staff, or participate in Employee performance reviews;
 - d. Review departmental or unit procedures and rules;
 - e. Present or participate in, in-service orientations and programs; and
 - f. Participate in health promotion activities for employees of the Contractor.
- 10) **Paid Time Off:** This activity is to be used by all staff involved in MAA to record usage of paid leave, including vacation, sick leave, holiday time and any other employee time off that is paid. This does not include lunch or meal breaks, off payroll time, or Compensatory Time Off (CTO) which shall be allocated as prescribed by the State.

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11) **Compensatory Time Off:**

CTO shall be time surveyed to the activity performed while working the extra hours.

12) **MAA/TCM Coordination and LGA Claims Administration:** Contractor employees whose position description/duty statement includes the administration of MAA and TCM on a Local Governmental Agency (LGA) service region-wide basis, may claim for the costs of these activities on the MAA detailed invoice as a direct charge.

Costs incurred in the preparation and submission of MAA claims at any level, including staff time, supplies, and computer time, may be direct charged. If the MAA/TCM Coordinator and/or claims administration staff are performing this function part-time, along with other duties, they must certify the percentage of total time spent performing the duties of MAA coordination and/or claims administration. The percentage certified for the MAA/TCM Coordinator and/or claims administration staff activities must be used as the basis for federal claiming. Charges for supervisors, clericals, and support staff may be allocated based upon the percentage of certified time of the MAA/TCM Coordinator and claims administration staff.

a. The MAA/TCM Coordinator and claims administration staff may claim the costs of the following activities, as well as any other reasonable activities directly related to the Contractor's administration of TCM services and MAA at the LGA-wide level:

- (1) Drafting, revising, and submitting MAA Claiming Plans, and TCM performance monitoring plans.
- (2) Serving as liaison with and monitoring the performance of claiming programs within the LGA and with the State and Federal Governments on MAA and TCM.
- (3) Administering LGA claiming, including overseeing, preparing, compiling, revising and submitting MAA and TCM invoices on a LGA-wide basis to the State.
- (4) Attending training sessions, meetings, and conferences involving MAA and/or TCM.
- (5) Training Contractor program and subcontractor staff on State, Federal, and Local requirements for MAA and/or TCM claiming.
- (6) Ensuring that MAA and/or TCM invoices do not duplicate Medi-Cal invoices for the same services or activities from other providers. This includes ensuring that services are not duplicated when a Medi-Cal beneficiary receives TCM services from more than one case manager.

NOTE: The costs of the MAA/TCM Coordinator's time and claims administration staff time must not be included in the MAA claiming or in the TCM rate, since the costs associated with the time are to be direct charged. Charges for supervisors, clericals, and support staff for these employees may be allocated based upon the percentage of certified time of the MAA/TCM Coordinator and claims administration staff. The costs of TCM claiming activity at the TCM provider level are to be included in the TCM rate.

b. Using the State Department of Health Care Services Time Survey for Employees Performing Medi-Cal Administrative Activities and/or Targeted Case Management (DHCS 7093), which will

Exhibit A
Scope of Work

be disseminated through policy directives, issued by the State, conduct an annual time survey for one month. DHCS has designated the annual MAA time survey to occur in either September or October. The time survey will identify all time spent on each of the above allowable MAA, non-claimable activities, and general administration and paid time off, which are proportionately allocated to all activities. The activities of staff providing Medi-Cal administration must be documented in accordance with the provisions of 42 CFR Sections 432.50, 433.32, and 433.34, and 45 CFR Parts 74 and 95, and OMB Circular A-87.

All non-Medi-Cal related activities and direct patient care services shall be time surveyed to "Other Programs/Activities" or "Direct Patient Care" on the Time Survey form, as appropriate.

- c. Comply with enabling legislation, regulations, administrative claiming process directives, and the Policy and Procedure Letters of the DHCS Safety Net Financing Division incorporated by reference in Exhibit E, Provision 1, which define program specific allowable MAA.
 - d. Provide to the State, comprehensive Medi-Cal Administrative Claiming Plan, in the format specified by the State. The claiming plan must be approved by the State and this agreement must be signed by both parties prior to the submission of MAA invoices.
 - e. Not discriminate against any eligible person because of race, religion, political beliefs, color, national or ethnic origin, ancestry, mental or physical disability, medical condition, marital status, age or sex.
 - f. Ensure all applicable State and federal requirements, as identified in Exhibit E, Provision 4, are met in performing MAA under this agreement. It is understood and agreed that failure by the Contractor to ensure all applicable State and Federal requirements not met in performing MAA under this agreement shall be sufficient cause for the State to deny or recoup payments to the Contractor and/or to terminate this agreement.
 - g. Submit a letter of intent to participate in the MAA Program six (6) months prior to the termination of this agreement for the purpose of extending the term of the agreement or initiating a new agreement, whichever is preferred by DHCS.
 - h. When an amendment of the contract is necessary because the original projected expenditure (aka: funding) was insufficient, a request must be submitted to DHCS at least 6 months prior to the end of the FY for which additional funding is necessary. If this request is not received timely, the contract will not be amended to address the insufficient funding and subsequent affected invoices will not be paid.
- B. The following MAA are *not eligible* for Federal Financial Participation (FFP) and must be excluded from claims:
- 1) Extensions of Direct Medical Services: Not allowable as MAA are activities that are integral parts or extensions of direct medical services, such as patient follow-up, patient assessment, patient education, or counseling.

Exhibit B
Budget Detail and Payment Provisions

1. Invoicing and Payment

- A. For administrative activities satisfactorily rendered and upon receipt and approval of the invoices, the DHCS agrees to compensate the Contractor for actual expenditures incurred in accordance with the conditions specified herein.
- B. Invoices shall include the Agreement Number and shall be submitted not more frequently than quarterly in arrears to:

Regular Mail

CMAA Analyst
Department of Health Care Services
Safety Net Financing Division
Administrative Claiming Local & Schools
Services Branch
MS 4603
PO Box 997436
Sacramento, CA 95899-7436

Overnight Mail

CMAA Analyst
Department of Health Care Services
Safety Net Financing Division
Administrative Claiming Local & Schools
Services Branch
MS 4603
1501 Capitol Avenue
Sacramento, CA 95814

C. Invoices shall:

- 1) Be prepared on both the Summary Invoice and Detailed Invoice incorporated by reference in Exhibit E, Provision 1.
- 2) Be prepared on Contractor letterhead and must be signed by an authorized official, employee or agent certifying that the expenditures claimed represent actual expenses for the activities performed under this agreement on the Summary Invoice.
- 3) Bear the Contractor's name as shown on the agreement on both the Summary Invoice and on the Detailed Invoice.
- 4) Identify the billing and/or performance period covered by the invoice on both the Summary Invoice and on the Detailed Invoice.
- 5) Itemize costs for the billing period in the same or greater level of detail as indicated in this agreement on the Detailed Invoice. Subject to the terms of this agreement, reimbursement may only be sought for those costs and/or cost categories expressly identified as allowable in this agreement and approved by DHCS.
- 6) Provide the State with complete invoice and expenditure information to include in the Centers for Medicare and Medicaid Services CMS 64 no later than *eighteen (18)* months after the end of the quarter for which the claim was submitted. This information shall be provided on the standardized Summary Invoice and Detailed Invoice.
- 7) Identify on the Detailed Invoice, the claim categories to which expenditure data must adhere for insertion into the CMS 64. A separate Detailed Invoice shall be submitted for each program, clinic, non-governmental entity and subcontractor claiming MAA costs pursuant to this agreement, except for contracted employees under the direct control of the Contractor. Contracted employees' costs shall be aggregated and reported in accordance with the MAA Invoice instructions. The Detailed Invoice(s) for each of the programs claimed shall correspond to the name of the claiming programs identified in the Contractors MAA Claiming Plan. The Invoice instructions and the MAA Claiming Plan are found in the LGA MAA Provider Manual incorporated by reference in Exhibit E, Provision 1.

Exhibit B
Budget Detail and Payment Provisions

D. Rates Payable

- 1) The invoices may include the cost of expenses of staff and the operating expenses and equipment costs necessary to collect data, disseminate information, and carry out the staff activities outlined in this agreement.
 - a. The maximum rate of Federal reimbursement for compensation (salary and benefits), of activities qualifying under Federal regulations applying to "Skilled Professional Medical Personnel (SPMP)" of a public agency and their "directly supporting staff" shall be 75 percent of such costs for activities identified as "enhanced." The maximum rate of reimbursement for allowable costs of activities identified as "non-enhanced", performed by SPMP and their directly supporting staff, shall be 50 percent. The maximum rate of reimbursement for all allowable costs other than compensation applicable to SPMPs and their directly supporting staff shall be 50 percent.
 - (1) An SPMP is defined as an employee of the Contractor who has completed a 2-year or longer program leading to an academic degree or certification in a medically-related profession *and* who performs duties and responsibilities requiring professional medical knowledge and skills. Directly supporting staff are also employees of the Contractor. They are secretarial, stenographic, copy, file, or record clerks who are directly supervised by the SPMP, *and* who provide clerical services necessary for carrying out the professional medical responsibilities and administrative activities of the SPMP.
 - b. The rate of federal reimbursement is 50 percent Federal Financial Participation (FFP) for all costs of non- SPMPs and all costs of subcontractors (non-governmental entities) performing allowable administrative activities as defined in Provision 5, Services to be Performed, of Exhibit A, Scope of Work.
 - c. The maximum rate of reimbursement for all non-public subcontractors to the Contractor shall be 50 percent for all categories of cost.

- E. Certify the certified public expenditure from the Contractor's General Fund, or from any other funds allowed under federal law and regulation, for Title XIX funds claimed for MAA performed pursuant to W&I Code Section 14132.47. The State shall deny payment of any claim submitted under this agreement if it determines that the certification is not adequately supported for purposes of Federal Financial Participation (FFP). Expenditures certified for MAA costs shall not duplicate, in whole or in part, claims made for the costs of direct patient care. The following certification statement shall be made on each Summary Invoice submitted to the State for payment for the performance of MAA:

"I certify under penalty of perjury that the information provided on this invoice is true and correct, based on actual expenditures for the period claimed, and that the funds/contributions have been expended as necessary for federal matching funds pursuant to the requirements of 42 CFR 433.51, for allowable administrative activities

Exhibit B
Budget Detail and Payment Provisions

and that these claimed expenditures have not been nor shall not subsequently be used for federal match in this or any other program. I have notice that the information is to be used for filing of a claim with the Federal Government for federal funds and knowing misrepresentation constitutes violation of the Federal False Claims Act."-

2. Budget Contingency Clause

- A. It is mutually agreed that if the Budget Act of the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event, the DHCS shall have no liability to pay any funds whatsoever to Contractor or to furnish any other considerations under this Agreement and Contractor shall not be obligated to perform any provisions of this Agreement.
- B. If funding for any fiscal year is reduced or deleted by the Budget Act for purposes of this program, the DHCS shall have the option to either cancel this Agreement with no liability occurring to the DHCS, or offer an agreement amendment to Contractor to reflect the reduced amount.

3. Prompt Payment Clause

Payment will be made in accordance with, and within the time specified in, Government Code Chapter 4.5, commencing with Section 927.

4. Amounts Payable

- A. The amounts payable under this agreement shall not exceed:
 - 1) \$ 6,000,000 for the budget period of 07/01/13 through 06/30/14,
 - 2) \$ 6,000,000 for the budget period of 07/01/14 through 06/30/15,
 - 3) \$ 6,000,000 for the budget period of 07/01/15 through 06/30/16.
- B. Reimbursement shall be made for allowable expenses up to the amount annually encumbered commensurate with the state fiscal year in which services are performed and/or goods are received.

5. Participation in Medi-Cal Administrative Claiming Process

- A. As a condition of participation in the Medi-Cal Administrative Claiming process, and in recognition of revenue generated in the Medi-Cal Administrative Claiming process, the Contractor shall pay an annual participation fee through a mechanism agreed to by the State and Contractors, or, if no agreement is reached by August 1 of each year, directly to the State.
- B. The participation fee shall be used to cover the cost of administering the Medi-Cal Administrative Claiming process, including, but not limited to, claims processing, technical assistance, and monitoring. The State shall determine and report staffing requirements upon which projected costs will be based.

Exhibit B
Budget Detail and Payment Provisions

- C. The amount of the participation fee shall be based upon the anticipated State salaries, benefits, operating expenses and equipment, necessary to administer the Medi-Cal Administrative Claiming process and other costs related to that process.

6. Non-Federal Matching Funds for Medi-Cal Administrative Activities

The Contractor will expend one hundred percent (100%) of the non-federal share of the cost of performing Medi-Cal Administrative Activities. By signing this agreement the Contractor certifies that the funds expended for this purpose shall be from the Contractor's general fund or from any other funds allowable under federal law and regulation.

7. Claiming Overhead Costs

- A. In order to claim administrative overhead costs, also referred to as "External Administrative Overhead" costs, the Contractor must have a State Controller's Office approved LGA administrative overhead cost allocation plan for the applicable period and these costs must be claimed in accordance with the plan. A LGAs plan is submitted to the California State Controller's Office, which has delegated authority from the Federal Government to approve it.
- B. Internal (departmental) administrative overhead costs are allowable for FFP only if there is a departmental overhead indirect cost allocation plan prepared and on file for audit purposes for the applicable period and costs are claimed in accordance with it following Federal Office of Management and Budget (OMB) Circular A-87 guidelines.
- C. Both external and internal administrative cost allocation plans must comply with provisions of the federal OMB Circular A-87, entitled "Cost Principles for State, Local, and Indian Tribal Governments" and Federal Publication OASC-10, entitled "A Guide for State and Local Governments/Cost Principles and Procedures for Establishing Cost Allocation Plans and Indirect Cost Rates for Grants and Contracts with the Federal Government."
- D. The Contractor must assure that costs claimed as direct costs not duplicate costs claimed through the application of the indirect cost rate.

8. Offset of Revenues

- A. To the extent that other funding sources have paid or would pay for the costs at issue, Federal Financial Participation (FFP) is not available and the costs must be removed from the total costs (*OMB Circular A-87, Attachment A, Part C., Item 4.a.*). The revenue offset categories which must be applied in developing the net costs include, but are not limited to:
- 1) All unallowable federal funds, including not only federal grants but also federal payments for services under Medicare fee-for-service or encounter rates.
 - 2) All state expenditures which have been previously matched by the federal government (*includes Medicaid funds for medical assistance, such as the payment rate for services under fee-for-service or encounter rates*). Claims submitted will not be duplicative of Medicaid claims for costs that are part of the all inclusive rate for direct patient care.

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Budget Detail and Payment Provisions

- 3) Private insurance and other fees collected from non-governmental sources.
- 4) All applicable credits must be offset against claims for Medicaid funds. Applicable credits refer to those receipts or reduction of expenditure type transactions that offset or reduce expense items allocable to federal awards as direct or indirect costs.
- 5) A program may not claim any federal match for administrative activities if its total cost has already been paid by the revenue sources above. A government program may not be reimbursed in excess of its actual costs, i.e., make a profit.

9. Requirements for Federal Financial Participation

- A. Per 42 CFR, Section 432.2 et seq., and Section 433.1 et seq., Skilled Professional Medical Personnel (SPMP), and directly supporting staff, eligible for enhanced funding are defined as physicians, dentists, nurses, and other specialized personnel who have professional education and training in the field of medical care or appropriate medical practice and who are in an employer-employee relationship with the Contractor. SPMPs do not include other non-medical health professionals such as public administrators, medical analysts, lobbyists, senior managers or administrators of public assistance programs or of the Medi-Cal program.
- B. The seventy-five percent (enhanced) federal matching rate is only available for a Contractor that is contractually linked to the DHCS to perform Medi-Cal Administrative Activities. The enhanced federal matching rate can be claimed for salaries, benefits, travel and training of SPMP and their directly supporting clerical staff who are in an employee-employer relationship with the Contractor and are involved in activities that are necessary for the proper and efficient administration of the Medi-Cal Program.
- C. Fifty percent (non-enhanced) federal matching rate can be claimed for any of the Contractor's staff, or subcontractors, involved in the performance of activities that are necessary for the proper and efficient administration of the Medi-Cal Program. This includes claiming for SPMP and directly supporting clerical staff performing related activities that are non-enhanced. Additionally, the ability to claim SPMP under the MAA program is activity driven not education based. Expenditures for the actual furnishing of medical services by SPMP do not qualify for reimbursement via Medi-Cal Administrative Claiming, as medical services are paid for in the fee-for-services system.
- D. Qualifying SPMP costs may be matched at the 75 percent rate in proportion to the time worked by SPMP in performing those duties that require professional medical knowledge and skills, as evidenced by position descriptions, job announcements, or job classifications.

10. Expense Allowability/Fiscal Documentation

- A. Invoices, received from a contractor and accepted and/or submitted for payment by DHCS, shall not be deemed evidence of allowable agreement costs.
- B. Contractor shall maintain for review and audit and supply to DHCS upon request, adequate documentation of all expenses claimed pursuant to this agreement to permit a determination of expense allowability.

Exhibit B
Budget Detail and Payment Provisions

- C. If the allowability or appropriateness of an expense cannot be determined by the DHCS because invoice detail, fiscal records, or backup documentation is nonexistent or inadequate according to generally accepted accounting principles or practices, all questionable costs may be disallowed and payment may be withheld by the DHCS. Upon receipt of adequate documentation supporting a disallowed or questionable expense, reimbursement may resume for the amount substantiated and deemed allowable.

11. Federal Audit Disallowances

- A. In addition to the indemnification required by Exhibit C, Provision 5, and notwithstanding any other provision of this agreement, the State shall be held harmless, in accordance with Provision 2, Budget Contingency Clause, paragraphs A and B, from any federal audit disallowance and interest resulting from payments made to the Contractor pursuant to W&I Code Section 14132.47, and this agreement, less the amounts already remitted to the State.
- B. To the extent that a federal audit disallowance and interest results from a claim or claims for the Contractor has received reimbursement for MAA, the State shall recoup from the Contractor which submitted the disallowed claim, through offsets or by direct billing, amounts equal to the amount of the disallowance plus interest in that fiscal year, less any amount already remitted to the State for the disallowed claim. All subsequent claims submitted to the State applicable to any previously disallowed MAA or claim, may be held in abeyance, with no payment made, until the federal disallowance issue is resolved.
- C. To the extent that a federal audit disallowance and interest results from a claim or claims for which the Contractor has received reimbursement for MAA performed by a non-governmental entity under agreement with, and on behalf of, the Contractor, the State shall be held harmless by that particular Contractor for 100 percent of the amount of any such final federal audit disallowance and interest less the amounts already remitted to the State for the disallowed claim.

12. Program Name and Number for Federal Claiming

- A. Title 31 – Money and Finance, Subtitle V – General assistance Administration, Chapter 75 – Requirements for Single Audits, Section 7502 requires each pass-through entity provide the subrecipient program names and any identifying numbers from which such assistance is derived. The Catalog of Federal Domestic Assistance (CFDA) number for this federal program is 93.778, Medical Assistance Program.
- B. Contractor shall include the language in Provision 12, Item A, in its contracts with subrecipients and vendors.

Special Terms and Conditions

(For federally funded service contracts or agreements and grant agreements)

The use of headings or titles throughout this exhibit is for convenience only and shall not be used to interpret or to govern the meaning of any specific term or condition.

The terms "contract", "Contractor" and "Subcontractor" shall also mean, "agreement", "grant", "grant agreement", "Grantee" and "Subgrantee" respectively.

The terms "California Department of Health Care Services", "California Department of Health Services", "Department of Health Care Services", "Department of Health Services", "CDHCS", "DHCS", "CDHS", and "DHS" shall all have the same meaning and refer to the California State agency that is a party to this Agreement.

This exhibit contains provisions that require strict adherence to various contracting laws and policies. Some provisions herein are conditional and only apply if specified conditions exist (i.e., agreement total exceeds a certain amount; agreement is federally funded, etc.). The provisions herein apply to this Agreement unless the provisions are removed by reference on the face of this Agreement, the provisions are superseded by an alternate provision appearing elsewhere in this Agreement, or the applicable conditions do not exist.

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1. Federal Equal Opportunity Requirements

(Applicable to all federally funded agreements entered into by the Department of Health Care Services)

- a. The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era. The Contractor will take affirmative action to ensure that qualified applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, national origin, physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and career development opportunities and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Federal Government or DHCS, setting forth the provisions of the Equal Opportunity clause, Section 503 of the Rehabilitation Act of 1973 and the affirmative action clause required by the Vietnam Era Veterans' Readjustment Assistance Act of 1974 (38 U.S.C. 4212). Such notices shall state the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified applicants without discrimination based on their race, color, religion, sex, national origin physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era and the rights of applicants and employees.
- b. The Contractor will, in all solicitations or advancements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era.
- c. The Contractor will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding a notice, to be provided by the Federal Government or the State, advising the labor union or workers' representative of the Contractor's commitments under the provisions herein and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- d. The Contractor will comply with all provisions of and furnish all information and reports required by Section 503 of the Rehabilitation Act of 1973, as amended, the Vietnam Era Veterans' Readjustment Assistance Act of 1974 (38 U.S.C. 4212) and of the Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," and of the rules, regulations, and relevant orders of the Secretary of Labor.
- e. The Contractor will furnish all information and reports required by Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," and the Rehabilitation Act of 1973, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to its books, records, and accounts by the State and its designated representatives and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- f. In the event of the Contractor's noncompliance with the requirements of the provisions herein or with any federal rules, regulations, or orders which are referenced herein, this Agreement may be cancelled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further federal and state contracts in accordance with procedures authorized in Federal Executive Order No. 11246 as amended and such other sanctions may be imposed and remedies invoked as provided in Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

- g. The Contractor will include the provisions of Paragraphs a through g in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," or Section 503 of the Rehabilitation Act of 1973 or (38 U.S.C. 4212) of the Vietnam Era Veteran's Readjustment Assistance Act, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the Director of the Office of Federal Contract Compliance Programs or DHCS may direct as a means of enforcing such provisions including sanctions for noncompliance provided, however, that in the event the Contractor becomes involved in, or is threatened with litigation by a subcontractor or vendor as a result of such direction by DHCS, the Contractor may request in writing to DHCS, who, in turn, may request the United States to enter into such litigation to protect the interests of the State and of the United States.

2. Travel and Per Diem Reimbursement

(Applicable if travel and/or per diem expenses are reimbursed with agreement funds.)

Reimbursement for travel and per diem expenses from DHCS under this Agreement shall, unless otherwise specified in this Agreement, be at the rates currently in effect, as established by the California Department of Personnel Administration (DPA), for nonrepresented state employees as stipulated in DHCS' Travel Reimbursement Information Exhibit. If the DPA rates change during the term of the Agreement, the new rates shall apply upon their effective date and no amendment to this Agreement shall be necessary. Exceptions to DPA rates may be approved by DHCS upon the submission of a statement by the Contractor indicating that such rates are not available to the Contractor. No travel outside the State of California shall be reimbursed without prior authorization from DHCS. Verbal authorization should be confirmed in writing. Written authorization may be in a form including fax or email confirmation.

3. Procurement Rules

(Applicable to agreements in which equipment/property, commodities and/or supplies are furnished by DHCS or expenses for said items are reimbursed by DHCS with state or federal funds provided under the Agreement.)

a. Equipment/Property definitions

Wherever the term equipment and/or property is used, the following definitions shall apply:

- (1) **Major equipment/property:** A tangible or intangible item having a base unit cost of **\$5,000 or more** with a life expectancy of one (1) year or more and is either furnished by DHCS or the cost is reimbursed through this Agreement. Software and videos are examples of intangible items that meet this definition.
- (2) **Minor equipment/property:** A tangible item having a base unit cost of **less than \$5,000** with a life expectancy of one (1) year or more and is either furnished by DHCS or the cost is reimbursed through this Agreement.

b. **Government and public entities** (including state colleges/universities and auxiliary organizations), whether acting as a contractor and/or subcontractor, may secure all commodities, supplies, equipment and services related to such purchases that are required in performance of this Agreement. Said procurements are subject to Paragraphs d through h of Provision 3. Paragraph c of Provision 3 shall also apply, if equipment/property purchases are delegated to subcontractors that are nonprofit organizations or commercial businesses.

c. **Nonprofit organizations and commercial businesses**, whether acting as a contractor and/or subcontractor, may secure commodities, supplies, equipment/property and services related to such purchases for performance under this Agreement.

- (1) Equipment/property purchases shall not exceed \$50,000 annually.

To secure equipment/property above the annual maximum limit of \$50,000, the Contractor shall make arrangements through the appropriate DHCS Program Contract Manager, to have all remaining equipment/property purchased through DHCS' Purchasing Unit. The cost of equipment/property purchased by or through DHCS shall be deducted from the funds available in this Agreement. Contractor shall submit to the DHCS Program Contract Manager a list of equipment/property specifications for those items that the State must procure. DHCS may pay the vendor directly for such arranged equipment/property purchases and title to the equipment/property will remain with DHCS. The equipment/property will be delivered to the Contractor's address, as stated on the face of the Agreement, unless the Contractor notifies the DHCS Program Contract Manager, in writing, of an alternate delivery address.

- (2) All equipment/property purchases are subject to Paragraphs d through h of Provision 3. Paragraph b of Provision 3 shall also apply, if equipment/property purchases are delegated to subcontractors that are either a government or public entity.

- (3) Nonprofit organizations and commercial businesses shall use a procurement system that meets the following standards:

- (a) Maintain a code or standard of conduct that shall govern the performance of its officers, employees, or agents engaged in awarding procurement contracts. No employee, officer, or agent shall participate in the selection, award, or administration of a procurement, or bid contract in which, to his or her knowledge, he or she has a financial interest.

- (b) Procurements shall be conducted in a manner that provides, to the maximum extent practical, open, and free competition.

- (c) Procurements shall be conducted in a manner that provides for all of the following:

- [1] Avoid purchasing unnecessary or duplicate items.

- [2] Equipment/property solicitations shall be based upon a clear and accurate description of the technical requirements of the goods to be procured.

- [3] Take positive steps to utilize small and veteran owned businesses.

- d. Unless waived or otherwise stipulated in writing by DHCS, prior written authorization from the appropriate DHCS Program Contract Manager will be required before the Contractor will be reimbursed for any purchase of \$5,000 or more for commodities, supplies, equipment/property, and services related to such purchases. The Contractor must provide in its request for authorization all particulars necessary, as specified by DHCS, for evaluating the necessity or desirability of incurring such costs. The term "purchase" excludes the purchase of services from a subcontractor and public utility services at rates established for uniform applicability to the general public.

- e. In special circumstances, determined by DHCS (e.g., when DHCS has a need to monitor certain purchases, etc.), DHCS may require prior written authorization and/or the submission of paid vendor receipts for any purchase, regardless of dollar amount. DHCS reserves the right to either deny claims for reimbursement or to request repayment for any Contractor and/or subcontractor purchase that DHCS determines to be unnecessary in carrying out performance under this Agreement.

- f. The Contractor and/or subcontractor must maintain a copy or narrative description of the procurement system, guidelines, rules, or regulations that will be used to make purchases under this Agreement. The State reserves the right to request a copy of these documents and to inspect the purchasing practices of the Contractor and/or subcontractor at any time.

- g. For all purchases, the Contractor and/or subcontractor must maintain copies of all paid vendor invoices, documents, bids and other information used in vendor selection, for inspection or audit. Justifications supporting the absence of bidding (i.e., sole source purchases) shall also be maintained on file by the Contractor and/or subcontractor for inspection or audit.

- h. DHCS may, with cause (e.g., with reasonable suspicion of unnecessary purchases or use of inappropriate purchase practices, etc.), withhold, cancel, modify, or retract the delegated purchase

authority granted under Paragraphs b and/or c of Provision 3 by giving the Contractor no less than 30 calendar days written notice.

4. Equipment/Property Ownership / Inventory / Disposition

(Applicable to agreements in which equipment/property is furnished by DHCS and/or when said items are purchased or reimbursed by DHCS with state or federal funds provided under the Agreement.)

- a. Wherever the term equipment and/or property is used in Provision 4, the definitions in Paragraph a of Provision 3 shall apply.

Unless otherwise stipulated in this Agreement, all equipment and/or property that is purchased/reimbursed with agreement funds or furnished by DHCS under the terms of this Agreement shall be considered state equipment and the property of DHCS.

- (1) **Reporting of Equipment/Property Receipt** - DHCS requires the reporting, tagging and annual inventorying of all equipment and/or property that is furnished by DHCS or purchased/reimbursed with funds provided through this Agreement.

Upon receipt of equipment and/or property, the Contractor shall report the receipt to the DHCS Program Contract Manager. To report the receipt of said items and to receive property tags, Contractor shall use a form or format designated by DHCS' Asset Management Unit. If the appropriate form (i.e., Contractor Equipment Purchased with DHCS Funds) does not accompany this Agreement, Contractor shall request a copy from the DHCS Program Contract Manager.

- (2) **Annual Equipment/Property Inventory** - If the Contractor enters into an agreement with a term of more than twelve months, the Contractor shall submit an annual inventory of state equipment and/or property to the DHCS Program Contract Manager using a form or format designated by DHCS' Asset Management Unit. If an inventory report form (i.e., Inventory/Disposition of DHCS-Funded Equipment) does not accompany this Agreement, Contractor shall request a copy from the DHCS Program Contract Manager. Contractor shall:

- (a) Include in the inventory report, equipment and/or property in the Contractor's possession and/or in the possession of a subcontractor (including independent consultants).
- (b) Submit the inventory report to DHCS according to the instructions appearing on the inventory form or issued by the DHCS Program Contract Manager.
- (c) Contact the DHCS Program Contract Manager to learn how to remove, trade-in, sell, transfer or survey off, from the inventory report, expired equipment and/or property that is no longer wanted, usable or has passed its life expectancy. Instructions will be supplied by either the DHCS Program Contract Manager or DHCS' Asset Management Unit.

- b. Title to state equipment and/or property shall not be affected by its incorporation or attachment to any property not owned by the State.
- c. Unless otherwise stipulated, DHCS shall be under no obligation to pay the cost of restoration, or rehabilitation of the Contractor's and/or Subcontractor's facility which may be affected by the removal of any state equipment and/or property.
- d. The Contractor and/or Subcontractor shall maintain and administer a sound business program for ensuring the proper use, maintenance, repair, protection, insurance and preservation of state equipment and/or property.
- (1) In administering this provision, DHCS may require the Contractor and/or Subcontractor to repair or replace, to DHCS' satisfaction, any damaged, lost or stolen state equipment and/or property. In the event of state equipment and/or miscellaneous property theft, Contractor and/or Subcontractor shall immediately file a theft report with the appropriate police agency or the California Highway Patrol and Contractor shall promptly submit one copy of the theft report to the DHCS Program Contract Manager.

- e. Unless otherwise stipulated by the Program funding this Agreement, equipment and/or property purchased/reimbursed with agreement funds or furnished by DHCS under the terms of this Agreement, shall only be used for performance of this Agreement or another DHCS agreement.
- f. Within sixty (60) calendar days prior to the termination or end of this Agreement, the Contractor shall provide a final inventory report of equipment and/or property to the DHCS Program Contract Manager and shall, at that time, query DHCS as to the requirements, including the manner and method, of returning state equipment and/or property to DHCS. Final disposition of equipment and/or property shall be at DHCS expense and according to DHCS instructions. Equipment and/or property disposition instructions shall be issued by DHCS immediately after receipt of the final inventory report. At the termination or conclusion of this Agreement, DHCS may at its discretion, authorize the continued use of state equipment and/or property for performance of work under a different DHCS agreement.

g. Motor Vehicles

(Applicable only if motor vehicles are purchased/reimbursed with agreement funds or furnished by DHCS under this Agreement.)

- (1) If motor vehicles are purchased/reimbursed with agreement funds or furnished by DHCS under the terms of this Agreement, within thirty (30) calendar days prior to the termination or end of this Agreement, the Contractor and/or Subcontractor shall return such vehicles to DHCS and shall deliver all necessary documents of title or registration to enable the proper transfer of a marketable title to DHCS.
- (2) If motor vehicles are purchased/reimbursed with agreement funds or furnished by DHCS under the terms of this Agreement, the State of California shall be the legal owner of said motor vehicles and the Contractor shall be the registered owner. The Contractor and/or a subcontractor may only use said vehicles for performance and under the terms of this Agreement.
- (3) The Contractor and/or Subcontractor agree that all operators of motor vehicles, purchased/reimbursed with agreement funds or furnished by DHCS under the terms of this Agreement, shall hold a valid State of California driver's license. In the event that ten or more passengers are to be transported in any one vehicle, the operator shall also hold a State of California Class B driver's license.
- (4) If any motor vehicle is purchased/reimbursed with agreement funds or furnished by DHCS under the terms of this Agreement, the Contractor and/or Subcontractor, as applicable, shall provide, maintain, and certify that, at a minimum, the following type and amount of automobile liability insurance is in effect during the term of this Agreement or any extension period during which any vehicle remains in the Contractor's and/or Subcontractor's possession:

Automobile Liability Insurance

- (a) The Contractor, by signing this Agreement, hereby certifies that it possesses or will obtain automobile liability insurance in the amount of \$1,000,000 per occurrence for bodily injury and property damage combined. Said insurance must be obtained and made effective upon the delivery date of any motor vehicle, purchased/reimbursed with agreement funds or furnished by DHCS under the terms of this Agreement, to the Contractor and/or Subcontractor.
- (b) The Contractor and/or Subcontractor shall, as soon as practical, furnish a copy of the certificate of insurance to the DHCS Program Contract Manager. The certificate of insurance shall identify the DHCS contract or agreement number for which the insurance applies.
- (c) The Contractor and/or Subcontractor agree that bodily injury and property damage liability insurance, as required herein, shall remain in effect at all times during the term of this Agreement or until such time as the motor vehicle is returned to DHCS.
- (d) The Contractor and/or Subcontractor agree to provide, at least thirty (30) days prior to the expiration date of said insurance coverage, a copy of a new certificate of insurance evidencing continued coverage, as indicated herein, for not less than the remainder of the term of this Agreement, the term of any extension or continuation thereof, or for a period of not less than one (1) year.

- (e) The Contractor and/or Subcontractor, if not a self-insured government and/or public entity, must provide evidence, that any required certificates of insurance contain the following provisions:
- [1] The insurer will not cancel the insured's coverage without giving thirty (30) calendar days prior written notice to the State (California Department of Health Care Services).
 - [2] The State of California, its officers, agents, employees, and servants are included as additional insureds, but only with respect to work performed for the State under this Agreement and any extension or continuation of this Agreement.
 - [3] The insurance carrier shall notify the California Department of Health Care Services (DHCS), in writing, of the Contractor's failure to pay premiums; its cancellation of such policies; or any other substantial change, including, but not limited to, the status, coverage, or scope of the required insurance. Such notices shall contain a reference to each agreement number for which the insurance was obtained.
- (f) The Contractor and/or Subcontractor is hereby advised that copies of certificates of insurance may be subject to review and approval by the Department of General Services (DGS), Office of Risk and Insurance Management. The Contractor shall be notified by DHCS, in writing, if this provision is applicable to this Agreement. If DGS approval of the certificate of insurance is required, the Contractor agrees that no work or services shall be performed prior to obtaining said approval.
- (g) In the event the Contractor and/or Subcontractor fails to keep insurance coverage, as required herein, in effect at all times during vehicle possession, DHCS may, in addition to any other remedies it may have, terminate this Agreement upon the occurrence of such event.

5. Subcontract Requirements

(Applicable to agreements under which services are to be performed by subcontractors including independent consultants.)

- a. Prior written authorization will be required before the Contractor enters into or is reimbursed for any subcontract for services costing \$5,000 or more. Except as indicated in Paragraph a(3) herein, when securing subcontracts for services exceeding \$5,000, the Contractor shall obtain at least three bids or justify a sole source award.
 - (1) The Contractor must provide in its request for authorization, all information necessary for evaluating the necessity or desirability of incurring such cost.
 - (2) DHCS may identify the information needed to fulfill this requirement.
 - (3) Subcontracts performed by the following entities or for the service types listed below are exempt from the bidding and sole source justification requirements:
 - (a) A local governmental entity or the federal government,
 - (b) A State college or State university from any State,
 - (c) A Joint Powers Authority,
 - (d) An auxiliary organization of a California State University or a California community college,
 - (e) A foundation organized to support the Board of Governors of the California Community Colleges,
 - (f) An auxiliary organization of the Student Aid Commission established under Education Code § 69522,
 - (g) Firms or individuals proposed for use and approved by DHCS' funding Program via acceptance of an application or proposal for funding or pre/post contract award negotiations,

- (h) Entities and/or service types identified as exempt from advertising and competitive bidding in State Contracting Manual Chapter 5 Section 5.80 Subsection B.3. View this publication at the following Internet address: <http://www.dgs.ca.gov/ols/Resources/StateContractManual.aspx>.
- b. DHCS reserves the right to approve or disapprove the selection of subcontractors and with advance written notice, require the substitution of subcontractors and require the Contractor to terminate subcontracts entered into in support of this Agreement.
 - (1) Upon receipt of a written notice from DHCS requiring the substitution and/or termination of a subcontract, the Contractor shall take steps to ensure the completion of any work in progress and select a replacement, if applicable, within 30 calendar days, unless a longer period is agreed to by DHCS.
 - c. Actual subcontracts (i.e., written agreement between the Contractor and a subcontractor) of \$5,000 or more are subject to the prior review and written approval of DHCS. DHCS may, at its discretion, elect to waive this right. All such waivers shall be confirmed in writing by DHCS.
 - d. Contractor shall maintain a copy of each subcontract entered into in support of this Agreement and shall, upon request by DHCS, make copies available for approval, inspection, or audit.
 - e. DHCS assumes no responsibility for the payment of subcontractors used in the performance of this Agreement. Contractor accepts sole responsibility for the payment of subcontractors used in the performance of this Agreement.
 - f. The Contractor is responsible for all performance requirements under this Agreement even though performance may be carried out through a subcontract.
 - g. The Contractor shall ensure that all subcontracts for services include provision(s) requiring compliance with applicable terms and conditions specified in this Agreement.
 - h. The Contractor agrees to include the following clause, relevant to record retention, in all subcontracts for services:

"(Subcontractor Name) agrees to maintain and preserve, until three years after termination of (Agreement Number) and final payment from DHCS to the Contractor, to permit DHCS or any duly authorized representative, to have access to, examine or audit any pertinent books, documents, papers and records related to this subcontract and to allow interviews of any employees who might reasonably have information related to such records."
 - i. Unless otherwise stipulated in writing by DHCS, the Contractor shall be the subcontractor's sole point of contact for all matters related to performance and payment under this Agreement.
 - j. Contractor shall, as applicable, advise all subcontractors of their obligations pursuant to the following numbered provisions of this Exhibit: 1, 2, 3, 4, 5, 6, 7, 8, 10, 11, 12, 13, 14, 17, 19, 20, 24, 32 and/or other numbered provisions herein that are deemed applicable.

6. Income Restrictions

Unless otherwise stipulated in this Agreement, the Contractor agrees that any refunds, rebates, credits, or other amounts (including any interest thereon) accruing to or received by the Contractor under this Agreement shall be paid by the Contractor to DHCS, to the extent that they are properly allocable to costs for which the Contractor has been reimbursed by DHCS under this Agreement.

7. Audit and Record Retention

(Applicable to agreements in excess of \$10,000.)

- a. The Contractor and/or Subcontractor shall maintain books, records, documents, and other evidence, accounting procedures and practices, sufficient to properly reflect all direct and indirect costs of whatever nature claimed to have been incurred in the performance of this Agreement, including any matching costs and expenses. The foregoing constitutes "records" for the purpose of this provision.

- b. The Contractor's and/or subcontractor's facility or office or such part thereof as may be engaged in the performance of this Agreement and his/her records shall be subject at all reasonable times to inspection, audit, and reproduction.
- c. Contractor agrees that DHCS, the Department of General Services, the Bureau of State Audits, or their designated representatives including the Comptroller General of the United States shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this Agreement. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, the Contractor agrees to include a similar right of the State to audit records and interview staff in any subcontract related to performance of this Agreement. (GC 8546.7, CCR Title 2, Section 1896).
- d. The Contractor and/or Subcontractor shall preserve and make available his/her records (1) for a period of three years from the date of final payment under this Agreement, and (2) for such longer period, if any, as is required by applicable statute, by any other provision of this Agreement, or by subparagraphs (1) or (2) below.
 - (1) If this Agreement is completely or partially terminated, the records relating to the work terminated shall be preserved and made available for a period of three years from the date of any resulting final settlement.
 - (2) If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later.
- e. The Contractor and/or Subcontractor shall comply with the above requirements and be aware of the penalties for violations of fraud and for obstruction of investigation as set forth in Public Contract Code § 10115.10, if applicable.
- f. The Contractor and/or Subcontractor may, at its discretion, following receipt of final payment under this Agreement, reduce its accounts, books and records related to this Agreement to microfilm, computer disk, CD ROM, DVD, or other data storage medium. Upon request by an authorized representative to inspect, audit or obtain copies of said records, the Contractor and/or Subcontractor must supply or make available applicable devices, hardware, and/or software necessary to view, copy and/or print said records. Applicable devices may include, but are not limited to, microfilm readers and microfilm printers, etc.
- g. The Contractor shall, if applicable, comply with the Single Audit Act and the audit reporting requirements set forth in OMB Circular A-133.

8. Site Inspection

The State, through any authorized representatives, has the right at all reasonable times to inspect or otherwise evaluate the work performed or being performed hereunder including subcontract supported activities and the premises in which it is being performed. If any inspection or evaluation is made of the premises of the Contractor or Subcontractor, the Contractor shall provide and shall require Subcontractors to provide all reasonable facilities and assistance for the safety and convenience of the authorized representatives in the performance of their duties. All inspections and evaluations shall be performed in such a manner as will not unduly delay the work.

9. Federal Contract Funds

(Applicable only to that portion of an agreement funded in part or whole with federal funds.)

- a. It is mutually understood between the parties that this Agreement may have been written before ascertaining the availability of congressional appropriation of funds, for the mutual benefit of both parties, in order to avoid program and fiscal delays which would occur if the Agreement were executed after that determination was made.

- b. This agreement is valid and enforceable only if sufficient funds are made available to the State by the United States Government for the fiscal years covered by the term of this Agreement. In addition, this Agreement is subject to any additional restrictions, limitations, or conditions enacted by the Congress or any statute enacted by the Congress which may affect the provisions, terms or funding of this Agreement in any manner.
- c. It is mutually agreed that if the Congress does not appropriate sufficient funds for the program, this Agreement shall be amended to reflect any reduction in funds.
- d. DHCS has the option to invalidate or cancel the Agreement with 30-days advance written notice or to amend the Agreement to reflect any reduction in funds.

10. Intellectual Property Rights

a. Ownership

- (1) Except where DHCS has agreed in a signed writing to accept a license, DHCS shall be and remain, without additional compensation, the sole owner of any and all rights, title and interest in all Intellectual Property, from the moment of creation, whether or not jointly conceived, that are made, conceived, derived from, or reduced to practice by Contractor or DHCS and which result directly or indirectly from this Agreement.
- (2) For the purposes of this Agreement, Intellectual Property means recognized protectable rights and interest such as: patents, (whether or not issued) copyrights, trademarks, service marks, applications for any of the foregoing, inventions, trade secrets, trade dress, logos, insignia, color combinations, slogans, moral rights, right of publicity, author's rights, contract and licensing rights, works, mask works, industrial design rights, rights of priority, know how, design flows, methodologies, devices, business processes, developments, innovations, good will and all other legal rights protecting intangible proprietary information as may exist now and/or here after come into existence, and all renewals and extensions, regardless of whether those rights arise under the laws of the United States, or any other state, country or jurisdiction.
 - (a) For the purposes of the definition of Intellectual Property, "works" means all literary works, writings and printed matter including the medium by which they are recorded or reproduced, photographs, art work, pictorial and graphic representations and works of a similar nature, film, motion pictures, digital images, animation cells, and other audiovisual works including positives and negatives thereof, sound recordings, tapes, educational materials, interactive videos and any other materials or products created, produced, conceptualized and fixed in a tangible medium of expression. It includes preliminary and final products and any materials and information developed for the purposes of producing those final products. Works does not include articles submitted to peer review or reference journals or independent research projects.
- (3) In the performance of this Agreement, Contractor will exercise and utilize certain of its Intellectual Property in existence prior to the effective date of this Agreement. In addition, under this Agreement, Contractor may access and utilize certain of DHCS' Intellectual Property in existence prior to the effective date of this Agreement. Except as otherwise set forth herein, Contractor shall not use any of DHCS' Intellectual Property now existing or hereafter existing for any purposes without the prior written permission of DHCS. **Except as otherwise set forth herein, neither the Contractor nor DHCS shall give any ownership interest in or rights to its Intellectual Property to the other Party.** If during the term of this Agreement, Contractor accesses any third-party Intellectual Property that is licensed to DHCS, Contractor agrees to abide by all license and confidentiality restrictions applicable to DHCS in the third-party's license agreement.
- (4) Contractor agrees to cooperate with DHCS in establishing or maintaining DHCS' exclusive rights in the Intellectual Property, and in assuring DHCS' sole rights against third parties with respect to the Intellectual Property. If the Contractor enters into any agreements or subcontracts with other parties in order to perform this Agreement, Contractor shall require the terms of the Agreement(s) to include all Intellectual Property provisions. Such terms must include, but are not limited to, the subcontractor assigning and agreeing to assign to DHCS all rights, title and interest in Intellectual

Property made, conceived, derived from, or reduced to practice by the subcontractor, Contractor or DHCS and which result directly or indirectly from this Agreement or any subcontract.

- (5) Contractor further agrees to assist and cooperate with DHCS in all reasonable respects, and execute all documents and, subject to reasonable availability, give testimony and take all further acts reasonably necessary to acquire, transfer, maintain, and enforce DHCS' Intellectual Property rights and interests.

b. Retained Rights / License Rights

- (1) Except for Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or DHCS and which result directly or indirectly from this Agreement, Contractor shall retain title to all of its Intellectual Property to the extent such Intellectual Property is in existence prior to the effective date of this Agreement. Contractor hereby grants to DHCS, without additional compensation, a permanent, non-exclusive, royalty free, paid-up, worldwide, irrevocable, perpetual, non-terminable license to use, reproduce, manufacture, sell, offer to sell, import, export, modify, publicly and privately display/perform, distribute, and dispose Contractor's Intellectual Property with the right to sublicense through multiple layers, for any purpose whatsoever, to the extent it is incorporated in the Intellectual Property resulting from this Agreement, unless Contractor assigns all rights, title and interest in the Intellectual Property as set forth herein.
- (2) Nothing in this provision shall restrict, limit, or otherwise prevent Contractor from using any ideas, concepts, know-how, methodology or techniques related to its performance under this Agreement, provided that Contractor's use does not infringe the patent, copyright, trademark rights, license or other Intellectual Property rights of DHCS or third party, or result in a breach or default of any provisions of this Exhibit or result in a breach of any provisions of law relating to confidentiality.

c. Copyright

- (1) Contractor agrees that for purposes of copyright law, all works [as defined in Paragraph a, subparagraph (2)(a) of this provision] of authorship made by or on behalf of Contractor in connection with Contractor's performance of this Agreement shall be deemed "works made for hire". Contractor further agrees that the work of each person utilized by Contractor in connection with the performance of this Agreement will be a "work made for hire," whether that person is an employee of Contractor or that person has entered into an agreement with Contractor to perform the work. Contractor shall enter into a written agreement with any such person that: (i) all work performed for Contractor shall be deemed a "work made for hire" under the Copyright Act and (ii) that person shall assign all right, title, and interest to DHCS to any work product made, conceived, derived from, or reduced to practice by Contractor or DHCS and which result directly or indirectly from this Agreement.
- (2) All materials, including, but not limited to, visual works or text, reproduced or distributed pursuant to this Agreement that include Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or DHCS and which result directly or indirectly from this Agreement, shall include DHCS' notice of copyright, which shall read in 3mm or larger typeface: "© [Enter Current Year e.g., 2010, etc.], California Department of Health Care Services. This material may not be reproduced or disseminated without prior written permission from the California Department of Health Care Services." This notice should be placed prominently on the materials and set apart from other matter on the page where it appears. Audio productions shall contain a similar audio notice of copyright.

d. Patent Rights

With respect to inventions made by Contractor in the performance of this Agreement, which did not result from research and development specifically included in the Agreement's scope of work, Contractor hereby grants to DHCS a license as described under Section b of this provision for devices or material incorporating, or made through the use of such inventions. If such inventions result from research and development work specifically included within the Agreement's scope of work, then Contractor agrees to assign to DHCS, without additional compensation, all its right, title and interest in and to such inventions and to assist DHCS in securing United States and foreign patents with respect thereto.

e. Third-Party Intellectual Property

Except as provided herein, Contractor agrees that its performance of this Agreement shall not be dependent upon or include any Intellectual Property of Contractor or third party without first: (i) obtaining DHCS' prior written approval; and (ii) granting to or obtaining for DHCS, without additional compensation, a license, as described in Section b of this provision, for any of Contractor's or third-party's Intellectual Property in existence prior to the effective date of this Agreement. If such a license upon these terms is unattainable, and DHCS determines that the Intellectual Property should be included in or is required for Contractor's performance of this Agreement, Contractor shall obtain a license under terms acceptable to DHCS.

f. Warranties

- (1) Contractor represents and warrants that:
- It is free to enter into and fully perform this Agreement.
 - It has secured and will secure all rights and licenses necessary for its performance of this Agreement.
 - Neither Contractor's performance of this Agreement, nor the exercise by either Party of the rights granted in this Agreement, nor any use, reproduction, manufacture, sale, offer to sell, import, export, modification, public and private display/performance, distribution, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or DHCS and which result directly or indirectly from this Agreement will infringe upon or violate any Intellectual Property right, non-disclosure obligation, or other proprietary right or interest of any third-party or entity now existing under the laws of, or hereafter existing or issued by, any state, the United States, or any foreign country. There is currently no actual or threatened claim by any such third party based on an alleged violation of any such right by Contractor.
 - Neither Contractor's performance nor any part of its performance will violate the right of privacy of, or constitute a libel or slander against any person or entity.
 - It has secured and will secure all rights and licenses necessary for Intellectual Property including, but not limited to, consents, waivers or releases from all authors of music or performances used, and talent (radio, television and motion picture talent), owners of any interest in and to real estate, sites, locations, property or props that may be used or shown.
 - It has not granted and shall not grant to any person or entity any right that would or might derogate, encumber, or interfere with any of the rights granted to DHCS in this Agreement.
 - It has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this Agreement for the acquisition, operation or maintenance of computer software in violation of copyright laws.
 - It has no knowledge of any outstanding claims, licenses or other charges, liens, or encumbrances of any kind or nature whatsoever that could affect in any way Contractor's performance of this Agreement.
- (2) DHCS MAKES NO WARRANTY THAT THE INTELLECTUAL PROPERTY RESULTING FROM THIS AGREEMENT DOES NOT INFRINGE UPON ANY PATENT, TRADEMARK, COPYRIGHT OR THE LIKE, NOW EXISTING OR SUBSEQUENTLY ISSUED.

g. Intellectual Property Indemnity

- (1) Contractor shall indemnify, defend and hold harmless DHCS and its licensees and assignees, and its officers, directors, employees, agents, representatives, successors, and users of its products, ("Indemnitees") from and against all claims, actions, damages, losses, liabilities (or actions or proceedings with respect to any thereof), whether or not rightful, arising from any and all actions or claims by any third party or expenses related thereto (including, but not limited to, all legal expenses,

court costs, and attorney's fees incurred in investigating, preparing, serving as a witness in, or defending against, any such claim, action, or proceeding, commenced or threatened) to which any of the Indemnitees may be subject, whether or not Contractor is a party to any pending or threatened litigation, which arise out of or are related to (i) the incorrectness or breach of any of the representations, warranties, covenants or agreements of Contractor pertaining to Intellectual Property; or (ii) any Intellectual Property infringement, or any other type of actual or alleged infringement claim, arising out of DHCS' use, reproduction, manufacture, sale, offer to sell, distribution, import, export, modification, public and private performance/display, license, and disposition of the Intellectual Property made, conceived, derived from, or reduced to practice by Contractor or DHCS and which result directly or indirectly from this Agreement. This indemnity obligation shall apply irrespective of whether the infringement claim is based on a patent, trademark or copyright registration that issued after the effective date of this Agreement. DHCS reserves the right to participate in and/or control, at Contractor's expense, any such infringement action brought against DHCS.

- (2) Should any Intellectual Property licensed by the Contractor to DHCS under this Agreement become the subject of an Intellectual Property infringement claim, Contractor will exercise its authority reasonably and in good faith to preserve DHCS' right to use the licensed Intellectual Property in accordance with this Agreement at no expense to DHCS. DHCS shall have the right to monitor and appear through its own counsel (at Contractor's expense) in any such claim or action. In the defense or settlement of the claim, Contractor may obtain the right for DHCS to continue using the licensed Intellectual Property; or, replace or modify the licensed Intellectual Property so that the replaced or modified Intellectual Property becomes non-infringing provided that such replacement or modification is functionally equivalent to the original licensed Intellectual Property. If such remedies are not reasonably available, DHCS shall be entitled to a refund of all monies paid under this Agreement, without restriction or limitation of any other rights and remedies available at law or in equity.
- (3) Contractor agrees that damages alone would be inadequate to compensate DHCS for breach of any term of this Intellectual Property Exhibit by Contractor. Contractor acknowledges DHCS would suffer irreparable harm in the event of such breach and agrees DHCS shall be entitled to obtain equitable relief, including without limitation an injunction, from a court of competent jurisdiction, without restriction or limitation of any other rights and remedies available at law or in equity.

h. Federal Funding

In any agreement funded in whole or in part by the federal government, DHCS may acquire and maintain the Intellectual Property rights, title, and ownership, which results directly or indirectly from the Agreement; except as provided in 37 Code of Federal Regulations part 401.14; however, the federal government shall have a non-exclusive, nontransferable, irrevocable, paid-up license throughout the world to use, duplicate, or dispose of such Intellectual Property throughout the world in any manner for governmental purposes and to have and permit others to do so.

i. Survival

The provisions set forth herein shall survive any termination or expiration of this Agreement or any project schedule.

11. Air or Water Pollution Requirements

Any federally funded agreement and/or subcontract in excess of \$100,000 must comply with the following provisions unless said agreement is exempt under 40 CFR 15.5.

- a. Government contractors agree to comply with all applicable standards, orders, or requirements issued under section 306 of the Clean Air Act [42 U.S.C. 1857(h)], section 508 of the Clean Water Act (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency regulations (40 CFR part 15).
- b. Institutions of higher education, hospitals, nonprofit organizations and commercial businesses agree to comply with all applicable standards, orders, or requirements issued under the Clean Air Act (42 U.S.C. 7401 et seq.), as amended, and the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.), as

amended.

12. Prior Approval of Training Seminars, Workshops or Conferences

Contractor shall obtain prior DHCS approval of the location, costs, dates, agenda, instructors, instructional materials, and attendees at any reimbursable training seminar, workshop, or conference conducted pursuant to this Agreement and of any reimbursable publicity or educational materials to be made available for distribution. The Contractor shall acknowledge the support of the State whenever publicizing the work under this Agreement in any media. This provision does not apply to necessary staff meetings or training sessions held for the staff of the Contractor or Subcontractor to conduct routine business matters.

13. Confidentiality of Information

- a. The Contractor and its employees, agents, or subcontractors shall protect from unauthorized disclosure names and other identifying information concerning persons either receiving services pursuant to this Agreement or persons whose names or identifying information become available or are disclosed to the Contractor, its employees, agents, or subcontractors as a result of services performed under this Agreement, except for statistical information not identifying any such person.
- b. The Contractor and its employees, agents, or subcontractors shall not use such identifying information for any purpose other than carrying out the Contractor's obligations under this Agreement.
- c. The Contractor and its employees, agents, or subcontractors shall promptly transmit to the DHCS Program Contract Manager all requests for disclosure of such identifying information not emanating from the client or person.
- d. The Contractor shall not disclose, except as otherwise specifically permitted by this Agreement or authorized by the client, any such identifying information to anyone other than DHCS without prior written authorization from the DHCS Program Contract Manager, except if disclosure is required by State or Federal law.
- e. For purposes of this provision, identity shall include, but not be limited to name, identifying number, symbol, or other identifying particular assigned to the individual, such as finger or voice print or a photograph.
- f. As deemed applicable by DHCS, this provision may be supplemented by additional terms and conditions covering personal health information (PHI) or personal, sensitive, and/or confidential information (PSCI). Said terms and conditions will be outlined in one or more exhibits that will either be attached to this Agreement or incorporated into this Agreement by reference.

14. Documents, Publications and Written Reports

(Applicable to agreements over \$5,000 under which publications, written reports and documents are developed or produced. Government Code Section 7550.)

Any document, publication or written report (excluding progress reports, financial reports and normal contractual communications) prepared as a requirement of this Agreement shall contain, in a separate section preceding the main body of the document, the number and dollar amounts of all contracts or agreements and subcontracts relating to the preparation of such document or report, if the total cost for work by nonemployees of the State exceeds \$5,000.

15. Dispute Resolution Process

- a. A Contractor grievance exists whenever there is a dispute arising from DHCS' action in the administration of an agreement. If there is a dispute or grievance between the Contractor and DHCS, the Contractor must seek resolution using the procedure outlined below.
- (1) The Contractor should first informally discuss the problem with the DHCS Program Contract Manager. If the problem cannot be resolved informally, the Contractor shall direct its grievance together with any evidence, in writing, to the program Branch Chief. The grievance shall state the issues in dispute, the legal authority or other basis for the Contractor's position and the remedy

sought. The Branch Chief shall render a decision within ten (10) working days after receipt of the written grievance from the Contractor. The Branch Chief shall respond in writing to the Contractor indicating the decision and reasons therefore. If the Contractor disagrees with the Branch Chief's decision, the Contractor may appeal to the second level.

(2) When appealing to the second level, the Contractor must prepare an appeal indicating the reasons for disagreement with Branch Chief's decision. The Contractor shall include with the appeal a copy of the Contractor's original statement of dispute along with any supporting evidence and a copy of the Branch Chief's decision. The appeal shall be addressed to the Deputy Director of the division in which the branch is organized within ten (10) working days from receipt of the Branch Chief's decision. The Deputy Director of the division in which the branch is organized or his/her designee shall meet with the Contractor to review the issues raised. A written decision signed by the Deputy Director of the division in which the branch is organized or his/her designee shall be directed to the Contractor within twenty (20) working days of receipt of the Contractor's second level appeal.

- b. If the Contractor wishes to appeal the decision of the Deputy Director of the division in which the branch is organized or his/her designee, the Contractor shall follow the procedures set forth in Health and Safety Code Section 100171.
- c. Unless otherwise stipulated in writing by DHCS, all dispute, grievance and/or appeal correspondence shall be directed to the DHCS Program Contract Manager.
- d. There are organizational differences within DHCS' funding programs and the management levels identified in this dispute resolution provision may not apply in every contractual situation. When a grievance is received and organizational differences exist, the Contractor shall be notified in writing by the DHCS Program Contract Manager of the level, name, and/or title of the appropriate management official that is responsible for issuing a decision at a given level.

16. Financial and Compliance Audit Requirements

- a. The definitions used in this provision are contained in Section 38040 of the Health and Safety Code, which by this reference is made a part hereof.
- b. Direct service contract means a contract or agreement for services contained in local assistance or subvention programs or both (see Health and Safety [H&S] Code Section 38020). Direct service contracts shall not include contracts, agreements, grants, or subventions to other governmental agencies or units of government nor contracts or agreements with regional centers or area agencies on aging (H&S Code Section 38030).
- c. The Contractor, as indicated below, agrees to obtain one of the following audits:

- (1) **If the Contractor is a nonprofit organization (as defined in H&S Code Section 38040) and receives \$25,000 or more from any State agency under a direct service contract or agreement;** the Contractor agrees to obtain an annual single, organization wide, financial and compliance audit. Said audit shall be conducted according to Generally Accepted Auditing Standards. This audit does not fulfill the audit requirements of Paragraph c(3) below. The audit shall be completed by the 15th day of the fifth month following the end of the Contractor's fiscal year, **and/or**
- (2) **If the Contractor is a nonprofit organization (as defined in H&S Code Section 38040) and receives less than \$25,000 per year from any State agency under a direct service contract or agreement;** the Contractor agrees to obtain a biennial single, organization wide financial and compliance audit, unless there is evidence of fraud or other violation of state law in connection with this Agreement. This audit does not fulfill the audit requirements of Paragraph c(3) below. The audit shall be completed by the 15th day of the fifth month following the end of the Contractor's fiscal year, **and/or**
- (3) **If the Contractor is a State or Local Government entity or Nonprofit organization (as defined by the Federal Office of Management and Budget [OMB] Circular A-133) and expends \$500,000 or more in Federal awards,** the Contractor agrees to obtain an annual single, organization wide, financial and compliance audit according to the requirements specified in OMB Circular A-133 entitled "Audits of States, Local Governments, and Non-Profit Organizations". An audit conducted

pursuant to this provision will fulfill the audit requirements outlined in Paragraphs c(1) and c(2) above. The audit shall be completed by the end of the ninth month following the end of the audit period. The requirements of this provision apply if:

- (a) The Contractor is a recipient expending Federal awards received directly from Federal awarding agencies, or
 - (b) The Contractor is a subrecipient expending Federal awards received from a pass-through entity such as the State, County or community based organization.
- (4) If the Contractor submits to DHCS a report of an audit other than an OMB A-133 audit, the Contractor must also submit a certification indicating the Contractor has not expended \$500,000 or more in federal funds for the year covered by the audit report.
- d. Two copies of the audit report shall be delivered to the DHCS program funding this Agreement. The audit report must identify the Contractor's legal name and the number assigned to this Agreement. The audit report shall be due within 30 days after the completion of the audit. Upon receipt of said audit report, the DHCS Program Contract Manager shall forward the audit report to DHCS' Audits and Investigations Unit if the audit report was submitted under Section 16.c(3), unless the audit report is from a City, County, or Special District within the State of California whereby the report will be retained by the funding program.
 - e. The cost of the audits described herein may be included in the funding for this Agreement up to the proportionate amount this Agreement represents of the Contractor's total revenue. The DHCS program funding this Agreement must provide advance written approval of the specific amount allowed for said audit expenses.
 - f. The State or its authorized designee, including the Bureau of State Audits, is responsible for conducting agreement performance audits which are not financial and compliance audits. Performance audits are defined by Generally Accepted Government Auditing Standards.
 - g. Nothing in this Agreement limits the State's responsibility or authority to enforce State law or regulations, procedures, or reporting requirements arising thereto.
 - h. Nothing in this provision limits the authority of the State to make audits of this Agreement, provided however, that if independent audits arranged for by the Contractor meet Generally Accepted Governmental Auditing Standards, the State shall rely on those audits and any additional audit work and shall build upon the work already done.
 - i. The State may, at its option, direct its own auditors to perform either of the audits described above. The Contractor will be given advance written notification, if the State chooses to exercise its option to perform said audits.
 - j. The Contractor shall include a clause in any agreement the Contractor enters into with the audit firm doing the single organization wide audit to provide access by the State or Federal Government to the working papers of the independent auditor who prepares the single organization wide audit for the Contractor.
 - k. Federal or state auditors shall have "expanded scope auditing" authority to conduct specific program audits during the same period in which a single organization wide audit is being performed, but the audit report has not been issued. The federal or state auditors shall review and have access to the current audit work being conducted and will not apply any testing or review procedures which have not been satisfied by previous audit work that has been completed.

The term "expanded scope auditing" is applied and defined in the U.S. General Accounting Office (GAO) issued Standards for *Audit of Government Organizations, Programs, Activities and Functions*, better known as the "yellow book".

17. Human Subjects Use Requirements

(Applicable only to federally funded agreements/grants in which performance, directly or through a

subcontract/subaward, includes any tests or examination of materials derived from the human body.)

By signing this Agreement, Contractor agrees that if any performance under this Agreement or any subcontract or subagreement includes any tests or examination of materials derived from the human body for the purpose of providing information, diagnosis, prevention, treatment or assessment of disease, impairment, or health of a human being, all locations at which such examinations are performed shall meet the requirements of 42 U.S.C. Section 263a (CLIA) and the regulations thereunder.

18. Novation Requirements

If the Contractor proposes any novation agreement, DHCS shall act upon the proposal within 60 days after receipt of the written proposal. DHCS may review and consider the proposal, consult and negotiate with the Contractor, and accept or reject all or part of the proposal. Acceptance or rejection of the proposal may be made orally within the 60-day period and confirmed in writing within five days of said decision. Upon written acceptance of the proposal, DHCS will initiate an amendment to this Agreement to formally implement the approved proposal.

19. Debarment and Suspension Certification

(Applicable to all agreements funded in part or whole with federal funds.)

- a. By signing this Agreement, the Contractor/Grantee agrees to comply with applicable federal suspension and debarment regulations including, but not limited to 7 CFR Part 3017, 45 CFR 76, 40 CFR 32 or 34 CFR 85.
- b. By signing this Agreement, the Contractor certifies to the best of its knowledge and belief, that it and its principals:
 - (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any federal department or agency;
 - (2) Have not within a three-year period preceding this application/proposal/agreement been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in Paragraph b(2) herein; and
 - (4) Have not within a three-year period preceding this application/proposal/agreement had one or more public transactions (Federal, State or local) terminated for cause or default.
 - (5) Shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under federal regulations (i.e., 48 CFR part 9, subpart 9.4), debarred, suspended, declared ineligible, or voluntarily excluded from participation in such transaction, unless authorized by the State.
 - (6) Will include a clause entitled, "Debarment and Suspension Certification" that essentially sets forth the provisions herein, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- c. If the Contractor is unable to certify to any of the statements in this certification, the Contractor shall submit an explanation to the DHCS Program Contract Manager.
- d. The terms and definitions herein have the meanings set out in the Definitions and Coverage sections of the rules implementing Federal Executive Order 12549.
- e. If the Contractor knowingly violates this certification, in addition to other remedies available to the Federal Government, the DHCS may terminate this Agreement for cause or default.

20. Smoke-Free Workplace Certification

(Applicable to federally funded agreements/grants and subcontracts/subawards, that provide health, day care, early childhood development services, education or library services to children under 18 directly or through local governments.)

- a. Public Law 103-227, also known as the Pro-Children Act of 1994 (Act), requires that smoking not be permitted in any portion of any indoor facility owned or leased or contracted for by an entity and used routinely or regularly for the provision of health, day care, early childhood development services, education or library services to children under the age of 18, if the services are funded by federal programs either directly or through state or local governments, by federal grant, contract, loan, or loan guarantee. The law also applies to children's services that are provided in indoor facilities that are constructed, operated, or maintained with such federal funds. The law does not apply to children's services provided in private residences; portions of facilities used for inpatient drug or alcohol treatment; service providers whose sole source of applicable federal funds is Medicare or Medicaid; or facilities where WIC coupons are redeemed.
- b. Failure to comply with the provisions of the law may result in the imposition of a civil monetary penalty of up to \$1,000 for each violation and/or the imposition of an administrative compliance order on the responsible party.
- c. By signing this Agreement, Contractor or Grantee certifies that it will comply with the requirements of the Act and will not allow smoking within any portion of any indoor facility used for the provision of services for children as defined by the Act. The prohibitions herein are effective December 26, 1994.
- d. Contractor or Grantee further agrees that it will insert this certification into any subawards (subcontracts or subgrants) entered into that provide for children's services as described in the Act.

21. Covenant Against Contingent Fees

(Applicable only to federally funded agreements.)

The Contractor warrants that no person or selling agency has been employed or retained to solicit/secure this Agreement upon an agreement of understanding for a commission, percentage, brokerage, or contingent fee, except *bona fide* employees or *bona fide* established commercial or selling agencies retained by the Contractor for the purpose of securing business. For breach or violation of this warranty, DHCS shall have the right to annul this Agreement without liability or in its discretion to deduct from the Agreement price or consideration, or otherwise recover, the full amount of such commission, percentage, and brokerage or contingent fee.

22. Payment Withholds

(Applicable only if a final report is required by this Agreement. Not applicable to government entities.)

Unless waived or otherwise stipulated in this Agreement, DHCS may, at its discretion, withhold 10 percent (10%) of the face amount of the Agreement, 50 percent (50%) of the final invoice, or \$3,000 whichever is greater, until DHCS receives a final report that meets the terms, conditions and/or scope of work requirements of this Agreement.

23. Performance Evaluation

(Not applicable to grant agreements.)

DHCS may, at its discretion, evaluate the performance of the Contractor at the conclusion of this Agreement. If performance is evaluated, the evaluation shall not be a public record and shall remain on file with DHCS. Negative performance evaluations may be considered by DHCS prior to making future contract awards.

24. Officials Not to Benefit

No members of or delegate of Congress or the State Legislature shall be admitted to any share or part of this Agreement, or to any benefit that may arise therefrom. This provision shall not be construed to extend to this Agreement if made with a corporation for its general benefits.

25. Four-Digit Date Compliance

(Applicable to agreements in which Information Technology (IT) services are provided to DHCS or if IT equipment is procured.)

Contractor warrants that it will provide only Four-Digit Date Compliant (as defined below) Deliverables and/or services to the State. "Four Digit Date compliant" Deliverables and services can accurately process, calculate, compare, and sequence date data, including without limitation date data arising out of or relating to leap years and changes in centuries. This warranty and representation is subject to the warranty terms and conditions of this Contract and does not limit the generality of warranty obligations set forth elsewhere herein.

26. Prohibited Use of State Funds for Software

(Applicable to agreements in which computer software is used in performance of the work.)

Contractor certifies that it has appropriate systems and controls in place to ensure that state funds will not be used in the performance of this Agreement for the acquisition, operation or maintenance of computer software in violation of copyright laws.

27. Use of Small, Minority Owned and Women's Businesses

(Applicable to that portion of an agreement that is federally funded and entered into with institutions of higher education, hospitals, nonprofit organizations or commercial businesses.)

Positive efforts shall be made to use small businesses, minority-owned firms and women's business enterprises, whenever possible (i.e., procurement of goods and/or services). Contractors shall take all of the following steps to further this goal.

- (1) Ensure that small businesses, minority-owned firms, and women's business enterprises are used to the fullest extent practicable.
- (2) Make information on forthcoming purchasing and contracting opportunities available and arrange time frames for purchases and contracts to encourage and facilitate participation by small businesses, minority-owned firms, and women's business enterprises.
- (3) Consider in the contract process whether firms competing for larger contracts intend to subcontract with small businesses, minority-owned firms, and women's business enterprises.
- (4) Encourage contracting with consortiums of small businesses, minority-owned firms and women's business enterprises when a contract is too large for one of these firms to handle individually.
- (5) Use the services and assistance, as appropriate, of such organizations as the Federal Small Business Administration and the U.S. Department of Commerce's Minority Business Development Agency in the solicitation and utilization of small businesses, minority-owned firms and women's business enterprises.

28. Alien Ineligibility Certification

(Applicable to sole proprietors entering federally funded agreements.)

By signing this Agreement, the Contractor certifies that he/she is not an alien that is ineligible for state and local benefits, as defined in Subtitle B of the Personal Responsibility and Work Opportunity Act. (8 U.S.C. 1601, et seq.)

29. Union Organizing

(Applicable only to grant agreements.)

Grantee, by signing this Agreement, hereby acknowledges the applicability of Government Code Sections 16645 through 16649 to this Agreement. Furthermore, Grantee, by signing this Agreement, hereby certifies that:

- a. No state funds disbursed by this grant will be used to assist, promote or deter union organizing.
- b. Grantee shall account for state funds disbursed for a specific expenditure by this grant, to show those funds were allocated to that expenditure.
- c. Grantee shall, where state funds are not designated as described in b herein, allocate, on a pro-rata basis, all disbursements that support the grant program.
- d. If Grantee makes expenditures to assist, promote or deter union organizing, Grantee will maintain records sufficient to show that no state funds were used for those expenditures, and that Grantee shall provide those records to the Attorney General upon request.

30. Contract Uniformity (Fringe Benefit Allowability)

(Applicable only to nonprofit organizations.)

Pursuant to the provisions of Article 7 (commencing with Section 100525) of Chapter 3 of Part 1 of Division 101 of the Health and Safety Code, DHCS sets forth the following policies, procedures, and guidelines regarding the reimbursement of fringe benefits.

- a. As used herein fringe benefits shall mean an employment benefit given by one's employer to an employee in addition to one's regular or normal wages or salary.
- b. As used herein, fringe benefits do not include:
 - (1) Compensation for personal services paid currently or accrued by the Contractor for services of employees rendered during the term of this Agreement, which is identified as regular or normal salaries and wages, annual leave, vacation, sick leave, holidays, jury duty and/or military leave/training.
 - (2) Director's and executive committee member's fees.
 - (3) Incentive awards and/or bonus incentive pay.
 - (4) Allowances for off-site pay.
 - (5) Location allowances.
 - (6) Hardship pay.
 - (7) Cost-of-living differentials
- c. Specific allowable fringe benefits include:
 - (1) Fringe benefits in the form of employer contributions for the employer's portion of payroll taxes (i.e., FICA, SUI, SDI), employee health plans (i.e., health, dental and vision), unemployment insurance, worker's compensation insurance, and the employer's share of pension/retirement plans, provided they are granted in accordance with established written organization policies and meet all legal and Internal Revenue Service requirements.
- d. To be an allowable fringe benefit, the cost must meet the following criteria:
 - (1) Be necessary and reasonable for the performance of the Agreement.
 - (2) Be determined in accordance with generally accepted accounting principles.
 - (3) Be consistent with policies that apply uniformly to all activities of the Contractor.
- e. Contractor agrees that all fringe benefits shall be at actual cost.

f. Earned/Accrued Compensation

- (1) Compensation for vacation, sick leave and holidays is limited to that amount earned/accrued within the agreement term. Unused vacation, sick leave and holidays earned from periods prior to the agreement term cannot be claimed as allowable costs. See Provision f (3)(a) for an example.
- (2) For multiple year agreements, vacation and sick leave compensation, which is earned/accrued but not paid, due to employee(s) not taking time off may be carried over and claimed within the overall term of the multiple years of the Agreement. Holidays cannot be carried over from one agreement year to the next. See Provision f (3)(b) for an example.
- (3) For single year agreements, vacation, sick leave and holiday compensation that is earned/accrued but not paid, due to employee(s) not taking time off within the term of the Agreement, cannot be claimed as an allowable cost. See Provision f (3)(c) for an example.

(a) Example No. 1:

If an employee, John Doe, earns/accrues three weeks of vacation and twelve days of sick leave each year, then that is the maximum amount that may be claimed during a one year agreement. If John Doe has five weeks of vacation and eighteen days of sick leave at the beginning of an agreement, the Contractor during a one-year budget period may only claim up to three weeks of vacation and twelve days of sick leave as actually used by the employee. Amounts earned/accrued in periods prior to the beginning of the Agreement are not an allowable cost.

(b) Example No. 2:

If during a three-year (multiple year) agreement, John Doe does not use his three weeks of vacation in year one, or his three weeks in year two, but he does actually use nine weeks in year three; the Contractor would be allowed to claim all nine weeks paid for in year three. The total compensation over the three-year period cannot exceed 156 weeks (3 x 52 weeks).

(c) Example No. 3:

If during a single year agreement, John Doe works fifty weeks and used one week of vacation and one week of sick leave and all fifty-two weeks have been billed to DHCS, the remaining unused two weeks of vacation and seven days of sick leave may not be claimed as an allowable cost.

31. Suspension or Stop Work Notification

- a. DHCS may, at any time, issue a notice to suspend performance or stop work under this Agreement. The initial notification may be a verbal or written directive issued by the funding Program's Contract Manager. Upon receipt of said notice, the Contractor is to suspend and/or stop all, or any part, of the work called for by this Agreement.
- b. Written confirmation of the suspension or stop work notification with directions as to what work (if not all) is to be suspended and how to proceed will be provided within 30 working days of the verbal notification. The suspension or stop work notification shall remain in effect until further written notice is received from DHCS. The resumption of work (in whole or part) will be at DHCS' discretion and upon receipt of written confirmation.
 - (1) Upon receipt of a suspension or stop work notification, the Contractor shall immediately comply with its terms and take all reasonable steps to minimize or halt the incurrence of costs allocable to the performance covered by the notification during the period of work suspension or stoppage.
 - (2) Within 90 days of the issuance of a suspension or stop work notification, DHCS shall either:
 - (a) Cancel, extend, or modify the suspension or stop work notification; or

(b) Terminate the Agreement as provided for in the Cancellation / Termination clause of the Agreement.

- c. If a suspension or stop work notification issued under this clause is canceled or the period of suspension or any extension thereof is modified or expires, the Contractor may resume work only upon written concurrence of funding Program's Contract Manager.
- d. If the suspension or stop work notification is cancelled and the Agreement resumes, changes to the services, deliverables, performance dates, and/or contract terms resulting from the suspension or stop work notification shall require an amendment to the Agreement.
- e. If a suspension or stop work notification is not canceled and the Agreement is cancelled or terminated pursuant to the provision entitled Cancellation / Termination, DHCS shall allow reasonable costs resulting from the suspension or stop work notification in arriving at the settlement costs.
- f. DHCS shall not be liable to the Contractor for loss of profits because of any suspension or stop work notification issued under this clause.

32. Lobbying Restrictions and Disclosure Certification

(Applicable to federally funded agreements in excess of \$100,000 per Section 1352 of the 31, U.S.C.)

a. Certification and Disclosure Requirements

- (1) Each person (or recipient) who requests or receives a contract or agreement, subcontract, grant, or subgrant, which is subject to Section 1352 of the 31, U.S.C., and which exceeds \$100,000 at any tier, shall file a certification (in the form set forth in Attachment 1, consisting of one page, entitled "Certification Regarding Lobbying") that the recipient has not made, and will not make, any payment prohibited by Paragraph b of this provision.
- (2) Each recipient shall file a disclosure (in the form set forth in Attachment 2, entitled "Standard Form-LLL 'disclosure of Lobbying Activities'") if such recipient has made or has agreed to make any payment using nonappropriated funds (to include profits from any covered federal action) in connection with a contract, or grant or any extension or amendment of that contract, or grant, which would be prohibited under Paragraph b of this provision if paid for with appropriated funds.
- (3) Each recipient shall file a disclosure form at the end of each calendar quarter in which there occurs any event that requires disclosure or that materially affect the accuracy of the information contained in any disclosure form previously filed by such person under Paragraph a(2) herein. An event that materially affects the accuracy of the information reported includes:
 - (a) A cumulative increase of \$25,000 or more in the amount paid or expected to be paid for influencing or attempting to influence a covered federal action;
 - (b) A change in the person(s) or individuals(s) influencing or attempting to influence a covered federal action; or
 - (c) A change in the officer(s), employee(s), or member(s) contacted for the purpose of influencing or attempting to influence a covered federal action.
- (4) Each person (or recipient) who requests or receives from a person referred to in Paragraph a(1) of this provision a contract or agreement, subcontract, grant or subgrant exceeding \$100,000 at any tier under a contract or agreement, or grant shall file a certification, and a disclosure form, if required, to the next tier above.
- (5) All disclosure forms (but not certifications) shall be forwarded from tier to tier until received by the person referred to in Paragraph a(1) of this provision. That person shall forward all disclosure forms to DHCS Program Contract Manager.

b. Prohibition

Section 1352 of Title 31, U.S.C., provides in part that no appropriated funds may be expended by the recipient of a federal contract or agreement, grant, loan, or cooperative agreement to pay any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with any of the following covered federal actions: the awarding of any federal contract or agreement, the making of any federal grant, the making of any federal loan, entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any federal contract or agreement, grant, loan, or cooperative agreement.

INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001".
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10.
 - (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.
 - (b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.

Exhibit E
Additional Provisions

1. Additional Incorporated Exhibits

A. The following documents and any subsequent updates are not attached, but are incorporated herein and made a part hereof by this reference. Contractors are required to fully comply with the directives in each document incorporated by reference herein and each update thereto. These documents may be updated periodically by DHCS, as required by program directives or changes in law or policy. Unless otherwise indicated, DHCS shall provide the Contractor with copies of said documents at or before the agreement is presented to the Contractor for review, acceptance, and signature and will require acknowledgement of receipt. Periodic updates to the below listed documents that are not electronically accessible via the Internet, an Extranet link or other mechanism will be presented to the Contractor under separate cover and acknowledgement of receipt will be required. DHCS will maintain on file, all documents referenced herein and any subsequent updates.

- 1) Health Administrative Manual Section 6-1000.*
- 2) Local Government Agency (LGA) MAA Provider Manual.*
- 3) Policy & Procedure Letters.*
- 4) MAA Time Survey for Employees Performing Medi-Cal Administrative Activities and/or Targeted Case Management
- 5) Medi-Cal Administrative Activities Summary Invoice.*
- 6) Medi-Cal Administrative Activities Detailed Invoice.*

*View at www.dhcs.ca.gov/provgovpart/Pages/CMAA.aspx

2. Amendment Process

Should either party, during the term of this agreement, desire a change or amendment to the terms of this agreement, such changes or amendments shall be proposed in writing to the other party, who will respond in writing as to whether the proposed changes/amendments are accepted or rejected. If accepted after negotiations are concluded, the agreed upon changes shall be made through the State's official agreement amendment process. No amendment will be considered binding on either party until it is formally approved by the State.

3. Cancellation/Termination

- A. This agreement may be cancelled or terminated without cause by either party by giving thirty (30) calendar days advance written notice to the other party. Such notification shall state the effective date of termination or cancellation and include any final performance and/or payment/invoicing instructions/requirements.
- B. Upon receipt of a notice of termination or cancellation from DHCS, Contractor shall take immediate steps to stop performance and to cancel or reduce subsequent agreement costs.
- C. Contractor shall be entitled to payment for all allowable costs authorized under this agreement, including authorized non-cancelable obligations incurred up to the date of

Exhibit E
Additional Provisions

termination or cancellation, provided such expenses do not exceed the stated maximum amounts payable.

4. Contractor Responsibilities

- A. Comply with 42 U.S.C., Section 1396 et seq., 42 Code of Federal Regulations (CFR) Part 400 et seq., and 45 CFR Part 95, California Welfare and Institutions Code, Division 9, Part 3, Chapter 7 (commencing with Section 14000) and Chapter 8 (commencing with Section 14200), and Title 22 California Code of Regulations CCR), Division (3 (commencing with Section 50000), all as periodically amended; State issued policy directives; and Federal Office of Management and Budget (OMB) Circular A-87, as periodically amended.
- B. If the Contractor enters into contracts with other organizations to perform MAA in support of the Contractor claiming administrative reimbursement, the Contractor shall have available for State and/or Federal review, any contract to perform administrative activities under the auspices of the Medi-Cal Program.
- C. The Contractor is responsible for the acts or omissions of its employees and/or subcontractors. Submission of a falsified Summary Invoice or Detailed Invoice by a Contractor shall constitute a breach of contract. Submission of a Summary Invoice or Detailed Invoice for which there is no supporting documentation by a Contractor may constitute a breach of contract.
- D. The conviction of an employee or subcontractor of the Contractor, or of an employee of a subcontractor, of any felony or of a misdemeanor involving fraud, abuse of any Medi-Cal applicant or beneficiary, or abuse of the Medi-Cal Program, shall result in the exclusion of that employee or subcontractor, or employee of a subcontractor, from participation in the Medi-Cal Administrative Claiming process. Failure of a Contractor to exclude a convicted individual from participation in the Medi-Cal Administrative Claiming process shall constitute a breach of contract.
- E. Exclusion after conviction shall result regardless of any subsequent order under Section 1203.4 of the Penal Code allowing a person to withdraw his or her plea of guilty and to enter a plea of not guilty, or setting aside the verdict of guilty, or dismissing the accusation, information, or indictment.
- F. Suspension or exclusion of an employee or subcontractor, or of an employee of a subcontractor, from participation in the Medi-Cal Program, the Medicaid Program, or the Medicare Program, shall result in the exclusion of that employee or subcontractor, or employee of a subcontractor, from participation in the Medi-Cal Administrative Claiming process. Failure of a Contractor to exclude a suspended or excluded individual from participation in the Medi-Cal Administrative Claiming process shall constitute a breach of contract.
- G. Revocation, suspension, or restriction of the license, certificate, or registration of any employee, subcontractor, or employee of a subcontractor, shall result in exclusion from

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the Medi-Cal Administrative Claiming process, when such license, certificate, or registration is required for the performance of Medi-Cal administrative activities. Failure of a Contractor to exclude an individual whose license, certificate, or registration has been revoked, suspended, or restricted, from participation in the Medi-Cal Administrative Claiming process, may constitute a breach of contract.

5. State Responsibilities

- A. Review, approve, as appropriate, and process Contractor claims for reimbursement of the allowable actual costs of providing administrative activities necessary for the proper and efficient administration of the Medi-Cal Program. Reimbursement shall be made subsequent to the quarter for which a claim for MAA is made. Any claim that cannot be approved shall be returned to the Contractor with a written explanation of the basis for disapproval.
- B. Provide the Contractor with a standardized format for the Summary Invoice, Detailed Invoice and MAA Claiming Plan which will be disseminated through policy directives issued by the State.
- C. Review MAA Claiming Plan and amendment(s) to the MAA Claiming Plan. Any amendment that cannot be approved shall be returned to the Contractor with a written explanation of the basis for disapproval. Any amendment to the MAA Claiming Plan shall not require a formal amendment to the agreement but may instead be effected via written approval of the amended MAA Claiming Plan signed by DHCS.
- D. Provide program monitoring and oversight including periodic site reviews for compliance with State and federal requirements and regulations. DHCS will retain ultimate responsibility for program oversight and policy interpretation.
- E. Submit approved MAA Claiming Plans and amendments to the Centers for Medicare and Medicaid Services (CMS) for review and approval if required.
- F. Make available to Contractors, training and technical support on proper administrative activities to be claimed, identifying costs related to these activities, and billing procedures. Training material is to be developed by and/or approved by DHCS.

6. Joint Responsibilities

- A. The State and the Contractor hereby agree to comply with all applicable laws governing the confidentiality of client information for Medi-Cal clients served by the Contractor, or subcontractor, under this agreement. Applicable laws include, but are not limited to, 42 U.S.C. Section 1396a(a)7, 42 CFR Section 431.300, 45 CFR Sections 160, 162, and 164, Welfare and Institutions Code, Section 14100.2, and 22 California Code of Regulations, Section 51009.

Exhibit E
Additional Provisions

7. Audit

- A. This provision supersedes Provision #4, entitled "Audit" in General Terms & Conditions (GTC 307). View Exhibit C at the following Internet site:
<http://www.ols.dgs.ca.gov/Standard+Language>.
- B. Contractor agrees that the awarding department, the Department of General Services, the Bureau of State Audits, or their designated representative, and employees of the California Department of Justice, and the United States Centers for Medicare and Medicaid Services, shall have the right to review, access, examine, monitor, audit, and to copy any records and supporting documentation pertaining to the performance of this agreement. Contractor agrees to allow interviews of any employees, or staff of any subcontractor, who might reasonably have information related to such records by either state and/or federal authorities. Contractor agrees to retain all necessary records for a minimum period of three (3) years after the end of the quarter in which the expenditures were incurred for MAA and, if an audit is in progress, all records relevant to the audit shall be retained until the completion of the audit or the final resolution of all audit exceptions, deferrals, and/or disallowances, whichever is later, and if litigation has been initiated, all necessary records shall be retained until the final resolution of the litigation. The records shall fully disclose the type and extent of administrative activities performed by the appropriate staff. The Contractor shall furnish such documentation and any other information regarding the performance of and payment for MAA, upon request, to the state or federal government.

8. Definitions

- A. The following definitions are applicable to this Contract.
 - 1) "CFDA number" means the number assigned to a federal program in the Catalog of Federal Domestic Assistance (CFDA).
 - 2) "Federal award" means federal financial assistance and federal cost-reimbursement contracts that non-federal entities receive directly from federal awarding agencies or indirectly from pass-through entities. It does not include procurement contracts, under grants or contracts, used to buy goods or services from vendors.
 - 3) "Federal awarding agency" means the federal agency that provides an award directly to the recipient.
 - 4) "Federal program" means all federal awards to a non-federal entity assigned a single number in the CDFA.
 - 5) "Pass-through entity" means a non-federal entity that provides a federal award to a subrecipient to carry out a federal program.
 - 6) "Recipient" means a non-federal entity that expends federal awards received directly from a federal awarding agency to carry out a federal program.

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Additional Provisions

- 7) "Subrecipient" means a non-federal entity that expends federal awards received from a pass-through entity to carry out a federal program, but does not include an individual that is a beneficiary of such a program. A subrecipient may also be a recipient of other federal awards directly from a federal awarding agency. Guidance on distinguishing between a subrecipient and a vendor is provided in OMB Circular A-133
 - 8) "Vendor" means a dealer, distributor, merchant, or other seller providing goods or services that are required for the conduct of a federal program. These goods or services may be for an organization's own use or for the use of beneficiaries of the federal program. Additional guidance on distinguishing between a subrecipient and a vendor is provided on OMB Circular A-133.
- B. The definitions in Provision 8, Item A, shall be included in all of Contractor's contracts with subrecipients and vendors.

Contractor's Release

Instructions to Contractor:

With final invoice(s) submit one (1) original and one (1) copy. The original must bear the original signature of a person authorized to bind the Contractor. The additional copy may bear photocopied signatures.

Submission of Final Invoice

Pursuant to **contract number** 13-90014 entered into between the Department of Health Care Services (DHCS) and the Contractor (identified below), the Contractor does acknowledge that final payment has been requested via **invoice number(s)** _____, in the **amount(s) of \$** _____ and **dated** _____.
If necessary, enter "See Attached" in the appropriate blocks and attach a list of invoice numbers, dollar amounts and invoice dates.

Release of all Obligations

By signing this form, and upon receipt of the amount specified in the invoice number(s) referenced above, the Contractor does hereby release and discharge the State, its officers, agents and employees of and from any and all liabilities, obligations, claims, and demands whatsoever arising from the above referenced contract.

Repayments Due to Audit Exceptions / Record Retention

By signing this form, Contractor acknowledges that expenses authorized for reimbursement does not guarantee final allowability of said expenses. Contractor agrees that the amount of any sustained audit exceptions resulting from any subsequent audit made after final payment will be refunded to the State.

All expense and accounting records related to the above referenced contract must be maintained for audit purposes for no less than three years beyond the date of final payment, unless a longer term is stated in said contract.

Recycled Product Use Certification

By signing this form, Contractor certifies under penalty of perjury that a minimum of 0% unless otherwise specified in writing of post consumer material, as defined in the Public Contract Code Section 12200, in products, materials, goods, or supplies offered or sold to the State regardless of whether it meets the requirements of Public Contract Code Section 12209. Contractor specifies that printer or duplication cartridges offered or sold to the State comply with the requirements of Section 12156(e).

Reminder to Return State Equipment/Property (If Applicable)

(Applies only if equipment was provided by DHCS or purchased with or reimbursed by contract funds)

Unless DHCS has approved the continued use and possession of State equipment (as defined in the above referenced contract) for use in connection with another DHCS agreement, Contractor agrees to promptly initiate arrangements to account for and return said equipment to DHCS, at DHCS' expense, if said equipment has not passed its useful life expectancy as defined in the above referenced contract.

Patents / Other Issues

By signing this form, Contractor further agrees, in connection with patent matters and with any claims that are not specifically released as set forth above, that it will comply with all of the provisions contained in the above referenced contract, including, but not limited to, those provisions relating to notification to the State and related to the defense or prosecution of litigation.

ONLY SIGN AND DATE THIS DOCUMENT WHEN ATTACHING IT TO THE FINAL INVOICE

Contractor's Legal Name (as on contract): Sonoma County

Signature of Contractor or Official Designee: _____ Date: _____

Printed Name/Title of Person Signing: Diana Stornetta, Accountant III

Distribution: Accounting (Original) Program

Exhibit G
HIPAA Business Associate Addendum

I. Recitals

- A. This Contract (Agreement) has been determined to constitute a business associate relationship under the Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 ("HIPAA"), the Health Information Technology for Economic and Clinical Health Act, Public Law 111-005 (the HITECH Act), 42 U.S.C. section 17921 et seq., and their implementing privacy and security regulations at 45 CFR Parts 160 and 164 ("the HIPAA regulations").
- B. The Department of Health Care Services ("DHCS") wishes to disclose to Business Associate certain information pursuant to the terms of this Agreement, some of which may constitute Protected Health Information ("PHI"), including protected health information in electronic media ("ePHI"), under federal law, and personal information ("PI") under state law.
- C. As set forth in this Agreement, Contractor, here and after, is the Business Associate of DHCS acting on DHCS' behalf and provides services, arranges, performs or assists in the performance of functions or activities on behalf of DHCS and creates, receives, maintains, transmits, uses or discloses PHI and PI. DHCS and Business Associate are each a party to this Agreement and are collectively referred to as the "parties."
- D. The purpose of this Addendum is to protect the privacy and security of the PHI and PI that may be created, received, maintained, transmitted, used or disclosed pursuant to this Agreement, and to comply with certain standards and requirements of HIPAA, the HITECH Act and the HIPAA regulations, including, but not limited to, the requirement that DHCS must enter into a contract containing specific requirements with Contractor prior to the disclosure of PHI to Contractor, as set forth in 45 CFR Parts 160 and 164 and the HITECH Act.
- E. The terms used in this Addendum, but not otherwise defined, shall have the same meanings as those terms have in the HIPAA regulations. Any reference to statutory or regulatory language shall be to such language as in effect or as amended.

II. Definitions

- A. Breach shall have the meaning given to such term under HIPAA, the HITECH Act, and the HIPAA regulations.
- B. Business Associate shall have the meaning given to such term under HIPAA, the HITECH Act, and the HIPAA regulations.
- C. Covered Entity shall have the meaning given to such term under HIPAA, the HITECH Act, and the HIPAA regulations.
- D. Electronic Health Record shall have the meaning given to such term in the HITECH Act, including, but not limited to, 42 U.S.C Section 17921 and implementing regulations.
- E. Electronic Protected Health Information (ePHI) means individually identifiable health information transmitted by electronic media or maintained in electronic media, including but not limited to electronic media as set forth under 45 CFR section 160.103.
- F. Individually Identifiable Health Information means health information, including demographic information collected from an individual, that is created or received by a health care provider, health plan, employer or health care clearinghouse, and relates to the past, present or future physical or mental health or

Exhibit G
HIPAA Business Associate Addendum

condition of an individual, the provision of health care to an individual, or the past, present, or future payment for the provision of health care to an individual, that identifies the individual or where there is a reasonable basis to believe the information can be used to identify the individual, as set forth under 45 CFR section 160.103.

- G. Privacy Rule shall mean the HIPAA Regulation that is found at 45 CFR Parts 160 and 164.
- H. Personal Information shall have the meaning given to such term in California Civil Code section 1798.29.
- I. Protected Health Information means individually identifiable health information that is transmitted by electronic media, maintained in electronic media, or is transmitted or maintained in any other form or medium, as set forth under 45 CFR section 160.103.
- J. Required by law, as set forth under 45 CFR section 164.103, means a mandate contained in law that compels an entity to make a use or disclosure of PHI that is enforceable in a court of law. This includes, but is not limited to, court orders and court-ordered warrants, subpoenas or summons issued by a court, grand jury, a governmental or tribal inspector general, or an administrative body authorized to require the production of information, and a civil or an authorized investigative demand. It also includes Medicare conditions of participation with respect to health care providers participating in the program, and statutes or regulations that require the production of information, including statutes or regulations that require such information if payment is sought under a government program providing public benefits.
- K. Secretary means the Secretary of the U.S. Department of Health and Human Services ("HHS") or the Secretary's designee.
- L. Security Incident means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of PHI or PI, or confidential data that is essential to the ongoing operation of the Business Associate's organization and intended for internal use; or interference with system operations in an information system.
- M. Security Rule shall mean the HIPAA regulation that is found at 45 CFR Parts 160 and 164.
- N. Unsecured PHI shall have the meaning given to such term under the HITECH Act, 42 U.S.C. section 17932(h), any guidance issued pursuant to such Act and the HIPAA regulations.

III. Terms of Agreement

A. Permitted Uses and Disclosures of PHI by Business Associate

Permitted Uses and Disclosures. Except as otherwise indicated in this Addendum, Business Associate may use or disclose PHI only to perform functions, activities or services specified in this Agreement, for, or on behalf of DHCS, provided that such use or disclosure would not violate the HIPAA regulations, if done by DHCS. Any such use or disclosure must, to the extent practicable, be limited to the limited data set, as defined in 45 CFR section 164.514(e)(2), or, if needed, to the minimum necessary to accomplish the intended purpose of such use or disclosure, in compliance with the HITECH Act and any guidance issued pursuant to such Act, and the HIPAA regulations.

- 1. **Specific Use and Disclosure Provisions.** Except as otherwise indicated in this Addendum, Business Associate may:

Exhibit G
HIPAA Business Associate Addendum

- a. **Use and disclose for management and administration.** Use and disclose PHI for the proper management and administration of the Business Associate provided that such disclosures are required by law, or the Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and will be used or further disclosed only as required by law or for the purpose for which it was disclosed to the person, and the person notifies the Business Associate of any instances of which it is aware that the confidentiality of the information has been breached.
- b. **Provision of Data Aggregation Services.** Use PHI to provide data aggregation services to DHCS. Data aggregation means the combining of PHI created or received by the Business Associate on behalf of DHCS with PHI received by the Business Associate in its capacity as the Business Associate of another covered entity, to permit data analyses that relate to the health care operations of DHCS.

B. Prohibited Uses and Disclosures

1. Business Associate shall not disclose PHI about an individual to a health plan for payment or health care operations purposes if the PHI pertains solely to a health care item or service for which the health care provider involved has been paid out of pocket in full and the individual requests such restriction, in accordance with 42 U.S.C. section 17935(a) and 45 CFR section 164.522(a).
2. Business Associate shall not directly or indirectly receive remuneration in exchange for PHI, except with the prior written consent of DHCS and as permitted by 42 U.S.C. section 17935(d)(2).

C. Responsibilities of Business Associate

Business Associate agrees:

1. **Nondisclosure.** Not to use or disclose Protected Health Information (PHI) other than as permitted or required by this Agreement or as required by law.
2. **Safeguards.** To implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the PHI, including electronic PHI, that it creates, receives, maintains, uses or transmits on behalf of DHCS, in compliance with 45 CFR sections 164.308, 164.310 and 164.312, and to prevent use or disclosure of PHI other than as provided for by this Agreement. Business Associate shall implement reasonable and appropriate policies and procedures to comply with the standards, implementation specifications and other requirements of 45 CFR section 164, subpart C, in compliance with 45 CFR section 164.316. Business Associate shall develop and maintain a written information privacy and security program that includes administrative, technical and physical safeguards appropriate to the size and complexity of the Business Associate's operations and the nature and scope of its activities, and which incorporates the requirements of section 3, Security, below. Business Associate will provide DHCS with its current and updated policies.
3. **Security.** To take any and all steps necessary to ensure the continuous security of all computerized data systems containing PHI and/or PI, and to protect paper documents containing PHI and/or PI. These steps shall include, at a minimum:
 - a. Complying with all of the data system security precautions listed in Attachment A, the Business Associate Data Security Requirements;

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- b. Achieving and maintaining compliance with the HIPAA Security Rule (45 CFR Parts 160 and 164), as necessary in conducting operations on behalf of DHCS under this Agreement;
- c. Providing a level and scope of security that is at least comparable to the level and scope of security established by the Office of Management and Budget in OMB Circular No. A-130, Appendix III - Security of Federal Automated Information Systems, which sets forth guidelines for automated information systems in Federal agencies; and
- d. In case of a conflict between any of the security standards contained in any of these enumerated sources of security standards, the most stringent shall apply. The most stringent means that safeguard which provides the highest level of protection to PHI from unauthorized disclosure. Further, Business Associate must comply with changes to these standards that occur after the effective date of this Agreement.

Business Associate shall designate a Security Officer to oversee its data security program who shall be responsible for carrying out the requirements of this section and for communicating on security matters with DHCS.

D. Mitigation of Harmful Effects. To mitigate, to the extent practicable, any harmful effect that is known to Business Associate of a use or disclosure of PHI by Business Associate or its subcontractors in violation of the requirements of this Addendum.

E. Business Associate's Agents and Subcontractors.

1. To enter into written agreements with any agents, including subcontractors and vendors, to whom Business Associate provides PHI or PI received from or created or received by Business Associate on behalf of DHCS, that impose the same restrictions and conditions on such agents, subcontractors and vendors that apply to Business Associate with respect to such PHI and PI under this Addendum, and that comply with all applicable provisions of HIPAA, the HITECH Act and the HIPAA regulations, including the requirement that any agents, subcontractors or vendors implement reasonable and appropriate administrative, physical, and technical safeguards to protect such PHI and PI. Business Associate shall incorporate, when applicable, the relevant provisions of this Addendum into each subcontract or subaward to such agents, subcontractors and vendors, including the requirement that any security incidents or breaches of unsecured PHI or PI be reported to Business Associate.
2. In accordance with 45 CFR section 164.504(e)(1)(ii), upon Business Associate's knowledge of a material breach or violation by its subcontractor of the agreement between Business Associate and the subcontractor, Business Associate shall:
 - a. Provide an opportunity for the subcontractor to cure the breach or end the violation and terminate the agreement if the subcontractor does not cure the breach or end the violation within the time specified by DHCS; or
 - b. Immediately terminate the agreement if the subcontractor has breached a material term of the agreement and cure is not possible.

F. Availability of Information to DHCS and Individuals. To provide access and information:

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1. To provide access as DHCS may require, and in the time and manner designated by DHCS (upon reasonable notice and during Business Associate's normal business hours) to PHI in a Designated Record Set, to DHCS (or, as directed by DHCS), to an Individual, in accordance with 45 CFR section 164.524. Designated Record Set means the group of records maintained for DHCS that includes medical, dental and billing records about individuals; enrollment, payment, claims adjudication, and case or medical management systems maintained for DHCS health plans; or those records used to make decisions about individuals on behalf of DHCS. Business Associate shall use the forms and processes developed by DHCS for this purpose and shall respond to requests for access to records transmitted by DHCS within fifteen (15) calendar days of receipt of the request by producing the records or verifying that there are none.
2. If Business Associate maintains an Electronic Health Record with PHI, and an individual requests a copy of such information in an electronic format, Business Associate shall provide such information in an electronic format to enable DHCS to fulfill its obligations under the HITECH Act, including but not limited to, 42 U.S.C. section 17935(e).
3. If Business Associate receives data from DHCS that was provided to DHCS by the Social Security Administration, upon request by DHCS, Business Associate shall provide DHCS with a list of all employees, contractors and agents who have access to the Social Security data, including employees, contractors and agents of its subcontractors and agents.

G. Amendment of PHI. To make any amendment(s) to PHI that DHCS directs or agrees to pursuant to 45 CFR section 164.526, in the time and manner designated by DHCS.

H. Internal Practices. To make Business Associate's internal practices, books and records relating to the use and disclosure of PHI received from DHCS, or created or received by Business Associate on behalf of DHCS, available to DHCS or to the Secretary of the U.S. Department of Health and Human Services in a time and manner designated by DHCS or by the Secretary, for purposes of determining DHCS' compliance with the HIPAA regulations. If any information needed for this purpose is in the exclusive possession of any other entity or person and the other entity or person fails or refuses to furnish the information to Business Associate, Business Associate shall so certify to DHCS and shall set forth the efforts it made to obtain the information.

I. Documentation of Disclosures. To document and make available to DHCS or (at the direction of DHCS) to an Individual such disclosures of PHI, and information related to such disclosures, necessary to respond to a proper request by the subject Individual for an accounting of disclosures of PHI, in accordance with the HITECH Act and its implementing regulations, including but not limited to 45 CFR section 164.528 and 42 U.S.C. section 17935(c). If Business Associate maintains electronic health records for DHCS as of January 1, 2009, Business Associate must provide an accounting of disclosures, including those disclosures for treatment, payment or health care operations, effective with disclosures on or after January 1, 2014. If Business Associate acquires electronic health records for DHCS after January 1, 2009, Business Associate must provide an accounting of disclosures, including those disclosures for treatment, payment or health care operations, effective with disclosures on or after the date the electronic health record is acquired, or on or after January 1, 2011, whichever date is later. The electronic accounting of disclosures shall be for disclosures during the three years prior to the request for an accounting.

J. Breaches and Security Incidents. During the term of this Agreement, Business Associate agrees to implement reasonable systems for the discovery and prompt reporting of any breach or security incident, and to take the following steps:

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1. **Notice to DHCS.** (1) To notify DHCS **immediately by telephone call plus email or fax** upon the discovery of a breach of unsecured PHI or PI in electronic media or in any other media if the PHI or PI was, or is reasonably believed to have been, accessed or acquired by an unauthorized person, or upon the discovery of a suspected security incident that involves data provided to DHCS by the Social Security Administration. (2) To notify DHCS **within 24 hours by email or fax** of the discovery of any suspected security incident, intrusion or unauthorized access, use or disclosure of PHI or PI in violation of this Agreement and this Addendum, or potential loss of confidential data affecting this Agreement. A breach shall be treated as discovered by Business Associate as of the first day on which the breach is known, or by exercising reasonable diligence would have been known, to any person (other than the person committing the breach) who is an employee, officer or other agent of Business Associate.

Notice shall be provided to the DHCS Program Contract Manager, the DHCS Privacy Officer and the DHCS Information Security Officer. If the incident occurs after business hours or on a weekend or holiday and involves electronic PHI, notice shall be provided by calling the DHCS ITSD Service Desk. Notice shall be made using the "DHCS Privacy Incident Report" form, including all information known at the time. Business Associate shall use the most current version of this form, which is posted on the DHCS Privacy Office website (www.dhcs.ca.gov), then select "Privacy" in the left column and then "Business Use" near the middle of the page) or use this link: <http://www.dhcs.ca.gov/formsandpubs/laws/priv/Pages/DHCSBusinessAssociatesOnly.aspx>

Upon discovery of a breach or suspected security incident, intrusion or unauthorized access, use or disclosure of PHI or PI, Business Associate shall take:

- a. Prompt corrective action to mitigate any risks or damages involved with the breach and to protect the operating environment; and
 - b. Any action pertaining to such unauthorized disclosure required by applicable Federal and State laws and regulations.
2. **Investigation and Investigation Report.** To immediately investigate such security incident, breach, or unauthorized access, use or disclosure of PHI or PI. Within 72 hours of the discovery, Business Associate shall submit an updated "DHCS Privacy Incident Report" containing the information marked with an asterisk and all other applicable information listed on the form, to the extent known at that time, to the DHCS Program Contract Manager, the DHCS Privacy Officer, and the DHCS Information Security Officer:
 3. **Complete Report.** To provide a complete report of the investigation to the DHCS Program Contract Manager, the DHCS Privacy Officer, and the DHCS Information Security Officer within ten (10) working days of the discovery of the breach or unauthorized use or disclosure. The report shall be submitted on the "DHCS Privacy Incident Report" form and shall include an assessment of all known factors relevant to a determination of whether a breach occurred under applicable provisions of HIPAA, the HITECH Act, the HIPAA regulations and/or state law. The report shall also include a full, detailed corrective action plan, including information on measures that were taken to halt and/or contain the improper use or disclosure. If DHCS requests information in addition to that listed on the "DHCS Privacy Incident Report" form, Business Associate shall make reasonable efforts to provide DHCS with such information. If necessary, a Supplemental Report may be used to submit revised or additional information after the completed report is submitted, by submitting the revised or additional information on an updated "DHCS Privacy Incident Report" form. DHCS will review and approve the determination of whether a breach occurred and individual notifications are required, and the corrective action plan.

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4. **Notification of Individuals.** If the cause of a breach of PHI or PI is attributable to Business Associate or its subcontractors, agents or vendors, Business Associate shall notify individuals of the breach or unauthorized use or disclosure when notification is required under state or federal law and shall pay any costs of such notifications, as well as any costs associated with the breach. The notifications shall comply with the requirements set forth in 42 U.S.C. section 17932 and its implementing regulations, including, but not limited to, the requirement that the notifications be made without unreasonable delay and in no event later than 60 calendar days. The DHCS Program Contract Manager, the DHCS Privacy Officer, and the DHCS Information Security Officer shall approve the time, manner and content of any such notifications and their review and approval must be obtained before the notifications are made.
5. **Responsibility for Reporting of Breaches.** If the cause of a breach of PHI or PI is attributable to Business Associate or its agents, subcontractors or vendors, Business Associate is responsible for all required reporting of the breach as specified in 42 U.S.C. section 17932 and its implementing regulations, including notification to media outlets and to the Secretary. If a breach of unsecured PHI involves more than 500 residents of the State of California or its jurisdiction, Business Associate shall notify the Secretary of the breach immediately upon discovery of the breach. If Business Associate has reason to believe that duplicate reporting of the same breach or incident may occur because its subcontractors, agents or vendors may report the breach or incident to DHCS in addition to Business Associate, Business Associate shall notify DHCS, and DHCS and Business Associate may take appropriate action to prevent duplicate reporting. The breach reporting requirements of this paragraph are in addition to the reporting requirements set forth in subsection 1, above.
6. **DHCS Contact Information.** To direct communications to the above referenced DHCS staff, the Contractor shall initiate contact as indicated herein. DHCS reserves the right to make changes to the contact information below by giving written notice to the Contractor. Said changes shall not require an amendment to this Addendum or the Agreement to which it is incorporated.

DHCS Program Contract Manager	DHCS Privacy Officer	DHCS Information Security Officer
See the Scope of Work exhibit for Program Contract Manager information	Privacy Officer c/o: Office of HIPAA Compliance Department of Health Care Services P.O. Box 997413, MS 4722 Sacramento, CA 95899-7413 Email: privacyofficer@dhcs.ca.gov Telephone: (916) 445-4646 Fax: (916) 440-7680	Information Security Officer DHCS Information Security Office P.O. Box 997413, MS 6400 Sacramento, CA 95899-7413 Email: iso@dhcs.ca.gov Fax: (916) 440-5537 Telephone: ITSD Service Desk (916) 440-7000 or (800) 579-0874

- K. **Termination of Agreement.** In accordance with Section 13404(b) of the HITECH Act and to the extent required by the HIPAA regulations, if Business Associate knows of a material breach or violation by DHCS of this Addendum, it shall take the following steps:
1. Provide an opportunity for DHCS to cure the breach or end the violation and terminate the Agreement if DHCS does not cure the breach or end the violation within the time specified by Business Associate; or
 2. Immediately terminate the Agreement if DHCS has breached a material term of the Addendum and cure is not possible.
- L. **Due Diligence.** Business Associate shall exercise due diligence and shall take reasonable steps to ensure that it remains in compliance with this Addendum and is in compliance with applicable provisions of HIPAA, the HITECH Act and the HIPAA regulations, and that its agents, subcontractors and vendors are in compliance with their obligations as required by this Addendum.
- M. **Sanctions and/or Penalties.** Business Associate understands that a failure to comply with the provisions of HIPAA, the HITECH Act and the HIPAA regulations that are applicable to Business Associate may result in the imposition of sanctions and/or penalties on Business Associate under HIPAA, the HITECH Act and the HIPAA regulations.

IV. Obligations of DHCS

DHCS agrees to:

- A. **Notice of Privacy Practices.** Provide Business Associate with the Notice of Privacy Practices that DHCS produces in accordance with 45 CFR section 164.520, as well as any changes to such notice. Visit the DHCS Privacy Office to view the most current Notice of Privacy Practices at: <http://www.dhcs.ca.gov/formsandpubs/laws/priv/Pages/default.aspx> or the DHCS website at www.dhcs.ca.gov (select "Privacy in the left column and "Notice of Privacy Practices" on the right side of the page).
- B. **Permission by Individuals for Use and Disclosure of PHI.** Provide the Business Associate with any changes in, or revocation of, permission by an Individual to use or disclose PHI, if such changes affect the Business Associate's permitted or required uses and disclosures.
- C. **Notification of Restrictions.** Notify the Business Associate of any restriction to the use or disclosure of PHI that DHCS has agreed to in accordance with 45 CFR section 164.522, to the extent that such restriction may affect the Business Associate's use or disclosure of PHI.
- D. **Requests Conflicting with HIPAA Rules.** Not request the Business Associate to use or disclose PHI in any manner that would not be permissible under the HIPAA regulations if done by DHCS.

V. Audits, Inspection and Enforcement

- A. From time to time, DHCS may inspect the facilities, systems, books and records of Business Associate to monitor compliance with this Agreement and this Addendum. Business Associate shall promptly remedy any violation of any provision of this Addendum and shall certify the same to the DHCS Privacy Officer in writing. The fact that DHCS inspects, or fails to inspect, or has the right to inspect, Business Associate's facilities, systems and procedures does not relieve Business Associate of its responsibility to comply with this Addendum, nor does DHCS'.

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1. Failure to detect or
 2. Detection, but failure to notify Business Associate or require Business Associate's remediation of any unsatisfactory practices constitute acceptance of such practice or a waiver of DHCS' enforcement rights under this Agreement and this Addendum.
- B.** If Business Associate is the subject of an audit, compliance review, or complaint investigation by the Secretary or the Office of Civil Rights, U.S. Department of Health and Human Services, that is related to the performance of its obligations pursuant to this HIPAA Business Associate Addendum, Business Associate shall notify DHCS and provide DHCS with a copy of any PHI or PI that Business Associate provides to the Secretary or the Office of Civil Rights concurrently with providing such PHI or PI to the Secretary. Business Associate is responsible for any civil penalties assessed due to an audit or investigation of Business Associate, in accordance with 42 U.S.C. section 17934(c).

VI. Termination

- A. Term.** The Term of this Addendum shall commence as of the effective date of this Addendum and shall extend beyond the termination of the contract and shall terminate when all the PHI provided by DHCS to Business Associate, or created or received by Business Associate on behalf of DHCS, is destroyed or returned to DHCS, in accordance with 45 CFR 164.504(e)(2)(ii)(I).
- B. Termination for Cause.** In accordance with 45 CFR section 164.504(e)(1)(ii), upon DHCS' knowledge of a material breach or violation of this Addendum by Business Associate, DHCS shall:
1. Provide an opportunity for Business Associate to cure the breach or end the violation and terminate this Agreement if Business Associate does not cure the breach or end the violation within the time specified by DHCS; or
 2. Immediately terminate this Agreement if Business Associate has breached a material term of this Addendum and cure is not possible.
- C. Judicial or Administrative Proceedings.** Business Associate will notify DHCS if it is named as a defendant in a criminal proceeding for a violation of HIPAA. DHCS may terminate this Agreement if Business Associate is found guilty of a criminal violation of HIPAA. DHCS may terminate this Agreement if a finding or stipulation that the Business Associate has violated any standard or requirement of HIPAA, or other security or privacy laws is made in any administrative or civil proceeding in which the Business Associate is a party or has been joined.
- D. Effect of Termination.** Upon termination or expiration of this Agreement for any reason, Business Associate shall return or destroy all PHI received from DHCS (or created or received by Business Associate on behalf of DHCS) that Business Associate still maintains in any form, and shall retain no copies of such PHI. If return or destruction is not feasible, Business Associate shall notify DHCS of the conditions that make the return or destruction infeasible, and DHCS and Business Associate shall determine the terms and conditions under which Business Associate may retain the PHI. Business Associate shall continue to extend the protections of this Addendum to such PHI, and shall limit further use of such PHI to those purposes that make the return or destruction of such PHI infeasible. This provision shall apply to PHI that is in the possession of subcontractors or agents of Business Associate.

VII. Miscellaneous Provisions

- A. Disclaimer.** DHCS makes no warranty or representation that compliance by Business Associate with this Addendum, HIPAA or the HIPAA regulations will be adequate or satisfactory for Business

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Associate's own purposes or that any information in Business Associate's possession or control, or transmitted or received by Business Associate, is or will be secure from unauthorized use or disclosure. Business Associate is solely responsible for all decisions made by Business Associate regarding the safeguarding of PHI.

- B. Amendment.** The parties acknowledge that federal and state laws relating to electronic data security and privacy are rapidly evolving and that amendment of this Addendum may be required to provide for procedures to ensure compliance with such developments. The parties specifically agree to take such action as is necessary to implement the standards and requirements of HIPAA, the HITECH Act, the HIPAA regulations and other applicable laws relating to the security or privacy of PHI. Upon DHCS' request, Business Associate agrees to promptly enter into negotiations with DHCS concerning an amendment to this Addendum embodying written assurances consistent with the standards and requirements of HIPAA, the HITECH Act, the HIPAA regulations or other applicable laws. DHCS may terminate this Agreement upon thirty (30) days written notice in the event:
1. Business Associate does not promptly enter into negotiations to amend this Addendum when requested by DHCS pursuant to this Section; or
 2. Business Associate does not enter into an amendment providing assurances regarding the safeguarding of PHI that DHCS in its sole discretion, deems sufficient to satisfy the standards and requirements of HIPAA and the HIPAA regulations.
- C. Assistance in Litigation or Administrative Proceedings.** Business Associate shall make itself and any subcontractors, employees or agents assisting Business Associate in the performance of its obligations under this Agreement, available to DHCS at no cost to DHCS to testify as witnesses, or otherwise, in the event of litigation or administrative proceedings being commenced against DHCS, its directors, officers or employees based upon claimed violation of HIPAA, the HIPAA regulations or other laws relating to security and privacy, which involves inactions or actions by the Business Associate, except where Business Associate or its subcontractor, employee or agent is a named adverse party.
- D. No Third-Party Beneficiaries.** Nothing express or implied in the terms and conditions of this Addendum is intended to confer, nor shall anything herein confer, upon any person other than DHCS or Business Associate and their respective successors or assignees, any rights, remedies, obligations or liabilities whatsoever.
- E. Interpretation.** The terms and conditions in this Addendum shall be interpreted as broadly as necessary to implement and comply with HIPAA, the HITECH Act, the HIPAA regulations and applicable state laws. The parties agree that any ambiguity in the terms and conditions of this Addendum shall be resolved in favor of a meaning that complies and is consistent with HIPAA, the HITECH Act and the HIPAA regulations.
- F. Regulatory References.** A reference in the terms and conditions of this Addendum to a section in the HIPAA regulations means the section as in effect or as amended.
- G. Survival.** The respective rights and obligations of Business Associate under Section VI.D of this Addendum shall survive the termination or expiration of this Agreement.
- H. No Waiver of Obligations.** No change, waiver or discharge of any liability or obligation hereunder on any one or more occasions shall be deemed a waiver of performance of any continuing or other obligation, or shall prohibit enforcement of any obligation, on any other occasion.

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Attachment A
Business Associate Data Security Requirements

I. Personnel Controls

- A. Employee Training.** All workforce members who assist in the performance of functions or activities on behalf of DHCS, or access or disclose DHCS PHI or PI must complete information privacy and security training, at least annually, at Business Associate's expense. Each workforce member who receives information privacy and security training must sign a certification, indicating the member's name and the date on which the training was completed. These certifications must be retained for a period of six (6) years following contract termination.
- B. Employee Discipline.** Appropriate sanctions must be applied against workforce members who fail to comply with privacy policies and procedures or any provisions of these requirements, including termination of employment where appropriate.
- C. Confidentiality Statement.** All persons that will be working with DHCS PHI or PI must sign a confidentiality statement that includes, at a minimum, General Use, Security and Privacy Safeguards, Unacceptable Use, and Enforcement Policies. The statement must be signed by the workforce member prior to access to DHCS PHI or PI. The statement must be renewed annually. The Contractor shall retain each person's written confidentiality statement for DHCS inspection for a period of six (6) years following contract termination.
- D. Background Check.** Before a member of the workforce may access DHCS PHI or PI, a thorough background check of that worker must be conducted, with evaluation of the results to assure that there is no indication that the worker may present a risk to the security or integrity of confidential data or a risk for theft or misuse of confidential data. The Contractor shall retain each workforce member's background check documentation for a period of three (3) years following contract termination.

II. Technical Security Controls

- A. Workstation/Laptop encryption.** All workstations and laptops that process and/or store DHCS PHI or PI must be encrypted using a FIPS 140-2 certified algorithm which is 128bit or higher, such as Advanced Encryption Standard (AES). The encryption solution must be full disk unless approved by the DHCS Information Security Office.
- B. Server Security.** Servers containing unencrypted DHCS PHI or PI must have sufficient administrative, physical, and technical controls in place to protect that data, based upon a risk assessment/system security review.
- C. Minimum Necessary.** Only the minimum necessary amount of DHCS PHI or PI required to perform necessary business functions may be copied, downloaded, or exported.
- D. Removable media devices.** All electronic files that contain DHCS PHI or PI data must be encrypted when stored on any removable media or portable device (i.e. USB thumb drives, floppies, CD/DVD, Blackberry, backup tapes etc.). Encryption must be a FIPS 140-2 certified algorithm which is 128bit or higher, such as AES.

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- E. Antivirus software.** All workstations, laptops and other systems that process and/or store DHCS PHI or PI must install and actively use comprehensive anti-virus software solution with automatic updates scheduled at least daily.
- F. Patch Management.** All workstations, laptops and other systems that process and/or store DHCS PHI or PI must have critical security patches applied, with system reboot if necessary. There must be a documented patch management process which determines installation timeframe based on risk assessment and vendor recommendations. At a maximum, all applicable patches must be installed within 30 days of vendor release.
- G. User IDs and Password Controls.** All users must be issued a unique user name for accessing DHCS PHI or PI. Username must be promptly disabled, deleted, or the password changed upon the transfer or termination of an employee with knowledge of the password, at maximum within 24 hours. Passwords are not to be shared. Passwords must be at least eight characters and must be a non-dictionary word. Passwords must not be stored in readable format on the computer. Passwords must be changed every 90 days, preferably every 60 days. Passwords must be changed if revealed or compromised. Passwords must be composed of characters from at least three of the following four groups from the standard keyboard:
- Upper case letters (A-Z)
 - Lower case letters (a-z)
 - Arabic numerals (0-9)
 - Non-alphanumeric characters (punctuation symbols)
- H. Data Destruction.** When no longer needed, all DHCS PHI or PI must be wiped using the Gutmann or US Department of Defense (DoD) 5220.22-M (7 Pass) standard, or by degaussing. Media may also be physically destroyed in accordance with NIST Special Publication 800-88. Other methods require prior written permission of the DHCS Information Security Office.
- I. System Timeout.** The system providing access to DHCS PHI or PI must provide an automatic timeout, requiring re-authentication of the user session after no more than 20 minutes of inactivity.
- J. Warning Banners.** All systems providing access to DHCS PHI or PI must display a warning banner stating that data is confidential, systems are logged, and system use is for business purposes only by authorized users. User must be directed to log off the system if they do not agree with these requirements.
- K. System Logging.** The system must maintain an automated audit trail which can identify the user or system process which initiates a request for DHCS PHI or PI, or which alters DHCS PHI or PI. The audit trail must be date and time stamped, must log both successful and failed accesses, must be read only, and must be restricted to authorized users. If DHCS PHI or PI is stored in a database, database logging functionality must be enabled. Audit trail data must be archived for at least 3 years after occurrence.
- L. Access Controls.** The system providing access to DHCS PHI or PI must use role based access controls for all user authentications, enforcing the principle of least privilege.

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- M. *Transmission encryption.*** All data transmissions of DHCS PHI or PI outside the secure internal network must be encrypted using a FIPS 140-2 certified algorithm which is 128bit or higher, such as AES. Encryption can be end to end at the network level, or the data files containing PHI can be encrypted. This requirement pertains to any type of PHI or PI in motion such as website access, file transfer, and E-Mail.
- N. *Intrusion Detection.*** All systems involved in accessing, holding, transporting, and protecting DHCS PHI or PI that are accessible via the Internet must be protected by a comprehensive intrusion detection and prevention solution.

III. Audit Controls

- A. *System Security Review.*** All systems processing and/or storing DHCS PHI or PI must have at least an annual system risk assessment/security review which provides assurance that administrative, physical, and technical controls are functioning effectively and providing adequate levels of protection. Reviews should include vulnerability scanning tools.
- B. *Log Reviews.*** All systems processing and/or storing DHCS PHI or PI must have a routine procedure in place to review system logs for unauthorized access.
- C. *Change Control.*** All systems processing and/or storing DHCS PHI or PI must have a documented change control procedure that ensures separation of duties and protects the confidentiality, integrity and availability of data.

IV. Business Continuity / Disaster Recovery Controls

- A. *Emergency Mode Operation Plan.*** Contractor must establish a documented plan to enable continuation of critical business processes and protection of the security of electronic DHCS PHI or PI in the event of an emergency. Emergency means any circumstance or situation that causes normal computer operations to become unavailable for use in performing the work required under this Agreement for more than 24 hours.
- B. *Data Backup Plan.*** Contractor must have established documented procedures to backup DHCS PHI to maintain retrievable exact copies of DHCS PHI or PI. The plan must include a regular schedule for making backups, storing backups offsite, an inventory of backup media, and an estimate of the amount of time needed to restore DHCS PHI or PI should it be lost. At a minimum, the schedule must be a weekly full backup and monthly offsite storage of DHCS data.

V. Paper Document Controls

- A. *Supervision of Data.*** DHCS PHI or PI in paper form shall not be left unattended at any time, unless it is locked in a file cabinet, file room, desk or office. Unattended means that information is not being observed by an employee authorized to access the information. DHCS PHI or PI in paper form shall not be left unattended at any time in vehicles or planes and shall not be checked in baggage on commercial airplanes.
- B. *Escorting Visitors.*** Visitors to areas where DHCS PHI or PI is contained shall be escorted and DHCS PHI or PI shall be kept out of sight while visitors are in the area.

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- C. *Confidential Destruction.*** DHCS PHI or PI must be disposed of through confidential means, such as cross cut shredding and pulverizing.
- D. *Removal of Data.*** DHCS PHI or PI must not be removed from the premises of the Contractor except with express written permission of DHCS.
- E. *Faxing.*** Faxes containing DHCS PHI or PI shall not be left unattended and fax machines shall be in secure areas. Faxes shall contain a confidentiality statement notifying persons receiving faxes in error to destroy them. Fax numbers shall be verified with the intended recipient before sending the fax.
- F. *Mailing.*** Mailings of DHCS PHI or PI shall be sealed and secured from damage or inappropriate viewing of PHI or PI to the extent possible. Mailings which include 500 or more individually identifiable records of DHCS PHI or PI in a single package shall be sent using a tracked mailing method which includes verification of delivery and receipt, unless the prior written permission of DHCS to use another method is obtained.

CCC-307

CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Contractor to the clause(s) listed below. This certification is made under the laws of the State of California.

<i>Contractor/Bidder Firm Name (Printed)</i> Sonoma County		<i>Federal ID Number</i> 94-6000539
<i>By (Authorized Signature)</i>		
<i>Printed Name and Title of Person Signing</i> Rita Scardaci, Director of Health Services		
<i>Date Executed</i>	<i>Executed in the County of</i> Sonoma	

CONTRACTOR CERTIFICATION CLAUSES

1. **STATEMENT OF COMPLIANCE:** Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)

2. **DRUG-FREE WORKPLACE REQUIREMENTS:** Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:

a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.

b. Establish a Drug-Free Awareness Program to inform employees about:

- 1) the dangers of drug abuse in the workplace;
- 2) the person's or organization's policy of maintaining a drug-free workplace;
- 3) any available counseling, rehabilitation and employee assistance programs; and,
- 4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

- 1) receive a copy of the company's drug-free workplace policy statement; and,
- 2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the

certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

4. CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT: Contractor hereby certifies that contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. EXPATRIATE CORPORATIONS: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

6. SWEATFREE CODE OF CONDUCT:

a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.

b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations,

or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

7. DOMESTIC PARTNERS: For contracts over \$100,000 executed or amended after January 1, 2007, the contractor certifies that contractor is in compliance with Public Contract Code section 10295.3.

DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. CONFLICT OF INTEREST: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

- 1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.
- 2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

- 1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.
- 2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e))

2. LABOR CODE/WORKERS' COMPENSATION: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

3. AMERICANS WITH DISABILITIES ACT: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

4. CONTRACTOR NAME CHANGE: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.

b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.

c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

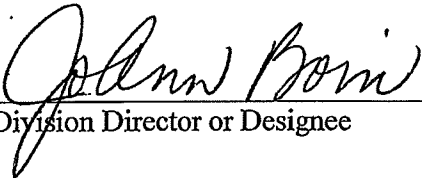
6. RESOLUTION: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

7. AIR OR WATER POLLUTION VIOLATION: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.


8. PAYEE DATA RECORD FORM STD. 204: This form must be completed by all contractors that are not another state agency or other governmental entity.

Supplemental Signature Page for
State of California, Department of Health Care Services
Standard Agreement 13-90014
Term: July 1, 2013 to June 30, 2016
DHS Contract Number 2013-0068-A00

Approved as to Substance:

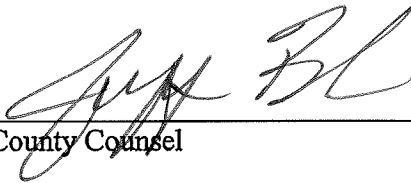


Division Director or Designee

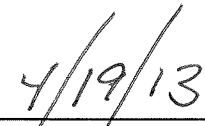


Date

Approved as to form:



County Counsel



Date

**MEDI-CAL TARGETED CASE MANAGEMENT
PROVIDER PARTICIPATION AGREEMENT**

Name of Provider: County of Sonoma

Provider #49-1318

1. STATEMENT OF INTENT

- A. The Articles of this Provider Participation Agreement (PPA), hereinafter referred to as the Agreement, set out the responsibilities of the qualified local governmental agency, named above and hereinafter referred to as Provider, and California Department of Health Care Services (DHCS), hereinafter referred to as the State or DHCS, relative to the provision of Targeted Case Management (TCM) services to eligible Medi-Cal beneficiaries.
- B. The Articles begin with STATEMENT OF INTENT and end with AGREEMENT EXECUTION.
- C. The mutual objectives of the Provider and DHCS are defined in, and governed by, 42 U.S.C. Section 1396n(g).

2. TERM OF AGREEMENT

The term of this Agreement is from July 1, 2013, through June 30, 2018.

3. CONTACT PERSONS

- A. The contact persons during the term of this Agreement are:

<p>Department of Health Care Services Geri Baucom, Chief Administrative Claiming Local & School Services Branch Telephone: (916) 552-9615 Fax: (916) 324-0738 Email: Geraldine.baucom@dhcs.ca.gov</p>	<p>Provider Name: Telephone: Fax: Email:</p>
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- B. Direct all inquiries to:

- a) If the allowability or appropriateness of an expense cannot be determined by DHCS because invoice detail, fiscal records, or backup documentation is nonexistent or inadequate, according to generally accepted accounting principles or practices, all questioned costs may be disallowed and payment may be withheld by DHCS. Upon receipt of adequate documentation supporting a disallowed or questioned expense, reimbursement may resume for the amount substantiated and deemed allowable.

E. By November 1 of each year:

- 1) Submit an annual TCM Cost Report for the prior fiscal year (FY) ending June 30th to:

<p><u>Regular U.S. Postal Service Mail:</u></p> <p>Department of Health Care Services Audits & Investigations Audit Review & Analysis Section 1500 Capitol Avenue, MS 2109 P.O. Box 997417 Sacramento, CA 95899-7417</p>	<p><u>Overnight or Express Mail:</u></p> <p>Department of Health Care Services Audits & Investigations Audit Review & Analysis Section 1500 Capitol Avenue, MS 2109 Sacramento, CA 95814</p>
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- 2) Email the prescribed electronic copies of the annual TCM Cost Report to DHCS using the following email address:

dhsaitcm@dhcs.ca.gov

- F. Accept payments as reimbursement in full as received for TCM services pursuant to this Agreement. Payments are subject to be reviewed and audited by DHCS and CMS.
- G. Comply with confidentiality requirements as specified in 42 U.S.C. Section 1396a (a)(7), 42 CFR Section 431.300, W&I Code Sections 14100.2 and 14132.47, and Title 22 CCR Section 51009.
- H. Submit TCM Summary Invoices in accordance with 42 CFR 433.51, Title 22 CCR Sections 51185, 51271, 51272, 51351, 51351.1, 51365, 51535.7, and 51492, and ensure TCM Summary Invoices are postmarked within 12 months from the date of service.
- I. Provide, for audit purposes and in accordance with State issued policy directives, including PPLs, all records in support of allowable TCM services. These must be maintained for the greater of (a) three fiscal years after the end of the quarter the LGA receives reimbursement from DHCS for the expenditures incurred, or (b) three fiscal years after the date of submission of the original or amended TCM

cost report, whichever is later pursuant to W&I Code Section 14170. If an audit is in progress, or is identified as forthcoming, all records relevant to the audit shall be retained throughout the audit's duration or the final resolution of all audit exceptions, deferrals and/or disallowances whichever is greater until appeals have finished.

1) Records must fully disclose:

- a) The name and Medi-Cal number or the beneficiary identification code of the person receiving the TCM service.
 - b) The name of the Provider of TCM services and person providing the service.
 - c) The date and place of service delivery, and the nature and extent of the TCM service provided.
- 2) The Provider shall furnish said records and any other information regarding expenditures and revenues for providing TCM services, upon request, to the State and to the federal government.

J. Be responsible for the acts or omissions of its employees and/or subcontractors.

- 1) The conviction of an employee or subcontractor of the Provider, or of an employee of a subcontractor, of any felony or of a misdemeanor involving fraud, abuse of any Medi-Cal beneficiary or abuse of the Medi-Cal program, shall result in the exclusion of that employee or subcontractor, or employee of a subcontractor, from participation in the Medi-Cal TCM Program. Failure to exclude a convicted individual from participation in the Medi-Cal TCM Program shall constitute a breach of this Agreement.
- 2) Exclusion after conviction shall result regardless of any subsequent order under Section 1203.4 of the Penal Code allowing a person to withdraw his or her plea of guilty and to enter a plea of not guilty, or setting aside the verdict of guilty, or dismissing the accusation, information, or indictment.
- 3) Suspension or exclusion of an employee or a subcontractor, or of an employee of a subcontractor, from participation in the Medi-Cal Program, the Medicaid program or the Medicare Program, shall result in the exclusion of that employee or subcontractor, or employee of a subcontractor, from participation in the Medi-Cal TCM Program. Failure to exclude a suspended or excluded individual from participation in the Medi-Cal TCM Program shall constitute a breach of this Agreement.

- 4) Revocation, suspension, or restriction of the license, certificate, or registration of any employee, subcontractor, or employee of a subcontractor, shall result in exclusion from the Medi-Cal TCM Program, when such license, certificate, or registration is required for the provision of Medi-Cal TCM services. Failure to exclude an individual whose license, certificate, or registration has been revoked, suspended, or restricted from the provision of Medi-Cal TCM services may constitute a breach of this Agreement.
- K. Execute a Memorandum of Understanding (MOU) with Medi-Cal Managed Care Health Plan(s) serving beneficiaries in the same county as the Provider when the Provider is in a Geographic Managed Care, Two-Plan Managed Care, or County Organized Health System county in accordance with State issued policy directives, including PPLs and federal directives, all as periodically amended. The MOU will serve to define the respective responsibilities between TCM and Medi-Cal Managed Care Health Plans and must include coordination protocols to ensure non-duplication of services provided to beneficiaries in common.
 - L. Abide by the following documents, as incorporated herein and made a part of this Agreement by this reference.
 - 1) TCM Special Terms and Conditions (Exhibit D(F)).
 - 2) TCM HIPPA Business Associate Addendum.
 - 3) CCC 307 - Certification.
 - 4) GTC 610 - General Terms and Conditions.
<http://www.ols.dgs.ca.gov/Standard+language>

5. DHCS RESPONSIBILITIES

By entering into this Agreement, DHCS agrees to:

- A. Establish one all-inclusive interim rate for the Provider to claim for TCM services.
- B. Perform settlement reconciliation to reflect the actual costs the Provider incurred in providing TCM services to Medi-Cal beneficiaries.
- C. Review and process TCM Summary Invoices within 24 months from the date of service. Upon review, processing and approval of valid TCM encounters, TCM Summary Invoices shall then be scheduled for payment.
- D. Provide training and technical assistance to enable the Provider to identify costs related to proper invoicing documentation and billing procedures. The State will provide oversight to ensure compliance with the W&I Code Section 14132.44 and all other governing federal and State laws and regulations.

6. AMENDMENT

Should either party, during the term of this Agreement, desire a change or amendment to the Articles of this Agreement, such changes or amendments shall be proposed in writing to the other party, who will respond in writing as to whether the proposed changes/amendments are accepted or rejected. If accepted and after negotiations are concluded, the agreed upon changes shall be made through a process that is mutually agreeable to both DHCS and the Provider. No amendment will be considered binding on either party until it is approved by DHCS.

7. TERMINATION

Either party may terminate this Agreement, without cause, by delivering written notice of termination to the other party at least (30) days prior to the effective date of termination.

8. GENERAL PROVISIONS

- A. This document constitutes the entire agreement between the parties. Any condition, provision, agreement, or understanding not stated in the Articles of this Agreement shall not affect any rights, duties, or privileges in connection with this Agreement.
- B. The term "days" as used in this Agreement shall mean calendar days unless specified otherwise.
- C. The State shall have the right to access, examine, monitor, and audit all records, documents, conditions, and activities of the Provider and its subcontractor related to the TCM services provided pursuant to this Agreement.
- D. No covenant, condition, duty, obligation, or undertaking made a part of this Agreement shall be waived except by amendment of the Agreement by the parties herein, and forbearance or indulgence in any other form or manner by either party in any regard whatsoever shall not constitute a waiver of the covenant, condition, duty, obligation, or undertaking to be kept, performed or discharged by the party to which the same may apply; and, until performance or satisfaction of all covenants, duties, obligations, or undertakings is complete, the other party shall have the right to invoke any remedy available under this Agreement, or under law, notwithstanding such forbearance or indulgence.
- E. None of the provisions of this Agreement are or shall be construed as for the benefit of, or enforceable by, any person not a party to this Agreement.
- F. DHCS intends to avoid any real or apparent conflict of interest on the part of the Provider, subcontractors, or employees, officers and directors of the Provider or

subcontractors. Thus, DHCS reserves the right to determine, at its sole discretion, whether any information, assertion or claim received from any source indicates the existence of a real or apparent conflict of interest; and, if a conflict is found to exist, to require the Provider to submit additional information or a plan for resolving the conflict, subject to DHCS' review and prior approval.

1) Conflicts of interest include, but are not limited to:

- a) An instance where the Provider or any of its subcontractors, or any employee, officer, or director of the Provider or any subcontractor has an interest, financial or otherwise, whereby the use or disclosure of information obtained while performing services under the contract would allow for private or personal benefit or for any purpose that is contrary to the goals and objectives of this Agreement.
 - b) An instance where the Provider's or any subcontractor's employees, officers, or directors use their positions for purposes that are, or give the appearance of being, motivated by a desire for private gain for themselves or others, such as those with whom they have family, business or other ties.
- 2) If DHCS is or becomes aware of a known or suspected conflict of interest, the Provider will be given an opportunity to submit additional information or to resolve the conflict. A Provider with a suspected conflict of interest will have five (5) working days from the date of notification of the conflict by DHCS to provide complete information regarding the suspected conflict. If a conflict of interest is determined to exist by DHCS and cannot be resolved to the satisfaction of DHCS, the conflict will be grounds for terminating the contract. DHCS may, at its discretion upon receipt of a written request from the Provider, authorize an extension of the timeline indicated herein.

9. FISCAL PROVISIONS

Reimbursement under this Agreement shall be made in the following manner:

- A. Upon the Provider's compliance with all provisions pursuant to W&I Code Section 14132.44, Title 22 CCR Division 3 (commencing with Section 50000), and this Agreement, and upon the submission of a TCM Summary Invoice, based on valid and substantiated information, DHCS agrees to process the TCM Summary Invoice for reimbursement.
- B. Transfer of funds is contingent upon the availability of federal financial participation (FFP).
- C. The Provider shall verify the certified public expenditure (CPE) from the Provider's General Fund, or from any other funds allowed under federal law and

regulation, for Title XIX funds claimed for TCM services performed pursuant to W&I Code Section 14132.44. DHCS shall deny payment of any TCM Summary Invoice submitted under this Agreement, if it determines that the certification is not adequately supported for purposes of FFP. Expenditures certified for TCM costs shall not duplicate, in whole or in part, claims made for the costs of direct patient services.

- D. It is mutually agreed that if the Budget Act for the current year and/or any subsequent years covered under this Agreement does not appropriate sufficient funds for the program, this Agreement shall be of no further force and effect. In this event DHCS shall have no liability to pay any funds whatsoever to the Provider or to furnish any other considerations under this Agreement and the Provider shall not be obligated to perform any provisions of this Agreement.
- E. If funding for any FY is reduced or deleted by the Budget Act for purposes of the TCM Program, DHCS shall have the option to either cancel this Agreement, with no liability occurring to DHCS, or offer an agreement amendment to the Provider to reflect the reduced amount.

10. LIMITATION OF STATE LIABILITY

- A. Notwithstanding any other provision of this Agreement, DHCS shall be held harmless from any federal audit disallowance and interest resulting from payments made by the federal Medicaid program as reimbursement for claims providing TCM services pursuant to W&I Code Section 14132.44, for the disallowed claim or claims, less the amounts already remitted to DHCS pursuant to W&I Code Section 14132.44(m).
- B. To the extent that a federal audit disallowance and interest results from a claim or claims for which the Provider has received reimbursement for TCM services, DHCS shall recoup from the Provider, upon written notice, amounts equal to the amount of the disallowance and interest in that FY for the disallowed claim or claims. All subsequent TCM Summary Invoices submitted to DHCS applicable to any previously disallowed claim or claims, may be held in abeyance, with no payment made, until the federal disallowance issue is resolved, less the amounts already remitted to DHCS pursuant to W&I Code Section 14132.44(m).
- C. Notwithstanding paragraphs 10.A. and B., to the extent that a federal audit disallowance and interest results from a claim or claims for which the Provider has received reimbursement for TCM services provided by a nongovernmental entity under contract with, and on behalf of the Provider, DHCS shall be held harmless by the Provider for 100 percent of the amount of any such federal audit disallowance and interest, for the disallowed claim or claims, less the amounts already remitted to DHCS pursuant to W&I Code Section 14132.44(m).

- D. The Provider agrees that when it is established upon audit that an overpayment has been made, DHCS and Provider shall follow current laws, regulations, and State issued policy directives, including PPLs for the proper treatment of identified overpayment.
- E. DHCS reserves the right to select the method to be employed for the recovery of an overpayment.
- F. Overpayments may be assessed interest charges, and may be assessed penalties, in accordance with W&I Code Sections 14171(h) and 14171.5, respectively.

[Remainder of page is left intentionally blank.]

11. AGREEMENT EXECUTION

The undersigned hereby warrants that s/he has the requisite authority to enter into this Agreement on behalf of _____ (Local Governmental Agency) and thereby bind the above named Local Governmental Agency to the terms and conditions of the same.

 Provider Authorized Contact Person's Signature

 Print Name

 Title

 Address

 Date

FISCAL YEARS:
2013/2014
2014/2015
2015/2016
2016/2017
2017/2018

 California Department of Health Care Services
 Authorized Contact Person's Signature

 Geri Baucom
 Print Name

 Chief, Administrative Claiming Local & School Services Branch
 Title

 Department of Health Care Services
 Name of Department

 1501 Capitol Avenue, MS 4601, Sacramento, CA 95899-7413
 Address

 Date

Special Terms and Conditions

(Only applicable to federally funded Medi-Cal Targeted Case Management Provider Participation Agreements)

The use of headings or titles throughout this exhibit is for convenience only and shall not be used to interpret or to govern the meaning of any specific term or condition. The terms "contract" has the same meaning as "agreement" and the term "Contractor" has the same meaning as "Provider".

This exhibit contains provisions that require strict adherence to various contracting laws and policies. Some provisions herein are conditional and only apply if specified conditions exist (i.e., agreement total exceeds a certain amount, agreement is federally funded, etc.). The provisions herein apply to this agreement unless the provisions are removed by reference on the face of the agreement, the provisions are superseded by an alternate provision appearing elsewhere in the agreement, or the applicable conditions do not exist.

Index of Special Terms and Conditions

1. Federal Equal Employment Opportunity Requirements
2. Subcontract Requirements
3. Audit and Record Retention
4. Site Inspection
5. Air or Water Pollution Requirements
6. Confidentiality of Information
7. Dispute Resolution Process
8. Debarment and Suspension Certification
9. Officials Not to Benefit
10. HIPAA Business Associate Addendum

Federal Equal Opportunity Requirements

- a. The Contractor will not discriminate against any employee or applicant for employment because of race, color, religion, sex, national origin, physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era. The Contractor will take affirmative action to ensure that qualified applicants are employed, and that employees are treated during employment, without regard to their race, color, religion, sex, national origin, physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era. Such action shall include, but not be limited to the following: employment, upgrading, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rates of pay or other forms of compensation; and career development opportunities and selection for training, including apprenticeship. The Contractor agrees to post in conspicuous places, available to employees and applicants for employment, notices to be provided by the Federal Government or CDHS, setting forth the provisions of the Equal Opportunity clause, Section 503 of the Rehabilitation Act of 1973 and the affirmative action clause required by the Vietnam Era Veterans' Readjustment Assistance Act of 1974 (38 U.S.C. 4212). Such notices shall state the Contractor's obligation under the law to take affirmative action to employ and advance in employment qualified applicants without discrimination based on their race, color, religion, sex, national origin physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era and the rights of applicants and employees.
- b. The Contractor will, in all solicitations or advancements for employees placed by or on behalf of the Contractor, state that all qualified applicants will receive consideration for employment without regard to race, color, religion, sex, national origin physical or mental handicap, disability, age or status as a disabled veteran or veteran of the Vietnam era.
- c. The Contractor will send to each labor union or representative of workers with which it has a collective bargaining agreement or other contract or understanding a notice, to be provided by the Federal Government or the State, advising the labor union or workers' representative of the Contractor's commitments under the provisions herein and shall post copies of the notice in conspicuous places available to employees and applicants for employment.
- d. The Contractor will comply with all provisions of and furnish all information and reports required by Section 503 of the Rehabilitation Act of 1973, as amended, the Vietnam Era Veterans' Readjustment Assistance Act of 1974 (38 U.S.C. 4212) and of the Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," and of the rules, regulations, and relevant orders of the Secretary of Labor.
- e. The Contractor will furnish all information and reports required by Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," and the Rehabilitation Act of 1973, and by the rules, regulations, and orders of the Secretary of Labor, or pursuant thereto, and will permit access to its books, records, and accounts by the State and its designated representatives and the Secretary of Labor for purposes of investigation to ascertain compliance with such rules, regulations, and orders.
- f. In the event of the Contractor's noncompliance with the requirements of the provisions herein or with any federal rules, regulations, or orders which are referenced herein, this agreement may be cancelled, terminated, or suspended in whole or in part and the Contractor may be declared ineligible for further federal and state contracts in accordance with procedures authorized in Federal Executive Order No. 11246 as amended and such other sanctions may be imposed and remedies invoked as provided in Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," or by rule, regulation, or order of the Secretary of Labor, or as otherwise provided by law.

- g. The Contractor will include the provisions of Paragraphs a through g in every subcontract or purchase order unless exempted by rules, regulations, or orders of the Secretary of Labor issued pursuant to Federal Executive Order No. 11246 as amended, including by Executive Order 11375, 'Amending Executive Order 11246 Relating to Equal Employment Opportunity,' and as supplemented by regulation at 41 CFR part 60, "Office of the Federal Contract Compliance Programs, Equal Employment Opportunity, Department of Labor," or Section 503 of the Rehabilitation Act of 1973 or (38 U.S.C. 4212) of the Vietnam Era Veteran's Readjustment Assistance Act, so that such provisions will be binding upon each subcontractor or vendor. The Contractor will take such action with respect to any subcontract or purchase order as the Director of the Office of Federal Contract Compliance Programs or CDHS may direct as a means of enforcing such provisions including sanctions for noncompliance provided, however, that in the event the Contractor becomes involved in, or is threatened with litigation by a subcontractor or vendor as a result of such direction by CDHS, the Contractor may request in writing to CDHS, who, in turn, may request the United States to enter into such litigation to protect the interests of the State and of the United States.

1. Subcontract Requirements

- a. Contractor shall maintain a copy of each subcontract entered into in support of this agreement and shall, upon request by CDHS, make copies available for, inspection, or audit.
- b. CDHS assumes no responsibility for the payment of subcontractors used in the performance of the agreement. Contractor accepts sole responsibility for the payment of subcontractors used in the performance of this agreement.
- c. The Contractor is responsible for all performance requirements under this agreement even though performance may be carried out through a subcontract.
- d. The Contractor shall ensure that all subcontracts for services include provision(s) requiring compliance with applicable terms and conditions specified in this agreement and this exhibit.
- e. The Contractor agrees to include the following clause, relevant to record retention, in all subcontracts for services:

"(Subcontractor Name) agrees to maintain and preserve, his/her records (1) for a period of three years after termination of (Agreement Number) and final payment from CDHS to Contractor, and (2) for such longer period, if any, as is required by applicable statute, to permit CDHS or any duly authorized representative, to have access to, examine or audit any pertinent books, documents, papers and records related to this subcontract and to allow interviews of any employees who might reasonably have information related to such records."
- f. Unless otherwise stipulated in writing by CDHS, the Contractor shall be the subcontractor's sole point of contact for all matters related to performance and payment under this agreement.

2. Audit and Record Retention

- a. The Contractor and/or Subcontractor shall maintain books, records, documents, and other evidence, accounting procedures and practices, sufficient to properly reflect all direct and indirect costs of whatever nature claimed to have been incurred in the performance of this agreement, including any matching costs and expenses. The foregoing constitutes "records" for the purpose of this provision.
- b. The Contractor's and/or subcontractor's facility or office or such part thereof as may be engaged in the performance of this agreement and his/her records shall be subject at all reasonable times to inspection, audit, and reproduction.
- c. Contractor agrees that CDHS, the Department of General Services, the Bureau of State Audits, or their designated representatives including the Comptroller General of the United States shall have the right to review and to copy any records and supporting documentation pertaining to the performance of this agreement. Contractor agrees to allow the auditor(s) access to such records during normal business hours and to allow interviews of any employees who might reasonably have information related to such records. Further, the Contractor agrees to include a similar right of the State to audit records and interview staff in

any subcontract related to performance of this agreement. (GC 8546.7,

- d. The Contractor and/or Subcontractor shall preserve and make available his/her records (1) for a period of three years from the date of final payment under this agreement, and (2) for such longer period, if any, as is required by applicable statute, by any other provision of this agreement, or by subparagraphs (1) or (2) below.

(1) If this agreement is completely or partially terminated, the records relating to the work terminated shall be preserved and made available for a period of three years from the date of any resulting final settlement.

(2) If any litigation, claim, negotiation, audit, or other action involving the records has been started before the expiration of the three-year period, the records shall be retained until completion of the action and resolution of all issues which arise from it, or until the end of the regular three-year period, whichever is later.

- e. The Contractor and/or Subcontractor may, at its discretion, following receipt of final payment under this agreement, reduce its accounts, books and records related to this agreement to microfilm, computer disk, CD ROM, or other data storage medium. Upon request by an authorized representative to inspect, audit or obtain copies of said records, the Contractor and/or Subcontractor must supply or make available applicable devices, hardware, and/or software necessary to view, copy and/or print said records. Applicable devices may include, but are not limited to, microfilm readers and microfilm printers, etc.

3. Site Inspection

The State, through any authorized representatives, has the right at all reasonable times to inspect or otherwise evaluate the work performed or being performed hereunder including subcontract supported activities and the premises in which it is being performed. If any inspection or evaluation is made of the premises of the Contractor or Subcontractor, the Contractor shall provide and shall require Subcontractors to provide all reasonable facilities and assistance for the safety and convenience of the authorized representatives in the performance of their duties. All inspections and evaluations shall be performed in such a manner as will not unduly delay the work.

5. Air or Water Pollution Requirements

Any federally funded agreement and/or subcontract in excess of \$100,000 must comply with the following provisions unless said agreement is exempt under 40 CFR 15.5.

- a. Government contractors agree to comply with all applicable standards, orders, or requirements issued under section 306 of the Clean Air Act [42 U.S.C. 1857(h)], section 508 of the Clean Water Act (33 U.S.C. 1368), Executive Order 11738, and Environmental Protection Agency regulations (40 CFR part 15).
- b. Institutions of higher education, hospitals, nonprofit organizations and commercial businesses agree to comply with all applicable standards, orders, or requirements issued under the Clean Air Act (42 U.S.C. 7401 et seq.), as amended, and the Federal Water Pollution Control Act (33 U.S.C. 1251 et seq.), as amended.

6. Confidentiality of Information

- a. The Contractor and its employees, agents, or subcontractors shall protect from unauthorized disclosure names and other identifying information concerning persons either receiving services pursuant to this agreement or persons whose names or identifying information become available or are disclosed to the Contractor, its employees, agents, or subcontractors as a result of services performed under this agreement, except for statistical information not identifying any such person.
- b. The Contractor and its employees, agents, or subcontractors shall not use such identifying information for any purpose other than carrying out the Contractor's obligations under this agreement.
- c. The Contractor and its employees, agents, or subcontractors shall promptly transmit to the CDHS program contract manager all requests for disclosure of such identifying information not emanating from the client or

person.

- d. The Contractor shall not disclose, except as otherwise specifically permitted by this agreement or authorized by the client, any such identifying information to anyone other than CDHS without prior written authorization from the CDHS program contract manager, except if disclosure is required by State or Federal law.

- e. For purposes of this provision, identity shall include, but not be limited to name, identifying number, symbol, or other identifying particular assigned to the individual, such as finger or voice print or a photograph.

7. Dispute Resolution Process

- a. A Contractor grievance exists whenever there is a dispute arising from CDHS' action in the administration of an agreement. If there is a dispute or grievance between the Contractor and CDHS, the Contractor must seek resolution using the procedure outlined below.

(1) The Contractor should first informally discuss the problem with the CDHS program contract manager. If the problem cannot be resolved informally, the Contractor shall direct its grievance together with any evidence, in writing, to the program Branch Chief. The grievance shall state the issues in dispute, the legal authority or other basis for the Contractor's position and the remedy sought. The Branch Chief shall render a decision within ten (10) working days after receipt of the written grievance from the Contractor. The Branch Chief shall respond in writing to the Contractor indicating the decision and reasons therefore. If the Contractor disagrees with the Branch Chief's decision, the Contractor may appeal to the second level.

(2) When appealing to the second level, the Contractor must prepare an appeal indicating the reasons for disagreement with Branch Chief's decision. The Contractor shall include with the appeal a copy of the Contractor's original statement of dispute along with any supporting evidence and a copy of the Branch Chief's decision. The appeal shall be addressed to the Deputy Director of the division in which the branch is organized within ten (10) working days from receipt of the Branch Chief's decision. The Deputy Director of the division in which the branch is organized or his/her designee shall meet with the Contractor to review the issues raised. A written decision signed by the Deputy Director of the division in which the branch is organized or his/her designee shall be directed to the Contractor within twenty (20) working days of receipt of the Contractor's second level appeal.

- b. If the Contractor wishes to appeal the decision of the Deputy Director of the division in which the branch is organized or his/her designee, the Contractor shall follow the procedures set forth in Division 25.1 (commencing with Section 38050) of the Health and Safety Code and the regulations adopted thereunder. (Title 1, Subchapter 2.5, commencing with Section 251, California Code of Regulations.)
- c. Disputes arising out of an audit, examination of an agreement or other action not covered by subdivision (a) of Section 20204, of Chapter 2.1, Title 22, of the California Code of Regulations, and for which no procedures for appeal are provided in statute, regulation or the agreement, shall be handled in accordance with the procedures identified in Sections 51016 through 51047, Title 22, California Code of Regulations
- d. Unless otherwise stipulated in writing by CDHS, all dispute, grievance and/or appeal correspondence shall be directed to the CDHS program contract manager.
- e. There are organizational differences within CDHS' funding programs and the management levels identified in this dispute resolution provision may not apply in every contractual situation. When a grievance is received and organizational differences exist, the Contractor shall be notified in writing by the CDHS program contract manager of the level, name, and/or title of the appropriate management official that is responsible for issuing a decision at a given level.
- f. Grievances regarding processing or payment of claims for services rendered shall be processed in accordance with W&I Code section 14104.5.

8 Debarment and Suspension Certification

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- a. By signing this agreement, the Contractor/Grantee agrees to comply with applicable federal suspension and debarment regulations including, but not limited to 7 CFR Part 3017, 45 CFR 76, 40 CFR 32 or 34 CFR 85.
- b. By signing this agreement, the Contractor certifies to the best of its knowledge and belief, that it and its principals:
 - (1) Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded by any federal department or agency;
 - (2) Have not within a three-year period preceding this application/proposal/agreement been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
 - (3) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in Paragraph b(2) herein; and
 - (4) Have not within a three-year period preceding this application/proposal/agreement had one or more public transactions (Federal, State or local) terminated for cause or default.
 - (5) Shall not knowingly enter into any lower tier covered transaction with a person who is proposed for debarment under federal regulations (i.e., 48 CFR part 9, subpart 9.4), debarred, suspended, declared ineligible, or voluntarily excluded from participation in such transaction, unless authorized by the State.
 - (6) Will include a clause entitled, "Debarment and Suspension Certification" that essentially sets forth the provisions herein, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.
- c. If the Contractor is unable to certify to any of the statements in this certification, the Contractor shall submit an explanation to the CDHS program funding this contract.
- d. The terms and definitions herein have the meanings set out in the Definitions and Coverage sections of the rules implementing Federal Executive Order 12549.
- e. If the Contractor knowingly violates this certification, in addition to other remedies available to the Federal Government, the CDHS may terminate this agreement for cause or default.

9. Officials Not to Benefit

No members of or delegate of Congress or the State Legislature shall be admitted to any share or part of this agreement, or to any benefit that may arise therefrom. This provision shall not be construed to extend to this agreement if made with a corporation for its general benefits.

10. HIPAA Business Associate Addendum

Recitals – STANDARD RISK

- a. This Contract (Agreement) has been determined to constitute a business associate relationship under the Health Insurance Portability and Accountability Act ("HIPAA") and its implementing privacy and security regulations at 45 CFR Parts 160 and 164 ("the HIPAA regulations:").
- b. The California Department of Health Services ("CDHS") wishes to disclose to Business Associate certain information pursuant to the terms of this Agreement, some of which may constitute Protected Health Information ("PHI").
- c. "Protected Health Information" or "PHI" means any information, whether oral or recorded in any form or medium that relates to the past, present, or future physical or mental condition of an individual, the provision of health and dental care to an individual, or the past, present, or future payment for the provision of health

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and dental care to an individual; and that identifies the individual or with respect to which there is a reasonable basis to believe the information can be used to identify the individual. PHI shall have the meaning given to such term under HIPAA and HIPAA regulations, as the same may be amended from time to time.

- d. "Security Incident" means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of PHI, or confidential data that is essential to the ongoing operation of the Business Associate's organization and intended for internal use; or interference with system operations in an information system.
- e. As set forth in this Agreement Contractor, here and after, is the Business Associate of CDHS that provides services, arranges, performs or assists in the performance of functions or activities on behalf of CDHS and creates, receives, maintains, transmits, uses or discloses PHI.
- f. CDHS and Business Associate desire to protect the privacy and provide for the security of PHI created, received, maintained, transmitted, used or disclosed pursuant to this Agreement, in compliance with HIPAA and HIPAA regulations and other applicable laws.
- g. The purpose of the Addendum is to satisfy certain standards and requirements of HIPAA and the HIPAA regulations.
- h. The terms used in this Addendum, but not otherwise defined, shall have the same meanings as those terms in the HIPAA regulations.

In exchanging information pursuant to this Agreement, the parties agree as follows:

I. Permitted Uses and Disclosures of PHI by Business Associate

- (1) Permitted Uses and Disclosures. Except as otherwise indicated in this Addendum, Business Associate may use or disclose PHI only to perform functions, activities or services specified in this Agreement, for, or on behalf of CDHS, provided that such use or disclosure would not violate the HIPAA regulations, if done by CDHS.
- (2) Specific Use and Disclosure Provisions. Except as otherwise indicated in this Addendum, Business Associate may:
 - A. **Use and disclose for management and administration.** Use and disclose PHI for the proper management and administration of the Business Associate or to carry out the legal responsibilities of the Business Associate, provided that disclosures are required by law, or the Business Associate obtains reasonable assurances from the person to whom the information is disclosed that it will remain confidential and will be used or further disclosed only as required by law or for the purpose for which it was disclosed to the person, and the person notifies the Business Associate of any instances of which it is aware that the confidentiality of the information has been breached.
 - B. **Provision of Data Aggregation Services.** Use PHI to provide data aggregation services to CDHS. Data aggregation means the combining of PHI created or received by the Business Associate on behalf of CDHS with PHI received by the Business Associate in its capacity as the Business Associate of another covered entity, to permit data analyses that relate to the health care operations of CDHS.

II. Responsibilities of Business Associate

Business Associate agrees:

- (1) **Nondisclosure.** Not to use or disclose Protected Health Information (PHI) other than as permitted or required by this Agreement or as required by law.
- (2) **Safeguards.** To implement administrative, physical, and technical safeguards that reasonably and appropriately protect the confidentiality, integrity, and availability of the PHI, including electronic PHI, that it creates, receives, maintains, uses or transmits on behalf of CDHS; and to prevent use or

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disclosure of PHI other than as provided for by this Agreement. Business Associate shall develop and maintain a written information privacy and security program that includes administrative, technical and physical safeguards appropriate to the size and complexity of the Business Associate's operations and the nature and scope of its activities, and which incorporates the requirements of section C, Security, below. Business Associate will provide CDHS with its current and updated policies.

- (3) **Security.** To take any and all steps necessary to ensure the continuous security of all computerized data systems containing PHI, and provide data security procedures for the use of CDHS at the end of the contract period. These steps shall include, at a minimum:
- A. Complying with all of the data system security precautions listed in this Agreement or in an Exhibit incorporated into this Agreement; and
 - B. Complying with the safeguard provisions in the Department's Information Security Policy, embodied in Health Administrative Manual (HAM), sections 6-1000 et seq. and in the Security and Risk Management Policy in the Information Technology Section of the State Administrative Manual (SAM), sections 4840 et seq., in so far as the security standards in these manuals apply to Business Associate's operations. In case of a conflict between any of the security standards contained in any of these enumerated sources of security standards, the most stringent shall apply. The most stringent means that safeguard which provides the highest level of protection to PHI from unauthorized disclosure. Further, Business Associate must comply with changes to these standards that occur after the effective date of this Agreement.

Business Associate shall designate a Security Officer to oversee its data security program who shall be responsible for carrying out the requirements of this section and for communicating on security matters with CDHS.

- (4) **Mitigation of Harmful Effects.** To mitigate, to the extent practicable, any harmful effect that is known to Business Associate of a use or disclosure of PHI by Business Associate or its subcontractors in violation of the requirements of this Addendum.
- (5) **Business Associate's Agents.** To ensure that any agents, including subcontractors, to whom Business Associate provides PHI received from or created or received by Business Associate on behalf of CDHS, agree to the same restrictions and conditions that apply to Business Associate with respect to such PHI, including implementation of reasonable and appropriate administrative, physical, and technical safeguards to protect such PHI; and to incorporate, when applicable, the relevant provisions of this Addendum into each subcontract or subaward to such agents or subcontractors.
- (6) **Availability of Information to CDHS and Individuals.** To provide access as CDHS may require, and in the time and manner designated by CDHS (upon reasonable notice and during Business Associate's normal business hours) to PHI in a Designated Record Set, to CDHS (or, as directed by CDHS), to an Individual, in accordance with 45 CFR Section 164.524. Designated Record Set means the group of records maintained for CDHS that includes medical, dental and billing records about individuals; enrollment, payment, claims adjudication, and case or medical management systems maintained for CDHS health plans; or those records used to make decisions about individuals on behalf of CDHS. Business Associate shall use the forms and processes developed by CDHS for this purpose and shall respond to requests for access to records transmitted by CDHS within fifteen (15) calendar days of receipt of the request by producing the records or verifying that there are none.
- (7) **Amendment of PHI.** To make any amendment(s) to PHI that CDHS directs or agrees to pursuant to 45 CFR Section 164.526, in the time and manner designated by CDHS.
- i. **Internal Practices.** To make Business Associate's internal practices, books and records relating to the use and disclosure of PHI received from CDHS, or created or received by Business Associate on behalf of CDHS, available to CDHS or to the Secretary of the U.S. Department of Health and Human Services in a time and manner designated by CDHS or

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by the Secretary, for purposes of determining CDHS's compliance with the HIPAA regulations.

- (8) **Documentation of Disclosures.** To document and make available to CDHS or (at the direction of CDHS) to an Individual such disclosures of PHI, and information related to such disclosures, necessary to respond to a proper request by the subject Individual for an accounting of disclosures of PHI, in accordance with 45 CFR 164.528.
- (9) **Notification of Breach.** During the term of this Agreement:
- A. **Discovery of Breach.** To notify CDHS immediately by telephone call plus email or fax upon the discovery of breach of security of PHI in computerized form if the PHI was, or is reasonably believed to have been, acquired by an unauthorized person, or within 24 hours by email or fax of any suspected security incident, intrusion or unauthorized use or disclosure of PHI in violation of this Agreement and this Addendum, or potential loss of confidential data affecting this Agreement. Notification shall be provided to the CDHS contract manager, the CDHS Privacy Officer and the CDHS Information Security Officer. If the incident occurs after business hours or on a weekend or holiday and involves electronic PHI, notification shall be provided by calling the CDHS ITSD Help Desk. Business Associate shall take:
 - i. Prompt corrective action to mitigate any risks or damages involved with the breach and to protect the operating environment and
 - ii. Any action pertaining to such unauthorized disclosure required by applicable Federal and State laws and regulations.
 - B. **Investigation of Breach.** To immediately investigate such security incident, breach, or unauthorized use or disclosure of PHI or confidential data. Within 72 hours of the discovery, to notify the CDHS contract manager(s), the CDHS Privacy Officer, and the CDHS Information Security Officer of:
 - i. What data elements were involved and the extent of the data involved in the breach,
 - ii. A description of the unauthorized persons known or reasonably believed to have improperly used or disclosed PHI or confidential data,
 - iii. A description of where the PHI or confidential data is believed to have been improperly transmitted, sent, or utilized,
 - iv. A description of the probable causes of the improper use or disclosure; and
 - v. Whether Civil Code sections 1798.29 or 1798.82 or any other federal or state laws requiring individual notifications of breaches are triggered.
 - C. **Written Report.** To provide a written report of the investigation to the CDHS contract managers, the CDHS Privacy Officer, and the CDHS Information Security Officer within ten (10) working days of the discovery of the breach or unauthorized use or disclosure. The report shall include, but not be limited to, the information specified above, as well as a full, detailed corrective action plan, including information on measures that were taken to halt and/or contain the improper use or disclosure.
 - D. **Notification of Individuals.** To notify individuals of the breach or unauthorized use or disclosure when notification is required under state or federal law and to pay any costs of such notifications, as well as any costs associated with the breach. The CDHS contract managers, the CDHS Privacy Officer, and the CDHS Information Security Officer shall approve the time, manner and content of any such notifications.
 - E. **CDHS Contact Information.** To direct communications to the above referenced CDHS staff, the Contractor shall initiate contact as indicated herein. CDHS reserves the right to make changes to the contact information below by giving written notice to the Contractor. Said changes shall not require an amendment to this Agreement or Addendum.

CDHS Contract Manager	CDHS Privacy Officer	CDHS Information Security Officer
See the Agreement for Contract Manager information	Privacy Officer c/o Office of Legal Services California Department of Health Services P.O. Box 997413, MS 0011 Sacramento, CA 95899-7413 Email: privacyofficer@dhs.ca.gov Telephone: (916) 445-4646	Information Security Officer Information Security Office P.O. Box 997413, MS 6302 Sacramento, CA 95899-7413 Email: dhsiso@dhs.ca.gov Telephone: ITSD Help Desk (916) 440-7000 or (800) 579-0874

- (10) **Employee Training and Discipline.** To train and use reasonable measures to ensure compliance with the requirements of this Addendum by employees who assist in the performance of functions or activities on behalf of CDHS under this Agreement and use or disclose PHI; and discipline such employees who intentionally violate any provisions of this Addendum, including by termination of employment. In complying with the provisions of this section K, Business Associate shall observe the following requirements:
- Business Associate shall provide information privacy and security training, at least annually, at its own expense, to all its employees who assist in the performance of functions or activities on behalf of CDHS under this Agreement and use or disclose PHI.
 - Business Associate shall require each employee who receives information privacy and security training to sign a certification, indicating the employee's name and the date on which the training was completed.
 - Business Associate shall retain each employee's written certifications for CDHS inspection for a period of three years following contract termination.

III. Obligations of CDHS

CDHS agrees to:

- Notice of Privacy Practices.** Provide Business Associate with the Notice of Privacy Practices that CDHS produces in accordance with 45 CFR 164.520, as well as any changes to such notice. Visit this Internet address to view the most current Notice of Privacy Practices: <http://www.dhs.ca.gov/privacyoffice>.
- Permission by Individuals for Use and Disclosure of PHI.** Provide the Business Associate with any changes in, or revocation of, permission by an Individual to use or disclose PHI, if such changes affect the Business Associate's permitted or required uses and disclosures.
- Notification of Restrictions.** Notify the Business Associate of any restriction to the use or disclosure of PHI that CDHS has agreed to in accordance with 45 CFR 164.522, to the extent that such restriction may affect the Business Associate's use or disclosure of PHI.
- Requests Conflicting with HIPAA Rules.** Not request the Business Associate to use or disclose PHI in any manner that would not be permissible under the HIPAA regulations if done by CDHS.

IV. Audits, Inspection and Enforcement

From time to time, CDHS may inspect the facilities, systems, books and records of Business Associate to monitor compliance with this Agreement and this Addendum. Business Associate shall promptly remedy any violation of any provision of this Addendum and shall certify the same to the CDHS Privacy Officer in writing. The fact that CDHS inspects, or fails to inspect, or has the right to inspect, Business Associate's facilities, systems and procedures does not relieve Business Associate of its responsibility to comply with this Addendum, nor does CDHS's:

- Failure to detect or

- Detection, but failure to notify Business Associate or require Business Associate's remediation of any unsatisfactory practices constitute acceptance of such practice or a waiver of CDHS's enforcement rights under this Agreement and this Addendum.

V. Termination

- Termination for Cause.** Upon CDHS's knowledge of a material breach of this Addendum by Business Associate, CDHS shall:
 - Provide an opportunity for Business Associate to cure the breach or end the violation and terminate this Agreement if Business Associate does not cure the breach or end the violation within the time specified by CDHS;
 - Immediately terminate this Agreement if Business Associate has breached a material term of this Addendum and cure is not possible; or
 - If neither cure nor termination is feasible, report the violation to the Secretary of the U.S. Department of Health and Human Services.
- Judicial or Administrative Proceedings.** Business Associate will notify CDHS if it is named as a defendant in a criminal proceeding for a violation of HIPAA. CDHS may terminate this Agreement if Business Associate is found guilty of a criminal violation of HIPAA. CDHS may terminate this Agreement if a finding or stipulation that the Business Associate has violated any standard or requirement of HIPAA, or other security or privacy laws is made in any administrative or civil proceeding in which the Business Associate is a party or has been joined.
- Effect of Termination.** Upon termination or expiration of this Agreement for any reason, Business Associate shall return or destroy all PHI received from CDHS (or created or received by Business Associate on behalf of CDHS) that Business Associate still maintains in any form, and shall retain no copies of such PHI or, if return or destruction is not feasible, shall continue to extend the protections of this Addendum to such information, and shall limit further use of such PHI to those purposes that make the return or destruction of such PHI infeasible. This provision shall apply to PHI that is in the possession of subcontractors or agents of Business Associate.

VI. Miscellaneous Provisions

- Disclaimer.** CDHS makes no warranty or representation that compliance by Business Associate with this Addendum, HIPAA or the HIPAA regulations will be adequate or satisfactory for Business Associate's own purposes or that any information in Business Associate's possession or control, or transmitted or received by Business Associate, is or will be secure from unauthorized use or disclosure. Business Associate is solely responsible for all decisions made by Business Associate regarding the safeguarding of PHI.
- Amendment.** The parties acknowledge that federal and state laws relating to electronic data security and privacy are rapidly evolving and that amendment of this Addendum may be required to provide for procedures to ensure compliance with such developments. The parties specifically agree to take such action as is necessary to implement the standards and requirements of HIPAA, the HIPAA regulations and other applicable laws relating to the security or privacy of PHI. Upon CDHS's request, Business Associate agrees to promptly enter into negotiations with CDHS concerning an amendment to this Addendum embodying written assurances consistent with the standards and requirements of HIPAA, the HIPAA regulations or other applicable laws. CDHS may terminate this Agreement upon thirty (30) days written notice in the event:
 - Business Associate does not promptly enter into negotiations to amend this Addendum when requested by CDHS pursuant to this Section or
 - Business Associate does not enter into an amendment providing assurances regarding the safeguarding of PHI that CDHS in its sole discretion, deems sufficient to satisfy the standards and requirements of HIPAA and the HIPAA regulations.

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- (3) **Assistance in Litigation or Administrative Proceedings.** Business Associate shall make itself and any subcontractors, employees or agents assisting Business Associate in the performance of its obligations under this Agreement, available to CDHS at no cost to CDHS to testify as witnesses, or otherwise, in the event of litigation or administrative proceedings being commenced against CDHS, its directors, officers or employees based upon claimed violation of HIPAA, the HIPAA regulations or other laws relating to security and privacy, which involves inactions or actions by the Business Associate, except where Business Associate or its subcontractor, employee or agent is a named adverse party.
- (4) **No Third-Party Beneficiaries.** Nothing express or implied in the terms and conditions of this Addendum is intended to confer, nor shall anything herein confer, upon any person other than CDHS or Business Associate and their respective successors or assignees, any rights, remedies, obligations or liabilities whatsoever.
- (5) **Interpretation.** The terms and conditions in this Addendum shall be interpreted as broadly as necessary to implement and comply with HIPAA, the HIPAA regulations and applicable state laws. The parties agree that any ambiguity in the terms and conditions of this Addendum shall be resolved in favor of a meaning that complies and is consistent with HIPAA and the HIPAA regulations.
- (6) **Regulatory References.** A reference in the terms and conditions of this Addendum to a section in the HIPAA regulations means the section as in effect or as amended.
- (7) **Survival.** The respective rights and obligations of Business Associate under Section 6.C of this Addendum shall survive the termination or expiration of this Agreement.
- (8) **No Waiver of Obligations.** No change, waiver or discharge of any liability or obligation hereunder on any one or more occasions shall be deemed a waiver of performance of any continuing or other obligation, or shall prohibit enforcement of any obligation, on any other occasion.

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CERTIFICATION

I, the official named below, CERTIFY UNDER PENALTY OF PERJURY that I am duly authorized to legally bind the prospective Provider to the clause(s) listed below with the exception of clauses 4 and 6 which do not apply to this Agreement. This certification is made under the laws of the State of California.

As used in this certification, the term "Contractor" shall also mean "Provider".

<i>Provider/Bidder Firm Name (Printed)</i>		<i>Federal ID Number</i>
<i>By (Authorized Signature)</i>		
<i>Printed Name and Title of Person Signing</i>		
<i>Date Executed</i>	<i>Executed in the County of</i>	

CONTRACTOR CERTIFICATION CLAUSES

- 1. **STATEMENT OF COMPLIANCE:** Contractor has, unless exempted, complied with the nondiscrimination program requirements. (Gov. Code §12990 (a-f) and CCR, Title 2, Section 8103) (Not applicable to public entities.)
- 2. **DRUG-FREE WORKPLACE REQUIREMENTS:** Contractor will comply with the requirements of the Drug-Free Workplace Act of 1990 and will provide a drug-free workplace by taking the following actions:
 - a. Publish a statement notifying employees that unlawful manufacture, distribution, dispensation, possession or use of a controlled substance is prohibited and specifying actions to be taken against employees for violations.
 - b. Establish a Drug-Free Awareness Program to inform employees about:
 - 1) the dangers of drug abuse in the workplace;
 - 2) the person's or organization's policy of maintaining a drug-free workplace;
 - 3) any available counseling, rehabilitation and employee assistance programs; and,

4) penalties that may be imposed upon employees for drug abuse violations.

c. Every employee who works on the proposed Agreement will:

1) receive a copy of the company's drug-free workplace policy statement; and,

2) agree to abide by the terms of the company's statement as a condition of employment on the Agreement.

Failure to comply with these requirements may result in suspension of payments under the Agreement or termination of the Agreement or both and Contractor may be ineligible for award of any future State agreements if the department determines that any of the following has occurred: the Contractor has made false certification, or violated the certification by failing to carry out the requirements as noted above. (Gov. Code §8350 et seq.)

3. NATIONAL LABOR RELATIONS BOARD CERTIFICATION: Contractor certifies that no more than one (1) final unappealable finding of contempt of court by a Federal court has been issued against Contractor within the immediately preceding two-year period because of Contractor's failure to comply with an order of a Federal court, which orders Contractor to comply with an order of the National Labor Relations Board. (Pub. Contract Code §10296) (Not applicable to public entities.)

4. CONTRACTS FOR LEGAL SERVICES \$50,000 OR MORE- PRO BONO REQUIREMENT: Contractor hereby certifies that contractor will comply with the requirements of Section 6072 of the Business and Professions Code, effective January 1, 2003.

Contractor agrees to make a good faith effort to provide a minimum number of hours of pro bono legal services during each year of the contract equal to the lessor of 30 multiplied by the number of full time attorneys in the firm's offices in the State, with the number of hours prorated on an actual day basis for any contract period of less than a full year or 10% of its contract with the State.

Failure to make a good faith effort may be cause for non-renewal of a state contract for legal services, and may be taken into account when determining the award of future contracts with the State for legal services.

5. EXPATRIATE CORPORATIONS: Contractor hereby declares that it is not an expatriate corporation or subsidiary of an expatriate corporation within the meaning of Public Contract Code Section 10286 and 10286.1, and is eligible to contract with the State of California.

6. SWEATFREE CODE OF CONDUCT:

a. All Contractors contracting for the procurement or laundering of apparel, garments or corresponding accessories, or the procurement of equipment, materials, or supplies, other than procurement related to a public works contract, declare under penalty of perjury that no apparel, garments or corresponding accessories, equipment, materials, or supplies furnished to the state pursuant to the contract have been laundered or produced in whole or in part by sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor, or with the benefit of sweatshop labor, forced labor, convict labor, indentured labor under penal sanction, abusive forms of child labor or exploitation of children in sweatshop labor. The contractor further declares under penalty of perjury that they adhere to the Sweatfree Code of Conduct as set forth on the California Department of Industrial Relations website located at www.dir.ca.gov, and Public Contract Code Section 6108.

b. The contractor agrees to cooperate fully in providing reasonable access to the contractor's records, documents, agents or employees, or premises if reasonably required by authorized officials of the contracting agency, the Department of Industrial Relations, or the Department of Justice to determine the contractor's compliance with the requirements under paragraph (a).

7. DOMESTIC PARTNERS: For contracts over \$100,000 executed or amended after January 1, 2007, the contractor certifies that contractor is in compliance with Public Contract Code section 10295.3.

DOING BUSINESS WITH THE STATE OF CALIFORNIA

The following laws apply to persons or entities doing business with the State of California.

1. CONFLICT OF INTEREST: Contractor needs to be aware of the following provisions regarding current or former state employees. If Contractor has any questions on the status of any person rendering services or involved with the Agreement, the awarding agency must be contacted immediately for clarification.

Current State Employees (Pub. Contract Code §10410):

1). No officer or employee shall engage in any employment, activity or enterprise from which the officer or employee receives compensation or has a financial interest and which is sponsored or funded by any state agency, unless the employment, activity or enterprise is required as a condition of regular state employment.

2). No officer or employee shall contract on his or her own behalf as an independent contractor with any state agency to provide goods or services.

Former State Employees (Pub. Contract Code §10411):

1). For the two-year period from the date he or she left state employment, no former state officer or employee may enter into a contract in which he or she engaged in any of the negotiations, transactions, planning, arrangements or any part of the decision-making process relevant to the contract while employed in any capacity by any state agency.

2). For the twelve-month period from the date he or she left state employment, no former state officer or employee may enter into a contract with any state agency if he or she was employed by that state agency in a policy-making position in the same general subject area as the proposed contract within the 12-month period prior to his or her leaving state service.

If Contractor violates any provisions of above paragraphs, such action by Contractor shall render this Agreement void. (Pub. Contract Code §10420)

Members of boards and commissions are exempt from this section if they do not receive payment other than payment of each meeting of the board or commission, payment for preparatory time and payment for per diem. (Pub. Contract Code §10430 (e))

2. LABOR CODE/WORKERS' COMPENSATION: Contractor needs to be aware of the provisions which require every employer to be insured against liability for Worker's Compensation or to undertake self-insurance in accordance with the provisions, and Contractor affirms to comply with such provisions before commencing the performance of the work of this Agreement. (Labor Code Section 3700)

3. AMERICANS WITH DISABILITIES ACT: Contractor assures the State that it complies with the Americans with Disabilities Act (ADA) of 1990, which prohibits discrimination on the basis of disability, as well as all applicable regulations and guidelines issued pursuant to the ADA. (42 U.S.C. 12101 et seq.)

4. CONTRACTOR NAME CHANGE: An amendment is required to change the Contractor's name as listed on this Agreement. Upon receipt of legal documentation of the name change the State will process the amendment. Payment of invoices presented with a new name cannot be paid prior to approval of said amendment.

5. CORPORATE QUALIFICATIONS TO DO BUSINESS IN CALIFORNIA:

a. When agreements are to be performed in the state by corporations, the contracting agencies will be verifying that the contractor is currently qualified to do business in California in order to ensure that all obligations due to the state are fulfilled.

b. "Doing business" is defined in R&TC Section 23101 as actively engaging in any transaction for the purpose of financial or pecuniary gain or profit. Although there are some statutory exceptions to taxation, rarely will a corporate contractor performing within the state not be subject to the franchise tax.

c. Both domestic and foreign corporations (those incorporated outside of California) must be in good standing in order to be qualified to do business in California. Agencies will determine whether a corporation is in good standing by calling the Office of the Secretary of State.

6. RESOLUTION: A county, city, district, or other local public body must provide the State with a copy of a resolution, order, motion, or ordinance of the local governing body which by law has authority to enter into an agreement, authorizing execution of the agreement.

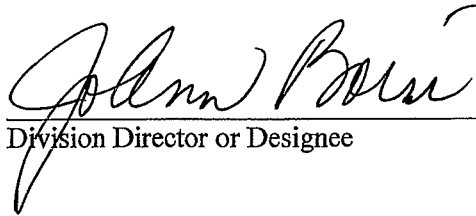
7. AIR OR WATER POLLUTION VIOLATION: Under the State laws, the Contractor shall not be: (1) in violation of any order or resolution not subject to review promulgated by the State Air Resources Board or an air pollution control district; (2) subject to cease and desist order not subject to review issued pursuant to Section 13301 of the Water Code for violation of waste discharge requirements or discharge prohibitions; or (3) finally determined to be in violation of provisions of federal law relating to air or water pollution.

8. PAYEE DATA RECORD FORM STD. 204: This form must be completed by all contractors that are not another state agency or other governmental entity.

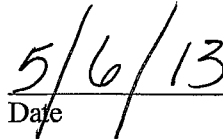
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Supplemental Signature Page for
State of California, Department of Health Care Services
Medi-Cal Targeted Case Management Provider Participation Agreement
Term: July 1, 2013 to June 30, 2018

Approved as to Substance:

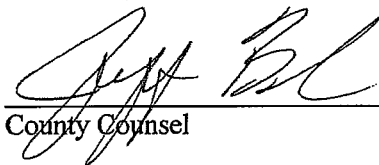


Division Director or Designee

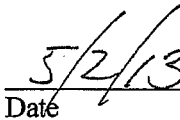


Date

Approved as to form:



County Counsel



Date



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 18
(This Section for use by Clerk of the Board Only.)

To: Sonoma County Board of Supervisors
Sonoma County Water Agency Board of Directors
Sonoma County Agricultural Preservation and Open Space District, Board of Directors
Community Development Commission
Northern Sonoma County Air Pollution Control District

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Human Resources

Staff Name and Phone Number:

Marcia Chadbourne, 707-565-2473

Supervisorial District(s):

All

Title: Administrative Services Agreement Amendment No. 2 with Anthem Blue Cross for County Health Plan.

Recommended Actions:

Authorize the Director of Human Resources to execute Amendment No. 2 to the Administrative Services Agreement with Anthem Blue Cross for third party claims administration and provider network access for the County of Sonoma Health Plans, for the term 6/1/13 to 5/31/14, which includes a 4% increase in the rate to \$48.12 per enrollee per month, with an estimated annual cost of \$1,009,943.

Executive Summary:

The requested Board action is to authorize the Director of Human Resources to execute Amendment No. 2 to the Administrative Services Agreement with Anthem Blue Cross (Anthem BC) to provide third party claims administration (e.g. processing and payment of medical claims), participating provider network access within California, excess stop loss claims filing services, and 24-hour nurse hotline services for the two self-insured County Health Plans (CHP) offered by the County; CHP-PPO and CHP-EPO. CHP members outside California have access to the "Blue Card" Program, for services through other Blue Cross/Blue Shield affiliates, nationwide.

Through a request for proposal process conducted in 2004, Anthem BC was selected to provide third party claims administration and other services for the County Health Plan. Your Board authorized an initial Administrative Services Agreement with Anthem BC in June 2005 for the 2005/06 plan year, and the agreement was renewed annually through plan year 2010/11. In 2011, Anthem BC introduced an updated Administrative Services Agreement which incorporated changes consistent with requirements of the Patient Protection and Affordable Care Act (ACA). That agreement was approved by your Board on October 18, 2011, and amendment No.1 was executed in 2012 for the 2012/13 plan year. The action

before your Board today seeks authority for the Director of Human Resources to execute Amendment No. 2 to the agreement, which extends the term of the agreement to cover the 2013/14 plan year, and increases administrative fees by 4% to \$48.12 per enrollee per month for the period 6/1/13 – 5/31/14.

The proposed “per enrollee per month” fee of \$48.12 is only assessed on active employees or retirees who are enrolled in the plans and is not based upon the total number of dependents an enrollee might have on the plan. This fee includes access to the Prudent Buyer Network, which provides extremely favorable network provider pricing to CHP participants and the County. The agreement includes other fees which may be assessed based upon specific participation, such as access to the Blue Card program for retirees who live outside of the Prudent Buyer Network.

Administrative fees are paid to Anthem BC from the County Health Plan budget index, funded by County and employee contributions. Although the 4% increase in fees is above the current medical and urban Consumer Price Index (CPI) of 3.1%, this fee is competitive with other self-insured entities of similar size and geographic location. When evaluating these services the monthly fee is not the main consideration, with the true value coming from overall plan cost reductions due to access to the negotiated network provider discounts, which ultimately result in lower hospital costs to the health plans and plan members. Additionally, there are no other providers in our area who have the administrative infrastructure and provider network breadth to provide the level of health care access and cost containment features as Anthem BC, at this time. Over the next few years, as the ACA is more fully implemented, other service provider options may become available for evaluation.

An example of these savings would be the increase in the County Health Plan premiums of 3.8% for Fiscal Year 2013/14, which is well below the projected 2013 industry trends for PPO/POS plans of 8.3%, as reported by the 2013 Segal Health Plan Cost Trend Survey. This trend survey is compiled by The Segal Company (the County’s Benefits Consultant) using national survey responses from managed care organizations (MCOs), health insurers, pharmacy benefit managers (PBMs) and third-party administrators (TPAs).

Total estimated fees for Fiscal Year 2013/14 under this agreement are \$1,009,943 based upon current total CHP enrollments of 1749; 297 active employees and 1452 retiree enrollees. The chart in Attachment A illustrates the Per Enrollee Per Month (PEPM) fee and the total annual administrative/network access fees paid to Anthem BC since contract inception.

Prior Board Actions:

Since June 2005, Board has approved annual agreements and amendments with Anthem Blue Cross for third party claims administration and network access for the County Health Plans.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

Fiscal Summary - FY 13-14			
Expenditures		Funding Source(s)	
Budgeted Amount	\$ 1,003,402		\$
Add Appropriations Req'd.	\$	State/Federal	\$
Less amount under budget	\$ 77,621	Fees/Other	\$ 925,781
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 925,781	Total Sources	\$ 925,781
Narrative Explanation of Fiscal Impacts (If Required):			
Amount budgeted is more than the estimated amount due to enrollee reductions post Annual Enrollment. Funding sources are collected through CHP rates paid by employer and employee contributions from a combination of funds. The County's benefit plan year runs from June through May, and therefore crosses fiscal years. The 13/14 Fiscal Summary above represents the 11 month period from 7/1/13 to 5/31/14. For the period 6/1/13 to 6/30/13, fees under this agreement are estimated to be \$84,162.			
Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
None.			
Attachments:			
Attachment A – Summary of annual fees paid to Anthem BC.			
Related Items "On File" with the Clerk of the Board:			
Amendment #2 to the Administrative Services Agreement with Anthem Blue Cross dated June 1, 2013.			

Attachment 1

5/21/13 Board Agenda Summary Report

Administrative Services Agreement Amendment No. 2 with Anthem Blue Cross for County Health Plans.

Illustration of the Per Enrollee Per Month (PEPM) fee and the total annual administrative fees paid to Anthem BC since contract inception.

Plan Year	Per enrollee per month fee*	% Change	Total Enrollment	Total annual administration fees	% Change
2005-06	\$37.15	N/A	3322	\$1,480,745	N/A
2006-07	\$38.26	2.99%	2779	\$1,276,056	-13.82%**
2007-08	\$39.62	3.55%	2646	\$1,257,966	-1.42%**
2008-09	\$41.18	3.94%	2516	\$1,243,310	-1.17%**
2009-10	\$42.80	3.93%	2062	\$1,058,669	-14.85%**
2010-11	\$42.80	0%	1940	\$992,724	-6.23%**
2011-12	\$44.49	3.95%	2032	\$1,074,497	8.24%
2012-13	\$46.27	4.00%	1840	\$1,002,666 (projected)	-6.69%**
2013-14	\$48.12	4.00%	1749	\$1,009,943 (projected)	.73%

* Includes network access, Medcall and stop loss filing fees.

**Reduction due to enrollee migration out of the County Health Plans



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 19
(This Section for use by Clerk of the Board Only.)

To: Sonoma County Board of Supervisors
Sonoma County Water Agency Board of Directors
Sonoma County Agricultural Preservation and Open Space District, Board of Directors
Community Development Commission
Northern Sonoma County Air Pollution Control District

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Human Resources

Staff Name and Phone Number:

Marcia Chadbourne, 707-565-2473

Supervisorial District(s):

All

Title: Administrative Services Agreement with P & A Group for HRA services

Recommended Actions:

Authorize the Director of Human Resources to execute an amendment to the agreement with Wageworks (formerly FBMC), extending the term of the agreement through June 30, 2013.

Authorize the Director of Human Resources to execute an agreement with P&A Group to provide Health Reimbursement Arrangement (HRA) Administration Services for the term June 1, 2013 through May 31, 2016.

Executive Summary:

The County of Sonoma has provided a retiree Health Reimbursement Arrangement (HRA) since 2009, following changes to retiree medical benefits provided to new employees hired after January 1, 2009, creating a new tier of retiree medical benefits for future retirees. Over the last three years, the HRA has been expanded to include lump sum contributions provided through Board approved Voluntary Separation Incentive Programs which the County used to incentivize employees to retire early or leave County employment, in an effort to reduce the County workforce and avoid layoffs.

The current retiree HRA provides for a specified county contribution to be deposited into individual accounts for reimbursement of future retiree medical expenses, in accordance with the Internal Revenue code and as outlined in the HRA Plan Document. An initial HRA Plan Document was approved by your Board on February 22, 2009 and through an RFP process, FBMC was selected to provide third party administration of the HRA program. The Board approved an initial contract with FMBC for the term June 1, 2009 through December 31, 2012. Subsequent to this action, FBMC was acquired by WageWorks, who assumed the contractual obligations of FBMC.

Due to various administrative issues and unmet expectations with services provided by both FBMC and their successor, Wageworks, a new vendor selection process was initiated and an RFP was released in October 2012. This RFP anticipated the expanded implementation of HRA's for other employee groups. The RFP was distributed to 11 national vendors that specialize in the administration of HRA's, including the County's current vendor, Wageworks, who expressed their intent not to respond to the RFP. Five proposals were received and following evaluation of the proposals and interviews, the P&A Group was selected. A complete analysis of the RFP results and selection process was prepared by the County's benefits consultants Segal, and is on file and available for review with the Clerk of the Board.

Additionally, as a result of recent negotiations with employee bargaining groups, HRA plans have been expanded to include a new employee HRA plan available to active employees in certain bargaining groups as of June 1, 2013.

Fees for administration of the County HRA plans are outlined in the table below. There are approximately 280 participants in the current HRA plan. With the recently negotiated employee HRA plan expansion, approximately 2,342 SEIU and Salary Resolution employees will be added to the program on June 1, 2013, increasing total fees to approximately \$105,000 per annum. If all employee groups agree to some form of an HRA contribution, the participants could increase to approximately 3,400, resulting in administrative costs increasing to approximately \$148,000 annually.

P&A Group – Fees for HRA Administration Services		
Plan	Participants/Account Access*	Price/participant/month
Current Retiree HRA	Active (Former Employees)	\$4.40
Current Retiree HRA	Inactive (Current Employees)	\$2.40
New Employee HRA	Active (Current Employees)	\$3.40

*Active = currently eligible for HRA claims reimbursement

As part of the implementation of the agreement with P&A Group, the current HRA Plan Document will be updated as appropriate. Although Wageworks did not have an interest to respond to the RFP, they have been very cooperative in providing services at the same rate beyond the contract term, and they have agreed to continue to provide services to the County through June 30, 2013 to facilitate the transition to the new administrator. Thus, the recommended action is to authorize an amendment to the current contract with Wageworks, extending the term of the agreement to June 30, 2013.

Based upon the RFP evaluation process and the potential expansion of the HRA plan through future negotiations, it is recommended to authorize the Director of Human Resources to execute an agreement with P&A Group for a three year term, commencing June 1, 2013 through May 31, 2016.

Prior Board Actions:

02-22-2009 Approved an initial HRA Plan Document for Future Retirees
 10-27-2009 Approved contract with FBMC to provide HRA administration

Strategic Plan Alignment Goal 3: Invest in the Future

Fiscal Summary - FY 13-14

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 148,000	County General Fund	\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$ 148,000
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 148,000	Total Sources	\$ 148,000

Narrative Explanation of Fiscal Impacts (If Required):

Current fees for HRA administration are approximately \$600 per month (\$7,200 annually). FY 12/13 costs will increase by \$8,600 (payable to the new vendor – P&A Group) for June 2013 as a result of an influx of participants into the plans, and there are sufficient appropriations budgeted to cover the increase.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

N/A

Attachments:
N/A
Related Items "On File" with the Clerk of the Board:
<ol style="list-style-type: none">1) Agreement with P&A Group for HRA services.2) Amendment to Agreement with WageWorks for HRA services.3) HRA RFP – Analysis of Results.



County of Sonoma
Agenda Item
Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 20
(This Section for use by Clerk of the Board Only.)

Sonoma County Board of Supervisors
Sonoma County Water Agency Board of Directors
Sonoma County Agricultural Preservation and Open Space
District, Board of Directors
Community Development Commission
Northern Sonoma County Air Pollution Control District

May 21, 2013

Majority

Human Resources

Marcia Chadbourne, 707-565-2473

All

Contract Amendment – MHN (Managed Health Network) Employee Assistance Program

Authorize the Director of Human Resources to execute an amendment to the agreement with Managed Health Network to provide employee assistance program (EAP) services to eligible County employees and their family members, at the rate of \$3.12 per employee per month, with an estimated cost of \$301,600 for a two-year term from June 1, 2013 through May 31, 2015.

The County has provided Employee Assistance Program (EAP) services to County employees since 1975 when the Board adopted an Occupational Alcoholism Program to help employees with alcohol problems. In 1981 that program was broadened to address a wider range of personal problems which negatively impact job performance and EAP services were provided internally by staff in the former Mental Health Department. In 1990 the County contracted with MHN to provide EAP services to law enforcement personnel only. Following an extensive Request for Proposal (RFP) in 2006, which included labor representatives, MHN was selected to provide EAP services to all County employees and coverage levels are determined by memoranda of understanding with each employee organization and the Salary Resolution. Coverage for employees of the Sonoma County library is also provided through this agreement, fully paid by the Library.

Three areas of service are provided through this agreement and are included in the contract price:

1) Employee Assistance Services

Services include 24-hour toll-free crisis response, face-to-face clinical counseling sessions, work-life services telephonic consultations (legal, financial, child care, and elder care), online informational

services, and other mental health management resources.

2) Employee Group Services

EAP group orientation sessions, EAP training workshops (change management, stress management, conflict resolution, communication, personal development, workplace protection), and on-site critical incident stress debriefings.

3) Employer Oriented Services

Management coaching consultations, job performance referrals and Department of Transportation (DOT) Drug and Alcohol Program consultations.

Services are available to all full and part time employees, represented extra help employees, and their family members. The EAP is a negotiated benefit fully paid by the County with no employee contribution. The agreement provides Law Enforcement employees and their family members up to 6 sessions per incident, per family member, per year. General employees are eligible for up to 3 sessions per incident, per family member, per year. The agreement also provides for critical incident de-briefings up to 20 hours of counseling per incident and 18 hours of training annually. Additional services are available beyond these limits, charged on an hourly basis.

Utilization of the EAP by County employees and their families is much higher than the MHN average for other employers. During 2012/13, 46.89% of law enforcement personnel contacted MHN for assistance/questions and 22.58% received case management services. For other County employees the utilization rate was 19.21% and 10.46% received case management services. The MHN norm for all personnel types was 8.10% and 3.86% respectively. Based upon these utilization rates, it is apparent that the services provided through MHN are well accepted by employees and their families. Utilization information is reviewed annually by the Joint Labor Management Benefits Committee, who continues to express strong support for the services provided by MHN.

The recommendation to your Board is to authorize renewal of the agreement with MHN for an additional 24 months from June 1, 2013 through May 31, 2015. The requested rate increase of 3.8% to \$3.12 per eligible employee per month is still less than the rate charged in the RFP awarded contract in 2006 (due to the 10% vendor cost reduction initiative conducted in 2010) and is confirmed by the County's benefit consultant as being a very favorable price. Additionally, MHN has provided a two year rate guarantee through May 31, 2015. This flat rate pricing covers all services except critical incident stress debriefings requiring more than 20 hours per incident are charged at the rate of \$250/hr. Additional training workshops beyond the 18 hours provided under the agreement are billed at the rate of \$300/hr and travel time at \$100/hr.

Annual costs for EAP services are projected to be \$150,800 for FY 2013/14 and \$150,800 FY 2014/15. The agreement also requires MHN to meet certain minimum performance levels in the areas of telephone responsiveness, appointment turnaround times, provider availability, and provider ratings. MHN has previously met all performance guarantees and no penalty has been incurred for the term of the current agreement.

Prior Board Actions:

Multiple Board actions related to EAP services from 1975 to 2012.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community			
Fiscal Summary - FY 13-14			
Expenditures		Funding Source(s)	
Budgeted Amount	\$ 175,000	County General Fund	\$
Add Appropriations Req'd.	\$	State/Federal	\$
Less amount under budget	\$ 24,200	Fees/Other	\$ 150,800
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 150,800	Total Sources	\$ 150,800
Narrative Explanation of Fiscal Impacts (If Required):			
Costs for this benefit are included in the FY 2013/14 Proposed Budget - General Fund Insurance Index #076018. Budgeted amount exceeds estimated cost due to rates not being confirmed by budget preparation time.			
Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
N/A			
Narrative Explanation of Staffing Impacts (If Required):			
Attachments:			
None.			
Related Items "On File" with the Clerk of the Board:			
7/1/06 – 6/30/09 Agreement with MHN.			
Rate and term amendment to Agreement with MHN for the period 6/1/13 to 5/31/15			



County of Sonoma
 Agenda Item
 Summary Report

Clerk of the Board
 575 Administration Drive
 Santa Rosa, CA 95403

Agenda Item Number: 21
 (This Section for use by Clerk of the Board Only.)

Sonoma County Board of Supervisors
 Sonoma County Water Agency Board of Directors
 Sonoma County Agricultural Preservation and Open Space District, Board of Directors
 Community Development Commission
 Northern Sonoma County Air Pollution Control District

May 21, 2013

Majority

Human Resources

Marcia Chadbourne, (707) 565-2473

All

Agreement with Futures Rehab, Inc.

1. Authorize the Director of Human Resources to execute an amendment to the current agreement with Futures Rehab, Inc. for occupational health services to increase the maximum contract amount from \$40,000 to \$60,000 for the term July 1, 2012 through June 30, 2013.
2. Authorize the Director of Human Resources to execute a new agreement with Futures Rehab, Inc. for occupational health services in an amount not to exceed \$150,000 for the term July 1, 2013 through June 30, 2015.

The County's current agreement with Futures Rehab, Inc. for Occupational Health Services expires June 30, 2013. Futures Rehab, Inc. has provided occupational health services to the County continuously since 2003 as part of a loss prevention initiative to better evaluate the physical abilities of job applicants during the pre-employment process. This initiative was directed by the Board of Supervisors following a comprehensive report on Workers' Compensation, presented to the Board in 2003, which recommended expansion of pre-employment physical examinations as one of many initiatives to reduce County workers' compensation costs.

The agreement with Futures Rehab, Inc. includes on-site job observation and measurement, job analysis, exam development and pre-employment physical abilities testing for job classes with a high incidence of on-the-job injuries. Futures Rehab was initially selected through a Request for Proposal (RFP) process, and their agreement has been renewed annually since 2003, with an annual contract amount of up to

\$25,000 per year through June 30, 2011. Last year, due to an increase in testing services, the Board authorized an amendment to the agreement on June 19, 2012 to increase the contract amount from \$25,000 to \$36,000, and also approved a new agreement in the amount of \$40,000 for the term July 1, 2012 through June 30, 2013.

Due to increased utilization of these services and the length of the contract with Futures, due diligence to evaluate a RFP process was initiated this year. Following research of potential service providers in our local area (Sonoma, Marin, Napa), it was determined there are no other providers of specialized physical abilities testing. These findings were evaluated by the County Purchasing Agent, who concurs that a RFP process is not necessary and the County has demonstrated adequate due diligence. Futures rates were compared with those of similar service providers and confirmed to be at, or below market.

Estimated costs for FY 2012-13 were based on prior years' use of services. However, a comparison of the July 1 – April 30 period for 2011-2012 and 2012-2013 saw an increase in testing, with services anticipated to total \$39,000 through April 30, 2013 based on currently scheduled exams. This increased testing is the direct result of the County's increased recruitment and hiring efforts, as well as enhanced development of essential job functions. Functional capacity tests are used to ensure job applicants can physically perform the essential functions of the job. Enhanced testing services are also added for specific job classifications as identified through on-the-job injury reports. Thus, increasing the current contract amount up to \$60,000 provides adequate funding to cover all potential costs through the remainder of the contract term. As the County continues to increase recruitment and hiring efforts, we anticipate continued increased utilization of these testing services over the next two years. Based upon the above explanation and the fiscal impacts described below, it is also recommended to execute a new agreement with Futures Rehab for a two-year term from July 1, 2013 through June 30, 2015, for a total contract amount of \$150,000.

04/20/2003: Accepted recommendations of County of Sonoma Workers' Compensation Report
06/17/2003: Authorized HR Director to sign initial agreement with Futures Rehab, Inc. and subsequent renewals have been authorized by the Board through June 30, 2010.
07/06/2010: Futures Rehab agreed to the Voluntary Vendor Cost Reduction Initiative and reduced costs 10% for the term July 1, 2010 through June 30, 2012.
06/19/2012: Authorized HR Director to execute an amendment to the agreement with Futures Rehab, Inc. for the term July 1, 2011 through June 30, 2012 and execute a new agreement with Futures Rehab, Inc. for the term July 1, 2012 through June 30, 2013.

Goal 1: Safe, Healthy, and Caring Community

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 40,000		\$
Add Appropriations Req'd.	\$ 20,000	State/Federal	\$
	\$	Fees/Other	\$ 60,000
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 60,000	Total Sources	\$ 60,000
<p>Estimated costs for the year were exceeded due to increased hiring and expansion of testing services in specific classifications with high incidence of on-the-job injuries. Pre-employment testing and testing costs are anticipated to increase during FY 2013/14. There are adequate appropriations to cover the increase of \$20,000 in FY 2012/13. The proposed budget for FY 2013/14 includes adequate appropriations to cover this agreement. CAO – Non Departmental Index #076018.</p>			
N.A.			
None			
<ol style="list-style-type: none"> 1. Amendment #1 to increase the maximum contract amount from \$40,000 to \$60,000 for the term 7/1/12 to 6/30/13. 2. Contract for services with Futures Rehab, Inc. for the term t7/1/13 to 6/30/15 			



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 22
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of the County of Sonoma, Board of Directors of the Sonoma County Water Agency, Board of Commissioners of the Community Development Commission, Board of Directors of the Sonoma County Agricultural Preservation and Open Space District, and Board of Directors of the Northern California Air Pollution Control District

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Human Resources Department

Staff Name and Phone Number:

golbou ghassemieh, (707) 565-2148

Supervisorial District(s):

All

Title: Miscellaneous Classification and Compensation Changes

Recommended Actions:

Approve Concurrent Resolution amending the Memorandum of Understanding between the County and SEIU Local 1021 to abolish the classification of Systems Support Technician Trainee and to reflect the re-titling of the classification of Veteran Claims Worker III to Senior Veterans Claim Worker; and amending Salary Resolution No. 95-0926 to reflect the re-titling of the classification of Records Manager to Records and Information Manager, to establish the new classification of and salary for General Services Deputy Director, to abolish the classifications of Deputy Director-General Services and Reprographics Manager, and to reflect salary reduction for the classification of Board of Supervisors' Aide, effective May 21, 2013.

Approve Resolution amending the Department Allocation List for the General Services Department to reflect the deletion of 2.0 Full-Time Equivalent Deputy Director-General Services allocations and the addition of 2.0 General Services Deputy Director allocations, effective May 21, 2013.

Executive Summary:

Systems Support Technician Trainee: Based on Human Resources' recommendation, the Civil Service Commission, at their May 2, 2013 meeting, abolished the classification of Systems Support Technician Trainee as this classification has become obsolete due to the County's move away from mainframe based technology. The other classifications in this job family were abolished by your Board in April 2013.

Veteran Claims Worker III: The Human Services Department requested that Human Resources update the job specification for Veteran Claims Worker III. As a result of the study, Human Resources

recommended that the classification of Veteran Claims Worker III be re-titled to Senior Veteran Claims Worker. The County's general practice is to use the numbering scheme for classifications that are alternate job classes, and the title "Senior" for the promotional level above the alternate class series. While the Veteran Claims Worker I and II classifications are alternate classes, the Veteran Claims Worker III is the promotional level. Therefore, re-titling the Veteran Claims Specialist III to Senior Veteran Claims Specialist is consistent with class titles used in Sonoma County. The Civil Service Commission approved the job specification update at their May 2, 2013, meeting.

Records Manager: The Information Systems Department requested that Human Resources consolidate two manager classifications, the Records Manager and the Reprographics Manager, into a single Records and Information Manager position in order to maximize the department's efficiencies following the deletion of the single Reprographics Manager position in July, 2011. As the Records Manager position recently became vacant due to the incumbent's retirement, Human Resources determined it was most practical to update the current classification of Records Manager to incorporate the duties and functions formerly performed by the Reprographics Manager and abolish the Reprographics Manager classification bringing the classifications into alignment with the department's operations.

On May 16, 2013, the Civil Service Commission Approved the revised and re-titled job classification of Records and Information Manager.

Deputy Director-General Services: The General Services Department recently underwent a reorganization effort which resulted in the deletion of two senior manager positions, the County Architect and the Facilities Manager, addition of a second Deputy Director position, with each Deputy Director overseeing one of the two major service areas: Strategic Support, and Facilities Development and Management. Both Deputy Director positions are responsible for overseeing the services and operations of their respective division, and assisting the Director in strategic and long-term planning. Currently there is one incumbent, and the other position is vacant.

Human Resources subsequently received a new position/classification request from the General Services Department asserting that the reorganization changed the scope and complexity of its Deputy Director classification, and that the existing classification was no longer consistent with the duties to be performed. The department requested that a new job classification be developed.

Human Resources determined that making edits to the Deputy Director – General Services classification would significantly alter the purpose, scope, complexity, and decision making of the classification. Therefore, Human Resources concluded that it was not appropriate within classification principles to edit the existing class, and it would be most appropriate to abolish the existing classification and develop a new classification.

Based on Human Resources recommendation, the Civil Service Commission adopted the classification of General Services Deputy Director at their February 21, 2013 meeting, and approved the retention of the incumbent in the classification of General Services Deputy Director classification in accordance with Civil Service Rule 3.3C.

Applying the County's Employee Relations Policy, the County has determined that the new General Services Deputy Director classification shares a community of interest with those classifications in the

Administrative Management Unit of the Salary Resolution.

Salary Recommendation: Upon determining the need to establish the new classification of General Services Deputy Director, HR conducted a salary analysis which included reviewing market salary data. There was an insufficient number of similar job classifications in our comparable counties for setting salary based on external market data. The position studied is a Deputy Director position, directly reporting to the Department Head. Given this, HR determined that setting the salary for the new Deputy Director in relation to the General Services Director was most appropriate.

The General Services Director, the Human Resources Director, and the County Administrator's Office concur that the most appropriate approach is to set the salary of the General Services Deputy Director class in relation to that of the Director class, with a 30% differential, which is consistent with other Deputy Director classifications which do not require a Civil Engineering license. Therefore, the recommended salary is \$11,050/monthly I-step, which represents an estimated total compensation (including benefits) of \$12,329/monthly I-step.

Fiscal Impacts: As noted in the Fiscal Summary below, the estimated additional expenditure for the two allocations of General Services Deputy Director for the 2012-13 Fiscal Year is \$4,046, while the corresponding figure on an ongoing annualized basis is \$35,068.

Board of Supervisors' Aide: During the Third Quarter Consolidated Budget Hearing, the Board of Supervisors received funding equivalent to salary and benefit costs of 2.0 Full-Time Equivalent extra-help Administrative Aide positions, to ensure continuity of services delivered by the Board of Supervisors' district staff. This classification was intended as a placeholder while Human Resources updated the job specification for the Board of Supervisors' Aide classification to reflect the anticipated use of the position. Human Resources also reviewed the salary to ensure it is set at an appropriate level.

Human Resources reviewed the duties, skills, and abilities required for these positions, and made updates to the job specification for Board of Supervisors' Aide to more accurately reflect the requirements of the position.

The position studied is an administrative position, and the scope and complexity of the position is most similar to that of Administrative Aide. Given this, HR determined that lowering the salary, setting the salary for the Board of Supervisors' Aide in relation to the Administrative Aide was most appropriate.

The Human Resources Director and the County Administrator's Office concur that the most appropriate approach is to set the salary of the Board of Supervisor's Aide equivalent to the classification of Administrative Aide. Therefore, the recommended salary is \$4,934/monthly I-step, which represents an estimated total compensation (including benefits) of \$11,009/monthly I-step, for the two positions.

Fiscal Impacts: None.

Prior Board Actions:

Throughout the year, each year, the Human Resources Department submits several Miscellaneous Classification and Compensation Board Items. The items contained in these reports have received Civil

Service Commission approval as appropriate, and require Board approval in order to be fully adopted and implemented.

Strategic Plan Alignment Goal 4: Civic Services and Engagement

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):

The net effect of the salary adjustments and reclassifications reflect an estimated total expenditure of \$1,768 for FY 2012-13 and the respective departments have incorporated the applicable costs into their budgets. The impacts this fiscal year and ongoing fiscal impacts for each respective department are as follows:

General Services/Reclassification of Deputy Director-General Services: Estimated cost of \$4,046 for FY 2012-2013, and an estimated ongoing annual cost of \$35,068.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Deputy Director-General Services	\$8,014.54 – 9,741.63		2
General Services Deputy Director	\$9,091.15- 11,050.00	2	

Narrative Explanation of Staffing Impacts (If Required):

In accordance with Civil Service Rule 3.3C, the following will be adopted:

General Services/Reclassification of Deputy Director-General Services: Effective May 2, 2013, one Deputy Director-General Services will be retained in the classification of General Services Deputy Director.

Attachments:

1. Concurrent Resolution with Attachment A.

2. Resolution Amending Department Position Allocation List for the General Services Department

Related Items “On File” with the Clerk of the Board:

1. General Services Deputy Director, Report to the Civil Service Commission.
2. Classification Specification for Board of Supervisors’ Aide.



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

4/5 Vote Required

Concurrent Resolution Of The Board Of Supervisors Of The County Of Sonoma, State Of California, The Board Of Directors Of The Sonoma County Water Agency, The Board Of Commissioners Of The Community Development Commission, The Board Of Directors Of The Sonoma County Agricultural Preservation And Open Space District, And The Board Of Directors Of The Northern Sonoma County Air Pollution Control District amending the Memorandum of Understanding between the County and SEIU Local 1021 to abolish the classification of Systems Support Technician Trainee and to reflect the re-titling of the classification of Veteran Claims Worker III to Senior Veterans Claim Worker; and amending Salary Resolution No. 95-0926 to reflect the re-titling of the classification of Records Manager to Records and Information Manager, to establish the new classification of and salary for General Services Deputy Director, to abolish the classifications of Deputy Director-General Services and Reprographics Manager, and to reflect salary reduction for the classification of Board of Supervisors' Aide, effective May 21, 2013.

Whereas, at the May 2, 2013, meeting, the Civil Service Commission approved the revised and re-titled job classification of Senior Veterans Claims Worker, and;

Whereas, at the May 2, 2013, meeting, the Civil Service Commission approved the abolition of the classification of Systems Support Technician Trainee, and;

Whereas, at the May 16, 2013, meeting, the Civil Service Commission approved the abolition of the classification of Reprographics Manager, and;

Whereas, at the May 16, 2013, meeting, the Civil Service Commission approved the revised and re-titled job classification of Records and Information Manager, and;

Whereas, at the February 21, 2013, meeting, the Civil Service Commission approved the establishment of the new classification of General Services Deputy Director, and;

Whereas, at the February 21, 2013, meeting, the Civil Service Commission approved the abolition of the classification of Deputy Director-General Services, and;

Whereas, Human Resources reviewed the salary for the newly established job classification of General Services Deputy Director and recommends the salary be set to salary range 5227, and;

Resolution #

Date:

Page 2

Whereas, the General Services Department and County Administrator's Office concurs with Human Resources recommendation to set the salary of General Services Deputy Director, and;

Whereas, Human Resources reviewed the salary for the job classification of Board of Supervisors' Aide and recommends the salary be set to salary range 2333, and;

Whereas, the Board of Supervisors and County Administrator's Office concurs with Human Resources recommendation to set the salary of Board of Supervisors' Aide.

Now, Therefore, Be It Resolved that the job classification of Systems Support Technician Trainee be abolished, the job classification of Veterans Claims Worker III be re-titled to Senior Veterans Claims Worker, the job classification of Records Manager be re-titled to Records and Information Manager, the job classification of General Services Deputy Director be established, the job classifications of Deputy Director-General Services and Reprographics Manager be abolished, and that Salary Resolution 95-0926, Salary Table – Administrative Management and the Memorandum of Understanding between the County and SEIU Local 1021 , be amended to reflect the new titles and salary ranges, as set forth in Attachment A.

Supervisors:

Gorin:

Zane:

McGuire:

Carrillo:

Rabbitt:

Ayes:

Noes:

Absent:

Abstain:

So Ordered.



County of Sonoma

State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

4/5 Vote Required

Resolution Of The Board Of Supervisors Of The County Of Sonoma, State Of California, amending the Department Allocation List for the General Services Department to reflect the deletion of 2.0 Full-Time Equivalent Deputy Director-General Services allocations and the addition of 2.0 General Services Deputy Director allocations, effective May 21, 2013.

Whereas, a classification study conducted by Human Resources concluded that the duties performed by one Deputy Director-General Services are most appropriately aligned with the new job classification of General Services Deputy Director, and

Whereas, at the February 21, 2013 meeting, the Civil Service Commission adopted the new classification of General Services Deputy Director, abolished the Deputy Director-General Services, , and retained the incumbent to General Services Deputy Director in accordance with Civil Service Rule 3.3C.

Now, Therefore, Be It Resolved that Allocation Table of the General Services Department is hereby revised as follows:

Budget Index	Job Class	Class Title	Existing Positions In Class	Change in Position Allocation	New Total Allocation For Class	Duration/ End Date	Salary Range
General Services Department							
010108	0869	Deputy Director-General Services	1.00	(-1.00)	0.00	Ongoing	4608
010124	0869	Deputy Director-General Services	1.00	(-1.00)	0.00	Ongoing	4608
010108	0868	General Services Deputy Director	0.00	1.00	1.00	Ongoing	5227
010124	0868	General Services Deputy Director	0.00	1.00	1.00	Ongoing	5227

Supervisors:

Gorin:

Zane:

McGuire:

Carrillo:

Rabbitt:

Resolution #

Date:

Page 2

Ayes:

Noes:

Absent:

Abstain:

So Ordered.

ATTACHMENT A – SALARY TABLES

SALARY RESOLUTION 95-0926

UNREPRESENTED – BARGAINING UNIT 0000

Job Code	Job Title	A-Step 05/21/13
0810	Board of Supervisor's Aide	2683
0810	Board of Supervisors' Aide	2333

ADMINISTRATIVE MANAGEMENT – BARGAINING UNIT 0050

Job Code	Job Title	A-Step 05/21/13
0058	Records Manager	3394
0058	Records and Information Manager	3394
0250	Reprographics Manager	3394
0869	Deputy Director-General Services	4608
0868	General Services Deputy Director	5227

SERVICE EMPLOYEES INTERNATION UNION 1021

SERVICE & TECHNICAL SUPPORT NON SUPV– BARGAINING UNIT 0005

Job Code	Job Title	A-Step 05/21/13
1766	Systems Support Technician Trainee	2683

SOCIAL SERVICES NON SUPV– BARGAINING UNIT 0025

Job Code	Job Title	A-Step 05/21/13
0608	Veterans Claims Worker III	2316
0608	Senior Veterans Claims Worker	2316



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 23
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Human Services

Staff Name and Phone Number:

Nick Honey, 565-4343

Supervisorial District(s):

All

Title: Therapeutic Services for child welfare clients.

Recommended Actions:

Authorize the Director of Human Services to execute amendments to existing therapeutic services contracts with Kathleen Dark, PhD by \$10,000 for a new total of \$35,000 through June 30th, 2013, and Rodolfo Rodriguez, PhD by \$15,000 for a new total of \$40,000 through June 30th, 2013.

Executive Summary:

The Department is requesting that the Board authorize the Human Services Department Director to execute amendments to increase the total amounts of the existing current year agreements with Kathleen Dark, PhD, by \$10,000 for a new total not to exceed of \$35,000 through June 30th, 2013 and Rodolfo Rodriguez, PhD, by \$15,000 for a new total not to exceed of \$40,000 through June 30th, 2013, in order to provide additional therapeutic services to child welfare clients.

Background:

The Family, Youth and Children's Services Division works with children and families in the child welfare system to mitigate the results of and address the issues that lead to child abuse or neglect. Services to promote efforts to reunify children with their biological parents are part of the court approved plan for reunification, and the Court requires that the Division provide reasonable services to assist families in reunification efforts. The social workers must continuously seek specialized services to meet the needs of the children and their families, such as counseling, psychological assessments and other therapeutic options. The Division contracts with a variety of service providers in order to offer families with active child welfare cases services that are designed to mitigate the results of and address the issues that lead to child abuse or neglect. Therapy services are a critical component of the service array, and the Human Services Department contracts with a variety of therapists on a fee for service basis in order to accommodate the specific needs of families.

The increases to these vendor contracts are due to an increase in demand for counseling, psychological evaluations and other services, particularly in the family reunification program and for therapeutic services for Spanish speaking families. Clients are referred to therapists based upon the match between the clients needs and the therapists' area of specialty. Kathleen Dark, PhD and Rodolfo Rodriguez, PhD have been utilized more than anticipated and additional funding to amend their contracts will be redirected from funds that would have been paid to other service providers who have not been utilized as heavily. The contracts for underutilized vendors have been unencumbered by the Division.

This agenda item requests the amendment of the current contracts now in place for these providers from a total cost of \$50,000 to a total cost of \$75,000. Specifically, the contract for Kathleen Dark, PhD will be increased from \$25,000 to \$35,000 and the contract for Rodolfo Rodriguez, PhD will be increased from \$25,000 to \$40,000. These are fee for service vendor contracts where each service is authorized by the social worker for a specific number of sessions and/or time period. Services that prove ineffective may be cancelled at any time.

On March 24th, 2012, all therapy providers were required to submit an application via a Request for Application procurement process. Each application was reviewed for experience, appropriate licensure, liability insurance and other contract requirements. All providers earn the same rates, as established and paid by the Division.

Prior Board Actions:

In March 2012, the Board of Supervisors approved a previous item giving the Human Services Department the authority to execute agreements to provide therapeutic and other specialized services to child welfare clients on an annual basis.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

This action supports the goal of a safer and healthier community by providing therapy services to families involved in the child welfare system. These services mitigate the impacts of past traumas, support mental health and in turn, promote the safety of children.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 25,000		\$
Add Appropriations Req'd.	\$	State/Federal	\$ 25,000
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 25,000	Total Sources	\$ 25,000

Narrative Explanation of Fiscal Impacts (If Required):

There is no change to the total budgeted amount for therapy, but within that amount the contracts are being increased for these two providers. Funds are available in the FY 2012-2013 budget.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

None

Attachments:

None

Related Items "On File" with the Clerk of the Board:

Contract Amendments for Kathleen Dark, PhD and Rodolfo Rodriguez, PhD



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 24
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma County

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Probation

Staff Name and Phone Number:

David Koch, 565-2168

Supervisorial District(s):

Countywide

Title: University of Cincinnati Corrections Institute (UCCI) Probation Training Services

Recommended Actions:

Authorize the Chief Probation Officer to execute an agreement with the University of Cincinnati Corrections Institute (UCCI) for specialized evidence-based practices training for the period of May 22, 2013 through May 21, 2014, with two one-year renewal options, for a total not to exceed the amount of \$120,000 annually.

Executive Summary:

In July 2012, the Sonoma County Probation Department released a Request for Proposal to identify a qualified individual or organization to deliver customized Evidence-Based Practices (EBP) training to Probation Services and Institutions staff. Following a review and scoring of the three proposals received by the RFP Evaluation Committee, the University of Cincinnati Corrections Institute (UCCI) was selected as the successful vendor. The Sonoma County Probation Department is recommending that the Board authorize an agreement with UCCI for evidence-based practices training services for the period of May 22, 2013 through May 21, 2014, with two one-year renewal options, in an amount not to exceed \$120,000 annually.

The implementation of EBP, the application of empirical research to professional practice, has become a major movement in the criminal justice field over the past several years. The implementation of Correctional EBP is a key initiative for the Sonoma County Probation Department. In 2008, the Sonoma County Probation Department launched its initial effort to implement EBP in community corrections. The Department began by educating staff and system partners on the research and practices of EBP, and invested significant effort and resources in acquiring and implementing automated validated risk assessment tools, along with training on motivational interviewing techniques and case plan management.

The proposed agreement with the University of Cincinnati Corrections Institute (UCCI) will allow the Probation Department to further build upon the foundation of existing EBP initiatives and expand knowledge within the department in order to reduce recidivism rates. This will be achieved through the

delivery of training designed to develop the capacity of staff to effectively use EBP approaches and principles within institutional facilities and community supervision. Research suggests that corrections professionals can influence change in offenders, and reduce recidivism when they adhere to the principles of effective intervention and utilize certain core correctional practices.

UCCI is housed in the Center for Criminal Justice Research (CCJR). UCCI is committed to the dissemination of best practices to communities, facilities, and agencies seeking to change offender behavior. UCCI works with federal, state and local governments, and with the private sector and professional organizations, to promote effective interventions and assessments for adult and juvenile offenders. UCCI has experience training community supervision and institutional staff across the country, including several jurisdictions in California.

The training provided by UCCI as part of this agreement, will focus on providing Adult and Juvenile Probation Officers with a structured framework for offender interactions with specific intervention techniques targeting criminogenic needs. The training for Juvenile Correctional Counselors provides staff with increased skills to effectively build a professional alliance with juvenile offenders. The agreement also includes provisions for follow-up training to evaluate and reinforce staff participants' knowledge and application of the tools/techniques presented in the training sessions through ongoing skills practice opportunities, along with supervisor coaching and quality assurance measures. Lastly, the agreement allows for additional training as requested by the Department as future training needs develop. Taken together these mission-critical activities offer the Department an opportunity to fully integrate best practices and quality assurance measures into the Department's case management and custodial supervision practices.

The UCCI proposal was selected on the basis of breadth/quality of services offered, expertise and experience of UCCI faculty, and favorable pricing. Additional factors included the provision of ongoing supervisor coaching and training to aid in meeting quality assurance standards, and the option of a train-the-trainer component allowing the Department to sustain its investment over time.

Prior Board Actions:

None.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

The training services included in this Agreement are designed to provide training on evidence-based practices for both community supervision and institutional Probation staff. The training provides staff with a structured approach to be utilized during offender interactions which will increase the likelihood of staff's ability to successfully influence positive change in offenders, and may ultimately lead to a reduction in recidivism.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 55,000	County General Fund	\$ 55,000
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 55,000	Total Sources	\$ 55,000

Narrative Explanation of Fiscal Impacts (If Required):

UCCI shall provide initial training for the Probation Department in May and June 2013. Funding for this training was included in the FY 12-13 budget. In subsequent fiscal years, UCCI shall provide follow-up and additional training as needed and the Department shall budget accordingly.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

None.

Attachments:

None.

Related Items "On File" with the Clerk of the Board:

University of Cincinnati Corrections Institute (UCCI) Training Services Agreement



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 25
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma County

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Probation

Staff Name and Phone Number:

Sheralynn Freitas, 565-3192

Supervisorial District(s):

Countywide

Title: Sonoma County Juvenile Hall Dental Services Agreement

Recommended Actions:

Authorize the Chief Probation Officer to execute an agreement with Dr. Jack E. Sherman for specialized dental services at the Sonoma County Juvenile Hall for the period of May 21, 2013 through May 20, 2014, for a total not to exceed the amount of \$46,000.

Executive Summary:

In August 2011, the Sonoma County Human Services Department (HSD) released a Request for Application (RFA) to identify an individual who would act as the part-time Dental Center Director for Valley of the Moon Children's Center (VMCC). Through this competitive process, HSD received one application of interest. As a result, HSD entered into a three-year contract with Dr. Sherman from October 2011 through October 2014. Through this partnership, Dr. Sherman has built a team of volunteer dentists and hygienists that serve all residents who come through the VMCC. The Sonoma County Probation Department is recommending that the Board allow the Probation Department to enter into an agreement with Dr. Sherman for part-time dental services for the Sonoma County Juvenile Hall Dental Office, utilizing the competitive process conducted by HSD. The agreement requested is for the period of May 21, 2013 through May 20, 2014, for a total amount not to exceed the amount of \$46,000.

In 2005, the Sonoma County Probation Department opened the remodeled Juvenile Hall facility. This 101,532 square foot facility includes a Health Services Wing with a functional dental work room, dental operatory and dental closet. The original intention and vision associated with the inclusion of this space in the construction of the facility was to develop a Juvenile Hall Dental Program which would allow residents to receive dental services in-house, as opposed to transporting the juveniles to outside dental office locations. Since the facility opened, the Department has explored options for in-house dental programs, and until recently, was unable to find a cost effective option that would involve use of volunteer hygienists. Over the last year, Dr. Sherman worked with Juvenile Hall medical and management staff to design a program for the Juvenile Hall, modeling the one used at VMCC. Though

original start up costs included purchase of a case management system. Partnering with HSD through its existing dental program, created an opportunity for the Probation Department to share technology related expenses for dental equipment, thus reducing the overall costs necessary to run the program. Specifically, Human Services and the Information Systems Department worked with Probation to eliminate the need to purchase a second case management program by purchasing an additional server that will allow the sharing of the existing case management system while maintaining the confidentiality of youth in both facilities. The safety, resident quality of care, and financial benefits of creating an in-house dental program at the Juvenile Hall facility are significant. In addition to the current annual medical budget of \$17,000 for medical/dental exams, the Juvenile Correctional Counselor (JCC) staff spend approximately \$8,000 transporting approximately 10-15 juveniles per quarter to outside dental appointments. All transports conducted by staff pose a level of liability and exposure for the resident, the public, and staff. Limiting the need to conduct the majority of dental service appointment transports will positively impact public safety. Reallocating this funding to an in-custody program will allow more youth to receive services.

In addition, developing an in-house dental program will allow Juvenile Hall residents to receive a significantly enhanced level of care than can currently be realized through the existing external dental program and will create the capacity to serve considerably more youth. The initial start-up program costs will be approximately \$24,000 for supplies (\$9,000) and equipment (\$15,000), combined with the cost of the Consultant’s initial program start up (approximately 16 hours per week for the first few months) for program policy and procedure development, and program evaluation procedure development. The ongoing costs to run an in-house dental program will be approximately \$5,000 more than the current external dental services program. However, an onsite dental program will enable the Department to be proactive with dental care for residents, allowing for the initiation of regularly scheduled dental examinations and cleanings. This preventative care may ultimately result in a savings to the dental costs realized by the Department, and will benefit the residents receiving dental care at the Sonoma County Juvenile Hall. The Juvenile Hall is comprised of residents who typically have engaged in high risk behavior, and are commonly found to have significant dental needs. Due to the multiple health issues associated with poor dental hygiene, taking an upstream approach of providing a higher level of care to these juveniles before these health risks become a reality, may ultimately result in a reduced long-term burden to the system.

Currently, transports for dental appointments are conducted by Extra Help Juvenile Correctional Counselor (JCC) staff. While the Department will incur an estimated cost of \$4,500 associated with the ongoing maintenance of equipment and supplies for the onsite dental office, the savings realized by a reduced need for JCC staffing for these transports will mitigate these costs, and allow for program flexibility and enhanced direct care for residents.

Prior Board Actions:

None.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

Providing an in-house dental program at the Juvenile Hall will significantly reduce the need for dental appointment transports conducted by Juvenile Correctional Counselor staff. All transports pose a level

of liability and exposure for the safety of staff, the resident, and the public. Limiting the need to conduct transports will positively impact public safety.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 6,000	County General Fund	\$ 6,000
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 6,000	Total Sources	\$ 6,000

Narrative Explanation of Fiscal Impacts (If Required):

For the remainder of FY 12-13, it is anticipated that \$6,000 will be expended on dental services provided through this agreement, and will be funded through savings achieved elsewhere in the Department's general fund budget. Costs associated with dental services in FY 13-14 were estimated and included in Probation's budget.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

None.

Attachments:

None.

Related Items "On File" with the Clerk of the Board:

Sonoma County Juvenile Hall Dental Services Agreement



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 26
(This Section for use by Clerk of the Board Only.)

To:

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s):

Staff Name and Phone Number:

Caryl Hart, Director (707) 565-3351

Supervisorial District(s):

All Districts

Title: Sonoma County Parks & Recreation Advisory Commission's report of accomplishments for 2012 and work plan for 2013

Recommended Actions:

Accept and approve the Sonoma County Parks & Recreation Advisory Commission's report of accomplishments for 2012 and work plan for 2013

Executive Summary:

Discussion

The Sonoma County Parks & Recreation Advisory Commission was established in 1991 to serve in an advisory capacity to the Board of Supervisors to promote, aid and encourage public recreation, including the development of recreation, park and open space facilities; and to act as an advisory body to the Sonoma County Regional Parks Director regarding the maintenance, development and operation of recreation areas and facilities serving the residents of Sonoma County.

Current Parks & Recreation Advisory Commission members:

Karen Collins, 1st District
John Mills, 2nd District
Pam Stafford, 3rd District
Bill Trowbridge, 4th District
Herman Hernandez, 5th District

Every year the Commission submits a summary of their accomplishments and a general work plan to the Board of Supervisors.

2012 accomplishments include:

Reviewed Planning projects and received departmental division updates.
Supported various fund-raising events and fostered partnerships.
Recommended and supported grant applications for various park and trail acquisition and development

projects.

Reviewed and commented on proposed park fees and supported revenue campaigns.

2013 work plan and topics include:

These topics are generally brought forward to the Commission and can include, but are not limited to, some of the following:

Review and comment on the Department's 5-year Capital Projects Plan.

Review and comment on operations and maintenance of Regional Park Facilities.

Review and comment on the budget.

Review priority plans for development of projects proposed by the Regional Parks Planning Division.

Review and comment on issues of concern brought to the Commission by stakeholders or concerned citizens.

Please see the attached 2012 Annual Report and 2013 Work Plan (Attachment A) for a more comprehensive list of accomplishments and items for future discussion.

Use of Ad-hoc Committees:

Ad-hoc committees can be called to meet on an as needed basis and members would work with the Director of Regional Parks to discuss various topics for which they are formed. Because the ad-hoc committees would be held on a time-limited basis, they are exempt from posting requirements of the Brown Act. Public input on ad hoc committee activities would be provided when the committee's report their ideas and advice to the full Commission.

Meeting schedule:

The Commissioners meet on a bi-monthly basis. Please see the attached Schedule of Meetings/Topic Guideline (Attachment B) for a list the dates and times for regular scheduled Commission meetings. The topic guideline is used as a "general guideline" of the items and issues the Commission can be expected to hear in the upcoming year.

Prior Board Actions:

On 3-27-90 the Board established the Sonoma County Parks & Recreation Advisory Commission. The Board has reviewed and adopted the Commission's accomplishments and work plan in subsequent years.

Strategic Plan Alignment Goal 4: Civic Services and Engagement

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):

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Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

None.

Attachments:

Attachment A – Sonoma County Parks & Recreation Advisory Commission 2012 Annual Report and 2013 Work Plan;
Attachment B – 2013 Schedule of Meetings and Topic Guideline

Related Items “On File” with the Clerk of the Board:

None.

Attachment A

Sonoma County Parks & Recreation Advisory Commission 2012 Annual Report and 2013 Work Plan

Annual Report

The Sonoma County Parks and Recreation Advisory Commission was established in January 1991 in order to study park issues and make recommendation to the Board of Supervisors and the Sonoma County Regional Parks Department.

2012 Accomplishments

Reviewed planning projects:

- Reviewed and approved the Taylor Mountain Regional Parks & Open Space Preserve Master Plan and recommended that the Board of Supervisors adopt the Mitigated Negative Declaration

Supported fund-raising efforts and fostered partnerships:

Provided significant support of the Sonoma County Regional Parks Foundation fundraising efforts:

- Purchased VIP tables and tickets for the PastaPalooza fundraiser, volunteered at the event and contributed silent auction items
- Participated in the Healdsburg Water Carnival by greeting guests and hosting the VIP room at the Jazz Mafia concert
- Volunteered at the Spring Lake Water Bark events
- Participated in two substantial fundraising events (organized by 1st District Commissioner Karen Collins) that earned more than \$10,000 for the Parks Foundation

Reviewed proposed Park fees and supported revenue campaigns:

- Reviewed Regional Parks' fee adjustments to assist in maintaining core services while providing a safe experience for park users and providing adequately maintained facilities
- Reviewed and provided feedback on ways to increase revenue and utilize the Regional Parks system, develop new services and increase visibility in the community

Received Division updates:

- Received update on MOU for operations and maintenance of Annadel Park
- Supported the Boy Scouts of America's Redwood Regional Challenge which consisted of a two day camp-out event for over 500 Boy and Cub Scouts completing service projects in 8 different Regional Parks

- Reviewed and received up-date of the Department's 5-year Capital Projects Plan 2012-2017, which identifies Park projects for acquiring, planning and developing new park trails and facilities
- Received Park Operations Division updates on aquatics, camping revenue, lifeguard/swimming programs, ADA upgrades, park concession at Spring Lake Park, Tolay Fall Festival statistics
- Received and commented on Programs and Marketing Division updates regarding enhancing Parks' brand, increasing partnerships, developing and managing recreation, interpretive and educational services for the department

Supported Grant Applications:

- Reviewed and supported application of the Community-Based Transportation Planning grants for the Petaluma to Sebastopol Trail and Sonoma to Santa Rosa Trail
- Approved application of the Sonoma County Transportation Authority grant to develop a 1.3 mile segment of the SMART Trail from Hearn Avenue to the Joe Rodota Trail
- Recommended application of the Open Space District Matching Grant Application

Visited Regional Parks and provided verbal reports regarding:

- Park experience and usage
- Observance of signage and potential barriers
- Trail usage and user types
- Parking and day-use issues

2013 Work Plan

The Commission will continue to meet and make recommendations regarding park issues. The following are key areas that the Commission will review in 2013. Other issues may replace or preclude some of the topics listed:

- Review and comment on issues of concern brought to the Commission by stakeholders or concerned citizens
- Review and recommend an up-date of the 5-year Capital Project Plan for development/acquisition of parks
- Ecotourism initiatives
- Review and comment on 2013-2014 Budget
- Review priority projects proposed by the Planning Division:
Tolay Lake Master Plan Process
FEMA Project Closeout
Riverfront Park Update

Sonoma County Integrated Park Plan (SCIPP)
Taylor Mountain Master Plan
Healdsburg Veterans Memorial Beach Update
Mark West Creek Update
Trails Updates - Bodega Bay, Laguna Trails, Central Sonoma Valley Trail,
Sonoma Schellville Trail, Hood Mountain Ridge Trail, Timber Cove Coastal Trail

- Review and recommend grant applications including: Land and Water Conservation Fund, Habitat Conservation Fund and Recreational Trail Programs, Open Space District Matching Grant
- Review and accept the Springhill Cemetery Annual Work Plan
- Promote the work of the Regional Parks' Foundation
- Review and participate in Regional Parks sponsored events: Tolay Fall Festival, Healdsburg Water Carnival, Park Celebrations, Russian River Festival

Attachment B

Sonoma County Parks & Recreation Advisory Commission 2013 Work Plan and Topic Guideline

Date	Time	Location	Agenda Topics
Monday, January 28	5 - 7 p.m.	Board Chambers 575 Administration Dr. 100A, Santa Rosa	<ul style="list-style-type: none"> • Election of Officers • Commission's 2012 Annual Report • Commission's 2013 Work Plan • Foundation Update • Taylor Mountain Transfer • SPM/Masons Strategic Plan • Planning - Outreach Opportunities
Monday, March 18	5-7 p.m.		<ul style="list-style-type: none"> • Park Membership Campaign • Park Celebration • Foundation Update • Annadel Park Update • Fee Package • Russian River Festival • TOT Funds/Marketing • Planning – Tolay Update • Springhill Cemetery Work Plan
Monday, June 3rd	5 – 7 p.m.		<ul style="list-style-type: none"> • Foundation Update • Taylor Disc Golf Course • Summer 2013 Operations Update • Lakeside Grill Alcohol Service • Deferred Maintenance Plan 5-yr • Planning – Construction Project Update • FEMA Projects Close-Out
Monday, July, 15	5 - 7 p.m.		<ul style="list-style-type: none"> • Environmental Discover Center Program Update • Foundation Update • Maxwell Farms Master Plan Update • Business & Strategy Division Update • Planning – Mark West Creek Update

Monday, September 16	5 - 7 p.m.		<ul style="list-style-type: none"> • Grants: Land & Water Conservation Fund, Habitat Conservation Fund and Recreational Trail Programs • Foundation Update • Summer Operations Debrief
Monday, November 18	5 - 7 p.m.		<ul style="list-style-type: none"> • Membership Wellness Campaign • Open Space District Matching Grant Application • Foundation Update • Tolay Fall Festival Update/Debrief • Planning Construction Update

The Commissioners can form ad-hoc committees to meet with the Director of Regional Parks (during alternate months of the regularly scheduled Parks & Recreation Advisory Commission meetings), on an “as needed” basis.



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 27
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma County

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Regional Parks

Staff Name and Phone Number:

Mark Cleveland 565-3349

Supervisorial District(s):

1st & 2nd

Title: Cardoza Creek Restoration Project Bid Award

Recommended Actions:

Award the contract for the Cardoza Creek Restoration Project to Glissman Excavating in the amount of \$209,018.08, with an anticipated term of May 21, 2013- October 2014

Executive Summary:

Sonoma County Regional Parks acquired the 1,769 –acre Tolay Lake Regional Park in 2005. The Board of Supervisors adopted the Tolay Lake Interim Public Access & Resource Management Plan (Interim Plan) on December 09, 2008 with proposed public access, program, and restoration elements. “Cardoza Creek,” an unnamed tributary to Tolay Creek, was identified for restoration in the Interim Plan.

In 2007, the Sonoma Land Trust and Regional Parks co-authored a funding application to the North American Wetland Conservation Act program for the Sonoma Baylands Wetlands Restoration Project. In March 2008, \$1 million was awarded by the Migratory Bird Conservation Commission including \$250,000 for Regional Park’s Cardoza Creek Restoration Project, and \$750,000 for the Sonoma Land Trust’s Sears Point Restoration project. This funding is administered by the U.S. Fish & Wildlife Service and was first included in the Board approved FY 08-09 Capital Project plan and budget.

The Cardoza Creek Restoration Project includes removing debris (i.e. car bodies) from the creek, stabilizing the spillways of two ponds, planting over 800 trees, shrubs, perennials and grasses, installing a solar irrigation system, installing cattle fencing, and removing invasive plants. By focusing restoration efforts at a series of high-impact “nodes” along the creek, over time the habitat along the entire Cardoza Creek will be passively enhanced. The project design is also informed by a collaborative restoration pilot project on the lowest 500 feet of Cardoza Creek from 2004 to 2008 by the Students Teachers Restoring A Watershed Program (STRAW). The STRAW program is managed by the non-profit Point Reyes Bird Observatory.

Sonoma County Regional Parks formally requested Civil Engineering Services from a Board approved list of "as needed" engineering firms in February 2011 for the project's engineering and restoration design, and awarded the contract to Green Valley Engineering and Prunuske Chatham, Inc. in March 2011.

The County advertised the bid beginning on March 27, 2013 and conducted a pre-bid construction conference on April 16, 2013. On April 23, 2013 the County publicly opened the five bids received. Glissman Excavating from Loomis, California submitted the lowest responsible bid of \$209,018.08 which is within the project budget. The next lowest bid was 2.5% above the bid submitted by Glissman Excavating (see Attachment A, Bid Tabulation for complete list). The project is fully funded by the North American Wetland Conservation Act. The construction work is anticipated to begin June 2013 and be completed in October 2013, at which time a one-year maintenance period will begin. Two volunteer maintenance days will be scheduled in the Winter of 2013-14. The complete draft contract with Glissman Excavating is On File with Clerk.

Prior Board Actions:

09-27-2005: Property acquisition. Board Resolution No. 05-0840a
 12-09-2008: Tolay Lake Interim Public Access & Resource Management Plan. Board Resolution 64-081026

Strategic Plan Alignment Goal 2: Economic and Environmental Stewardship

The approval of Cardoza Creek Restoration Project supports the protection and maintenance of parks, public lands & open space systems that promote recreation, health, protects watersheds, promotes biodiversity, and contributes to the economic vitality.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 209,018.08		\$
Add Appropriations Req'd.	\$	State/Federal	\$ 209,018.08
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 209,018.08	Total Sources	\$ 209,018.08

Narrative Explanation of Fiscal Impacts (If Required):

Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
Attachments:			
Attachment 1 – Schedule of Bids Received.			
Related Items “On File” with the Clerk of the Board:			
Contract for Cardoza Creek Restoration Project with Glissman Excavating			

SONOMA COUNTY PURCHASING DIVISION BID TABULATION

Bid No.

BSO # Board Bid

Project Manager

Mark Cleveland

Tel. Ext. 3349

Bid Date April 23, 2013 Project Name

Cardoza Creek
Restoration Project

Project No. 140707

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Bidder's Name	Base Bid	Alt. #1	Alt. #2	Alt. #3	Alt. #4	Alt. #5	For Project Manager's Use
Glissman Excav.	209,018.08						
Restoration Resources	295,880.-						
Empire Landscaping	244,884.-						
W.R. Forde Assoc.	384,550.-						
McNabb Const.	214,317.-						

Attachment A



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 28
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma County

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Sheriff's Office

Staff Name and Phone Number:

Marta Peavey – 565-3928

Supervisorial District(s):

All Supervisorial Districts

Title: Warm Springs Dam Law Enforcement Services 2013 Contract

Recommended Actions:

Authorize the Sheriff to execute the 2013 Lake Sonoma law enforcement services contract with the U.S. Army Corps of Engineers for the Sheriff's Office to receive \$108,228.08 for the term of May 10, 2013 through September 29, 2013 and make any revisions to the documents that do not substantially change the content.

Executive Summary:

Since 1985 when Lake Sonoma opened, the Sheriff's Office Marine Unit has budgeted and provided a baseline level of service for the Warm Springs Dam area. The Warm Springs Dam area is the busiest waterway with the most calls for service, the most accidents, and the most law enforcement contacts of all the County waterways.

The U.S. Army Corps of Engineers (USACE) has reimbursed the Sonoma County Sheriff's Office for a portion of the law enforcement services provided on Lake Sonoma during the active summer months since 1985. In addition to the reimbursement provided in the contract, USACE provides the Marine Unit with an office to use as a substation, a storage container, and docking spaces on the lake for the Unit's vessels and personal water crafts (PWCs).

The Sheriff's Office has received the proposed contract from USACE that covers the period from May 10, 2013 through September 29, 2013. The amount available for reimbursement to the County for the provision of these law enforcement services is \$108,228.08. The USACE has informed the Sheriff's Office that it may request additional law enforcement services during 2013 for an additional amount of \$57,590.16. Such additional services would be subject to a separate or amended agreement.

The contract allows for the reimbursement of one Sheriff's deputy for up to 705 regular deputy hours, 10 overtime deputy hours, 24 holiday deputy hours, and 183 sergeant hours of service. In addition, reimbursable expenses include the cost of one patrol vehicle for 75 days of the contract, mileage, County-wide overhead, and Sheriff's Office overhead. The services and associated reimbursement revenue are included in the Sheriff's Office adopted FY 12-13 and recommended FY 13-14 budgets.

The Sheriff's Office is legally responsible for providing law enforcement services to the County's waterways regardless of federal reimbursement. If the contract is not approved, the County will forego funding reimbursement for up to \$108,228.08 for the provisions of law enforcement services at the Warm Springs Dam site (Lake Sonoma).

Prior Board Actions:

The Board of Supervisors has approved the U.S. Army Corps of Engineers law enforcement services contract site (Lake Sonoma).

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

Entering into a law enforcement services contract with the U.S. Army Corps of Engineers will allow the Sheriff's Office to ensure that boating activities at Lake Sonoma are safe during the busy summer season.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 40,415	County General Fund	\$
Add Appropriations Req'd.	\$	State/Federal	\$ 40,415
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 40,415	Total Sources	\$ 40,415

Narrative Explanation of Fiscal Impacts (If Required):

The approximate cost of providing the summer 2013 contract services is \$40,415 for the remainder of FY 12-13, and \$67,813 for the first quarter of FY 13-14. The contract will reimburse actual expenditures up to \$108,228. If the option is exercised to reimburse for two deputies, the approximate cost for the remainder of FY 12-13 is \$60,660 and \$105,158 for the first quarter of FY 13-14.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

Attachments:

Related Items “On File” with the Clerk of the Board:

Warm Springs Dam Law Enforcement Services Contract W912P7-13-P-022 for 2013.



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 29
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Transportation and Public Works Department

Staff Name and Phone Number:

Thomas F. O’Kane, Jr. (707) 565-3585

Supervisorial District(s):

Fifth District

Title: Salmon Creek Water Collection and Treatment System Improvement Project (CSA #41)

Recommended Actions:

Approve resolution accepting Grant of Easement deed for a temporary construction easement; authorizing execution of Agreement for Purchase and Sale of Easement; authorizing payment of \$2,500, including \$-0- severance, plus possible future monthly rental payments (as needed for up to six months) of \$410.26 per month; lands of Jackson Marital Trust (APN 101-011-026)(Fifth Supervisorial District)

Executive Summary:

The subject of this resolution is the acquisition of a temporary construction easement to provide a site for interim water system storage and treatment facilities at Salmon Creek while the County performs work to upgrade the permanent facilities.

The Department of Transportation and Public Works plans to improve the Salmon Creek community water system (CSA #41) near Bodega Bay. The water system’s existing water storage tank and small treatment plant on County property will be upgraded for the project. In order to perform the work on the existing facilities, an interim storage and treatment facility will need to be installed elsewhere for the duration of the system upgrade. There is insufficient space available at the permanent facility location.

A nearby site on land owned by a cooperative property owner was selected for the proposed interim facilities.

A water storage tank and treatment/pumping facility will be installed within the easement on top of an existing concrete pad. The site will be fenced. The temporary easement will expire on January 31, 2014, but the County will have the option to rent the site on a month-by-month basis for up to an additional six months, if necessary. Any additional months of rental shall not extend beyond June 30, 2014.

The subject property is a 6,970 square foot property consisting of two Assessor’s Parcels. It is improved

with a single-family dwelling. A 2,999 square foot Temporary Construction Easement is proposed on a portion of the property in order to accommodate the interim water system facilities.

The subject property is owned by the Jackson Marital Trust. David S. Jackson is the Successor Trustee.

Based upon a Waiver Valuation, compensation to the property owner was determined to be \$2,500 for the initial period (six months) of the temporary easement. If the County elects to rent the property beyond January 31, 2014, the rental rate determined as appropriate compensation will be \$410.26 per month.

The property owner has agreed to accept these amounts as compensation for the temporary easement acquisition and has signed all necessary right-of-way documents.

The attached resolution will authorize execution of the Agreement for Purchase and Sale of Easement, including payment as described, to acquire the described temporary easement, and acceptance of Grant of Easement deed.

Appropriations are available in the FY12/13 Salmon Creek Construction Budget with funding to come from a U.S.D.A. Rural Development loan and grant accepting in September of 2012.

The agreement has been approved as to form by County Counsel.

Prior Board Actions:

9/11/12: Resolution accepting U.S.D.A. loan and grant for Salmon Creek Improvement Project.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

Construct temporary community water system facilities while the permanent facilities are being upgraded.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 2,500		\$
Add Appropriations Req'd.	\$	State/Federal	\$ 2,500
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 2,500	Total Sources	\$ 2,500

Narrative Explanation of Fiscal Impacts (If Required):

Additional compensation up to a total of \$2,461.56 may be required if the County elects to rent the easement area on a month-by-month basis after January 31, 2014. If necessary, appropriations will be included in 2013-14 First Quarter Consolidated Adjustments.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

Attachments:

Resolution; Location Map; Acquisition Map

Related Items "On File" with the Clerk of the Board:

Grant of Easement; Agreement for Purchase and Sale of Easement.



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

4/5 Vote Required

Resolution Of The Board Of Supervisors Of The County Of Sonoma, State Of California, Accepting Grant Of Easement Deed for a temporary construction easement; Authorizing Execution Of Agreement For Purchase And Sale Of Easement; Authorizing Payment Totaling \$2,500, plus possible future monthly rental payments (as needed for up to six months) of \$410.26 per month; lands of Jackson Marital Trust (APN 101-011-026); Salmon Creek Water Collection and Treatment System Improvement Project (CSA #41).

Whereas, a Grant of Easement deed, dated April 25, 2013, conveying a temporary construction easement described therein to the County of Sonoma on behalf of County Service Area No. 41, Salmon Creek Zone of Benefit, has been tendered to this Board of Supervisors by David S. Jackson, Successor Trustee of the Jackson Marital Trust, created under the Trust established by written declaration dated June 26, 1991; and

Whereas, the County of Sonoma is desirous of securing said temporary construction easement for the installation of interim water system storage, treatment, and pumping facilities while the permanent Salmon Creek community water system facilities are being upgraded on nearby County property.

Now, Therefore, Be It Resolved that the Board does hereby accept said deed according to terms set forth therein.

Be It Further Resolved that the Chair of the Board be and hereby is authorized and directed to execute the Agreement for Purchase and Sale of Easement and the statutory Certificate of Acceptance in connection with said deed.

Be It Further Resolved that the total financial consideration for the property interest to be acquired is a minimum of \$2,500 and a maximum of \$4,961.56 (if additional land rental periods are necessary), which includes the following:

LAND	\$2,500 (temporary construction easement-initial rental period)
LAND	\$2,461.56 (maximum amount for additional month-to-month land rental (\$410.26/month) as needed for up to 6 months)

Resolution #

Date:

Page 2

IMPROVEMENTS	\$ -0-
SEVERANCE	\$ -0-
OTHER	\$ -0-

Be It Further Resolved that as part of the total financial consideration above, the County Auditor-Controller-Treasurer-Tax Collector be and hereby are authorized and directed to draw their warrant on the County Service Area #41, Salmon Creek Zone of Benefit, in the sum of \$2,500, and additional payments, if necessary, of \$410.26 for each month of additional land rental, payable to David S. Jackson, Successor Trustee of the Jackson Marital Trust, said sums representing the financial consideration agreed upon in connection with the herein described conveyance (see Exhibit "A" attached).

Be It Further Resolved that the County Recorder be and hereby is authorized and directed to record said deed at no cost to the County as provided under Government Code 6103.

Supervisors:

Gorin:

Zane:

McGuire:

Carrillo:

Rabbitt:

Ayes:

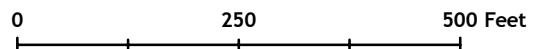
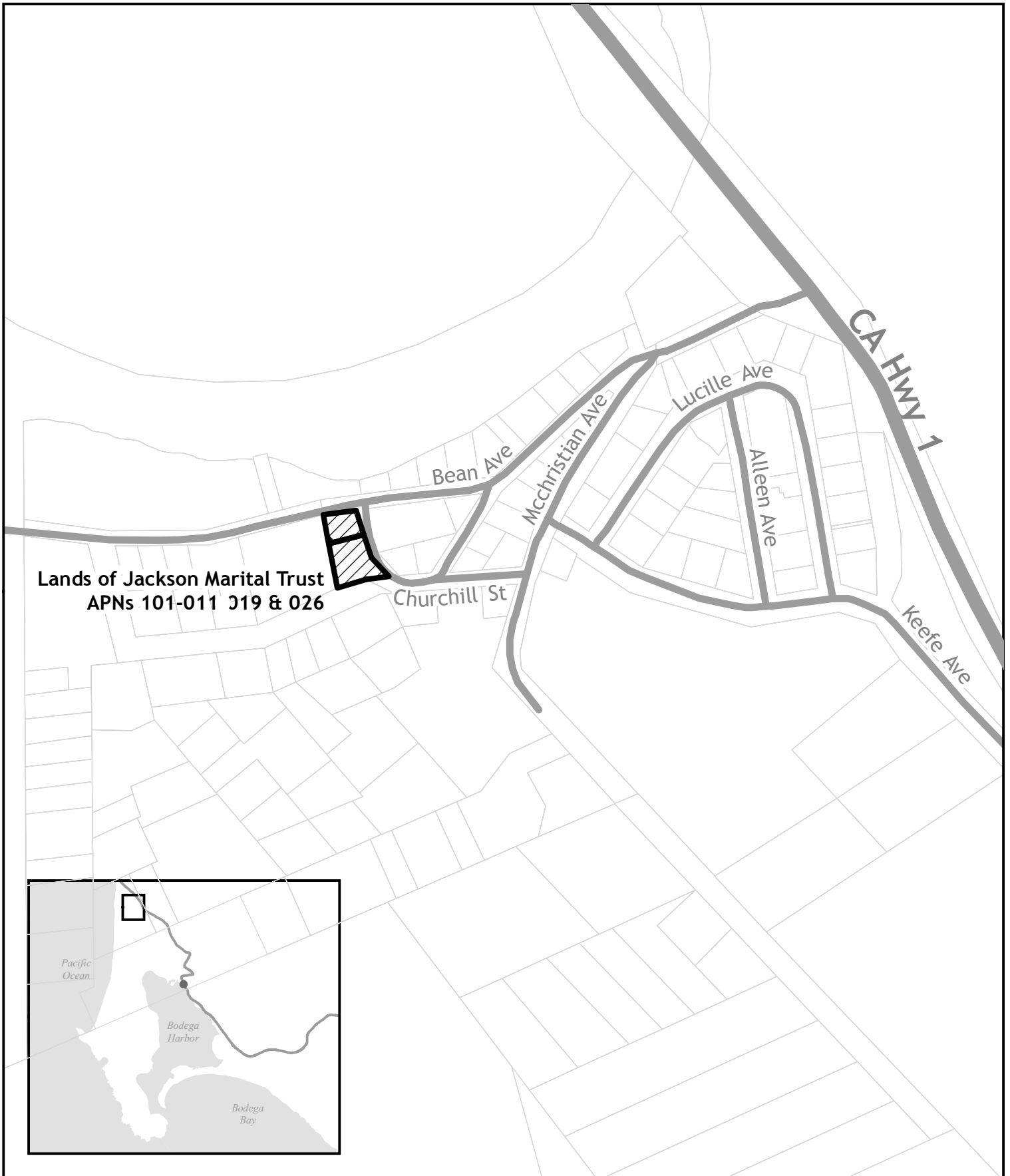
Noes:

Absent:

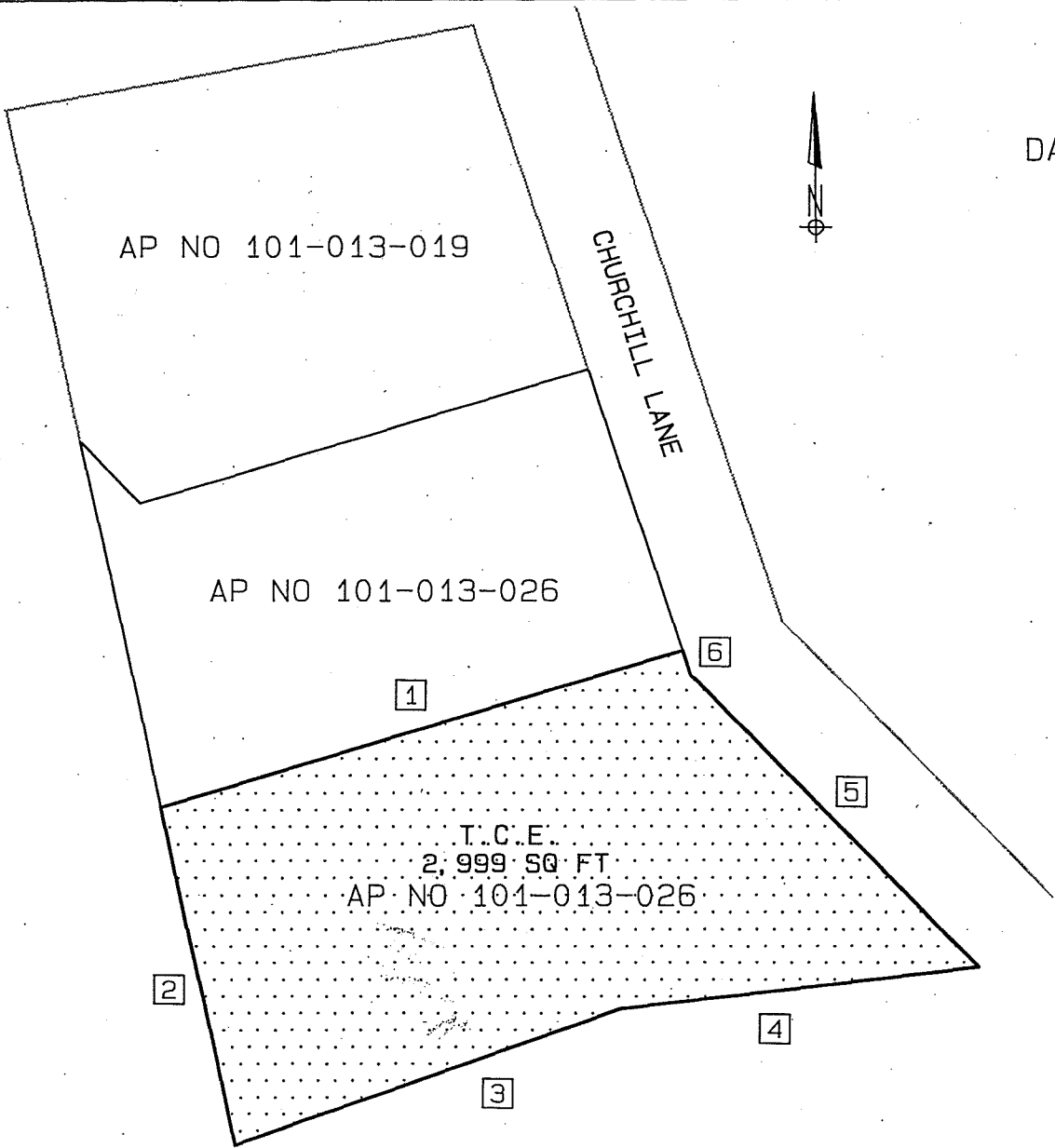
Abstain:

So Ordered.

Location Map



ACQUISITION MAP



DAVID K. JACKSON, TRUSTEE
 DOC NO 2012 097832
 AP NO 101-013-026

COURSE TABLE
 T.C.E.

1	S73°10'08"W 63.34'
2	S11°45'00"E 40.85'
3	N70°11'09"E 47.74'
4	N83°10'21"E 41.75'
5	N43°59'47"W 47.73'
6	N17°41'44"W 3.00'

LEGEND

T.C.E ACQUISITION AREA

PROJECT: SALMON CREEK WATER TREATMENT PLANT			
DATE: APRIL 2013	SCALE: NONE	APN 101-013-026	JOB NO. W06724



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 30
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Transportation and Public Works

Staff Name and Phone Number:

Bryan Albee – (707) 585-7516

Supervisorial District(s):

Countywide

Title: Sonoma County Transit – Amendment No. 1 with Natural Gas Systems for CNG Fueling System Maintenance Services (cost for scheduled services and contingency not to exceed \$129,432 annually).

Recommended Actions:

Approve Amendment No. 1 with Natural Gas Systems for compressed natural gas (CNG) fueling system maintenance services for a three-year period beginning July 1, 2013 thru June 30, 2016 not to exceed \$129,432 annually.

Executive Summary:

In 1995, Sonoma County Transit constructed a compressed natural gas (CNG) fueling facility at its yard in southwest Santa Rosa. The station requires ongoing maintenance attention to ensure safe and reliable operation. Reliable operation is essential in order to meet daily service requirements of Sonoma County Transit and its fleet of CNG powered buses. Since 1995, the number of CNG powered buses has grown from 4 to 45. Sonoma County Transit has the only large commercial CNG slow-fill fueling facility in the San Francisco Bay Area. It is essential that the CNG fueling facility operates reliably, as there are no other CNG fueling facilities in the local area that have the capacity to support the Sonoma County Transit fleet in the event of a compressor failure.

Use of natural gas to power the County's transit fleet saves approximately \$1.3 million per year compared to a diesel-powered fleet. Combined with the low cost of natural gas and federal natural gas incentives, Sonoma County Transit's net cost of natural gas (commodity only) for calendar year 2012 was \$19,682.

In July 2013, Sonoma County Transit will commission two new compressors and a new 30-post slow-fill system with the completion of its natural gas fueling system expansion project that began in early 2011. Combined with the existing two compressors and 20-post slow-fill system, Sonoma County Transit will have the capacity to fuel all its vehicles in significantly less time than what is required today. The additional fueling capacity will allow for future growth of Sonoma County Transit and its use of natural gas powered buses.

The recommended Amendment No. 1 with Natural Gas Systems extends the current agreement for three years and expands the scope of services to include weekly maintenance of the two new compressors, PLC control systems, time fill control panel, gas dryer, and 30-post slow-fill system. The additional monthly cost for inclusion of the new fueling equipment is \$1,995 per month.

Sonoma County Transit has contracted with Natural Gas Systems (NGS) since 1996 to provide parts, weekly preventive maintenance and running repair services for the CNG fueling facility. During this time, the system has performed reliably despite increased daily usage from an expanded CNG powered fleet. Few vendors within the state have the expertise required to maintain a CNG facility and provide the responsiveness that Sonoma County Transit requires. None are located in northern California. During its association with Sonoma County Transit, NGS has maintained an excellent record for responsiveness. NGS' rates are competitive for the level of service, commitment, and expertise provided. In addition to weekly preventative maintenance inspections, NGS provides on-call service to Sonoma County Transit 24 hours a day, seven days a week in the event of a system failure. Reliable operation of the CNG fueling facility is essential to ensure reliable operation of Sonoma County Transit.

The recommended amendment allows for a \$66,432 annual expense for weekly maintenance of the existing compressors and related equipment at the current rate of \$3,115 per month, plus the addition of the new compressors and related equipment at \$1,995 per month, totaling \$5,110 per month. NGS performs their weekly maintenance on Tuesdays. The proposed amendment anticipates that each year there will be four months with five Tuesdays. On these months, an additional weekly service cost, as allowed for in the amendment, will be charged.

In 2010, NGS reduced its prices to Sonoma County Transit by 10% and the Purchasing Agent approved its current agreement. With the exception of the additional \$1,995 per month for the new compressors and related equipment, all other rates remain unchanged from the 2010 agreement.

An additional \$63,000 annual contingency is provided for on-call maintenance services and parts necessary for all NGS maintained gas compression equipment at Sonoma County Transit. The provided contingency will allow NGS to proceed with repairs when necessary upon authorization from the County Transit Systems Manager.

Appropriations have been included in Sonoma County Transit's proposed FY 2013-14 budget. Funding for the services provided will come from Transportation Development Act funds.

Prior Board Actions:

Fiscal Year 2010-11 thru 2012-13 agreement approved by Purchasing Agent providing an annual amount up to \$100,000 in total costs for preventive maintenance and on-call repair services. 4/8/08 – Board Action No. 12 approved agreement with Natural Gas Systems providing an annual amount up to \$145,000 for preventive maintenance and on-call services

Strategic Plan Alignment Goal 2: Economic and Environmental Stewardship

The use of natural gas to power the Sonoma County Transit fleet provides both an environmental and economic benefit. Natural gas is one of the cleanest fuels available for use in transit buses and the fuel cost savings derived from using natural gas results in the provision of increased countywide transit services.

Fiscal Summary - FY 12-13			
Expenditures		Funding Source(s)	
Budgeted Amount	\$ 129,432		\$
Add Appropriations Req'd.	\$	State/Federal	\$ 129,432
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$	Transp. Development Act	\$
Total Expenditure	\$ 129,432	Total Sources	\$ 129,432
Narrative Explanation of Fiscal Impacts (If Required):			
Appropriations are included in the Requested FY 2013-14 budget and will be requested annually in FY2014-15 and 2015-16 budgets.			
Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
Attachments:			
Amendment No. 1; Attachments A and B; NGS Proposal			
Related Items "On File" with the Clerk of the Board:			
Agreement			

**PROFESSIONAL SERVICES AGREEMENT
AMENDMENT NO. 1**

The following is an Agreement dated as of _____, 2013 by and between the County of Sonoma, hereinafter referred to as "COUNTY," and Natural Gas Systems (NGS), hereinafter referred to as "CONSULTANT."

WHEREAS, CONSULTANT has an existing agreement to provide natural gas compressor station maintenance, troubleshooting, repair and related services that was approved County Purchasing Agent dated June 4, 2010; and

WHEREAS, COUNTY desires to extend its agreement with CONSULTANT to include two new natural gas powered compressors and related equipment and to provide weekly system inspections and repair services on an "as needed" basis for the period between July 1, 2013 and June 30, 2016; and

WHEREAS, CONSULTANT has indicated the ability to provide COUNTY with such services.

NOW, THEREFORE, in consideration of the mutual covenants contained herein, COUNTY and CONSULTANT hereto agree to Amendment No. 1 of the AGREEMENT as follows:

1. Scope of Services: Exhibit "A" of the scope of services has been revised to reflect the addition of two new Knox Western compressor and related equipment that will be installed and operating effective July 1, 2013.

2. Section 3. Term: Section shall be amended to read:

The term of this Agreement shall be from July 1, 2013 to June 30, 2016.

3. Section 4. Maximum Obligation: Section shall be amended to read:

For the period July 1, 2013 to June 30, 2016, COUNTY agrees to pay CONSULTANT in consideration for its services as described herein. The maximum cost to be paid by COUNTY is as follows:

CONSULTANT shall be paid on a time and material/expense basis in accordance with the budget set forth in Exhibit B. Monthly maintenance services, not including parts and supplies costs, shall not exceed \$66,432 per year. All parts and supply costs and additional maintenance services shall be provided on an as needed basis with approval by County Transit Systems Manager per rates shown in Exhibit "B." Annual expenditures for all services provided under this agreement shall not exceed \$129,432.

4. Except as provided above, all provisions of AGREEMENT remain in full force and effect.

IN WITNESS WHEREOF, the parties hereto have caused this Amendment No. 1 to be executed by and through their respective officers thereunto duly authorized on the date written below.

By COUNTY this _____ day of _____, 2013.

By CONSULTANT this _____ day of _____, 2013.

Natural Gas Systems

COUNTY OF SONOMA

By: _____

By: _____
Chair, Board of Supervisors

ATTEST:

By: _____
Clerk of the Board

APPROVED AS TO FORM FOR COUNTY:

By: _____
County Counsel

Date: _____

CERTIFICATES OF INSURANCE ON FILE
WITH THE DEPARTMENT:

By: _____

Date: _____

REVIEWED AS TO SUBSTANCE FOR COUNTY:

By: _____

Date: _____

Attachment “A”
Amendment No. 1 - Scope of Services

NGS shall provide maintenance and repair services, as needed, to ensure safe, reliable and uninterrupted operation of Sonoma County Transit’s Compressed Natural Gas (CNG) fueling facilities. Sonoma County Transit’s CNG fueling equipment consists of four (4) Knox Western Compressors and four (4) Caterpillar 3406 Engines and related control, gas drying, regulating, and dispensing equipment.

Ongoing tasks include:

I. Preventive Maintenance: At a minimum, NGS will perform the following preventive maintenance activities each Tuesday (unless a holiday or other arrangements are made with approval of County Transit Systems Manager, or his designee):

- Physical inspection of compressors and engines for changed conditions, visible wear, fluid leaks, etc.
- Checking, draining and replacing filters on compressors (as necessary)
- Checking oil level in engines and compressors and filling as necessary.
- Checking and maintaining the cascade.
- Checking all pressure and temperature parameters.
- Inspect electronic control panel for loose or damaged connections.
- Checking condition of all lines, hoses, priority panel and sequencing panel.
- Recording all meter readings.
- Check fasteners for tightness and specified torque
- Inspect drive belts for tension and wear (replace as necessary).
- Check safety devices for proper operation (repair/replace as necessary).
- Provide a weekly report to Sonoma County Transit summarizing work done and status of the CNG fueling system following each weekly visit.

In addition to the above, NGS shall conduct preventive maintenance inspections on the following ancillary equipment:

- Inlet Dryers
- Air compressors
- 50 slow fill posts
- Inlet gas dryer drain systems (change cartridge as necessary)

Cost: FY 2013-14 - \$1,277.50 per weekly site visit (\$5,110 per month)
FY 2014-15 - \$1,277.50 per weekly site visit (\$5,110 per month)
FY 2015-16 - \$1,277.50 per weekly site visit (\$5,110 per month)

Monthly rates are based on a total of four Tuesdays per month. In months with five Tuesdays, the additional weekly visit should be charged at the weekly site visit rate listed above.

II. Repairs: NGS shall perform additional repairs as necessary to ensure safe and reliable operation of the CNG fueling facilities.

Cost: \$73.74* see appendix “B” for description of labor rate(s) that may apply for emergency callouts, after-hour repairs, etc.

III. Materials and Supplies: NGS shall maintain a Spare Parts Inventory List of items to purchase and stock on hand at the transit facility. NGS shall submit to Sonoma County Transit the Spare Parts Inventory List for review and approval. The Spare Parts Inventory List shall list by item number and appropriate nomenclature all required spare parts and consumables with a purchase price and recommended vendor(s). Spare parts shall be acquired by Sonoma County Transit to the extent practical. NGS shall acquire parts, not available as described above, and invoice Sonoma County Transit on a monthly basis for all parts acquired.

NGS agrees to cooperate with the Sonoma County Transit Maintenance Department, to ensure that frequently used parts to support preventative maintenance activities and reliable system operation are readily available on site. NGS shall make take efforts to insure that parts necessary for emergency repairs, to the extent practical, are maintained in inventory at the transit facility.

Cost for NGS provided parts: Actual cost + 22.5% contractor markup.

IV. Coordination with Sonoma County Transit: NGS agrees to brief the Sonoma County Transit Maintenance Manager (or lead mechanic, if absent) on the status of the fueling facility at the conclusion of each site visit. NGS will advise of any operational concerns or the need to schedule a system shut-down in order to perform major preventative repairs. Anticipated system shutdowns, when necessary for repair purposes, shall be conducted on Friday or Saturday to minimize fueling disruption to the Sonoma County Transit fleet.

V. Emergency Response: NGS shall be available to provide service to Sonoma County Transit 24 hours a day, 365 days a year. NGS service personnel shall respond to emergency calls within a four (4) hour period of receiving notification from Sonoma County Transit that emergency service is necessary.

Cost: Per rates described in Attachment “B”

VI. Maintenance Log: NGS shall provide a report to Sonoma County Transit summarizing all work done and status of the CNG fueling system following each weekly visit.

NGS agrees to maintain a maintenance log detailing all activities and repairs conducted with each visit. The log shall be kept in a location that is secure, yet available to the Sonoma County Transit Maintenance Manager, or designee, for routine inspection.

Attachment "B"
Amendment No. 1 - Rate Schedule

Cost shall include maintenance of Sonoma County Transit's two CNG fueling stations, inclusive of all equipment set forth and other equipment that is normally considered to be a part of the CNG fueling station equipment, including gas dryer, regulators and other related equipment. Maintenance shall be limited to operating equipment and shall not include driveways, walkways, permanent building structures and other parts of the station classed as permanent site improvements.

REPAIRS

Cost of weekly Preventive Maintenance services (performed each Tuesday):

FY 2013-14 - \$1,277.50 per weekly site visit (\$5,110 per month)
FY 2014-15 - \$1,277.50 per weekly site visit (\$5,110 per month)
FY 2015-16 - \$1,277.50 per weekly site visit (\$5,110 per month)

Monthly rates are based on a total of four Tuesdays per month. In months with five Tuesdays, the additional weekly visit should be charged at the weekly site visit rate listed above.

Base rate for on-site repairs conducted by Contractor's technician:

\$73.74 hr. FY 2013-14
\$73.74 /hr FY 2014-15
\$73.74 hr FY 2015-16

Overtime rate (applied if technician is called out after having completed an 8 hour day, including work at other job sites, or on Saturday):

\$110.61 /hr FY 2013-14
\$110.61 /hr FY 2014-15
\$110.61 /hr FY 2015-16

Double time rate (applied if technician is called out after having completed a 12 hour day, including work at other sites, or on a Sunday or Holiday):

\$147.48 /hr FY 2013-14
\$147.48 /hr FY 2014-15
\$147.48 /hr FY 2015-16

Travel time to and from Contractor's point of departure and the Sonoma County Transit CNG fueling facility shall not exceed 4 hours per site visit, billed at the applicable rate above for repairs, maintenance and callouts.

MATERIAL & SUPPLIES

Percent mark-up to be applied to Contractor's cost for the
Purchase of all parts, materials and consumables: Actual cost + 22.5%

Natural Gas Systems, Inc.

3334 East Coast Hwy
Corona Del Mar, CA 92625-2328
Ph 949-582-9647
Fax 949-582-2428

QUOTE

Date	Quote #
4/23/2013	1054 SCT

e-mail jshield2012@live.com

CUSTOMER INFORMATION

Sonoma County Transit
2300 County Center Dr., Ste 100 B
Santa Rosa, CA 95403

P.O. #	Terms	Due Date
	Net 30	5/23/2013

Description of Work & Parts	Qty	Cost	Amount	Markup	Total
Quote: This quote is to add weekly service of the two new Knox Western compressors, skid C' & D'. This includes service for both compressor skids, PLC panel, time fill control panel, gas dryer, 2 Quincy air compressors, 15 slow fill posts (30 hoses), and all associated equipment.		1,955.00	1,955.00		1,955.00
This total is the existing price for both A' & B' compressor skids, along with all associated equipment.		3,155.00	3,155.00		3,155.00

Thank you for your business.

Subtotal	\$5,110.00
Sales Tax (8.75%)	\$0.00
Total	\$5,110.00

PLEASE SIGN AND FAX BACK ASAP TO AUTHORIZE PARTS TO BE PURCHASED OR WORK TO COMMENCE. VERBAL AUTHORIZATION IS NO LONGER ACCEPTED. SIGNATURE NOW REQUIRED.

Signature & PO # _____



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 31
(This Section for use by Clerk of the Board Only.)

To:

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Transportation and Public Works Department

Staff Name and Phone Number:

Thomas F. O’Kane, Jr. (707) 565-3585

Supervisorial District(s):

Fifth District

Title: Guerneville Street Lighting on Fife Creek Bridge Project – Phase 2

Recommended Actions:

Approve resolution accepting Grant of Utility Easement deed, and land; authorizing execution of Agreement for Purchase and Sale of Easement; authorizing payment of \$1,000, including \$-0- severance; authorizing proration and transfer of taxes on said land; and authorizing refunds, if applicable, pursuant to §5096 of the Revenue and Taxation Code; lands of Isaac, LLC, a California Limited Liability Company (APN 071-180-002); Project No. W12001. (Fifth Supervisorial District)

Executive Summary:

The purpose of this report is to conclude the acquisition of the easements needed to proceed with installation of street lighting along the southerly end (Phase 2) of the Fife Creek Bridge in Guerneville. This project was requested by citizens and business owners in Guerneville for improved nighttime pedestrian safety in proximity of the bridge. Installation of streetlights on the northerly end (Phase 1) was completed in summer of 2012. Construction of Phase 2 is expected in summer of 2013.

Phase 2 requires acquisition of easements from two property owners. On March 26, 2013 the purchase of the first easement was approved by the Board. This item is for the second and final easement needed for the project.

The subject property is owned by the Isaac, LLC, a California Limited Liability Company. Michael Clark is the Managing Partner of the LLC. This 14.76-acre property is the site of the Dawn Ranch Lodge, and is located to the west of the Fife Creek bridge. A section of Fife Creek extends through the parcel until it meets the Russian River. The proposed utility easement is located along a 30-foot long section of the property frontage closest to Fife Creek.

The attached resolution will authorize execution of the Agreement for Purchase and Sale of Easement to acquire the described easement, including payment of \$1,000, which includes \$-0- for severance damages; acceptance of Grant of Utility Easement deed; authorize proration and transfer of taxes on

said land; and authorize refunds, if applicable, under Section 5096 of the Revenue and Taxation Code.

The project is being funded with Guerneville Lighting District project funds. Appropriations for the project are included in the FY 2012-13 and FY 2013-14 Guerneville Lighting District Project fund budgets.

The property right being purchased is required for the project.

Prior Board Actions:

3/26/2013: Board approved resolution accepting Grant of Utility for first of two landowners.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

Improved pedestrian facilities and safety improvements.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ 1,000		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$ 1,000
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 1,000	Total Sources	\$ 1,000

Narrative Explanation of Fiscal Impacts (If Required):

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

Attachments:

Resolution; Location Map; Acquisition Map

Related Items "On File" with the Clerk of the Board:

Grant of Utility Easement; Agreement for Purchase and Sale of Easement
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County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

4/5 Vote Required

Resolution Of The Board Of Supervisors Of The County Of Sonoma, State Of California, Accepting Grant Of Utility Easement Deed, And Land; Authorizing Execution Of Agreement For Purchase And Sale Of Easement; Authorizing Payment Totaling \$1,000, Including \$-0-Severance For The Herein Described Conveyance; Authorizing Proration And Transfer Of Taxes On Said Land; And Authorizing Refunds, If Applicable, Pursuant To §5096 Of The Revenue And Taxation Code; Guerneville Street Lighting on Fife Creek Bridge Project – Phase 2; Lands of Isaac, LLC, A California Limited Liability Company (APN 071-180-002); Project No. W12001.

Whereas, a Grant of Utility Easement deed, dated April 25, 2013, conveying land described therein to the County of Sonoma, has been tendered to this Board of Supervisors by Isaac, LLC, a California Limited Liability Company; and

Whereas, the County of Sonoma is desirous of securing said utility easement for the installation of pedestrian lighting along the Fife Creek Bridge at State Highway 116 in Guerneville.

Now, Therefore, Be It Resolved that the Board does hereby accept said deed according to terms set forth therein.

Be It Further Resolved, that the total financial consideration for the property interest to be acquired is \$1,000, which includes the following:

LAND	\$1,000 (public utility easement)
IMPROVEMENTS	\$ -0-
SEVERANCE	\$ -0-
OTHER	\$ -0-

Be It Further Resolved, that as part of the total financial consideration above, the County Auditor-Controller-Treasurer-Tax Collector be and hereby are authorized and directed to draw their warrant on the Guerneville Lighting District Fund, Acquisition of Right-of-Way, in the sum of \$1,000, payable to Isaac, LLC, a California Limited Liability Company; said sum representing the financial consideration agreed upon in connection with the herein described conveyance.

Resolution #

Date:

Page 2

Be It Further Resolved, that pursuant to §4986 and §5096 of the Revenue and Taxation Code of the State of California, the County Auditor and Assessor be and hereby are authorized and directed to prorate, transfer and refund (if applicable) the taxes as of this date on the herein described lands conveyed to the County of Sonoma more particularly described as follows:

See Exhibit "A" attached.

Be It Further Resolved, that the County Recorder be and hereby is authorized and directed to record said deed at no cost to the County as provided under Government Code 6103.

Supervisors:

Gorin:

Zane:

McGuire:

Carrillo:

Rabbitt:

Ayes:

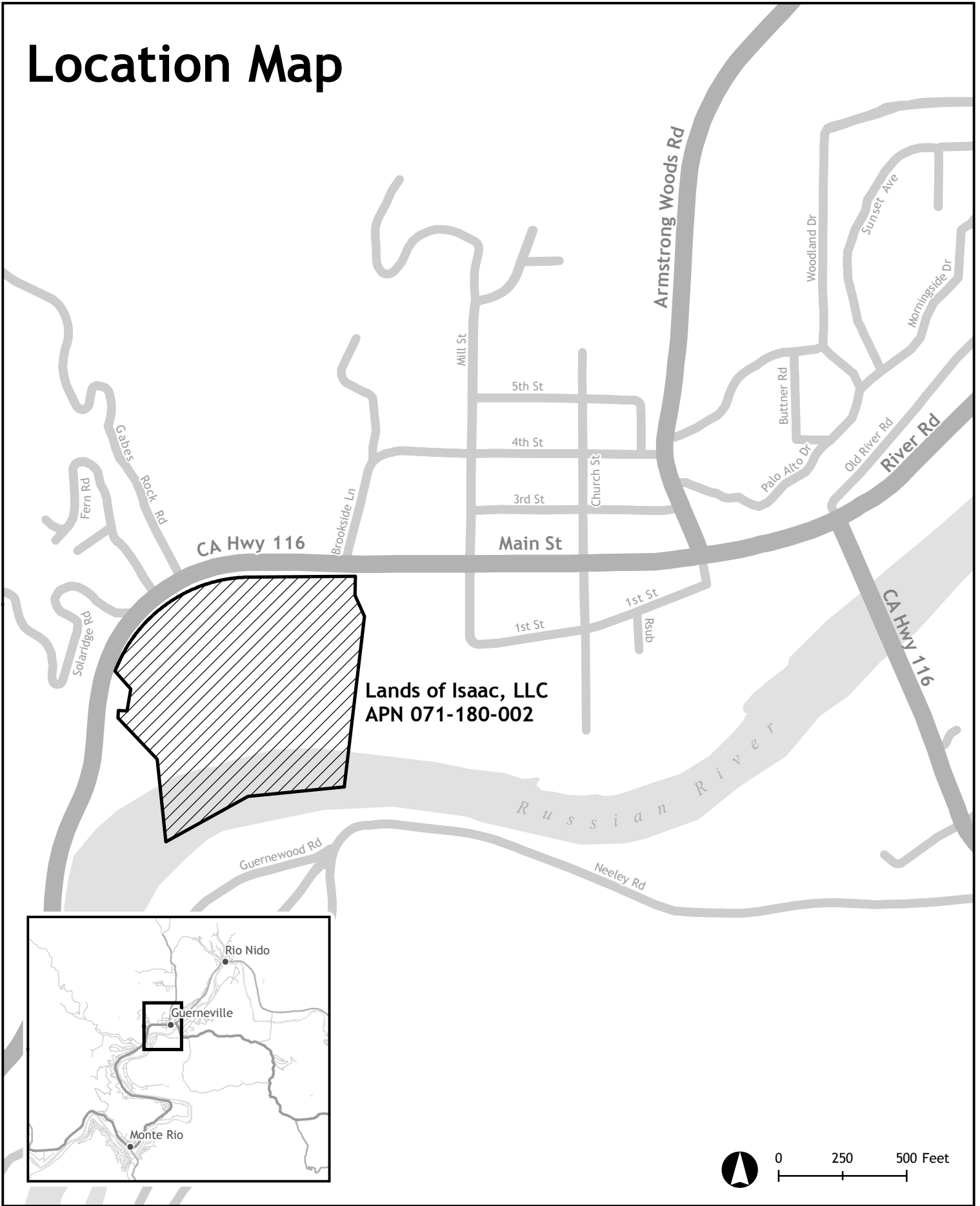
Noes:

Absent:

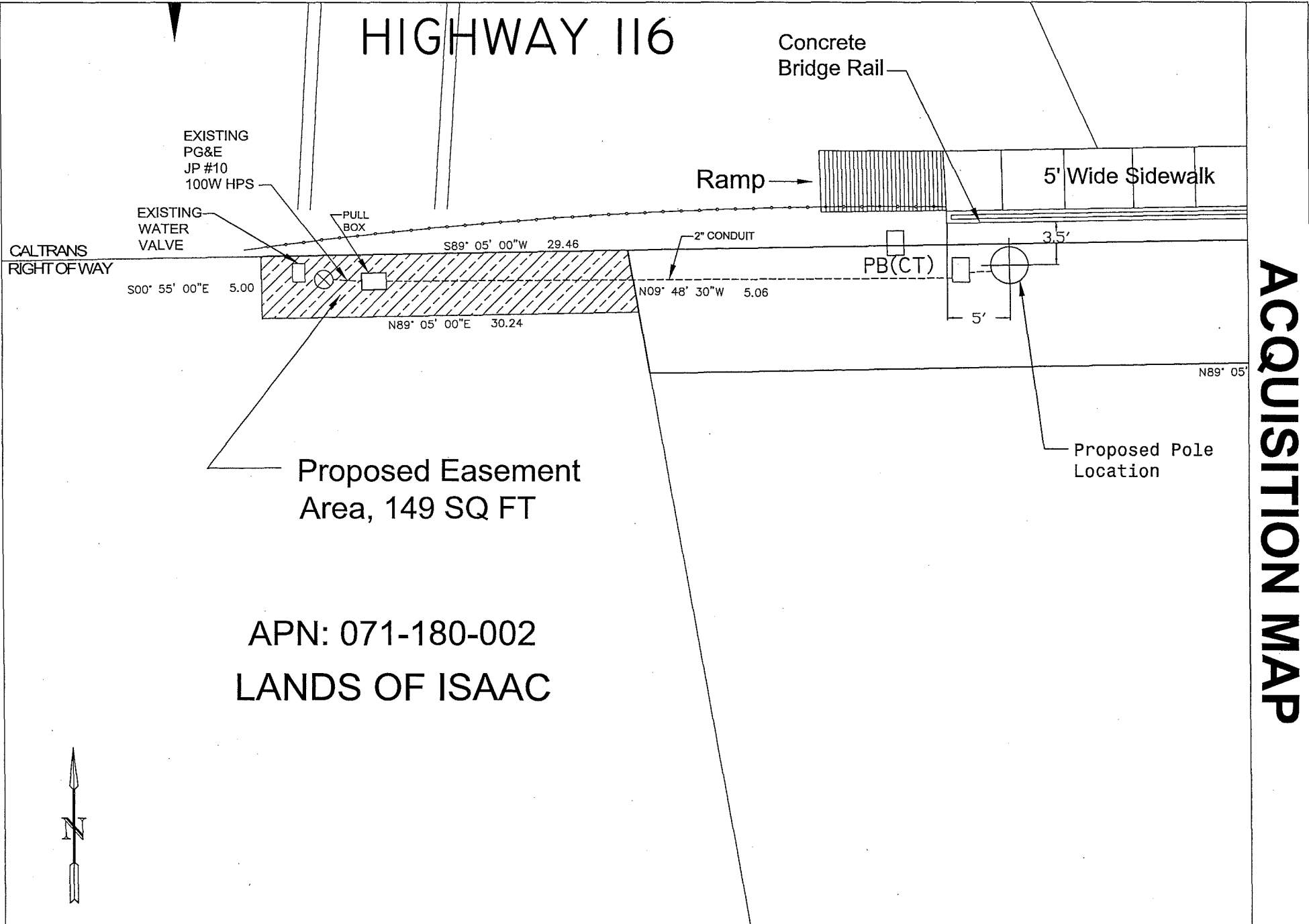
Abstain:

So Ordered.

Location Map



HIGHWAY 116



ACQUISITION MAP

Proposed Easement Area, 149 SQ FT

APN: 071-180-002
LANDS OF ISAAC

PROJECT: FIFE CREEK BRIDGE LIGHTING, GUERNEVILLE

DATE: JUNE 2012	SCALE: 1"=10'	PARCEL: 071-180-002	JOB NO. W06387
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County of Sonoma
Agenda Item
Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 32
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Board of Supervisors

Staff Name and Phone Number:

Supervisorial District(s):

Board of Supervisors (707) 565-2241

Title: Minutes

Recommended Actions:

Approval of Minutes – (A) Approve the Minutes of the Meeting of May 7, 2013 for the following: Agricultural Preservation and Open Space District, Community Development Commission, Russian River County Sanitation District, Sonoma County Water Agency, and Board of Supervisors and (B) Approve the Minutes of the Meeting of May 7, 2013 of the Sonoma Valley County Sanitation District

Executive Summary:

Prior Board Actions:

Strategic Plan Alignment Not Applicable

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$	County General Fund	\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):			
N/A			
Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
None			
Attachments:			
Minutes			
Related Items “On File” with the Clerk of the Board:			
None			

ACTION SUMMARY
BOARD OF SUPERVISORS
SONOMA COUNTY
575 ADMINISTRATION DRIVE, ROOM 102A
SANTA ROSA, CA 95403

TUESDAY

MAY 7, 2013

8:30 A.M.

Susan Gorin	First District	Veronica A. Ferguson	County Administrator
David Rabbitt	Second District	Bruce Goldstein	County Counsel
Shirlee Zane	Third District		
Mike McGuire	Fourth District		
Efren Carrillo	Fifth District		

This is a simultaneous meeting of the Board of Supervisors of Sonoma County, the Board of Directors of the Sonoma County Water Agency, the Board of Commissioners of the Community Development Commission, the Board of Directors of the Sonoma County Agricultural Preservation and Open Space District, the Board of Directors of the Northern Sonoma County Air Pollution Control District, and as the governing board of all special districts having business on the agenda to be heard this date.

The Board welcomes you to attend its meetings which are regularly scheduled each Tuesday at 8:30 a.m. Your interest is encouraged and appreciated.

AGENDAS AND MATERIALS: Agendas and most supporting materials are available on the Board's website at <http://www.sonoma-county.org/board/>. Due to legal, copyright, privacy or policy considerations, not all materials are posted online. Materials that are not posted are available for public inspection between 8:00 a.m. and 5:00 p.m., Monday through Friday, at 575 Administration Drive, Room 100A, Santa Rosa, CA.

SUPPLEMENTAL MATERIALS: Materials related to an item on this agenda submitted to the Board after distribution of the agenda packet are available for public inspection in the Board of Supervisors office at 575 Administration Drive, Room 100A, Santa Rosa, CA, during normal business hours.

DISABLED ACCOMMODATION: If you have a disability which requires an accommodation, an alternative format, or requires another person to assist you while attending this meeting, please contact the Clerk of the Board at (707) 565-2241, as soon as possible to ensure arrangements for accommodation.

Public Transit Access to the County Administration Center:

Sonoma County Transit: Rt. 20, 30, 44, 48, 60, 62

Santa Rosa CityBus: Rt. 14

Golden Gate Transit: Rt. 80

For transit information call (707) 576-RIDE or 1-800-345-RIDE or visit or <http://www.sctransit.com/>.

APPROVAL OF THE CONSENT CALENDAR

The Consent Calendar includes routine financial and administrative actions, are usually approved by a single majority vote. There will be no discussion on these items prior to voting on the motion unless Board Members or the public request specific items be discussed and/or removed from the Consent Calendar.

PUBLIC COMMENT

Any member of the audience desiring to address the Board on a matter on the agenda: Please walk to the podium and after receiving recognition from the Chair, please state your name and make your comments. Closed session items may be added prior to the Board adjourning to closed session. In order that all interested parties have an opportunity to speak, please be brief and limit your comments to the subject under discussion. Each person is usually granted 3 minutes to speak; time limitations are at the discretion of the Chair.

8:32 A.M. CALL TO ORDER

8:32 A.M. Chairman Rabbitt called the meeting to order.

Supervisors Present: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

Supervisors Absent: Shirlee Zane

Present: Veronica A. Ferguson, County Administrator and Bruce Goldstein, County Counsel

Chairman Rabbitt presiding.

PLEDGE OF ALLEGIANCE

I. APPROVAL OF THE AGENDA (Items may be added or withdrawn from the agenda consistent with State law)

Chairman Rabbitt announced that Consent Item #8 Russian River County Sanitation District Treatment Plant Biological Nutrient Removal - Contract Award had been pulled from the agenda.

II. BOARD MEMBER ANNOUNCEMENTS

Supervisor Gorin announced she attended the Bay Development Conservation District Board meeting.

Supervisor Carrillo announced he met with the California Chief Justice and the Administrator of the Courts on behalf of the California State Association of Counties; met with Governor Brown to discuss the Affordable Care Act; and testified on Assembly Bill 39. He acknowledged Business Appreciation week.

Supervisor McGuire reported that he met with the Director of Boating and Waterways to discuss Assembly Bill 2443; attended the Library Joint Powers Agreement Review Committee meeting; and recognized Public Service Recognition week and Business Appreciation week.

Chairman Rabbitt announced he attended the Golden Gate Bridge Highway Transportation Board and Building and Operating Committee meetings; participated in the Butter and Eggs Day Parade; and acknowledged Andrea Krout for being a recipient of the 40 under 40 Awards. He also attended the Sonoma County Employees Retirement Association Board meeting and participated in the Change of Command ceremony at the Coast Guard Training Center.

III. CONSENT CALENDAR (Items 1 through 39)

CONSENT CALENDAR (Continued)

PRESENTATIONS/GOLD RESOLUTIONS (Items 1 through 7)

PRESENTATIONS AT BOARD MEETING

1. Adopt a Gold Resolution declaring May 2013 Foster Parent Recognition Month in Sonoma County. (Human Services)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0167

2. Adopt a Gold Resolution proclaiming the week of May 5 - May 11, 2013 as Public Service Recognition Week in Sonoma County. (County Administrator/Human Resources)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0168

3. Adopt a Gold Resolution honoring Nick Frey for his immeasurable contributions to Sonoma County wine industry as he retires from the Sonoma County Winegrape Commission after serving 13 years as President. (Fourth District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0169

4. Adopt a Gold Resolution honoring and commending Geyserville Fire Protection District Chief Paul Pigoni after 40 years of dedicated public service to the people of northern Sonoma County. (Fourth District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0170

5. Adopt a Gold Resolution commending Dave Head, General Services Division Manager for Fleet Operations, for his 25 -1/2 years of service with the County of Sonoma and his many contributions to the betterment of the General Services Department and the County of Sonoma. (General Services)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0171

CONSENT CALENDAR (Continued)

PRESENTATIONS AT DIFFERENT DATE

6. Adopt a Gold Resolution recognizing May as National Bike Month, and proclaiming Thursday, May 9, 2013 as "Bike to Work Day" in Sonoma County, and commending Mike Conway for his dedication to bicycling, support of Bike to Work Day, and inspiration to fellow employees and the public in Sonoma County. (General Services)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0172

7. Adopt a Gold Resolution recognizing the Sonoma County Veteran Resource and Job Fair on May 1, 2013. (Second District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0173

RUSSIAN RIVER COUNTY SANITATION DISTRICT

(Directors: Gorin, Rabbitt, Zane, McGuire, Carrillo)

8. Authorize the Chair to execute the contract with West Bay Builders, Inc. for \$2,349,000 through project completion date for construction of the Russian River County Sanitation District Treatment Plant Biological Nutrient Removal. (Fifth District)

Item #8 was pulled from the agenda.

SONOMA COUNTY WATER AGENCY

(Directors: Gorin, Rabbitt, Zane, McGuire, Carrillo)

9. Authorize the Chair to execute a funding agreement with Sonoma Ecology Center to provide watershed management services related to runoff source reduction and watershed strategy for the amount of \$35,000; agreement terminates on June 30, 2015. (First District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

10. Authorize the General Manager to participate in a two year study with WateReuse Association in a Direct Potable Reuse Initiative, by providing financial contribution of \$50,000 from the Water Agency's Recycled Water Fund to the WateReuse Association.

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

CONSENT CALENDAR (Continued)

COMMUNITY DEVELOPMENT COMMISSION

11. Receive the River Area Shelter and Downtown Task Group Report and recommendations regarding potential solutions to address the unmet shelter and service needs of people who are homeless in the lower Russian River area. (Fifth District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

COUNTY COUNSEL / COUNTY ADMINISTRATOR

12. Authorize the Chair to execute a three-year agreement with Harry Allen to provide for indigent defense services from July 1, 2013, through June 30, 2016, at a cost of \$2,067,884 for Fiscal Year 2013-14. (4/5 vote required)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

ECONOMIC DEVELOPMENT BOARD

13. Receive the Sonoma County Economic Development Board Foundation's Annual Report for fiscal year ending June 30, 2012.

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

GENERAL SERVICES / HUMAN SERVICES

14. Lease expansion for Human Services Department / Family Youth & Children Division - Authorize the General Services Director to execute a lease amendment with A.J. Ventures, Inc. (Landlord), to expand the leased premises by approximately 7,547 sq. ft., for an initial rate of \$1.64 per sq. ft. per month (approximately \$12,377 per month or \$148,525 per year), which is subject to adjustment as more particularly described in said amendment, for nine years plus options to extend the lease term, in the building located at 1202 Apollo Way, Santa Rosa, CA.

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

HEALTH SERVICES

15. Authorize the Director of Health Services to execute the first amendment to an agreement with Lea Bové to provide veterinary services associated with the mobile spay/neuter pilot program, increasing the contract by \$27,000, resulting in a new total not to exceed amount of \$52,000.

CONSENT CALENDAR (Continued)

Item # 15 Continued

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

16. Adopt a Resolution authorizing the Director of Health Services to execute an amendment to the Joint Exercise of Powers Agreement that governs the operations of the California Mental Health Services Authority (CalMHSA).

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0174

17. Authorize the Director of Health Services to execute an agreement with Social Science Research Council to conduct the community health assessment project for the period May 7, 2013 through June 30, 2014, for an amount not to exceed \$92,725.

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

HUMAN SERVICES

18. Authorize the Director of Human Services to sign and execute contract between the Sonoma County Area Agency on Aging (AAA) and Partners in Care for AAA to receive a total of \$75,000 over four fiscal years (Fiscal Year 2012-13 through Fiscal Year 2015-16) for management of the Chronic Disease Self-Management Education Program and authorize a subcontract, utilizing \$60,000 of this funding, with the Northern California Center for Well-Being through August 31, 2015 to provide Program training and courses; and Adopt a Resolution authorizing budgetary adjustments to the 2012-13 Final Budget for the Special Revenue Fund, Human Services Department, in the amount of \$75,000. (4/5 vote required)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0175

INFORMATION SYSTEMS

19. Authorize the Director of Information Systems to execute amendments to current intergovernmental agreements concerning the courier services that alter the number of courier stops but make no other modifications to rates or terms and conditions.

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

CONSENT CALENDAR (Continued)

LAW LIBRARY

20. Adopt a Resolution introducing, reading the title of and waiving further reading of a proposed Ordinance increasing the Sonoma County Public Law Library's portion of the civil filing fee for the Superior Court from \$35 to \$38 effective January 1, 2014 and from \$38 to \$41 effective January 1, 2015. (First Reading)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0176

PERMIT AND RESOURCE MANAGEMENT

21. Adopt a Resolution issuing a roiling permit (Ordinance No. 3836R) for in channel work and necessary permit extensions to Sonoma County Regional Parks for beach grooming and installation and removal of a summer dam at Healdsburg Veterans Memorial Beach (Russian River). (4/5 vote required) (Fourth District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0177

22. Adopt a Resolution issuing a roiling permit (Ordinance No. 3836R) for in channel work and necessary permit extensions to the Russian River Recreation and Park District for the recreation dam installation, maintenance and removal at Johnson's and Vacation Beaches (Russian River) in the town of Guerneville. (4/5 vote required) (Fifth District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0178

23. Adopt a Resolution issuing a roiling permit (Ordinance No. 3836R) for in channel work and necessary permit extensions to the Dehlinger Winery for Riparian and Wetland Restoration in an unnamed tributary to the Laguna de Santa Rosa near the town of Sebastopol. (4/5 vote required) (Fifth District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0179

PERMIT AND RESOURCE MANAGEMENT

AND

SONOMA VALLEY COUNTY SANITATION DISTRICT

(Directors: Gorin, Rabbitt, K. Brown)

24. Adopt a Resolution authorizing the Chair to execute an Outside Service Area Agreement with the Sonoma Valley County Sanitation District for public sewer service to property located at 20550 Palmer Avenue, Sonoma, California. (2/3 vote required) (First District)

CONSENT CALENDAR (Continued)

Item #24 Continued

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Sonoma Valley County Sanitation District

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt

ABSENT: Ken Brown

Approved by Resolution No. 13-0180

SHERIFF'S OFFICE

25. Adopt a Resolution approving the Boating Safety and Enforcement Financial Aid Program Contract with the California Department of Boating and Waterways for Fiscal Year 2013-14 in the amount of \$513,889 and authorizing the Sheriff to execute the contract.

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0181

26. Sub-Grantee Agreement with the Marine Exchange of the San Francisco Bay Region - Project No. 56 - (A) Authorize the Sheriff to sign and execute the Sub-Grantee Agreement with the Marine Exchange of the San Francisco Bay Region as Port Security Grant Program (PSGP) Fiduciary Agent and Direct Grantee. (B) Adopt a Resolution to accept the grant award which is not to exceed U.S. \$76,629 for the purchase of an all terrain security vehicle. (4/5 vote required) (C) Adopt a Resolution authorizing a budgetary adjustment to the Sheriff's Office Fiscal Year 2012-13 final budget, appropriating unanticipated revenue in the amount of \$76,629. (4/5 vote required)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution Nos. 13-0182, 13-0183

TRANSPORTATION AND PUBLIC WORKS

27. Adopt a Resolution approving the 2013 Title VI Civil Rights Program Triennial Update for Sonoma County Transit.

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0184

28. Award engineering design contract to Moffatt & Nichol for the replacement of Boyes Boulevard Bridge totaling \$620,278 with a term ending December 31, 2015. (First District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

CONSENT CALENDAR (Continued)

29. Approve and authorize engineering design contract to OPAC Consulting Engineers for the replacement of Hauser Road Bridge totaling \$728,848 with a term ending December 31, 2015 (C11006). (Fifth District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

30. Approve out-of-state travel request for the Airport Manager to attend the Airports Council International - North America Jump Start Air Service Development Program in Atlanta, GA (June 2 - June 7, 2013).

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

31. Authorize the Director of Transportation and Public Works to execute the agreement with the Ohlone Preserve Conservation Bank to purchase Conservation Credits for California Red Legged Frog Mitigation for the Adobe Road at East Washington Project (C02279) in the amount of \$37,050. (4/5 vote required) (Second District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

32. Approve plans and specifications for the 2012-13 Pavement Preservation Program - Bonded Wearing Course project; Award contract for construction to low bidder, Windsor Fuel Co., in the amount of \$1,498,976.78 and Authorize Chair to execute construction contract (M12004). (Second, Third and Fourth Districts)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

33. Approve plans and specifications for the 2012 Asphalt Overlay Program - C11008; and Award contract to low bidder, (Ghilotti Construction Company), in the amount of \$4,714,000.05 (Base Bid plus Alternates 1, 2 & 3) and authorize the Chair to execute construction contact C11008. (First, Second, and Fourth Districts)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

MISCELLANEOUS

34. Approval of Minutes - (A) Approve the Minutes of the Meeting of April 16, 2013 for the following: Agricultural Preservation and Open Space District, Community Development Commission, Northern Sonoma County Air Pollution Control District, Sonoma County Water Agency, and Board of Supervisors; and (B) Approve the Minutes of April 23, 2013 for the following: Agricultural Preservation and Open Space District, Community Development Commission, Northern Sonoma County Air Pollution Control District,

CONSENT CALENDAR (Continued)

Item #34 Continued

Occidental County Sanitation District, Sonoma Clean Power Authority, Sonoma County Water Agency, South Park County Sanitation District, and Board of Supervisors.

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

APPOINTMENTS/REAPPOINTMENTS

(Items 35 through 39)

35. Appoint Chris Knerr, Chris Snyder, Jerald Miller, and Kelly Bass to the Workforce Investment Board for a one year term ending May 7, 2014; and Appoint George Steffensen and Kellie Noe to the Youth Council for a one year term ending May 7, 2014. (Human Services)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

36. Appoint Emily Mann to the Sonoma County Commission on the Status of Women for a two-year term ending May 7, 2015. (Second District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

37. Reappoint Cindy Williams to the Sonoma County Commission on the Status of Women, for a two-year term ending April 12, 2015. (Second District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

38. Reappoint Todd Mendoza to the Sonoma County Agriculture Preservation and Open Space District Fiscal Oversight Commission for a two-year term ending June 7, 2015. (Second District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

39. Reappoint Tim Gonzales to the Bicycle and Pedestrian Advisory Committee representing the Fifth District beginning November 6, 2012 through November 6, 2014. (Fifth District)

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

IV. REGULAR CALENDAR (Items 40 through 44)

AGRICULTURAL PRESERVATION AND OPEN SPACE DISTRICT

(Directors: Gorin, Rabbitt, Zane, McGuire, Carrillo)

40. Preservation Ranch Acquisition - Adopt a Resolution of the Board of Directors of Sonoma County Agricultural Preservation and Open Space District (District) for the acquisition of a conservation easement over the Preservation Ranch property in an amount not to exceed \$4,000,000; determining that the acquisition is consistent with the 2020 Sonoma County General Plan and the District's Expenditure Plan; authorizing the execution of a Conservation Easement; delegating authority to General Manager to execute grant agreements and related documents; authorizing the execution of a Certificate of Acceptance; and making certain determinations pursuant to the California Environmental Quality Act. (Fifth District)

9:36 A.M.

Present: Bill Keene, Open Space General Manager, and Misti Arias, Open Space Program Manager

Speakers:

Dave Lewers
Bunny Lewers
Chris Kelly
Ralph Benson

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0185

10:17 A.M. The Board recessed

10:32 A.M. The Board reconvened

COUNTY ADMINISTRATOR / AUDITOR-CONTROLLER-TREASURER-TAX
COLLECTOR / INFORMATION SYSTEMS / GENERAL SERVICES

41. Accept quarterly update on the Enterprise Financial System Project Implementation; and Authorize the Information Systems Department Director to execute a professional services agreement with Neko Industries, Inc. (Neko) for procurement, installation, implementation, training, and support services for the PeopleSoft integration for a total amount not to exceed \$416,975 for the term of May 14, 2013 to July 11, 2017.

10:32 A.M.

REGULAR CALENDAR (Continued)

Item #41 Continued

Present: David Sundstrom, Auditor-Controller-Treasurer-Tax Collector; Chris Thomas, Assistant County Administrative Officer; John Hartwig, Director of Information Systems Department

Speaker:

Steve Leckey, EFS Project Manager

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

COMMUNITY DEVELOPMENT COMMISSION

(Commissioners: Gorin, Rabbitt, Zane, McGuire, Carrillo)

42. Sonoma County 2013 Homeless Count Results.

10:53 A.M.

Present: Mark Krug, Community Development Manager, and Peter Connery from Applied Survey Research

Information Only.

COMMUNITY DEVELOPMENT COMMISSION

(Commissioners: Gorin, Rabbitt, Zane, McGuire, Carrillo)

AND

BOARD OF SUPERVISORS

43. Approve the Sonoma County Consolidated Plan Action Plan: One Year Use of Funds Fiscal Year 2013-14 for the proposed use of Community Development Block Grant, HOME Investment Partnerships, and Emergency Solutions Grants funds and associated (8) Board actions.

11:37 A.M.

Present: Mark Krug, Community Development Manager, and John Haig, Redevelopment Manager

Speakers:

Karen Fitzgerald

Celeste Austin

Katrina Thurman

Bruce Shimizu

REGULAR CALENDAR (Continued)

Item #43 Continued

Scott Johnson
Matt Martin
Elizabeth McCarthy

The Board directed staff to reserve funding allocation of \$433,300 to an unnamed housing project pending continued discussions by the Community Development Committee and increase the potential Supplement Budget approval from \$170,000 to \$200,000 in Redevelopment Residual Receipts to augment the available CSF funding for FY 2013-14.

Board Action:

- (A) Approve the Sonoma County Consolidated Plan Action Plan: One Year Use of Funds FY 2013-14 for the proposed use of Community Development Block Grant, HOME Investment Partnership, and Emergency Solutions Grants funds.
- (B) Adopt the required Certifications for submission to the U.S. Department of Housing and Urban Development (HUD) with the Consolidated Plan.
- (C) Authorize and direct the Executive Director of the Sonoma County Community Development Commission (CDC) to execute the Standard Form 424 (Application for Federal Assistance) and the required Certifications, and to submit the Sonoma County Consolidated Plan Action Plan: One Year Use of Funds FY 2013-2014 with the required Certifications and said forms to HUD.
- (D) Authorize and direct the Executive Director of the CDC to respond to requests for additional information from HUD and to modify the Consolidated Plan as may be required by future regulations or guidelines issued by HUD.
- (E) Authorize and direct the Executive Director of the CDC to execute the Funding Approval Grant Agreements, as required by HUD, on behalf of the County.
- (F) Authorize and direct the Executive Director of the CDC to execute Agreements with FY 2013-2014 subrecipients on behalf of the County in accordance with the Action Plan: One Year Use of Funds FY 2013-2014, to execute amendments and modifications to said Agreements, to execute loan and grant documents with subrecipients consistent with the terms set forth in Sonoma County's local HOME Investment Partnership, Community Development Block Grant, Emergency Solutions Grants and/or other Board-adopted Policies, and to execute construction contracts, and related agreements on behalf of program beneficiaries, in accordance with the applicable program designs.
- (G) Approve the recommended awards for Community Services Funding as attached to this Summary Report for use of \$520,000 that is included in the County Administrator's recommended FY 2013-14 budget, and authorize the Executive Director of the CDC to execute Grant Agreements and related agreements for the use of said funds.
- (H) Authorize the Executive Director of the CDC to initiate the Community Development Committee's public hearing process to take place in June 2013, in anticipation of potential Supplement Budget approval for use of up to \$200,000 in Redevelopment Residual Receipts to augment the available CSF funding for FY 2013-14.
- (I) Authorize the Executive Director of the CDC and the Directors of the General Services and Regional Parks Departments, the Economic Development Board, and the Sonoma County Fairgrounds to execute standard Funding Agreements for use of Community Development Block Grant funds, and any subsequent amendments to the Agreements.

REGULAR CALENDAR (Continued)

Item #43 Continued

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

BOARD OF SUPERVISORS

44. Approve fee waiver in the amount of \$1,485 to Regional Parks for the Bodega Bay 4th of July fireworks celebration for permit, day use, and parking fees at Westside Park (\$1,125) and parking fees for schoolchildren to visit the Tall Ships during their stay at Spud Point Marina (\$360). (Fifth District)

12:55 P.M.

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

12:55 P.M. The Board recessed to closed session.

V. CLOSED SESSION CALENDAR (Item 45)

2:06 P.M. Counsel Goldstein reported on Closed Session Item #45

45. The Board of Supervisors, the Board of Directors of the Sonoma County Water Agency, the Board of Commissioners of the Community Development Commission, and the Board of Directors of the Agricultural Preservation and Open Space District will consider the following in closed session: Conference with Labor Negotiator, Agency Negotiator: Wendy Macy/Carol Allen/William Kay, Burke & Associates and Carol Stevens, Burke & Associates. Employee organization: All. Unrepresented employees: All, including retired employees (Govt. Code Section 54957.6 (b)).

Direction was given to Labor Negotiators and Counsel.

VI. REGULAR AFTERNOON CALENDAR (Items 46 through 51)

2:06 P.M. - RECONVENE FROM CLOSED SESSION

Supervisors Present: Susan Gorin, David Rabbitt, Mike McGuire, Efren Carrillo

Supervisors Absent: Shirlee Zane

Present: Bruce Goldstein, County Counsel and Veronica A. Ferguson, County Administrator

46. Report on Closed Session.

2:06 P.M. County Counsel Bruce Goldstein reported on Closed Session Item 45. See Section V. Closed Session items.

47. PUBLIC COMMENT ON MATTERS NOT LISTED ON THE AGENDA (Comments are restricted to matters within the Board jurisdiction. The Board will hear public comments at this time for up to thirty minutes. Please be brief and limit your comments to three minutes. Any additional public comments will be heard at the conclusion of the meeting.)

2:07 P.M. Public Comment Opened

Richard Canini
Deborah _____
Bob Williamson
Richard Hannan
Maureen De Voe
Mary Morrison
John Jenkel

2:28 P.M. Public Comment Closed

48. Permit and Resource Management Department: Review and possible action on the following:
- a) Acts and Determinations of Planning Commission/Board of Zoning Adjustments
 - b) Acts and Determinations of Project Review and Advisory Committee
 - c) Acts and Determinations of Design Review Committee
 - d) Administrative Determinations of the Director of Permit and Resource Management

2:07 P.M.

No Acts or Determinations were acted on or reviewed.

PERMIT AND RESOURCE MANAGEMENT

49. Conduct a public hearing and adopt a Resolution to approve an amendment to the "Sonoma County Uniform Rules for Agricultural Preserves and Farmland Security Zones," to extend

REGULAR AFTERNOON CALENDAR (Continued)

Item #49 Continued

deadline and streamline the procedure for protest of County initiated Nonrenewal of a Land Conservation Contract, consistent with Government Code Section 51245.
(UNCONTESTED)

2:28 P.M.

Present: Jennifer Barrett, Deputy Director Planning, Permit and Resource Management Department

2:28 P.M. Public Hearing Opened

2:28 P.M. Public Hearing Closed

Board Action: Approved as Recommended

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0186

50. ORD11-0005 - (ALL DISTRICTS)

a) APPLICANT: N/A

b) LOCATION: Various

c) ASSESSOR'S PARCEL NO.: Various

d) ENVIRONMENTAL DOCUMENT: Negative Declaration

e) REQUEST: Conduct public hearing on the proposed changes to the General Plan and Zoning Code to enable Renewable Energy and approve the following as recommended by the Planning Commission: Adopt a Resolution adopting a Negative Declaration and amending the General Plan Open Space and Resource Conservation Element to allow commercial renewable energy systems in agricultural and resource areas; and Adopt an Ordinance making changes to the Zoning Code to allow Renewable Energy systems and facilities at appropriate scale in various zoning districts.

2:29 P.M.

Present: Jane Riley, Project Planner, Permit and Resource Management Department and Jennifer Barrett, Deputy Director Planning, Permit and Resource Management Department

2:36 P.M. David Hurst, Deputy County Counsel joined the Board replacing Counsel Goldstein

3:00 P.M. Public Hearing Opened

Deborah _____

Bob Anderson

John Azevedo

Bill Smith

Dale Roberts

REGULAR AFTERNOON CALENDAR (Continued)

Item #50 Continued

Bill Kortum
Dennis Rosatti
Eric K
Terry Harrison
Dave Hood
Rick Theis

3:32 P.M. Public Hearing Closed

Board Action: Direction given to staff and adopt a Resolution continuing the hearing to August 6, 2013 at 2:10 P.M.

AYES: Susan Gorin, David Rabbitt, Mike McGuire, and Efren Carrillo.

ABSENT: Shirlee Zane.

Approved by Resolution No. 13-0187

51. ADJOURNMENTS

4:14 P.M. Board adjourned the meeting in memory of Larry Torres. The meeting was adjourned to May 14, 2013 at 8:30 A.M.

Respectfully submitted,

Michelle Arellano, Chief Deputy Clerk of the Board



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 33
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma County

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Human Services

Staff Name and Phone Number:

Sherry Alderman, 565-8501

Supervisorial District(s):

All

Title: Child Care Planning Council of Sonoma County Membership

Recommended Actions:

Appoint Susan Harvey and Raymond Decker, to the Child Care Planning Council of Sonoma County, for a term ending December 31, 2013 and Nicole Noel Mitchell, Stephen Zollman and Katie Welch for a term ending December 31, 2014.

Executive Summary:

This item requests appointment of Susan Harvey, Raymond Decker, Nicole Noel Mitchell, Stephen Zollman and Katie Welch to the Child Care Planning Council of Sonoma County for various terms.

Role of the Board of Supervisors with the Child Care Planning Council of Sonoma County

The Board of Supervisors originally established the Sonoma County Child Care Planning Council on October 20, 1992, to meet the requirements mandated by the passage of AB 2141, which created local child care and development planning councils to identify local priorities for child care and state preschool expansion funds, among other tasks.

Subsequently, with the passage of AB 1542 in 1997, which established the CalWORKs program, the Board was required to designate a local child care planning council to establish priorities for state-funded child care and development services and develop a comprehensive countywide plan for child care. On September 29, 1998, the Board of Supervisors designated the initial Sonoma County Child Care Planning Council, established under AB 2141, as the local council required under the AB 1542 legislation.

The mission of the Child Care Planning Council of Sonoma County is to convene and inspire the community through collaboration, leadership, and advocacy to promote and plan for quality child care and development services for the benefit of all children (birth to 18), their families, and Sonoma County.

The Council is charged with the duty to recommend members that meet the legislated membership requirements. Under the law, the Board of Supervisors and the County Superintendent of Schools are to jointly appoint members to the local child care planning council in 5 categories of membership: 1) Consumers, 2) Child Care Providers, 3) Public Agency representatives, 4) Community Representatives, and 5) Discretionary appointees of

the Board and the Superintendent.

The Child Care Planning Council bylaws allow up to 35 members. As required, the Child Care Planning Council makes every effort to assure that the ethnic, racial and geographic composition is reflective of the county. Current membership is 24 members. Members are appointed for two-year terms ending on December 31st; half of the members' terms expire each year.

The Council currently has 11 openings for additional members; these openings are posted on the CCPC website and the County Boards and Commissions website. Additionally, community recruitment efforts are made on an ongoing basis via press releases, flyers and individual outreach.

The Board of Supervisors is requested to appoint Susan Harvey for a Public Agency Seat, to fill the remainder of a term in a seat with a term that began January 1, 2011 and ends December 31, 2013; Raymond Decker for a Community Seat, to fill the remainder of a term in a seat with a term that began January 1, 2011 and ends December 31, 2013; Nicole Noel Mitchell in a Child Care Provider Seat to fill the remainder of a term in a seat with a term that began January 1, 2012 and ends December 31, 2014; Stephen Zollman in a Discretionary Seat, to fill the remainder of a term in a seat with a term that began January 1, 2012 and ends December 31, 2014; and Katie Welch in a Child Care Provider Seat, to fill the remainder of a term in a seat with a term that began January 1, 2012 and ends December 31, 2014. The Child Care Planning Council has voted to recommend these appointments and the County Superintendent of Schools has approved these recommendations.

Prior Board Actions:

April 13, 2010 - April 10, 2012 – Passed resolutions recognizing Week of the Young Child
 April 13, 2010 and October 25, 2011: Approved the Child Care Planning Council of Sonoma County Advocacy Priorities.
 March 21, 2000 - June 22, 2010: Approved the Sonoma County Child Care Planning Council's Funding Priorities by Zip Code.
 September 21, 1999 – November 13, 2012: Appointed/reappointed members of the Sonoma County Child Care Planning Council and approved by-laws which established term of membership.

Strategic Plan Alignment Goal 1: Safe, Healthy, and Caring Community

The mission of the Child Care Planning Council of Sonoma County is to convene and inspire the community through collaboration, leadership and advocacy to promote and plan for quality child care and development for the benefit of all children (birth to 18), their families and Sonoma County.

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 0	Total Sources	\$ 0

Narrative Explanation of Fiscal Impacts (If Required):

There are no fiscal impacts resulting from this administrative action.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

None.

Attachments:

None.

Related Items "On File" with the Clerk of the Board:

None.



County of Sonoma
Agenda Item
Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 34
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Board of Supervisors

Staff Name and Phone Number:

Supervisorial District(s):

Supervisor Shirlee Zane, 565-2241

Third District

Title: Reappointment

Recommended Actions:

Approve reappointment of Pam Stafford for a two year term to the Parks and Recreation Advisory Commission beginning on May 21, 2013 and ending May 21, 2015. (Third District)

Executive Summary:

Prior Board Actions:

Strategic Plan Alignment Goal 4: Civic Services and Engagement

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$	County General Fund	\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):			
N/A			
Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
N/A			
Attachments:			
None.			
Related Items “On File” with the Clerk of the Board:			
None.			



County of Sonoma
Agenda Item
Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 35
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement: Majority

Department or Agency Name(s): Board of Supervisors

Staff Name and Phone Number:

Supervisor Shirlee Zane, 565-2241

Supervisorial District(s):

Third District

Title: Reappointment

Recommended Actions:

Approve reappointment of Steve Rabinowitsh to the Agricultural Preservation and Open Space District Advisory Committee for a two year term beginning on May 21, 2013 and ending May 21, 2015. (Third District)

Executive Summary:

Prior Board Actions:

Strategic Plan Alignment Goal 4: Civic Services and Engagement

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$	County General Fund	\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):			
N/A			
Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)
Narrative Explanation of Staffing Impacts (If Required):			
N/A			
Attachments:			
None.			
Related Items “On File” with the Clerk of the Board:			
None.			



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 36
(This Section for use by Clerk of the Board Only.)

To: Sonoma County Board of Supervisors

Board Agenda Date: May 21, 2013

Vote Requirement:

Department or Agency Name(s): County Clerk-Recorder-Assessor

Staff Name and Phone Number:

William F. Rousseau, 707-565-1877

Supervisorial District(s):

All

Title: Registrar of Voters Going Green Initiative.

Recommended Actions:

This is an informational presentation only; no Board action is required.

Executive Summary:

The purpose of the Registrar of Voters Going Green Initiative is threefold: 1) Register to vote. In Sonoma County alone, more than 78,000 eligible individuals are not registered to vote. With the launch of the Secretary of State's California Online Voter Registration (COVR) portal in September 2012, it became even easier to register to vote. The Registrar of Voters Office is committed to expanding voter outreach, particularly to under-represented groups, and increasing voter registration. 2) Vote by mail. Any registered voter can become a permanent Vote by Mail voter, and over half of Sonoma County's voters - 66% - have already chosen to do so. Increasing that number will save taxpayer dollars and County resources and will also spare the air by reducing the number of voters driving to polling places. 3) Go Green. As of January 2011, voters can opt out of receiving Sample Ballots/Voter Information Pamphlets by mail and instead access their information at the Registrar of Voters web site, vote.sonoma-county.org. The site will also provide an application for voters to opt out of receiving paper Sample Ballots/Voter Information Pamphlets. If 20% of Sonoma County voters choose to opt out, it will save approximately \$35,129 and preserve 47 trees in a single major election. The Registrar of Voters Office is hoping to enlist the support and assistance other County agencies in our plan to reduce our carbon footprint, save money, and conserve resources.

Prior Board Actions:

None.

Strategic Plan Alignment Goal 4: Civic Services and Engagement

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$		\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$ 0	Total Sources	\$ 0

Narrative Explanation of Fiscal Impacts (If Required):

Staffing Impacts			
Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

Attachments:

- 1) Registrar of Voters Going Green Initiative; 2) Going Green PowerPoint Presentation.

Related Items "On File" with the Clerk of the Board:

None.



▶ Registrar of Voters Going Green Initiative

Three steps to going green and saving green

William F. Rousseau ▶ Clerk-Recorder-Assessor and Registrar of Voters ▶

Step #1



Step #2



Step #3



Registrar of Voters Going Green Initiative

Three steps to going green and saving green

As of February 2013 there are approximately 23,857,732 individuals in the State of California who are eligible to register to vote, based on U. S. Census data. However, only 18,055,783, or 75.68% of those individuals, are registered to vote.

In Sonoma County there are 339,756 individuals eligible to register to vote, but our current rolls show only 261,706 voter registrations, or 77%. How can we encourage more than 78,000 individuals to exercise their right to vote?

Step 1.



It's fast, it's easy, it's free, and now IT'S PAPERLESS...

In September 2012 the California Secretary of State's Office launched the California Online Voter Registration (COVR) portal. Anyone eligible to register and vote, with access to a computer, can now go online to www.registertovote.ca.gov and complete an application to register to vote. With the click of a mouse, without filling out a paper form (unless you don't have a California driver's license or ID), anyone can register to vote anywhere in the state.

Prior to the 2012 Presidential Election, over 15,000 paperless COVR applications were received and processed by the Sonoma County Registrar of Voters Office.

The Registrar of Voters Office is committed to expanding our voter registration outreach efforts to target under-represented groups (especially our youth) and encourage them to complete a registration form online.

Our vote IS our voice.

We want every voice to be heard.

Step. 2



Voting by mail saves time, money and
REDUCES GAS EMISSIONS...

Any registered voter can also apply
for Permanent Vote by Mail status.

How to apply...

- On the California Online Voter Registration form check the box that says “Yes, I want to be a Permanent Vote by Mail voter. Or, initial that line on the postcard registration form.
- Complete an online application at vote.sonoma-county.org. Print and sign the form and return it to the Registrar of Voters Office in person or by mail.
- Return the application on the back of the Sample Ballot.
- Send in a written, signed note requesting a ballot any time until 7 days before an election.

Why vote by mail...

- It’s less expensive than voting at a polling place. Vote by Mail ballots are mailed at Standard Non-Profit rates.
- Vote in the comfort of your own home. Then mail your ballot in. With fewer cars being driven to polling places, we can reduce the level of GAS EMISSIONS.
- Pending legislation would permit us to calculate the numbers of voters going to a polling place precinct after deducting the Vote by Mail voters, allowing us to consolidate polling places. Fewer polling sites mean fewer supplies and materials being distributed each election.
- 66% of the voters in our county are permanent Vote by Mail voters. Increasing that number will save taxpayer dollars.

Step 3.



Opt out of getting a Sample Ballot/Voter Information Pamphlet by mail. Access your information online. **IT'S PAPERLESS!**

FACT – in the June 2012 Primary Election, the Registrar of Voters Office ordered 122,550 Sample Ballot booklets (1,004,100 8 ½”x10” sheets of paper, or 2008 reams of paper) and 189,710 voter pamphlet inserts (991,630 8” x 10” sheets of paper, or 1983 reams of paper) to distribute to voters.

If only 20% of those voters had chosen to opt out of receiving their information by mail, we could have ordered 46,839 fewer books and would have saved the cost of printing nearly 400,000 sheets, or 800 reams of paper. One tree can make 16.67 reams of copy paper. We could have saved 47 trees in one election, or almost 100 trees in one election year!!

Effective January 2011, California state law permits voters to “opt out” of receiving their Sample Ballots/Voter Pamphlets and other election-related material by mail. Instead, voters can access their information online at our web site, vote.sonoma-county.org. An application will be available on the web site, where voters can choose to opt out of receiving paper Sample Ballots/Voter Pamphlets and polling place location. Once voters complete the application and submit it electronically, paper copies of the information will no longer be sent to them. A voter can, using the same form, choose to “opt back in” to receiving the information in paper form at any time.

- The cost to print and mail a Sample Ballot/Voter Pamphlet is approximately \$0.75.
- If we could reduce our order by 20% or 46,839 at \$0.75 each, then we could save \$35,129.25.
- Anyone with a WiFi enabled device can access his/her Sample Ballot/Voter Pamphlet on our web site 40 days before the date of the election, 24/7.

HOW CAN YOU HELP?

The Sonoma County Registrar of Voters Office is making this effort to align itself with the County Plan to reduce its carbon footprint. As technology is ever-changing, we have identified areas where we can be proactive in helping to meet that goal. We are simply asking your support in soliciting the assistance of other County agencies that can further our efforts. Change is necessary and often comes with a price tag, but some things can be done with little effort and at little or no cost.

We are seeking other County agencies that can offer ideas and suggestions for promoting our efforts or offer resources to promote our threefold effort. Some things we've considered are the following:

1. Placing these three buttons with captions below, on agency web sites that the general public may access, or provide a direct link to the ROV web site.



2. Including informational pieces in agency mailers such as tax bills, water bills, etc.
3. Placing posters on public display boards to remind the public of the importance of voter participation - not just registering to vote but also casting their ballots.

With your support we know that this will be a successful and cost saving endeavor for the County of Sonoma and the voters of Sonoma County.

Respectfully submitted
William F. Rousseau, Clerk-Recorder-Assessor
and Registrar of Voters

Registrar of Voters Going Green Initiative



Three Steps to
Going Green and Saving Green

Step 1: Register Online



- Fast, easy, convenient
- Apply at www.registertovote.ca.gov
- Maximize opportunities to register
- 78,000 potential voters in Sonoma Co.

Step 2: Vote by Mail



- Convenient
- Save time and money
- Reduce travel and gas emissions

Step 3: Opt Out



- **View Sample Ballot/
Voter Information Pamphlet online**
- **Apply at vote.sonoma-county.org**
- **In 2012: printed 312,260 pamphlets
(1,995,730 sheets or paper; 3,991 reams)**

In One Election, if 20% Opted Out the Registrar of Voters Would Have:

- Printed 46,839 fewer pamphlets
- Saved 800 reams of paper
- Saved 47 trees
- Saved \$35,129
in printing/postage



Going Green Initiative

- **It's Fast and Convenient**
- **Increases Participation**
- **Saves Time and Money**
- **It's Paperless and Good for the Planet**

Questions?



County of
Sonoma
Agenda Item
Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 37
(This Section for use by Clerk of the Board Only.)

To: Board of Directors, Sonoma County Water Agency and Sonoma County Sanitation Districts

Board Agenda Date: May 21, 2013

Vote Requirement: 4/5 and 2/3 - Sonoma County
Valley Sanitation District

Department or Agency Name(s): Sonoma County Water Agency and All County Sanitation Districts

Staff Name and Phone Number:

Candi Bryon / 521-6212

Supervisorial District(s):

All Districts

Title: Sewer Rates and Written Report of Charges

Recommended Actions:

Adopt Resolutions (5) overruling objections, adopting a report on charges for sewage services, and confirming charges for various Sonoma County Water Agency Sanitation Zones and County Sanitation Districts listed below:

1. Airport-Larkfield-Wikiup Sanitation Zone
 2. Geyserville Sanitation Zone
 3. Penngrove Sanitation Zone
 4. Sea Ranch Sanitation Zone
 5. Occidental County Sanitation District
 6. Russian River County Sanitation District
 7. Sonoma Valley County Sanitation District
 8. South Park County Sanitation District
-
1. Ordinance setting sewer service charges, on behalf of Sonoma County Water Agency Sanitation Zones Airport-Larkfield-Wikiup, Geyserville, Penngrove, and Sea Ranch, calling for collection on the tax roll for all Zones, and remaining in effect until modified by the Board, and making findings and determination of exemption pursuant to the California Environmental Quality Act.
 2. Ordinances for the Occidental County Sanitation District, Russian River County Sanitation District, Sonoma Valley County Sanitation District and South Park County Sanitation District, setting sewer service charges, calling for collection on the tax roll, and remaining in effect until modified by the Board, and making findings and determination of exemption pursuant to the California Environmental Quality Act.

Executive Summary:

The Sonoma County Water Agency's (Water Agency) Sanitation Zones (SZ or Zone) include Airport-Larkfield-Wikiup, Geyserville, Penngrove, and Sea Ranch. The County Sanitation Districts (CSD or District) include Occidental, Russian River, Sonoma Valley, and South Park.

Generally, the Zones' and Districts' costs are increasing due to increased costs for salaries and employee benefits, price increases for services and supplies, and continuation of a capital replacement program (described in the attached Summary of Issues and Significant Changes [A4]). In addition the Water Agency's General Fund is subsidizing Occidental an additional \$750,000 this coming year. The anticipated revenue for Fiscal Year 2013/2014 is expected to increase by \$1,153,443 over Fiscal Year 2012/2013. Corresponding rate increases are in the 2.0% to 7.0% range for all Zones and Districts. A Summary of Annual Rate Increases is attached (A3). Fund balances are generally staying constant or slightly decreasing in the Zones and Districts.

In accordance with Proposition 218, notification of the proposed fee increase was mailed to all record owners of each identified parcel receiving wastewater collection and treatment services within the following affected Zones and Districts:

1. Airport-Larkfield-Wikiup Sanitation Zone
2. Geyserville Sanitation Zone
3. Penngrove Sanitation Zone
4. Sea Ranch Sanitation Zone
5. Occidental County Sanitation District
6. Russian River County Sanitation District
7. Sonoma Valley County Sanitation District
8. South Park County Sanitation District

Any written protests received by the Water Agency on behalf of the Zones or Districts will be summarized and the results will be delivered to the Clerk of the Board on May 21, 2013.

As in the previous years, the draft proposed budget for Fiscal Year 2013/2014 describing the total annual expenses in detail was made available for review by the public on the Water Agency's website and copies were provided to the Regional Libraries in Santa Rosa (Main & Northwest), Windsor, Healdsburg, Cloverdale, Guerneville, Sebastopol, Petaluma, Rohnert Park, Rincon Valley, Sonoma, and Forestville (El Molino High School) sufficiently in advance of the mailing of the Proposition 218 notifications.

The annual sewer service charges to parcels served by sewerage systems operated and maintained by the Water Agency are billed to owners in two installments included on the property tax bills. Legal requirements (Government Code §25210.77(a) and Health and Safety Code §5473) are that the Board of Supervisors, at a public hearing, amend or confirm a written report of charges to be collected with property taxes on the Fiscal Year 2012/2013 tax roll. The purpose of this hearing is to allow property owners to protest the calculation of charges to individual parcels. The Board may approve the fee increases if there is not a majority protest (by greater than 50%) on the fees in question. A copy of the Annual Sewer Service Charges report is on file with the Clerk of the Board and at the Water Agency's administrative office.

Individual charges are calculated as equivalent single-family dwellings (ESDs) multiplied by the rate per ESD. Each year staff updates the file of ESDs assigned to each parcel to reflect the most current information available.

The rates to be reflected on the tax roll are:

Sewer Service Charges	2011/2012 Rate Per ESD	Number of ESDs	2012/2013 Rate Per ESD	Number of ESDs
Airport-Larkfield Wikiup SZ	\$690	3,723	\$738	3,735
Geyserville SZ	\$837	352	\$854	354
Penngrove SZ	\$1,231	520	\$1,293	521
Sea Ranch SZ	\$949	577	\$982	577
Occidental SZ	\$1,682	276	\$1,783	276
Russian River CSD	\$1,211	3,208	\$1,253	3,207
Sonoma Valley CSD*	\$772	17,260	\$811	17,294
South Park CSD	\$810	3,990	\$830	3,990

*For residents with a public water connection, the number of ESD's for Sonoma Valley is calculated based on 70% of a fixed charge and 30% based on volume of water used per data provided by Valley of the Moon Water District and City of Sonoma for the months of December, 2012 and January, 2013. Therefore, the actual billed amount will vary by the amount of water actually used.

Many surrounding communities (including the Cities of Cotati, Healdsburg, Petaluma, Rohnert Park, Santa Rosa, Sebastopol, and the Town of Windsor) base their sewer rates partially on an estimate of the amount of sewage generated by each household, based on water usage during winter months. A 2011 District study found that such "volume-based" rate structures better account for household sewer discharge, promote water conservation, and provide financial reliability for District services. The study also found that volume-based rates would provide District ratepayers with the opportunity to control a portion of their sewage bills. The volume-based rate structure only applies to residential customers, not commercial accounts. The structure generates the same total revenue for the District as the current ESD average charge for all customers.

The volume charge is calculated by the average monthly winter water use per household based on specified winter months, multiplied by 12 months to derive the annual use. Average winter water use provides the best available estimate of indoor water use and its impact to the District's treatment facilities because outdoor irrigation is minimal during the winter months.

For commercial property or a property that has no water account, i.e. is on a well, and is connected to

the sewer system, the sewer charges will be based on the number of ESDs times \$811, the average rate. If a property has a water account but no water usage in December, 2012 and January, 2013 then the variable charge will be calculated based on that user's average water use for twelve months.

The 2013 volume-based sewer charges for residential customers with a public water connection are \$568 per ESD plus \$0.004591 per gallon per month times 12 months based on winter water usage.

If not approved existing rates and ordinance language would remain unchanged. Staff would recommend options to meet reduced revenue levels. Charges to be collected on tax roll would have to be invoiced.

Prior Board Actions:

05/22/12: Board approved sewer rate increases for Fiscal Year 2012/2013

Strategic Plan Alignment Goal 2: Economic and Environmental Stewardship

(how about Enviro, by providing sewage service through a sustainable business model?)

Fiscal Summary - FY 12-13

Expenditures		Funding Source(s)	
Budgeted Amount	\$ -0-	Water Agency Gen Fund	\$ -0-
Add Appropriations Req'd.	\$ -0-	State/Federal	\$ -0-
	\$	Fees/Other	\$ -0-
	\$	Use of Fund Balance	\$ -0-
	\$	Contingencies	\$ -0-
	\$		\$
Total Expenditure	\$ -0-	Total Sources	\$ -0-

Narrative Explanation of Fiscal Impacts (If Required):

None. This action sets the rates for Fiscal Year 2013/2014 only with no impact on Fiscal Year 2012/2013. The anticipated revenue for Fiscal Year 2013/2014 is expected to increase by \$1,153,443 with these new rates.

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):

None.

Attachments:

1. 5 Resolutions (R1, R2, R3, R4, R5)
2. 5 Ordinances with Exhibit A (O1, O2, O3, O4, O5)
3. Revenue Summary Chart – Sewer Service Fees (A3)
4. Summary of Issues and Significant Changes – Sanitation (A4)
5. Copies of Proposition 218 Notices Mailed to Property Owners (A5)

Related Items “On File” with the Clerk of the Board:

Report of Annual Sewer Service Charges (1 Copy)



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

Santa Rosa, California

4/5 Vote Required

Resolution Of The Board Of Directors Of The Sonoma County Water Agency Overruling Objections, Adopting A Report On Charges For Sewerage Services, And Confirming Charges For Various Sanitation Zones. (4/5 vote required).

Government Code §25210.77(a) requires the preparation of a report setting out the proposed charges for the sewerage services furnished and made available within the Sanitation Zones listed below:

1. Airport-Larkfield-Wikiup SZ
2. Penggrove SZ
3. Geyserville SZ
4. Sea Ranch SZ

Whereas, a written report has been filed with the Clerk of the Board; and

Whereas, notice was given as prescribed by law as to time, date, and place for hearing objections or protest to said report; and

Whereas, all written objections or protests and other written communications were publicly acknowledged and all persons desiring to be heard were fully heard; and

Whereas, the owners of a majority of separate parcels of property described in the report did not protest or object to said report.

Now, Therefore, Be It Resolved, that all objections or protests are overruled and the written report submitted to this Board setting forth sewer service charges for fiscal year 2013/2014 be and is hereby adopted in full without revision, change, reduction, or modification of any charge specified therein.

Be It Further Resolved, that the County Clerk be and is hereby directed to file with the County Auditor on or before August 5, 2013, a copy of said report upon which shall be endorsed over her signature a statement that the report has been finally adopted by the Board of Directors.

Resolution #
Date: May 21, 2013
Page 2

Be It Further Resolved, that the County Auditor shall, upon receipt of said report, enter the amounts of the charges against the respective parcels as they appear on the assessment roll for fiscal year 2013/2014.

Directors:

Gorin:	Zane:	McGuire:	Carrillo:	Rabbitt:
Ayes:	Noes:	Absent:	Abstain:	

So Ordered.



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

Santa Rosa, California

4/5 Vote Required

Resolution Of The Board Of Directors Of The Occidental County Sanitation District Overruling Objections, Adopting A Report On Charges For Sewerage Services, And Confirming Charges. (4/5 vote required).

Whereas, Health and Safety Code §5473 and §5473.1 require the preparation of a report setting out the proposed charges for sewerage services furnished and made available within the Occidental County Sanitation District; and

Whereas, a written report has been filed with the Clerk of the Board; and

Whereas, notice was given as prescribed by law as to time, date, and place for hearing objections or protest to said report; and

Whereas, all written objections or protests and other written communications were publicly acknowledged and all persons desiring to be heard were fully heard; and

Whereas, the owners of a majority of separate parcels of property described in the report did not protest or object to said report.

Now, Therefore, Be It Resolved, that all objections or protests are overruled and the written report submitted to this Board setting forth sewer service charges for fiscal year 2013/2014 be and is hereby adopted in full without revision, change, reduction, or modification of any charge specified therein.

Be It Further Resolved, that the County Clerk be and is hereby directed to file with the County Auditor on or before August 5, 2013, a copy of said report upon which shall be endorsed over her signature a statement that the report has been finally adopted by the Board of Directors.

Resolution #
Date: May 21, 2013
Page 2

Be It Further Resolved, that the County Auditor shall, upon receipt of said report, enter the amounts of the charges against the respective parcels as they appear on the assessment roll for Fiscal Year 2013/2014. Such fees shall remain in effect until modified by the Board.

Directors:

Gorin:	Zane:	McGuire:	Carrillo:	Rabbitt:
Ayes:	Noes:	Absent:	Abstain:	

So Ordered.



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

Santa Rosa, California

4/5 Vote Required

Resolution Of The Board Of Directors Of The Russian River County Sanitation District Overruling Objections, Adopting A Report On Charges For Sewerage Services, And Confirming Charges. (4/5 vote required).

Whereas, Health and Safety Code §5473 and §5473.1 require the preparation of a report setting out the proposed charges for sewerage services furnished and made available within the Russian River County Sanitation District; and

Whereas, a written report has been filed with the Clerk of the Board; and

Whereas, notice was given as prescribed by law as to time, date, and place for hearing objections or protest to said report; and

Whereas, all written objections or protests and other written communications were publicly acknowledged and all persons desiring to be heard were fully heard; and

Whereas, the owners of a majority of separate parcels of property described in the report did not protest or object to said report.

Now, Therefore, Be It Resolved, that all objections or protests are overruled and the written report submitted to this Board setting forth sewer service charges for fiscal year 2013/2014 be and is hereby adopted in full without revision, change, reduction, or modification of any charge specified therein.

Be It Further Resolved, that the County Clerk be and is hereby directed to file with the County Auditor on or before August 5 2013, a copy of said report upon which shall be endorsed over her signature a statement that the report has been finally adopted by the Board of Directors.

Be It Further Resolved, Be It Further Resolved, that the County Auditor shall, upon receipt of said report, enter the amounts of the charges against the respective parcels as they appear on the assessment roll for Fiscal Year 2013/2014. Such fees shall remain in effect until modified by the Board.

Resolution #
Date: May 21, 2013
Page 2

Be It Further Resolved, that the County Auditor shall, upon receipt of said report, enter the amounts of the charges against the respective parcels as they appear on the assessment roll for Fiscal Year 2013/2014. Such fees shall remain in effect until modified by the Board.

Directors:

Gorin: Zane: McGuire: Carrillo: Rabbitt:

Ayes: Noes: Absent: Abstain:

So Ordered.



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

Santa Rosa, California

4/5 Vote Required

Resolution Of The Board Of Directors Of The Sonoma Valley County Sanitation District Overruling Objections, Adopting A Report On Charges For Sewerage Services, And Confirming Charges. (2/3 vote required) (First District).

Whereas, Health and Safety Code §5473 and §5473.1 require the preparation of a report setting out the proposed charges for sewerage services furnished and made available within the Sonoma Valley County Sanitation District; and

Whereas, a written report has been filed with the Clerk of the Board; and

Whereas, notice was given as prescribed by law as to time, date, and place for hearing objections or protest to said report; and

Whereas, all written objections or protests and other written communications were publicly acknowledged and all persons desiring to be heard were fully heard; and

Whereas, the owners of a majority of separate parcels of property described in the report did not protest or object to said report.

Now, Therefore, Be It Resolved, that all objections or protests are overruled and the written report submitted to this Board setting forth sewer service charges for fiscal year 2013/2014 be and is hereby adopted in full without revision, change, reduction, or modification of any charge specified therein.

Be It Further Resolved, that the County Clerk be and is hereby directed to file with the County Auditor on or before August 5, 2013, a copy of said report upon which shall be endorsed over her signature a statement that the report has been finally adopted by the Board of Directors.

Resolution #
Date: May 21, 2013
Page 2

Be It Further Resolved, that the County Auditor shall, upon receipt of said report, enter the amounts of the charges against the respective parcels as they appear on the assessment roll for fiscal year 2013/2014.

Sonoma Valley Board of Directors:

Brown: Gorin: Rabbitt:
Ayes: Noes: Absent: Abstain:

So Ordered.



County of Sonoma
State of California

Date: May 21, 2013

Item Number: _____

Resolution Number: _____

Santa Rosa, California

4/5 Vote Required

Resolution Of The Board Of Directors Of The South Park County Sanitation District Overruling Objections, Adopting A Report On Charges For Sewerage Services, And Confirming Charges. (4/5 vote required).

Whereas, Health and Safety Code §5473 and §5473.1 require the preparation of a report setting out the proposed charges for sewerage services furnished and made available within the South Park County Sanitation District; and

Whereas, a written report has been filed with the Clerk of the Board; and

Whereas, notice was given as prescribed by law as to time, date, and place for hearing objections or protest to said report; and

Whereas, all written objections or protests and other written communications were publicly acknowledged and all persons desiring to be heard were fully heard; and

Whereas, the owners of a majority of separate parcels of property described in the report did not protest or object to said report.

Now, Therefore, Be It Resolved, that all objections or protests are overruled and the written report submitted to this Board setting forth sewer service charges for fiscal year 2013/2014 be and is hereby adopted in full without revision, change, reduction, or modification of any charge specified therein.

Be It Further Resolved, that the County Clerk be and is hereby directed to file with the County Auditor on or before August 5, 2013, a copy of said report upon which shall be endorsed over her signature a statement that the report has been finally adopted by the Board of Directors.

Resolution #
Date: May 21, 2013
Page 2

Be It Further Resolved, that the County Auditor shall, upon receipt of said report, enter the amounts of the charges against the respective parcels as they appear on the assessment roll for fiscal year 2013/2014.

Directors:

Gorin:	Zane:	McGuire:	Carrillo:	Rabbitt:
Ayes:	Noes:	Absent:	Abstain:	

So Ordered.

ORDINANCE NO. _____

AN ORDINANCE OF THE BOARD OF DIRECTORS OF THE SONOMA COUNTY WATER AGENCY, STATE OF CALIFORNIA, SETTING SEWER SERVICE CHARGES, ON BEHALF OF AGENCY SANITATION ZONES AIRPORT-LARKFIELD-WIKIUP, GEYSERVILLE, PENNGROVE, AND SEA RANCH, CALLING FOR COLLECTION ON THE TAX ROLL FOR ALL ZONES FOR FISCAL YEAR 2013/2014, AND MAKING FINDINGS AND DETERMINATION OF EXEMPTION PURSUANT TO THE CALIFORNIA ENVIRONMENTAL QUALITY ACT. (4/5 VOTE REQUIRED).

The Board of Directors of the Sonoma County Water Agency (Agency), State of California, ordains as follows:

SECTION I

Section VII of Ordinance No. 16 is hereby amended to read:

“Annual Service Charge” is defined as a charge for use of the sewer system for a period of one year to each user and based on the estimated or actual usage of the sewer system. The annual service charge is based on the estimated annual cost of operating, maintaining, and replacing the sewer system, as submitted and approved by the Agency’s Board of Directors each year. Annual service charges shall be based on an Equivalent Single Family Dwelling Unit (ESD) as defined in Section 2.01 of Ordinance No. 15 of the Agency and as calculated by the same methodology for connection fees set forth by Section VIII of Ordinance No. 16.

Annual service charges per ESD and the average flow per one ESD on properties within the boundaries established as the Agency Sanitation Zones, set forth in the following table, are hereby prescribed and established effective July 1, 2013:

AGENCY’S ANNUAL CHARGES

<u>AGENCY SANITATION ZONE</u>	<u>AVERAGE FLOW / 1-ESD</u>	<u>ANNUAL SERVICE CHARGE</u>
Airport-Larkfield-Wikiup	280 gpd/ESD	\$738/ESD
Geyserville	200 gpd/ESD	\$854/ESD
Penngrove	180 gpd/ESD	\$1,293/ESD
Sea Ranch	200 gpd/ESD	\$982/ESD

SECTION II

Exhibit “A” of Ordinance 16 is hereby replaced by the attached Exhibit “A.”

SECTION III

The Agency does hereby elect, pursuant to Section 5473 of the Health and Safety Code of the State of California to have the sewer service charge for fiscal year 2013/2014 established by said Agency, collected on the tax roll of the County of Sonoma, State of California, in the manner provided pursuant to Sections 5471 through 5473.11 of the Health and Safety Code of the State of California.

SECTION IV

The Board hereby finds that the California Environmental Quality Act does not apply to the establishment of charges pursuant to this Ordinance, as such fees are for the purpose of meeting operations expenses, meeting financial reserve needs and requirements, and setting aside funds for capital projects necessary to maintain service within the existing Zones (15273 California Code of Regulations, 21080 Public Resources Code).

SECTION V

If any section, subsection, sentence, clause, or phrase of this Ordinance is for any reason held to be unconstitutional and invalid, such decision shall not affect the validity of the remaining portion of this Ordinance. The Board of Directors hereby declares that it would have passed this Ordinance and every section, subsection, sentence, clause, or phrase thereof, irrespective of the fact that any one or more sections, subsections, sentences, clauses, or phrases be declared unconstitutional or invalid.

SECTION VI

This Ordinance shall be and the same is hereby declared to be in full force and effect from and after thirty (30) days after the date of its passage and shall be published once before the expiration of fifteen (15) days after said passage, with the names of the Directors voting for or against the same, in a newspaper of general circulation, published in the County of Sonoma, State of California, and the Agency's Clerk of the Board shall post in the office of the Agency's Clerk, a certified copy of the full text of this Ordinance along with the names of those Directors voting for or against the Ordinance.

In regular session of the Board of Directors of the Sonoma County Water Agency, State of California, introduced, passed, and adopted after hearing this _____ day of _____ 2013, on regular roll call of the members of said Board by the following vote:

DIRECTORS:

GORIN: _____ ZANE: _____ MCGUIRE: _____ CARRILLO: _____ RABBITT: _____

Ayes _____ Noes _____ Absent _____ Abstain _____

WHEREUPON, the Chair declared the above and foregoing ordinance duly adopted and

SO ORDERED.

By: _____
Chair, Board of Directors
County of Sonoma, State of California

ATTEST:
By: _____
Clerk of the Board

EXHIBIT A

EQUIVALENT SINGLE-FAMILY DWELLING BILLING UNIT FOR AIRPORT SANITATION ZONE

Use Category		Billing Basis			2013-2014	
		Flow	BOD	TSS	Use	ESD
		gallons	mg/l	mg/l		
Residential						
	Single-Family	280	200	200	connections	1.00
	Condominium	280	200	200	dwelling units	1.00
	Multiple-Family	224	200	200	dwelling units	0.80
	Mobile home park	224	200	200	spaces	0.80
	Mobile home (Individual)	224	200	200	units	0.80
	Granny unit	224	200	200	unit	0.80
Commercial						
	Appliance repair	190	200	200	1,000 sq. ft.	0.68
	Art gallery	190	200	200	1,000 sq. ft.	0.68
	Auto dealers					
	With service facilities	190	180	280	connection	0.75
		38	180	280	add per service bay	0.15
	Without service facilities	190	200	200	connection	0.68
	Bakery	190	1000	600	1,000 sq. ft.	2.02
	Butcher				see note 1 below	
	Banks & financial institutions	190	130	80	1,000 sq. ft.	0.47
	Barber shop	19	130	80	chair	0.05
	Beauty shop	38	130	80	chair	0.09
	Bars & taverns	20	200	200	seat	0.07
	Car washes, self service	190	20	150	stall	0.42
	Camp ground or RV park					
	with hookups	125	200	200	site	0.45
	without hookups	75	200	200	site	0.27
	Churches, hall & lodges	2	200	200	seat	0.01
	Coffee shops	6	1000	600	seats	0.06
	Dry cleaners	285	150	110	1,000 sq. ft.	0.78
	Fire stations	190	200	200	1,000 sq. ft.	0.68
	Garages	95	180	280	service bays	0.37
	Hospitals					
	Convalescent	125	250	100	beds	0.41
	General	175	250	100	beds	0.57
	Veterinarian	6	250	100	cages	0.02
	Hotels/motels	100	310	120	sleeping rooms	0.37
	Laundromats	500	150	110	washing machines	1.37
	Library	190	200	200	1,000 sq. ft.	0.68
	Machine shops	152	180	280	1,000 sq. ft.	0.60
	Markets	38	800	800	1,000 sq. ft.	0.40
	Offices					
	Business	76	130	80	1,000 sq. ft.	0.19
	Dental	190	130	80	Exam. room	0.47
	Medical	190	130	80	Exam. room	0.47
	Post office	190	130	80	1,000 sq. ft.	0.47
	Resort				calc per ESD	
	Restaurants					
	Dine-in					
	With DW & garbage disp.	6	1000	600	seat	0.06
	With DW or garbage disp.	6	619	371	seat	0.04
	Without DW & garbage disp.	6	238	143	seat	0.02
	Take-out	475	238	143	1,000 sq. ft.	1.64
	Rest homes	125	250	100	beds	0.41
	Retail stores	38	150	150	1,000 sq. ft.	0.11
	Schools					
	Elementary	9	130	100	per student day	0.02
	High	14	130	100	per student day	0.04
	Service stations	380	180	280	set of gas pumps	1.49
		38	180	280	add per service bay	0.15
	Shoe repair	190	200	200	1,000 sq. ft.	0.68
	Theaters	2	200	200	seat	0.01
	Warehouse				see note 1 below	
	Other Uses Not Listed				see note 1 below	
Industrial					see note 1 below	

ALL COMMERCIAL ESDs TO BE DETERMINED BY THE GENERAL MANAGER USING THE FOLLOWING FORMULA:

$$ESD = (TSS \times FLOW \times 0.33) / (SFD TSS \times SFD FLOW) + (BOD \times FLOW \times 0.33) / (SFD BOD \times SFD FLOW) + (FLOW \times (0.34 / SFD FLOW))$$

Note 1: Use to be calculated on a case by case basis using the above formula	
Definitions	Flow = Gallons per Day
	BOD = Biological Oxygen Demand
	DW = dishwasher
	ESD = Equivalent Single Family Dwelling
	disp. = disposal

EXHIBIT A

EQUIVALENT SINGLE-FAMILY DWELLING BILLING UNIT FOR GEYSERVILLE SANITATION ZONE

Use Category		Billing Basis			2013-2014	
		Flow	BOD	TSS	Use	ESD
		gallons	mg/l	mg/l		
Residential						
	Single-Family	200	315	315	connections	1.00
	Condominium	200	315	315	dwelling units	1.00
	Multiple-Family	160	315	315	dwelling units	0.80
	Mobile home park	160	315	315	spaces	0.80
	Mobile home (Individual)	160	315	315	units	0.80
	Granny unit	160	315	315	unit	0.80
Commercial						
	Appliance repair	190	200	200	1,000 sq. ft.	0.72
	Art gallery	190	200	200	1,000 sq. ft.	0.72
	Auto dealers					
	With service facilities	190	180	280	connection	0.78
		38	180	280	add per service bay	0.16
	Without service facilities	190	200	200	connection	0.72
	Bakery	190	1000	600	1,000 sq. ft.	1.92
	Butcher				see note 1 below	
	Banks & financial institutions	190	130	80	1,000 sq. ft.	0.53
	Barber shop	19	130	80	chair	0.05
	Beauty shop	38	130	80	chair	0.11
	Bars & taverns	20	200	200	seat	0.08
	Car washes, self service	190	20	150	stall	0.49
	Camp ground or RV park					
	with hookups	125	200	200	site	0.47
	without hookups	75	200	200	site	0.28
	Churches, hall & lodges	2	200	200	seat	0.01
	Coffee shops	6	1000	600	seats	0.06
	Dry cleaners	285	150	110	1,000 sq. ft.	0.87
	Fire stations	190	200	200	1,000 sq. ft.	0.72
	Garages	95	180	280	service bays	0.39
	Hospitals					
	Convalescent	125	250	100	beds	0.44
	General	175	250	100	beds	0.62
	Veterinarian	6	250	100	cages	0.02
	Hotels/motels	100	310	120	sleeping rooms	0.40
	Laundromats	500	150	110	washing machines	1.53
	Library	190	200	200	1,000 sq. ft.	0.72
	Machine shops	152	180	280	1,000 sq. ft.	0.62
	Markets	38	800	800	1,000 sq. ft.	0.38
	Offices					
	Business	76	130	80	1,000 sq. ft.	0.21
	Dental	190	130	80	Exam. room	0.53
	Medical	190	130	80	Exam. room	0.53
	Post office	190	130	80	1,000 sq. ft.	0.53
	Resort				calc per ESD	
	Restaurants					
	Dine-in					
	With DW & garbage disp.	6	1000	600	seat	0.06
	With DW or garbage disp.	6	619	371	seat	0.04
	Without DW & garbage disp.	6	238	143	seat	0.02
	Take-out	475	238	143	1,000 sq. ft.	1.76
	Rest homes	125	250	100	beds	0.44
	Retail stores	38	150	150	1,000 sq. ft.	0.12
	Schools					
	Elementary	9	130	100	per student day	0.03
	High	14	130	100	per student day	0.04
	Service stations	380	180	280	set of gas pumps	1.56
		38	180	280	add per service bay	0.16
	Shoe repair	190	200	200	1,000 sq. ft.	0.72
	Theaters	2	200	200	seat	0.01
	Warehouse				see note 1 below	
	Others as determined by the Engr.				see note 1 below	

ALL COMMERCIAL ESDs TO BE DETERMINED BY THE GENERAL MANAGER USING THE FOLLOWING FORMULA:
 $ESD = (TSS \times FLOW \times 0.33) / (SFD \ TSS \times SFD \ FLOW) + (BOD \times FLOW \times 0.33) / (SFD \ BOD \times SFD \ FLOW) + (FLOW \times (0.34 / SFD \ FLOW))$

Note 1: Use to be calculated on a case by case basis using the above formula	
Definitions	Flow = Gallons per Day
	BOD = Biological Oxygen Demand
	DW = dishwasher
	ESD = Equivalent Single Family Dwelling
	disp. = disposal

EXHIBIT A

EQUIVALENT SINGLE-FAMILY DWELLING BILLING UNIT FOR PENNGROVE SANITATION ZONE

Use Category		Billing Basis			2013-2014	
		Flow gallons	BOD mg/l	TSS mg/l	Use	ESD
Residential						
	Single-Family	180	305	305	connections	1.00
	Condominium	180	305	305	dwelling units	1.00
	Multiple-Family	144	305	305	dwelling units	0.80
	Mobile home park	144	305	305	spaces	0.80
	Mobile home (Individual)	144	305	305	units	0.80
	Granny unit	144	305	305	unit	0.80
Commercial						
	Appliance repair	190	200	200	1,000 sq. ft.	0.82
	Art gallery	190	200	200	1,000 sq. ft.	0.82
	Auto dealers					
	With service facilities	190	180	280	connection	0.88
	Without service facilities	38	180	280	add per service bay	0.18
	Without service facilities	190	200	200	connection	0.82
	Bakery	190	1000	600	1,000 sq. ft.	2.19
	Butcher				see note 1 below	
	Banks & financial institutions	190	130	80	1,000 sq. ft.	0.60
	Barber shop	19	130	80	chair	0.06
	Beauty shop	38	130	80	chair	0.12
	Bars & taverns	20	200	200	seat	0.09
	Car washes, self service	190	20	150	stall	0.55
	Camp ground or RV park					
	with hookups	125	200	200	site	0.54
	without hookups	75	200	200	site	0.32
	Churches, hall & lodges	2	200	200	seat	0.01
	Coffee shops	6	1000	600	seats	0.07
	Dry cleaners	285	150	110	1,000 sq. ft.	0.98
	Fire stations	190	200	200	1,000 sq. ft.	0.82
	Garages	95	180	280	service bays	0.44
	Hospitals					
	Convalescent	125	250	100	beds	0.50
	General	175	250	100	beds	0.70
	Veterinarian	6	250	100	cages	0.02
	Hotels/motels	100	310	120	sleeping rooms	0.45
	Laundromats	500	150	110	washing machines	1.73
	Library	190	200	200	1,000 sq. ft.	0.82
	Machine shops	152	180	280	1,000 sq. ft.	0.71
	Markets	38	800	800	1,000 sq. ft.	0.44
	Offices					
	Business	76	130	80	1,000 sq. ft.	0.24
	Dental	190	130	80	Exam. room	0.60
	Medical	190	130	80	Exam. room	0.60
	Post office	190	130	80	1,000 sq. ft.	0.60
	Resort				calc per ESD	
	Restaurants					
	Dine-in					
	With DW & garbage disp.	6	1000	600	seat	0.07
	With DW or garbage disp.	6	619	371	seat	0.05
	Without DW & garbage disp.	6	238	143	seat	0.03
	Take-out	475	238	143	1,000 sq. ft.	1.99
	Rest homes	125	250	100	beds	0.50
	Retail stores	38	150	150	1,000 sq. ft.	0.14
	Schools					
	Elementary	9	130	100	per student day	0.03
	High	14	130	100	per student day	0.05
	Service stations	380	180	280	set of gas pumps	1.77
		38	180	280	add per service bay	0.18
	Shoe repair	190	200	200	1,000 sq. ft.	0.82
	Theaters	2	200	200	seat	0.01
	Warehouse				see note 1 below	
	Others as determined by the Engr.				see note 1 below	
ALL COMMERCIAL ESDs TO BE DETERMINED BY THE GENERAL MANAGER USING THE FOLLOWING FORMULA: $ESD = (TSS \times FLOW \times 0.33) / (SFD \ TSS \times SFD \ FLOW) + (BOD \times FLOW \times 0.33) / (SFD \ BOD \times SFD \ FLOW) + (FLOW \times (0.34 / SFD \ FLOW))$						
Note 1: Use to be calculated on a case by case basis using the above formula						
Definitions						
	Flow = Gallons per Day					
	BOD = Biological Oxygen Demand		DW = dishwasher			
	ESD = Equivalent Single Family Dwelling		disp. = disposal			

EXHIBIT A

EQUIVALENT SINGLE-FAMILY DWELLING BILLING UNIT FOR SEA RANCH SANITATION ZONE

Use Category		Billing Basis			2012-2014	
		Flow gallons	BOD mg/l	TSS mg/l	Use	ESD
Residential						
	Single-Family	200			connections	1.0
Commercial					see note 1 below	
ALL COMMERCIAL ESDs TO BE DETERMINED BY THE GENERAL MANAGER USING THE FOLLOWING FORMULA: $ESD = (TSS \times FLOW \times 0.33) / (SFD TSS \times SFD FLOW) + (BOD \times FLOW \times 0.33) / (SFD BOD \times SFD FLOW) + (FLOW \times (0.34 / SFD FLOW))$						
Note 1: Use to be calculated on a case by case basis using the above formula						
Definitions		Flow = Gallons per Day				
		BOD = Biological Oxygen Demand	DW = dishwasher			
		ESD = Equivalent Single Family Dwelling	disp. = disposal			

ORDINANCE NO. _____

AN ORDINANCE OF THE BOARD OF DIRECTORS OF THE OCCIDENTAL COUNTY SANITATION DISTRICT, STATE OF CALIFORNIA, SETTING SEWER SERVICE CHARGES, CALLING FOR COLLECTION ON THE TAX ROLL FOR FISCAL YEAR 2013/2014, AND MAKING FINDINGS AND DETERMINATION OF EXEMPTION PURSUANT TO THE CALIFORNIA ENVIRONMENTAL QUALITY ACT. (4/5 VOTE REQUIRED).

The Board of Directors of the Occidental County Sanitation District (District), State of California, ordains as follows:

SECTION I

Section V of Ordinance No. 42 is hereby amended to read:

“Annual Service Charge” is defined as a charge for use of the sewer system for a period of one year to each user and based on the estimated or actual usage of the sewer system. The annual service charge is based on the estimated annual cost of operating, maintaining, and replacing the sewer system, as submitted and approved by the District’s Board of Directors each year. Annual service charges shall be based on an Equivalent Single Family Dwelling Unit (ESD) as defined in Section 2.01 of Ordinance No. 41 of the Occidental County Sanitation District and as calculated by the same methodology for connection fees set forth by Section VI of Ordinance No. 42.

An annual service charge of One thousand Seven hundred eighty three dollars and No Cents (\$1,783.00) per ESD and the average flow of 66 gallons per day per one ESD on properties within the boundaries established as the District’s is hereby prescribed and established effective July 1, 2013.

Exhibit “A” of Ordinance 42 is hereby replaced by the attached Exhibit “A”.

SECTION II

The District does hereby elect, pursuant to Section 5473 of the Health and Safety Code of the State of California to have the sewer service charge established by said District, collected on the tax roll of the County of Sonoma, State of California, in the manner provided pursuant to Sections 5471 through 5473.11 of the Health and Safety Code of the State of California.

SECTION III

The Board hereby finds that the California Environmental Quality Act does not apply to the establishment of charges pursuant to this Ordinance, as such fees are for the purpose of meeting operations expenses, meeting financial reserve needs and requirements, and setting aside funds for capital projects necessary to maintain service within the existing District (15273 California Code of Regulations, 21080 Public Resources Code).

SECTION IV

If any section, subsection, sentence, clause, or phrase of this Ordinance is for any reason held to be unconstitutional and invalid, such decision shall not affect the validity of the remaining portion of this Ordinance. The Board of Directors hereby declares that it would have passed this Ordinance and every section, subsection, sentence, clause, or phrase thereof, irrespective of the fact that any one or more sections, subsections, sentences, clauses, or phrases be declared unconstitutional or invalid.

SECTION V

This Ordinance shall be and the same is hereby declared to be in full force and effect from and after thirty (30) days after the date of its passage and shall be published once before the expiration of fifteen (15) days after said passage, with the names of the Directors voting for or against the same, in a newspaper of general circulation, published in the County of Sonoma, State of California, and the District's Clerk of the Board shall post in the office of the District's Clerk, a certified copy of the full text of this Ordinance along with the names of those Directors voting for or against the Ordinance.

In regular session of the Board of Directors of the Occidental County Sanitation District, State of California, introduced, passed, and adopted after hearing this ____ day of _____ 2013, on regular roll call of the members of said Board by the following vote:

DIRECTORS:

GORIN: _____ ZANE: _____ MCGUIRE: _____ CARRILLO: _____ RABBITT: _____

Ayes ____ Noes ____ Absent ____ Abstain ____

WHEREUPON, the Chair declared the above and foregoing ordinance duly adopted and

SO ORDERED.

By: _____
Chair, Board of Directors
County of Sonoma, State of California

ATTEST:

By: _____
Clerk of the Board

EXHIBIT A

EQUIVALENT SINGLE-FAMILY DWELLING BILLING UNIT FOR OCCIDENTAL CSD

Use Category		Billing Basis			2013 - 2014	
		Flow gallons	BOD mg/l	TSS mg/l	Use	ESD
Residential						
	Single-Family	66	250	250	connections	1.00
	Condominium	66	250	250	dwelling units	1.00
	Multiple-Family	52.8	250	250	dwelling units	0.80
	Mobile home park	52.8	250	250	spaces	0.80
	Mobile home (Individual)	52.8	250	250	units	0.80
	Granny unit	52.8	250	250	unit	0.80
Commercial						
	Appliance repair	190	200	200	1,000 sq. ft.	2.50
	Art gallery	190	200	200	1,000 sq. ft.	2.50
	Auto dealers					
	With service facilities	190	180	280	connection	2.73
		38	180	280	add per service bay	0.55
	Without service facilities	190	200	200	connection	2.50
	Bakery	190	1000	600	1,000 sq. ft.	7.06
	Butcher				see note 1 below	
	Banks & financial institutions	190	130	80	1,000 sq. ft.	1.78
	Barber shop	19	130	80	chair	0.18
	Beauty shop	38	130	80	chair	0.36
	Bars & taverns	20	200	200	seat	0.26
	Car washes, self service	190	20	150	stall	1.62
	Camp ground or RV park					
	with hookups	125	200	200	site	1.64
	without hookups	75	200	200	site	0.99
	Churches, hall & lodges	2	200	200	seat	0.03
	Coffee shops	6	1000	600	seats	0.22
	Dry cleaners	285	150	110	1,000 sq. ft.	2.95
	Fire stations	190	200	200	1,000 sq. ft.	2.50
	Garages	95	180	280	service bays	1.36
	Hospitals					
	Convalescent	125	250	100	beds	1.52
	General	175	250	100	beds	2.13
	Veterinarian	6	250	100	cages	0.07
	Hotels/motels	100	310	120	sleeping rooms	1.38
	Laundromats	500	150	110	washing machines	5.18
	Library	190	200	200	1,000 sq. ft.	2.50
	Machine shops	152	180	280	1,000 sq. ft.	2.18
	Markets	38	800	800	1,000 sq. ft.	1.41
	Offices					
	Business	76	130	80	1,000 sq. ft.	0.71
	Dental	190	130	80	Exam. room	1.78
	Medical	190	130	80	Exam. room	1.78
	Post office	190	130	80	1,000 sq. ft.	1.78
	Resort				calc per ESD	
	Restaurants					
	Dine-in					
	With DW & garbage disp.	6	1000	600	seat	0.22
	With DW or garbage disp.	6	619	371	seat	0.15
	Without DW & garbage disp.	6	238	143	seat	0.08
	Take-out	475	238	143	1,000 sq. ft.	6.07
	Rest homes	125	250	100	beds	1.52
	Retail stores	38	150	150	1,000 sq. ft.	0.42
	Schools					
	Elementary	9	130	100	per student day	0.09
	High	14	130	100	per student day	0.14
	Service stations	380	180	280	set of gas pumps	5.45
		38	180	280	add per service bay	0.55
	Shoe repair	190	200	200	1,000 sq. ft.	2.50
	Theaters	2	200	200	seat	0.03
	Warehouse				see note 1 below	
	Others as determined by the Engr.				see note 1 below	

ALL COMMERCIAL ESDs TO BE DETERMINED BY THE GENERAL MANAGER USING THE FOLLOWING FORMULA:

$$ESD = (TSS \times FLOW \times 0.33) / (SFD \ TSS \times SFD \ FLOW) + (BOD \times FLOW \times 0.33) / (SFD \ BOD \times SFD \ FLOW) + (FLOW \times (0.34 / SFD \ FLOW))$$

Note 1: Use to be calculated on a case by case basis using the above formula	
Definitions	Flow = Gallons per Day
	BOD = Biological Oxygen Demand
	DW = dishwasher
	ESD = Equivalent Single Family Dwelling
	disp. = disposal

ORDINANCE NO. _____

AN ORDINANCE OF THE BOARD OF DIRECTORS OF THE RUSSIAN RIVER COUNTY SANITATION DISTRICT, STATE OF CALIFORNIA, SETTING SEWER SERVICE CHARGES, CALLING FOR COLLECTION ON THE TAX ROLL FOR FISCAL YEAR 2013/2014, AND MAKING FINDINGS AND DETERMINATION OF EXEMPTION PURSUANT TO THE CALIFORNIA ENVIRONMENTAL QUALITY ACT. (4/5 VOTE REQUIRED).

The Board of Directors of the Russian River County Sanitation District (District), State of California, ordains as follows:

SECTION I

Section V of Ordinance No. 33 is hereby amended to read:

“Annual Service Charge” is defined as a charge for use of the sewer system for a period of one year to each user and based on the estimated or actual usage of the sewer system. The annual service charge is based on the estimated annual cost of operating, maintaining, and replacing the sewer system, as submitted and approved by the District’s Board of Directors each year. Annual service charges shall be based on an Equivalent Single Family Dwelling Unit (ESD) as defined in Section 2.01 of Ordinance No. 31 of the District and as calculated by the same methodology for connection fees set forth by Section VI of Ordinance No. 33.

An annual service charge of One thousand two hundred fifty three dollars and No Cents (\$1,253.00) per ESD and the average flow of 120 gallons per day per one ESD on properties within the boundaries established as the District’s is hereby prescribed and established effective July 1, 2013.

SECTION II

The District does hereby elect, pursuant to Section 5473 of the Health and Safety Code of the State of California to have the sewer service charge for established by said District, collected on the tax roll of the County of Sonoma, State of California, in the manner provided pursuant to Sections 5471 through 5473.11 of the Health and Safety Code of the State of California.

SECTION III

The Board hereby finds that the California Environmental Quality Act does not apply to the establishment of charges pursuant to this Ordinance, as such fees are for the purpose of meeting operations expenses, meeting financial reserve needs and requirements, and setting aside funds for capital projects necessary to maintain service within the existing District (15273 California Code of Regulations, 21080 Public Resources Code).

SECTION IV

If any section, subsection, sentence, clause, or phrase of this Ordinance is for any reason held to be unconstitutional and invalid, such decision shall not affect the validity of the remaining portion of this Ordinance. The Board of Directors hereby declares that it would have passed this Ordinance and every section, subsection, sentence, clause, or phrase thereof, irrespective of the fact that any one or more sections, subsections, sentences, clauses, or phrases be declared unconstitutional or invalid.

SECTION V

This Ordinance shall be and the same is hereby declared to be in full force and effect from and after thirty (30) days after the date of its passage and shall be published once before the expiration of fifteen (15) days after said passage, with the names of the Directors voting for or against the same, in a newspaper of general circulation, published in the County of Sonoma, State of California, and the District's Clerk of the Board shall post in the office of the District's Clerk, a certified copy of the full text of this Ordinance along with the names of those Directors voting for or against the Ordinance.

In regular session of the Board of Directors of the Russian River County Sanitation District, State of California, introduced, passed and adopted after hearing this ____ day of _____ 2013, on regular roll call of the members of said Board by the following vote:

DIRECTORS:

GORIN: _____ ZANE: _____ MCGUIRE: _____ CARRILLO: _____ RABBITT: _____

Ayes _____ Noes _____ Absent _____ Abstain _____

WHEREUPON, the Chair declared the above and foregoing ordinance duly adopted and

SO ORDERED.

By: _____
Chair, Board of Directors
County of Sonoma, State of California

ATTEST:

By: _____
Clerk of the Board

EXHIBIT A

EQUIVALENT SINGLE-FAMILY DWELLING BILLING UNIT FOR RUSSIAN RIVER CSD

Use Category		Billing Basis			2013-2014	
		Flow gallons	BOD mg/l	TSS mg/l	Use	ESD
Residential						
	Single-Family	120	200	200	connections	1.00
	Condominium	120	200	200	dwelling units	1.00
	Multiple-Family	96	200	200	dwelling units	0.80
	Mobile home park	96	200	200	spaces	0.80
	Mobile home (Individual)	96	200	200	units	0.80
	Granny unit	96	200	200	unit	0.80
Commercial						
	Appliance repair	190	200	200	1,000 sq. ft.	1.58
	Art gallery	190	200	200	1,000 sq. ft.	1.58
	Auto dealers					
	With service facilities	190	180	280	connection	1.74
		38	180	280	add per service bay	0.35
	Without service facilities	190	200	200	connection	1.58
	Bakery	190	1000	600	1,000 sq. ft.	4.72
	Butcher				see note 1 below	
	Banks & financial institutions	190	130	80	1,000 sq. ft.	1.09
	Barber shop	19	130	80	chair	0.11
	Beauty shop	38	130	80	chair	0.22
	Bars & taverns	20	200	200	seat	0.17
	Car washes, self service	190	20	150	stall	0.98
	Camp ground or RV park					
	with hookups	125	200	200	site	1.04
	without hookups	75	200	200	site	0.63
	Churches, hall & lodges	2	200	200	seat	0.02
	Coffee shops	6	1000	600	seats	0.15
	Dry cleaners	285	150	110	1,000 sq. ft.	1.83
	Fire stations	190	200	200	1,000 sq. ft.	1.58
	Garages	95	180	280	service bays	0.87
	Hospitals					
	Convalescent	125	250	100	beds	0.96
	General	175	250	100	beds	1.34
	Veterinarian	6	250	100	cages	0.05
	Hotels/motels	100	310	120	sleeping rooms	0.87
	Laundromats	500	150	110	washing machines	3.20
	Library	190	200	200	1,000 sq. ft.	1.58
	Machine shops	152	180	280	1,000 sq. ft.	1.39
	Markets	38	800	800	1,000 sq. ft.	0.94
	Offices					
	Business	76	130	80	1,000 sq. ft.	0.43
	Dental	190	130	80	Exam. room	1.09
	Medical	190	130	80	Exam. room	1.09
	Post office	190	130	80	1,000 sq. ft.	1.09
	Resort				calc per ESD	
	Restaurants					
	Dine-in					
	With DW & garbage disp.	6	1000	600	seat	0.15
	With DW or garbage disp.	6	619	371	seat	0.10
	Without DW & garbage disp.	6	238	143	seat	0.05
	Take-out	475	238	143	1,000 sq. ft.	3.83
	Rest homes	125	250	100	beds	0.96
	Retail stores	38	150	150	1,000 sq. ft.	0.26
	Schools					
	Elementary	9	130	100	per student day	0.05
	High	14	130	100	per student day	0.08
	Service stations	380	180	280	set of gas pumps	3.48
		38	180	280	add per service bay	0.35
	Shoe repair	190	200	200	1,000 sq. ft.	1.58
	Theaters	2	200	200	seat	0.02
	Warehouse				see note 1 below	
	Others as determined by the Engr.				see note 1 below	

ALL COMMERCIAL ESDs TO BE DETERMINED BY THE GENERAL MANAGER USING THE FOLLOWING FORMULA:
 $ESD = (TSS \times FLOW \times 0.33) / (SFD \ TSS \times SFD \ FLOW) + (BOD \times FLOW \times 0.33) / (SFD \ BOD \times SFD \ FLOW) + (FLOW \times (0.34 / SFD \ FLOW))$

Note 1: Use to be calculated on a case by case basis using the above formula	
Definitions	Flow = Gallons per Day
	BOD = Biological Oxygen Demand
	DW = dishwasher
	ESD = Equivalent Single Family Dwelling
	disp. = disposal

ORDINANCE NO. _____

AN ORDINANCE OF THE BOARD OF DIRECTORS OF THE SONOMA VALLEY COUNTY SANITATION DISTRICT, STATE OF CALIFORNIA, SETTING SEWER SERVICE CHARGES, CALLING FOR COLLECTION ON THE TAX ROLL FOR FISCAL YEAR 2013/2014, AND MAKING FINDINGS AND DETERMINATION OF EXEMPTION PURSUANT TO THE CALIFORNIA ENVIRONMENTAL QUALITY ACT. (2/3 VOTE REQUIRED) (FIRST DISTRICT).

The Board of Directors of the Sonoma Valley County Sanitation District (District), State of California, ordains as follows:

SECTION I

The first sentence of Section III, Ordinance No. 51 is hereby amended to read:

The methodology used to calculate annual service charges for residential and commercial users, effective July 1, 2013, shall be as shown in Exhibit "A".

SECTION II

Section III of Ordinance No. 51 is hereby replaced with the following:

The methodology used to calculate annual service charges for residential and commercial users shall be as follows:

For all non-residential users, and for residential users with no public water connection, effective July 1, 2013, the methodology for calculating the annual service charges shall be as shown in Exhibit "A". This charge is based on the annual costs of providing wastewater treatment and collection service divided by the calculated number of ESDs. For such users, an annual service charge of eight hundred eleven dollars and No Cents (\$811.00) per ESD and the average flow of 200 gallons per day per one Equivalent Single-Family Dwelling Unit (ESD) on properties within the boundaries established as the District's is hereby prescribed and established effective July 1, 2013.

For residential users with a public water connection, effective July 1, 2013, the annual sewer service charge will be based on two factors: (1) 70% of the charge shall be based on a fixed charge to recover costs that the sewage treatment and collection system incurs regardless of increase or decrease sewage flow into the system. Effective July 1, 2103, this component shall be calculated based on the number of ESDs used as shown in Exhibit "A", and based on the following equation: fixed charge = .70 x (\$811 x # of ESDs). (2) 30% of the charge shall be based on volume of use and shall be calculated based on the average winter water use per household for the months of December, 2012 and February, 2013, times 12 to derive annual use for fiscal year 13/14.

The 2013 sewer charges for residential customers with a public water connection are:

Sewer Charge Component	Charge
Fixed Charge	\$568 per ESD
Volume Charge	\$.004591 per gallon per month

The equation for calculating the volume based charge shall be as follows:

Fixed Charge Calculation		Usage Charge		Total Annual Sewer Bill
(Fixed Charge x No. of ESDs)	+	(User's Average Use in Dec 2012 & Jan 2012)	x	(Usage Rate \$ per gallons per month)
			x	(12 months)
)	=

A sample calculation for a typical residential user of 4,420 gallons per month is as follows:

$\left(\begin{array}{c} \text{Fixed Cost} \\ (\$540 \times 1 \text{ ESD}) = \$540 \end{array} \right) + \left(\begin{array}{c} \text{Usage Charge} \\ (4,420 \text{ gallons per month} \times \$0.00437 \times 12) \end{array} \right) = \begin{array}{c} \text{Total Annual Sewer Bill} \\ \$772 \end{array}$
--

For the volume based charge for residential users with a public water connection, the usage cap shall be 20,000 gallons per month per ESD. If a property with a public water connection has no water usage in January and February, then the volume-based charge shall be calculated based on the user's average water use for twelve months, using the months from March, 2012 to February, 2013 for FY 13/14.

When requested by a user with five or more ESDs of capacity for any one parcel, the General Manager may allow the annual service charges to be based on actual measures usage of the sewer system. Then General Manager will base the charge on the user's contribution of wastewater into the District's facilities including, but not limited to, flow, biological oxygen demand (BOD), total suspended solids (TSS), or any other component of the wastewater that contributes to the costs of collection, treatment, and disposal. The annual service charge shall be calculated using the formulas shown in Sections IV and V herein. Where the General Manager determines that a user's discharge constitutes a significant portion of the District's total wastewater flow, BOD, or TSS loading, the user shall be required to pay a service charge based on the formulas in Sections IV and V. All costs of monitoring wastewater components under Sections IV and V shall be the responsibility of the user.

SECTION III

Section IV of Ordinance No. 51 is hereby amended to read:

SECTION IV - SERVICE CHARGES. The methodology used to calculate service charges for users other than those charged in accordance with Section III of this ordinance shall, effective July 1, 2013, be the sum of the following:

Wastewater Flow (Flow)	\$0.01004	per gallon/day (gpd) multiplied by 365 days or the number of days in the billing period
Biochemical Oxygen Demand (BOD)	\$0.5811	per pound/day (lb/day) multiplied by 365 days or the number of days in the billing period
Total Suspended Solids (TSS)	\$0.1034	per pound/day (lb/day) multiplied by 365 days or the number of days in the billing period

The General Manager may calculate and bill the sewer service charge of industrial, commercial, and institutional users on a more frequent basis (than annually).

SECTION IV

Exhibit "A" of Ordinance 51 is hereby replaced by the attached Exhibit "A."

SECTION V

The District does hereby elect, pursuant to Section 5473 of the Health and Safety Code of the State of California to have the sewer service charge for fiscal year 2013/2014 established by said District, collected on the tax roll of the County of Sonoma, State of California, in the manner provided pursuant to Sections 5471 through 5473.11 of the Health and Safety Code of the State of California.

SECTION VI

The Board of Directors hereby finds that the California Environmental Quality Act does not apply to the establishment of charges pursuant to this Ordinance, as such fees are for the purpose of meeting operations expenses, meeting financial reserve needs and requirements, and setting aside funds for capital projects necessary to maintain service within the existing District (14 California Code of Regulations 15273, California Public Resources Code Section 21080).

SECTION VII

If any section, subsection, sentence, clause, or phrase of this Ordinance is for any reason held to be unconstitutional and invalid, such decision shall not affect the validity of the remaining portion of this Ordinance. The Board of Directors hereby declares that it would have passed this Ordinance and every section, subsection, sentence, clause, or phrase thereof, irrespective of the fact that any one or more sections, subsections, sentences, clauses, or phrases be declared unconstitutional or invalid.

SECTION VIII

This Ordinance shall be and the same is hereby declared to be in full force and effect from and after thirty (30) days after the date of its passage and shall be published once before the expiration of fifteen (15) days after said passage, with the names of the Directors voting for or against the same, in a newspaper of general circulation, published in the County of Sonoma, State of California, and the District's Clerk of the Board shall post in the office of the District's Clerk, a certified copy of the full text of this Ordinance along with the names of those Directors voting for or against the Ordinance.

In regular session of the Board of Directors of the Sonoma Valley County Sanitation District, State of California, introduced, passed, and adopted after hearing this _____ day of _____ 2013, on regular roll call of the members of said Board by the following vote:

DIRECTORS:

BROWN: _____ GORIN: _____ RABBITT: _____

Ayes _____ Noes _____ Absent _____ Abstain _____

WHEREUPON, the Chair declared the above and foregoing ordinance duly adopted and

SO ORDERED.

By: _____
Chair, Board of Directors
County of Sonoma, State of California

ATTEST:

By: _____
Clerk of the Board

EXHIBIT A

EQUIVALENT SINGLE-FAMILY DWELLING BILLING UNIT FOR SONOMA VALLEY CSD

Use Category	Billing Basis				2013-2014	
	Flow	BOD	TSS		Use	ESD
	gallons	mg/l	mg/l			
Residential						
Single-Family	200	200	200		connections	1.00
Condominium	200	200	200		dwelling units	1.00
Multiple-Family	160	200	200		dwelling units	0.80
Mobile home park	160	200	200		spaces	0.80
Mobile home (Individual)	160	200	200		units	0.80
Granny unit	160	200	200		unit	0.80
Commercial						
Appliance repair	190	200	200		1,000 sq. ft.	0.95
Art gallery	190	200	200		1,000 sq. ft.	0.95
Auto dealers						
With service facilities	190	180	280		connection	1.04
	38	180	280		add per service bay	0.21
Without service facilities	190	200	200		connection	0.95
Bakery	190	1000	600		1,000 sq. ft.	2.83
Butcher					see note 1 below	
Banks & financial institutions	190	130	80		1,000 sq. ft.	0.65
Barber shop	19	130	80		chair	0.07
Beauty shop	38	130	80		chair	0.13
Bars & taverns	20	200	200		seat	0.10
Car washes, self service	190	20	150		stall	0.59
Camp ground or RV park						
with hookups	125	200	200		site	0.63
without hookups	75	200	200		site	0.38
Churches, hall & lodges	2	200	200		seat	0.01
Coffee shops	6	1000	600		seats	0.09
Dry cleaners	285	150	110		1,000 sq. ft.	1.10
Fire stations	190	200	200		1,000 sq. ft.	0.95
Garages	95	180	280		service bays	0.52
Hospitals						
Convalescent	125	250	100		beds	0.57
General	175	250	100		beds	0.80
Veterinarian	6	250	100		cages	0.03
Hotels/motels	100	310	120		sleeping rooms	0.52
Laundromats	500	150	110		washing machines	1.92
Library	190	200	200		1,000 sq. ft.	0.95
Machine shops	152	180	280		1,000 sq. ft.	0.84
Markets	38	800	800		1,000 sq. ft.	0.57
Offices						
Business	76	130	80		1,000 sq. ft.	0.26
Dental	190	130	80		Exam. room	0.65
Medical	190	130	80		Exam. room	0.65
Post office	190	130	80		1,000 sq. ft.	0.65
Resort					calc per ESD	
Restaurants						
Dine-in						
With DW & garbage disp.	6	1000	600		seat	0.09
With DW or garbage disp.	6	619	371		seat	0.06
Without DW & garbage disp.	6	238	143		seat	0.03
Take-out	475	238	143		1,000 sq. ft.	2.30
Rest homes	125	250	100		beds	0.57
Retail stores	38	150	150		1,000 sq. ft.	0.16
Schools						
Elementary	9	130	100		per student day	0.03
High	14	130	100		per student day	0.05
Service stations	380	180	280		set of gas pumps	2.09
	38	180	280		add per service bay	0.21
Shoe repair	190	200	200		1,000 sq. ft.	0.95
Theaters	2	200	200		seat	0.01
Warehouse					see note 1 below	
Others as determined by the Engr.					see note 1 below	

ALL COMMERCIAL ESDs TO BE DETERMINED BY THE GENERAL MANAGER USING THE FOLLOWING FORMULA:
 $ESD = (TSS \times FLOW \times 0.33) / (SFD TSS \times SFD FLOW) + (BOD \times FLOW \times 0.33) / (SFD BOD \times SFD FLOW) + (FLOW \times (0.34 / SFD FLOW))$

Annual Service Charge Formula			
Sum of the following:	Flow	\$	0.0100
	BOD	\$	0.5811
	TSS	\$	0.1034

Note 1: Use to be calculated on a case by case basis using the above formula

Definitions	Flow = Gallons per Day	BOD = Biological Oxygen Demand	DW = dishwasher
			disp. = disposal

ORDINANCE NO. _____

AN ORDINANCE OF THE BOARD OF DIRECTORS OF THE SOUTH PARK COUNTY SANITATION DISTRICT, STATE OF CALIFORNIA, SETTING SEWER SERVICE CHARGES, CALLING FOR COLLECTION ON THE TAX ROLL FOR FISCAL YEAR 2013/2014, AND MAKING FINDINGS AND DETERMINATION OF EXEMPTION PURSUANT TO THE CALIFORNIA ENVIRONMENTAL QUALITY ACT. (4/5 VOTE REQUIRED).

The Board of Directors of the South Park County Sanitation District (District), State of California, ordains as follows:

SECTION I

Section V of Ordinance No. 36 is hereby amended to read:

“Annual Service Charge” is defined as a charge for use of the sewer system for a period of one year to each user and based on the estimated or actual usage of the sewer system. The annual service charge is based on the estimated annual cost of operating, maintaining, and replacing the sewer system, as submitted and approved by the District’s Board of Directors each year. Annual service charges shall be based on an Equivalent Single Family Dwelling Unit (ESD) as defined in Section 2.01 of Ordinance No. 35 of the District and as calculated by the same methodology for connection fees set forth by Section VI of Ordinance No. 36.

Eight hundred thirty dollars and No Cents (\$830.00) per ESD and the average flow of 233 gallons per day per one ESD on properties within the boundaries established as the District’s is hereby prescribed and established effective July 1, 2013.

Exhibit “A” of Ordinance 51 is hereby replaced by the attached Exhibit “A.”

SECTION II

The District does hereby elect, pursuant to Section 5473 of the Health and Safety Code of the State of California to have the sewer service charge for fiscal year 2012/2013 established by said District, collected on the tax roll of the County of Sonoma, State of California, in the manner provided pursuant to Sections 5471 through 5473.11 of the Health and Safety Code of the State of California.

SECTION III

The Board hereby finds that the California Environmental Quality Act does not apply to the establishment of charges pursuant to this Ordinance, as such fees are for the purpose of meeting operations expenses, meeting financial reserve needs and requirements, and setting aside funds for capital projects necessary to maintain service within the existing District (15273 California Code of Regulations, 21080 Public Resources Code).

SECTION IV

If any section, subsection, sentence, clause, or phrase of this Ordinance is for any reason held to be unconstitutional and invalid, such decision shall not affect the validity of the remaining portion of this Ordinance. The Board of Directors hereby declares that it would have passed this Ordinance and every section, subsection, sentence, clause, or phrase thereof, irrespective of the fact that any one or more sections, subsections, sentences, clauses, or phrases be declared unconstitutional or invalid.

SECTION V

This Ordinance shall be and the same is hereby declared to be in full force and effect from and after thirty (30) days after the date of its passage and shall be published once before the expiration of fifteen (15) days after said passage, with the names of the Directors voting for or against the same, in a newspaper of general circulation, published in the County of Sonoma, State of California, and the District's Clerk of the Board shall post in the office of the District's Clerk, a certified copy of the full text of this Ordinance along with the names of those Directors voting for or against the Ordinance.

In regular session of the Board of Directors of the South Park County Sanitation District, State of California, introduced, passed and adopted after hearing this ____ day of _____ 2013, on regular roll call of the members of said Board by the following vote:

DIRECTORS:

GORIN: _____ ZANE: _____ MCGUIRE: _____ CARRILLO: _____ RABBITT: _____

Ayes ____ Noes ____ Absent ____ Abstain ____

WHEREUPON, the Chair declared the above and foregoing ordinance duly adopted and

SO ORDERED.

By: _____
Chair, Board of Directors
County of Sonoma, State of California

ATTEST:

By: _____
Clerk of the Board

EXHIBIT A

EQUIVALENT SINGLE-FAMILY DWELLING BILLING UNIT FOR SOUTH PARK CSD

Use Category		Billing Basis			2013-2014	
		Flow gallons	BOD mg/l	TSS mg/l	Use	ESD
Residential						
	Single-Family	233	237	237	connections	1.00
	Condominium	233	237	237	dwelling units	1.00
	Multiple-Family	186.4	237	237	dwelling units	0.80
	Mobile home park	186.4	237	237	spaces	0.80
	Mobile home (Individual)	186.4	237	237	units	0.80
	Granny unit	186.4	237	237	unit	0.80
Commercial						
	Appliance repair	190	200	200	1,000 sq. ft.	0.73
	Art gallery	190	200	200	1,000 sq. ft.	0.73
	Auto dealers					
	With service facilities	190	180	280	connection	0.80
	Without service facilities	38	180	280	add per service bay	0.16
	Without service facilities	190	200	200	connection	0.73
	Bakery	190	1000	600	1,000 sq. ft.	2.09
	Butcher				see note 1 below	
	Banks & financial institutions	190	130	80	1,000 sq. ft.	0.52
	Barber shop	19	130	80	chair	0.05
	Beauty shop	38	130	80	chair	0.10
	Bars & taverns	20	200	200	seat	0.08
	Car washes, self service	190	20	150	stall	0.47
	Camp ground or RV park					
	with hookups	125	200	200	site	0.48
	without hookups	75	200	200	site	0.29
	Churches, hall & lodges	2	200	200	seat	0.01
	Coffee shops	6	1000	600	seats	0.07
	Dry cleaners	285	150	110	1,000 sq. ft.	0.86
	Fire stations	190	200	200	1,000 sq. ft.	0.73
	Garages	95	180	280	service bays	0.40
	Hospitals					
	Convalescent	125	250	100	beds	0.44
	General	175	250	100	beds	0.62
	Veterinarian	6	250	100	cages	0.02
	Hotels/motels	100	310	120	sleeping rooms	0.40
	Laundromats	500	150	110	washing machines	1.51
	Library	190	200	200	1,000 sq. ft.	0.73
	Machine shops	152	180	280	1,000 sq. ft.	0.64
	Markets	38	800	800	1,000 sq. ft.	0.42
	Offices					
	Business	76	130	80	1,000 sq. ft.	0.21
	Dental	190	130	80	Exam. room	0.52
	Medical	190	130	80	Exam. room	0.52
	Post office	190	130	80	1,000 sq. ft.	0.52
	Resort				calc per ESD	
	Restaurants					
	Dine-in					
	With DW & garbage disp.	6	1000	600	seat	0.07
	With DW or garbage disp.	6	619	371	seat	0.04
	Without DW & garbage disp.	6	238	143	seat	0.02
	Take-out	475	238	143	1,000 sq. ft.	1.77
	Rest homes	125	250	100	beds	0.44
	Retail stores	38	150	150	1,000 sq. ft.	0.12
	Schools					
	Elementary	9	130	100	per student day	0.03
	High	14	130	100	per student day	0.04
	Service stations	380	180	280	set of gas pumps	1.60
		38	180	280	add per service bay	0.16
	Shoe repair	190	200	200	1,000 sq. ft.	0.73
	Theaters	2	200	200	seat	0.01
	Warehouse				see note 1 below	
	Others as determined by the Engr.				see note 1 below	

ALL COMMERCIAL ESDs TO BE DETERMINED BY THE GENERAL MANAGER USING THE FOLLOWING FORMULA:
 $ESD = (TSS \times FLOW \times 0.33) / (SFD \ TSS \times SFD \ FLOW) + (BOD \times FLOW \times 0.33) / (SFD \ BOD \times SFD \ FLOW) + (FLOW \times (0.34 / SFD \ FLOW))$

Note 1: Use to be calculated on a case by case basis using the above formula	
Definitions	Flow = Gallons per Day
	BOD = Biological Oxygen Demand
	DW = dishwasher
	ESD = Equivalent Single Family Dwelling
	disp. = disposal

ATTACHMENT NO. 3

Revenue Summary Chart - Sewer Service Fees

Sanitation Zone or County Sanitation District	FY 12-13 Rate Per ESD	FY 13-14 Rate Per ESD	FY 13-14 Projected ESDs	Rate Dollar Change	Rate Percent Change	FY 13-14 Revenue Incr. due to Rate Change
Geyserville SZ	837	854	352	17	2.0%	5,984
Penngrove SZ	1,231	1,293	521	62	5.0%	32,302
Sea Ranch SZ	949	982	577	33	3.5%	19,041
Airport - LW SZ	690	738	3,735	48	7.0%	179,280
Occidental CSD	1,682	1,783	276	101	6.0%	27,876
Russian River CSD	1,211	1,253	3,207	42	3.5%	134,694
Sonoma Valley CSD	772	811	17,294	39	5.0%	674,466
South Park CSD	810	830	3,990	20	2.5%	79,800
TOTAL REVENUES						\$1,153,443

- * 1) Reflects impacts of rate increase and/or estimated change in the number of ESDs.
 2) Revenues shown above reflect only the charges to the users. However, operational revenues mentioned under the Summary of Issues and Significant Changes in Attachment 5 include other operational revenues such as interest on pooled cash.

4/29/2013

ATTACHMENT NO. 4

Summary of Issues and Significant Changes - Sanitation

General Sanitation Overview

Effective January 1, 1995, responsibility for sanitation functions was assumed by the Sonoma County Water Agency (Agency) as part of the County's reorganization process. The Sanitation Zones (SZ or Zone) include Airport-Larkfield-Wikiup, Geyserville, Penngrove, and Sea Ranch. The County Sanitation Districts (CSD or District) include Occidental, Russian River, Sonoma Valley, and South Park.

Generally, costs are increasing in the sanitation budget requests due to price increases for services and supplies, continuation of the capital replacement program (described later in this narrative), cost of salaries and benefits and with the objective of meeting the Standard Level of Service. As a result, the FY 2013/2014 sewer service rates are proposed to increase between 2.0% and 7.0% for the various Zones and Districts. The rate increases for these Zones and Districts are necessary to fund operational and capital program expenditures.

Beginning in FY 1995/1996, a capital replacement program was implemented within the sanitation Zones and Districts. The purpose of the program is to provide for long-term replacement of existing facilities that are worn out or in disrepair. Investment in capital replacement will extend the life of existing facilities at current sanitation standards and will reduce operations and maintenance costs. The cost of the program is financed through operating transfers from the operations fund to the construction fund. It is the Agency's objective to fund the program to the level of depreciation expense for each Zone and District. If the operations fund does not have sufficient cash available, a transfer of funds to construction will not be made and the capital replacement projects will be delayed until the next fiscal year.

In January 2000, Agency staff held a Sanitation Workshop presenting a summary of operational and regulatory issues associated with sanitation systems operated by the Agency, providing information regarding past operational practices, changes in the regulatory environment, the estimated cost of sanitation services, and strategies for addressing current and future operational issues. Three levels of service and their costs were identified for each of the sanitation systems and are described below.

Minimum Level of Service: Includes services necessary for the protection of public health, employee safety, and public safety.

Standard Level of Service: Includes services necessary to operate and maintain the sanitation systems in order to limit or reduce the risk of (1) service interruption, and (2) violations of the respective National Pollutant Discharge Elimination System (NPDES) permit or Waste Discharge Requirements issued by the California Regional Water Quality Control Boards. A Standard Level of Service is obtained when net operational revenues, calculated as operational revenues less services and supplies, are positive.

Asset Preservation Level of Service: Includes services and programs necessary to (1) operate, maintain, and replace facilities and equipment within the sanitation systems in order to preserve the systems' assets, (2) provide a beneficial impact on the quality of life in the community, and (3) provide economic savings to the ratepayers through optimizing life cycle costs. An Asset Preservation Level of Service is obtained when net operational revenues, calculated as operational revenues less services and supplies, meet or exceed annual depreciation costs.

Rate increase, discussed in more detail below, has been proposed with the objective of meeting the Standard Level of Service for most entities and the Asset Preservation Level of Service for some entities.

The following paragraphs provide a summary of the budget requests, and applicable rate increases, proposed in each Zone and District. All annual service charges are presented in terms of cost per equivalent single family dwelling.

Airport-Larkfield-Wikiup Sanitation Zone

Total Operations Fund expenditures are projected to increase by a net amount of \$163,600 primarily due to increases in Maintenance-Equipment and District Operations; these increases are partially offset by decreases in Power and Consultant Services. Revenues in FY 13/14 are projected to decrease by \$132,500 resulting from the elimination of transfers from the Water Agency's General Fund to cover debt service costs. The Operations Fund balance is projected to be \$735,000 at the end of FY 13-14. The recommended fund balance is based on six months of operational expenditures in reserve which for FY 13/14 should be \$1,300,000. With this proposed rate increase, the Operations Fund balance will still be below the recommended level of reserves.

The construction budget requested for FY 2013/2014 is \$155,000. This request will fund (1) planning design and CEQA to remove accumulated colloidal solids from the treatment plant (\$80,000), and (2) relocation of a sewer trunk main as part of the CalTrans Project 101 Hwy at Airport Boulevard (\$75,000). CalTrans will reimburse this sanitation zone for these costs, but it is anticipated this reimbursement will not occur in FY 2013/2014.

The requested annual service charge for FY 2013/2014 is \$738, representing a 7.0% increase (or \$48) from FY 2012/2013. The requested increase will fund the programs and services necessary to provide a Standard Level of Service and will not require financial assistance from the Water Agency's General Fund.

Geyserville Sanitation Zone

Total Operational Fund expenditures are projected to decrease by a net amount of \$9,600 resulting primarily from a decrease in Consultant Services and an increase in District Operations. Operating revenues are expected to increase by \$6,900 resulting primarily from the proposed increase in the annual service charge. This increase is necessary to provide funding for capital equipment purchases.

The proposed construction budget of \$30,000 is intended for improvement projects at the treatment plant.

The requested annual service charge for FY 2013/2014 is \$854, representing a 2.0% increase (or \$17) from FY 2012/2013. The requested increase will fully fund the programs and services necessary to provide a Standard Level of Service.

Penngrove Sanitation Zone

Total Operations Fund expenditures are projected to increase by a net amount of \$58,500 primarily due to increases in Consultant Services and Special Department Expense (City of Petaluma charge for wastewater treatment and disposal) and other accounts to lesser degrees; these increases are partially offset by decreases in the Water Conservation Program and Small Tools and Instruments. Operating revenues are expected to increase by \$35,300 resulting primarily from the proposed increases annual service charge revenues. The Operations Fund balance is projected to be \$36,000 at the end of FY 13-14. The recommended fund balance based on a six months of operational expenditures is \$335,000. With this proposed rate increase, this fund balance will still be below the recommended prudent reserve.

There are no planned capital improvements for FY 13/14.

The requested annual service charge for FY 2013/2014 is \$1,293, representing a 5.0% (or \$62) increase from FY 2012/2013. The requested increase will not entirely fund the programs and services necessary to provide a Standard Level of Service, however, it is anticipated that this level of service will be provided in the subsequent fiscal year.

Sea Ranch Sanitation Zone

Total Operations Fund expenditures are projected to increase by a net amount of \$7,700 primarily resulting from increases in Contract Services and decreases in Consultant Services. Revenue is projected to increase by \$19,800 due to projected increases in annual service charges. This increase is necessary to maintain fund capital equipment purchases and transfers to the Construction Fund.

\$110,000 will be budgeted in FY 2013/2014 in the Zone's construction fund for future facility upgrades, such as baffles and filter backwash programming.

The requested rate per ESD for FY 2013/2014 annual service charges is \$982, representing a 3.5% (or \$33) increase from FY 2012/2013. The requested increase will fund the programs and services necessary to provide a Standard Level of Service and also provide some capital improvement funding.

Occidental CSD

Total Operations Fund expenditures are projected to increase by a net amount of \$13,500 primarily resulting from increases in District Operations and partially offset by decreases in various accounts to a lesser degree. Operating revenues are expected to increase by \$29,000 resulting from the proposed increase in the annual revenue. A transfer from SCWA General Fund (\$750,000) will be made to fund ongoing operations and maintenance activities and to transfer funds to the Construction Fund (\$500,000).

The construction budget requested for FY 2013/2014 is \$200,000 for design, CEQA and right-of-way needed for a long-term project to increase storage and reclamation capacity in order to comply with the District's discharge permit.

The requested annual service charge for FY 2013/2014 is \$1,783, representing a 6.0% (or \$276) increase from FY 2012/2013. The requested service charge will not provide sufficient funding for the programs and services necessary to provide a Standard Level of Service; as a result, transfers from the Water Agency's General Fund are necessary.

Russian River CSD

Total Operations Fund expenditures are projected to decrease by a net amount of \$120,634. Budgeted expenses for Supplies/Expenses, Consultant Services, Equipment Usage Charges, Chemicals have decreased and District Operations and Maintenance of Equipment have increased.

Revenues will increase by \$129,300 resulting from the proposed increase in the annual service charge. The requested increase should provide the funds necessary to operate and maintain the collection system, treatment plant and reclamation system and also provide funding for capital improvements at a level near the annual depreciation expense.

The construction budget requested for FY 2013/2014 is \$230,000 which will fund costs for planning efforts for the Irrigation Expansion Project (\$30,000), and for the of treatment plant upgrades to remove nutrients (nitrogen) from wastewater for discharge compliance (\$200,000).

The requested rate per ESD for FY 2013/2014 annual service charges is \$1,253, representing a 3.5% (or \$42)

increase from FY 2012/2013. The requested annual service charge will fund the programs and services necessary to provide an Asset Preservation Level of Service

Sonoma Valley CSD

Total Operations Fund expenditures are projected decrease by a net amount of \$620,500 with decreases in Consultant Services, Maintenance of Equipment, and Equipment Usage Charges and increases in Contract Services and District Operations. Fund balance is projected to be \$5,700,000 at the end of FY 13-14. Additional funding associated with the proposed rate increase will be used to fund capital projects.

A total of \$10,402,000 is requested in FY 2013/2014 for the following capital projects: Bio Solids Handling Facility (\$480,000), Napa-Sonoma Salt Marsh (\$1,035,000), Main Replacement from Studley Street to Maxwell Place (\$4,640,000), Amortized Work in Progress Outlay (\$515,000), Re-line Equalization Basins (\$680,000), Recycled Water Services (\$222,000), Agua Caliente Pipeline Creek Crossing (\$1,630,000), Recycled Water Pipeline-5th Street East/Denmark Street (\$200,000) and Wastewater Treatment Plant Pump Station Upgrade (\$1,000,000). These projects are funded through Bonds and transfers from the Operations Fund.

The requested rate per ESD for FY 2013/2014 annual service charges is \$811, representing a 5.0% (\$39) increase from FY 2012/2013. The requested annual service charge will fund the programs and services necessary to provide an Asset Preservation Level of Service

South Park CSD

Total Operations Fund expenditures are projected to increase by a net amount of \$7,000 primarily due to an increase in District Operations and partially offset by decreases of other accounts to lesser degrees.

The Capital Projects Plan has identified necessary collection system replacement projects through FY 2012/2013 estimated to cost in excess of \$12 million. The Agency is presently replacing or planning to replace three sections of the collection system between FY 2013/2014 and FY 2018/2019 including the following projects: Gloria Drive/ Meekland, Blackwell Tract, East Robles and West Robles.

The requested rate per ESD for FY 13/14 is \$830, representing a 2.5% (or \$20) increase from FY 2012/2013. This increase is necessary to meet obligations to the City of Santa Rosa in completing the required work on the collection system and will provide an Asset Preservation Level of Service.

**Sonoma County Water Agency
Airport-Larkfield-Wikiup Sanitation Zone**

NOTICE OF PUBLIC HEARING REGARDING PROPOSED SEWER SERVICE FEE INCREASE

Date, Time, and Place of Public Hearing

On May 22, 2012 at 10:00 a.m. or as soon thereafter as the matter may be heard, in the Board Meeting Room, 575 Administration Drive, Room 102A, Santa Rosa, California, the Board of Directors (Board) of the Sonoma County Water Agency (Agency) will conduct a public hearing to consider increasing the annual sewer service fee to be collected on account of sewage collection and treatment services provided by the sewer system of the Airport-Larkfield-Wikiup Sanitation Zone (Zone) to property within the Zone.

Property Owner Obligation

The fee will be imposed on each parcel upon which is located one or more structures which are connected to the system and the fee will be collected on the general property tax bill administered by Sonoma County. Payment of the fee will be the responsibility of the owner of the parcel.

What the Fee is for and How the Funds Collected Will be Used

The fee is imposed for two purposes: (a) to finance the ongoing operation and maintenance costs of the system and, (b) to pay the capital replacement program costs of the system. The purpose of the capital replacement program is to provide for the long-term replacement of system facilities as they wear out.

Amount of Proposed Fee Increase and Method of Calculating the Increased Fee

Effective July 1, 2012, the Zone proposes to increase the fee to \$690 per year per "equivalent single-family dwelling" (ESD), an increase of \$48, or 7.5% versus current year. A standard single-family home constitutes one ESD. Parcels which have other uses (for example, apartments and commercial buildings) will be assigned a number of ESDs using standard equivalency factors which estimate the probable quantity and quality of sewage effluent normally generated by such uses in comparison to a single-family home.

The increased fee has been calculated by dividing the annual costs of providing wastewater treatment and collection service by the estimated number of ESDs to arrive at the fee per ESD of \$690, and for parcels having more than one ESD assigned, the fee is calculated by multiplying the number of ESDs assigned to the parcel times \$690. The draft proposed budget for fiscal year 2012-13 describes the total annual expenses in detail and is available for review at the Airport-Larkfield-Wikiup Sanitation Zone, c/o the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, California 95403, and the Sonoma County Regional Libraries in Santa Rosa (Main & Northwest), Windsor, Healdsburg, Cloverdale, Guerneville, Sebastopol, Petaluma, Rohnert Park, Rincon Valley, Sonoma, and Forestville (El Molino High School).

Further Information Available Prior to the Hearing

At the hearing, the Board will consider adoption of an ordinance which will establish the increased fee. Copy of the ordinance is on file and available for review at the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, CA 95403. In addition, the following persons may be contacted at the Agency at (707) 526-5370 for further information and/or obtaining copies of the draft proposed budget for fiscal year 2012-13:

Manuel Olvera, Engineering Technician III
Candi Bryon, Department Analyst

Property Owner Protest Procedure

This notice has been mailed to you because records of the Sonoma County Assessor list you as an owner of one or more parcels within the Zone which will be subject to the fee while connected to the system. In the event you have sold property you may have owned within the Zone, please send this notice to the new owner.

Either prior to or at the public hearing, property owners may submit written protests respecting the fee. At the public hearing, the Board will consider all written protests which have been received by the prescribed deadline. In order to be considered, a written protest must be made on the attached form. Only one protest will be counted per parcel. Only protests signed by the current owner(s) will be allowed and must be received no later than the following deadlines:

- If submitted by mail, they must be received (NOT postmarked) no later than 5:00 p.m. on Monday, May 21, 2012, at the mailing address on the form.
- If hand delivered, they must be delivered no later than the close of the public hearing on May 22, 2012, to:
Clerk of the Board
Board Meeting Room, as set forth in the opening paragraph

Date of this Notice: April 5, 2012

Working Together to Reduce Sanitation Flows

We all recognize that demands on the sanitation system are directly related to water use and that by reducing demand we can reduce the cost of maintaining the system. Therefore, a variety of options will be available to help customers reduce their water use. These programs are outlined below.

Rebates

A rebate program is available to help customers offset the cost of replacing older, less efficient fixtures. Rebates are available for a range of fixtures from high efficiency toilets and clothes washers to commercial cooling towers. For a complete listing of available rebates and to get more information, visit, www.sonomacountywater.org/rebates .

Direct Install

A direct install toilet program is available offering free direct installation of high-efficiency toilets and other fixtures to replace older, less efficient fixtures. This program is available at no cost to the property owner. For more information, including programs qualifications, visit www.sonomacountywater.org/direct-install.

Sonoma County Energy Independence Program

The Sonoma County Energy Independence Program allows property owners to finance certain water conservation, energy efficiency and renewable energy improvements through the property tax system. This program allows for installation of high efficiency toilets, smart irrigation controllers, re-circulating hot water pumps and many other improvements. Visit www.sonomacountyenergy.org for more information or call (707) 565-6470.

NOTE: IN ORDER TO BE CONSIDERED, ANY PROTEST MUST BE ON THIS FORM

WRITTEN PROTEST

I am the parcel owner of the property located at the address on the back of this form. I am submitting this form to protest the proposed sewer rate increase.

Additional Comments: _____

Use opposite side or attach additional sheets if needed.

Signature of Property Owner Required

Print Name

Fold Here First

Place
Postage
Here

Airport-Larkfield-Wikiup Sanitation Zone
c/o Sonoma County Water Agency
404 Aviation Blvd.
Santa Rosa, CA 95403

.....
Fold Here Second

Sonoma County Water Agency Geyserville Sanitation Zone

NOTICE OF PUBLIC HEARING REGARDING PROPOSED SEWER SERVICE FEE INCREASE

Date, Time, and Place of Public Hearing

On May 22, 2012, at 10:00 a.m. or as soon thereafter as the matter may be heard, in the Board Meeting Room, 575 Administration Drive, Room 102A, Santa Rosa, California, the Board of Directors (Board) of the Sonoma County Water Agency (Agency) will conduct a public hearing to consider increasing the annual sewer service fee to be collected on account of sewage collection and treatment services provided by the sewer system of the Geyserville Sanitation Zone (Zone) to property within the Zone.

Property Owner Obligation

The fee will be imposed on each parcel upon which is located one or more structures which are connected to the system and the fee will be collected on the general property tax bill administered by Sonoma County. Payment of the fee will be the responsibility of the owner of the parcel.

What the Fee is for and How the Funds Collected Will be Used

The fee is imposed for two purposes: (a) to finance the ongoing operation and maintenance costs of the system and, (b) to pay the capital replacement program costs of the System. The purpose of the capital replacement program is to provide for the long-term replacement of system facilities as they wear out.

Amount of Proposed Fee Increase and Method of Calculating the Increased Fee

Effective July 1, 2012, the Zone proposes to increase the fee to \$837 per year per "equivalent single-family dwelling" (ESD), an increase of \$16, or 2.0% versus current year. A standard single-family home constitutes one ESD. Parcels which have other uses (for example, apartments and commercial buildings) will be assigned a number of ESDs using standard equivalency factors which estimate the probable quantity and quality of sewage effluent normally generated by such uses in comparison to a single-family home.

The increased fee has been calculated by dividing the annual costs of providing wastewater treatment and collection service by the estimated number of ESDs to arrive at the fee per ESD of \$837, and for parcels having more than one ESD assigned, the fee is calculated by multiplying the number of ESDs assigned to the parcel times \$837. The draft proposed budget for fiscal year 2012-13 describes the total annual expenses in detail and is available for review at the Geyserville Sanitation Zone, c/o the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, California 95403, and the Sonoma County Regional Libraries in Santa Rosa (Main & Northwest), Windsor, Healdsburg, Cloverdale, Guerneville, Sebastopol, Petaluma, Rohnert Park, Rincon Valley, Sonoma, and Forestville (El Molino High School).

Further Information Available Prior to the Hearing

At the hearing, the Board will consider adoption of an ordinance which will establish the increased fee. Copy of the ordinance is on file and available for review at the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, CA 95403. In addition, the following persons may be contacted at the Agency at (707) 526-5370 for further information and/or obtaining copies of the draft proposed budget for fiscal year 2012-13:

Manuel Olvera, Engineering Technician III
Candi Bryon, Department Analyst

Property Owner Protest Procedure

This notice has been mailed to you because records of the Sonoma County Assessor list you as an owner of one or more parcels within the Zone which will be subject to the fee while connected to the system. In the event you have sold property you may have owned within the Zone, please send this notice to the new owner.

Either prior to or at the public hearing, property owners may submit written protests respecting the fee. At the public hearing, the Board will consider all written protests which have been received by the prescribed deadline. In order to be considered, a written protest must be made on the attached form. Only one protest will be counted per parcel. Only protests signed by the current owner(s) will be allowed and must be received no later than the following deadlines:

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Board Meeting Room, as set forth in the opening paragraph

Date of this Notice: April 5, 2012

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Rebates

A rebate program is available to help customers offset the cost of replacing older, less efficient fixtures... Rebates are available for a range of fixtures from high efficiency toilets and clothes washers to commercial cooling towers. For a complete listing of available rebates and to get more information, visit, www.sonomacountywater.org/rebates.

Direct Install

A direct install toilet program is available offering free direct installation of high-efficiency toilets and other fixtures to replace older, less efficient fixtures. This program is available at no cost to the property owner. For more information, including programs qualifications, visit www.sonomacountywater.org/direct-install .

Sonoma County Energy Independence Program

The Sonoma County Energy Independence Program allows property owners to finance certain water conservation, energy efficiency and renewable energy improvements through the property tax system. This program allows for installation of high efficiency toilets, smart irrigation controllers, re-circulating hot water pumps and many other improvements. Visit www.sonomacountyenergy.org for more information or call (707) 565-6470.

NOTE: IN ORDER TO BE CONSIDERED, ANY PROTEST MUST BE ON THIS FORM

WRITTEN PROTEST

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Additional Comments: _____

Use opposite side or attach additional sheets if needed.

Signature of Property Owner Required

Print Name

Fold Here First

Place
Postage
Here

Geyserville Sanitation Zone
c/o Sonoma County Water Agency
404 Aviation Blvd.
Santa Rosa, CA 95403

.....
Fold Here Second

Sonoma County Water Agency Penngrove Sanitation Zone

NOTICE OF PUBLIC HEARING REGARDING PROPOSED SEWER SERVICE FEE INCREASE

Date, Time, and Place of Public Hearing

On May 22, 2012, at 10:00 a.m. or as soon thereafter as the matter may be heard, in the Board Meeting Room, 575 Administration Drive, Room 102A, Santa Rosa, California, the Board of Directors (Board) of the Sonoma County Water Agency (Agency) will conduct a public hearing to consider increasing the annual sewer service fee to be collected on account of sewage collection and treatment services provided by the sewer system of the Penngrove Sanitation Zone (Zone) to property within the Zone.

Property Owner Obligation

The fee will be imposed on each parcel upon which is located one or more structures which are connected to the system and the fee will be collected on the general property tax bill administered by Sonoma County. Payment of the fee will be the responsibility of the owner of the parcel.

What the Fee is for and How the Funds Collected Will be Used

The fee is imposed for two purposes: (a) to finance the ongoing operation and maintenance costs of the system and, (b) to pay the capital replacement program costs of the system. The purpose of the capital replacement program is to provide for the long-term replacement of system facilities as they wear out.

Amount of Proposed Fee Increase and Method of Calculating the Increased Fee

Effective July 1, 2012, the Zone proposes to increase the fee to \$1,231 per year per "equivalent single-family dwelling" (ESD), an increase of \$91, or 8.0% versus current year. A standard single-family home constitutes one ESD. Parcels which have other uses (for example, apartments and commercial buildings) will be assigned a number of ESDs using standard equivalency factors which estimate the probable quantity and quality of sewage effluent normally generated by such uses in comparison to a single-family home.

The increased fee has been calculated by dividing the annual costs of providing wastewater treatment and collection service by the estimated number of ESDs to arrive at the fee per ESD of \$1,231, and for parcels having more than one ESD assigned, the fee is calculated by multiplying the number of ESDs assigned to the parcel times \$1,231. The draft proposed budget for fiscal year 2012-13 describes the total annual expenses in detail and is available for review at the Penngrove Sanitation Zone, c/o the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, California 95403, and the Sonoma County Regional Libraries in Santa Rosa (Main & Northwest), Windsor, Healdsburg, Cloverdale, Guerneville, Sebastopol, Petaluma, Rohnert Park, Rincon Valley, Sonoma, and Forestville (El Molino High School).

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Manuel Olvera, Engineering Technician III
Candi Bryon, Department Analyst

Property Owner Protest Procedure

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Board Meeting Room, as set forth in the opening paragraph

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NOTE: IN ORDER TO BE CONSIDERED, ANY PROTEST MUST BE ON THIS FORM

WRITTEN PROTEST

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Additional Comments: _____

Use opposite side or attach additional sheets if needed.

Signature of Property Owner Required

Print Name

Fold Here First

Place
Postage
Here

Penngrove Sanitation Zone
c/o Sonoma County Water Agency
404 Aviation Blvd.
Santa Rosa, CA 95403

.....
Fold Here Second

Sonoma County Water Agency Sea Ranch Sanitation Zone

NOTICE OF PUBLIC HEARING REGARDING PROPOSED SEWER SERVICE FEE INCREASE

Date, Time, and Place of Public Hearing

On May 22, 2012, at 10:00 a.m. or as soon thereafter as the matter may be heard, in the Board Meeting Room, 575 Administration Drive, Room 102A, Santa Rosa, California, the Board of Directors (Board) of the Sonoma County Water Agency (Agency) will conduct a public hearing to consider increasing the annual sewer service fee to be collected on account of sewage collection and treatment services provided by the sewer system of the Sea Ranch Sanitation Zone (Zone) to property within the Zone.

Property Owner Obligation

The fee will be imposed on each parcel upon which is located one or more structures which are connected to the system and the fee will be collected on the general property tax bill administered by Sonoma County. Payment of the fee will be the responsibility of the owner of the parcel.

What the Fee is for and How the Funds Collected Will be Used

The fee is imposed for two purposes: (a) to finance the ongoing operation and maintenance costs of the system and, (b) to pay the capital replacement program costs of the system. The purpose of the capital replacement program is to provide for the long-term replacement of system facilities as they wear out.

Amount of Proposed Fee Increase and Method of Calculating the Increased Fee

Effective July 1, 2012, the Zone proposes to increase the fee to \$949 per year per "equivalent single-family dwelling" (ESD), an increase of \$45, or 5.0% versus current year. A standard single-family home constitutes one ESD. Parcels which have other uses (for example, apartments and commercial buildings) will be assigned a number of ESDs using standard equivalency factors which estimate the probable quantity and quality of sewage effluent normally generated by such uses in comparison to a single-family home.

The increased fee has been calculated by dividing the annual costs of providing wastewater treatment and collection service by the estimated number of ESDs to arrive at the fee per ESD of \$949, and for parcels having more than one ESD assigned, the fee is calculated by multiplying the number of ESDs assigned to the parcel times \$949. The draft proposed budget for fiscal year 2012-13 describes the total annual expenses in detail and is available for review at the Sea Ranch Sanitation Zone, c/o the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, California 95403, and the Sonoma County Regional Libraries in Santa Rosa (Main & Northwest), Windsor, Healdsburg, Cloverdale, Guerneville, Sebastopol, Petaluma, Rohnert Park, Rincon Valley, Sonoma, and Forestville (El Molino High School).

Further Information Available Prior to the Hearing

At the hearing, the Board will consider adoption of an ordinance which will establish the increased fee. Copy of the ordinance is on file and available for review at the Sonoma county Water Agency 404 Aviation Boulevard, Santa Rosa, CA 95403. In addition, the following persons may be contacted at the Agency at (707) 526-5370 for further information and/or obtaining copies of the draft proposed budget for fiscal year 2012-13:

Manuel Olvera, Engineering Technician III
Candi Bryon, Department Analyst

Property Owner Protest Procedure

This notice has been mailed to you because records of the Sonoma County Assessor list you as an owner of one or more parcels within the Zone which will be subject to the fee while connected to the system. In the event you have sold property you may have owned within the Zone, please send this notice to the new owner.

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- If submitted by mail, they must be received (NOT postmarked) no later than 5:00 p.m. on Monday, May 21, 2012, at the mailing address on the form.
- If hand delivered, they must be delivered no later than the close of the public hearing on May 22, 2012, to:
Clerk of the Board
Board Meeting Room, as set forth in the opening paragraph

Date of this Notice: April 5, 2012

Working Together to Reduce Sanitation Flows

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Sonoma County Energy Independence Program

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NOTE: IN ORDER TO BE CONSIDERED, ANY PROTEST MUST BE ON THIS FORM

WRITTEN PROTEST

I am the parcel owner of the property located at the address on the back of this form. I am submitting this form to protest the proposed sewer rate increase.

Additional Comments: _____

Use opposite side or attach additional sheets if needed.

Signature of Property Owner Required

Print Name

Fold Here First

Place
Postage
Here

Sea Ranch Sanitation Zone
c/o Sonoma County Water Agency
404 Aviation Blvd.
Santa Rosa, CA 95403

Fold Here Second

Occidental County Sanitation District

NOTICE OF PUBLIC HEARING REGARDING PROPOSED SEWER SERVICE FEE INCREASE

Date, Time, and Place of Public Hearing

On May 22, 2012, at 10:00 a.m. or as soon thereafter as the matter may be heard, in the Board Meeting Room, 575 Administration Drive, Room 102A, Santa Rosa, California, the Board of Directors (Board) of the Occidental County Sanitation District (District) will conduct a public hearing to consider increasing the annual sewer service fee to be collected on account of sewage collection and treatment services provided by the sewer system of the District to property within the District.

Property Owner Obligation

The fee will be imposed on each parcel upon which is located one or more structures which are connected to the system and the fee will be collected on the general property tax bill administered by Sonoma County. Payment of the fee will be the responsibility of the owner of the parcel.

What the Fee is for and How the Funds Collected Will be Used

The fee is imposed for two purposes: (a) to finance the ongoing operation and maintenance costs of the system and, (b) to pay the capital replacement program costs of the system. The purpose of the capital replacement program is to provide for the long-term replacement of system facilities as they wear out.

Amount of Proposed Fee Increase and Method of Calculating the Increased Fee

Effective July 1, 2012, the District proposes to increase the fee to \$1,682 per year per "equivalent single-family dwelling" (ESD), an increase of \$80, or 5.0% versus current year. A standard single-family home constitutes one ESD. Parcels which have other uses (for example, apartments and commercial buildings) will be assigned a number of ESDs using standard equivalency factors which estimate the probable quantity and quality of sewage effluent normally generated by such uses in comparison to a single-family home.

The increased fee has been calculated by dividing the annual costs of providing wastewater treatment and collection service by the estimated number of ESDs to arrive at the fee per ESD of \$1,682, and for parcels having more than one ESD assigned, the fee is calculated by multiplying the number of ESDs assigned to the parcel times \$1,682. The draft proposed budget for fiscal year 2012-13 describes the total annual expenses in detail and is available for review at the Occidental County Sanitation District, c/o the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, California 95403, and the Sonoma County Regional Libraries in Santa Rosa (Main & Northwest), Windsor, Healdsburg, Cloverdale, Guerneville, Sebastopol, Petaluma, Rohnert Park, Rincon Valley, Sonoma, and Forestville (El Molino High School).

Further Information Available Prior to the Hearing

At the hearing, the Board will consider adoption of an ordinance which will establish the increased fee. Copy of the ordinance is on file and available for review at the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, CA 95403. In addition, the following persons may be contacted at the Agency at (707) 526-5370 for further information and/or obtaining copies of the draft proposed budget for fiscal year 2012-13:

Manuel Olvera, Engineering Technician III
Candi Bryon, Department Analyst

Property Owner Protest Procedure

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If hand delivered, they must be delivered no later than the close of the public hearing on May 22, 2012, to:

Clerk of the Board
Board Meeting Room, as set forth in the opening paragraph

Date of this Notice: April 5, 2012

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WRITTEN PROTEST

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Additional Comments: _____

Use opposite side or attach additional sheets if needed.

Signature of Property Owner Required

Print Name

Fold Here First

Place
Postage
Here

Occidental County Sanitation District
c/o Sonoma County Water Agency
404 Aviation Blvd.
Santa Rosa, CA 95403

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Fold Here Second

Russian River County Sanitation District

NOTICE OF PUBLIC HEARING REGARDING PROPOSED SEWER SERVICE FEE INCREASE

Date, Time, and Place of Public Hearing

On May 22, 2012, at 10:00 a.m. or as soon thereafter as the matter may be heard, in the Board Meeting Room, 575 Administration Drive, Room 102A, Santa Rosa, California, the Board of Directors (Board) of the Russian River County Sanitation District (District) will conduct a public hearing to consider increasing the annual sewer service fee to be collected on account of sewage collection and treatment services provided by the sewer system of the District to property within the District.

Property Owner Obligation

The fee will be imposed on each parcel upon which is located one or more structures which are connected to the system and the fee will be collected on the general property tax bill administered by Sonoma County. Payment of the fee will be the responsibility of the owner of the parcel.

What the Fee is for and How the Funds Collected Will be Used

The fee is imposed for two purposes: (a) to finance the ongoing operation and maintenance costs of the system and, (b) to pay the capital replacement program costs of the system. The purpose of the capital replacement program is to provide for the long-term replacement of system facilities as they wear out.

Amount of Proposed Fee Increase and Method of Calculating the Increased Fee

Effective July 1, 2012, the District proposes to increase the fee to \$1,211 per year per "equivalent single-family dwelling" (ESD), an increase of \$58, or 5.0% versus current year. A standard single-family home constitutes one ESD. Parcels which have other uses (for example, apartments and commercial buildings) will be assigned a number of ESDs using standard equivalency factors which estimate the probable quantity and quality of sewage effluent normally generated by such uses in comparison to a single-family home.

The increased fee has been calculated by dividing the annual costs of providing wastewater treatment and collection service by the estimated number of ESDs to arrive at the fee per ESD of \$1,211, and for parcels having more than one ESD assigned, the fee is calculated by multiplying the number of ESDs assigned to the parcel times \$1,211. The draft proposed budget for fiscal year 2012-13 describes the total annual expenses in detail and is available for review at the Russian River County Sanitation District, c/o the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, California 95403, and the Sonoma County Regional Libraries in Santa Rosa (Main & Northwest), Windsor, Healdsburg, Cloverdale, Guerneville, Sebastopol, Petaluma, Rohnert Park, Rincon Valley, Sonoma, and Forestville (El Molino High School).

Further Information Available Prior to the Hearing

At the hearing, the Board will consider adoption of an ordinance which will establish the increased fee. Copy of the ordinance is on file and available for review at the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, CA 95403. In addition, the following persons may be contacted at the Agency at (707) 526-5370 for further information and/or obtaining copies of the draft proposed budget for fiscal year 2012-13:

Manuel Olvera, Engineering Technician III
Candi Bryon, Department Analyst

Property Owner Protest Procedure

This notice has been mailed to you because records of the Sonoma County Assessor list you as an owner of one or more parcels within the District which will be subject to the fee while connected to the system. In the event you have sold property you may have owned within the District, please send this notice to the new owner.

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- If hand delivered, they must be delivered no later than the close of the public hearing on May 22, 2012, to:
Clerk of the Board
Board Meeting Room, as set forth in the opening paragraph

Date of this Notice: April 5, 2012

Working Together to Reduce Sanitation Flows

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Rebates

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Direct Install

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Sonoma County Energy Independence Program

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NOTE: IN ORDER TO BE CONSIDERED, ANY PROTEST MUST BE ON THIS FORM

WRITTEN PROTEST

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Additional Comments: _____

Use opposite side or attach additional sheets if needed.

Signature of Property Owner Required

Print Name

Fold Here First
.....

Place
Postage
Here

Russian River County Sanitation District
c/o Sonoma County Water Agency
404 Aviation Blvd.
Santa Rosa, CA 95403

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Fold Here Second

Sonoma Valley County Sanitation District

NOTICE OF PUBLIC HEARING REGARDING PROPOSED SEWER SERVICE CHARGE INCREASE

Date, Time, and Place of Public Hearing

On May 22, 2012, at 10:00 a.m. or as soon thereafter as the matter may be heard, in the Board Meeting Room, 575 Administration Drive, Room 102A, Santa Rosa, California, the Board of Directors (Board) of the Sonoma Valley County Sanitation District (District) will conduct a public hearing to consider increasing the annual sewer service charge to be collected on account of sewage collection and treatment services provided by the sewer system of the District to property within the District.

Property Owner Obligation

The charge will be imposed on each parcel upon which is located one or more structures which are connected to the system and the charge will be collected on the general property tax bill administered by Sonoma County. Payment of the charge will be the responsibility of the owner of the parcel.

Amount of Proposed Charge Increase For Non-Residential Users And Residential Users With No Public Water Connection/New Proposed Method For Residential Users With A Public Water Connection

Effective July 1, 2012 the District proposes to increase the charge to \$772 per year per "equivalent single-family dwelling" (ESD) for non-residential users and residential users with no public water connection, an increase of \$40, or 5.5% versus current year. This charge has been calculated by dividing the annual costs of providing wastewater treatment and collection service by the estimated number of ESDs. For residential users with a public water connection, the fee will be partly based on water use, as described below. The draft proposed budget for fiscal year 2012-13 describes the total annual expenses in detail and is available for review at the Sonoma Valley County Sanitation District, c/o the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, California 95403, and the Sonoma County Regional Libraries in Santa Rosa (Main & Northwest), Windsor, Healdsburg, Cloverdale, Guerneville, Sebastopol, Petaluma, Rohnert Park, Rincon Valley, Sonoma, and Forestville (El Molino High School).

What the Charge is for and How the Funds Collected Will Be Used

The charge is imposed for two purposes: (a) to fund the ongoing operation and maintenance costs of the system and, (b) to pay the capital replacement program costs of the system. The purpose of the capital replacement program is to provide for the long-term replacement of system facilities as they wear out.

Current Billing Calculation Method

District sewage bills are currently calculated based on usage of a standard "equivalent single-family dwelling" (ESD). A standard single-family home constitutes one ESD which is defined as what an average single family home would use in a given year. All single family homes, which constitute most of the users in the District, are charged the same flat charge based on 1 ESD, regardless of the amount of water used in the home and treated by the District. Thus, this method does not reward households who use less water and create less sewage for treatment. Parcels which have other uses (for example, apartments and commercial buildings) are assigned a number of ESDs using standard equivalency factors which estimate the probable quantity and quality of sewage effluent normally generated by such uses in comparison to a single-family home.

Proposed Residential Rate Structure For Residential Users With a Public Water Connection

Many surrounding communities (including the Cities of Cotati, Healdsburg, Petaluma, Rohnert Park, Santa Rosa, Sebastopol, and the Town of Windsor) base their sewer rates partially on an estimate of the amount of sewage generated by each household, based on water usage during winter months. A 2011 District study found that such "volume-based" rate structures better account for household sewer discharge, promote water conservation, and provide financial reliability for District services. The study also found that volume-based rates would provide District ratepayers with the opportunity to control a portion of their sewage bills. The proposed volume-based rate structure would only apply to residential customers, not commercial accounts. The proposed structure would generate the same total revenue for the District as the current ESD average charge for all customers.

The proposed volume-based rate calculation is based on two factors:

- **70 Percent Fixed-Costs:** The fixed charge recovers costs that the sewage treatment and collection system incurs regardless of increased or decreased sewage flow into the system. For parcels having more than one ESD assigned, the fixed cost is calculated by multiplying the number of ESDs assigned to the parcel multiplied by the annual fixed charge. All sewer customers must pay at least the fixed charge.
- **30 Percent Volume-Based:** This volume-based charge recovers costs to the sewage treatment and collection system that are affected by use and vary with the amount of sewage conveyed and treated. The volume charge is calculated by the average monthly winter water use per household based on specified winter months, multiplied by 12 months to derive the annual use. Average winter water use provides the best available estimate of indoor water use and its impact to the District's treatment facilities because outdoor irrigation is minimal during the winter months.

For 2012, each residential user with public water and sewer connections and winter water use will be based on water consumption of that user in January and February of this year. This information is available on your water bills. See examples below on how to calculate your estimated charge.

The highest residential usage charge will be 20,000 gallons per month per ESD. For properties with more than one ESD assigned, the usage cap is calculated by multiplying the number of ESDs assigned to the parcel by 20,000 gallons. For example, the usage cap for a property assigned 2 ESDs is 40,000 gallons (2.0 ESDs X 20,000 gallons).

If a property has no water usage in January and February and does have a water account, then the variable charge will be calculated based on that user's average water use for twelve months. If you fall into this category, to determine your average use figure, add your monthly water use from March, 2011 until February, 2012 together and divide by 12.

If a property has no water account, i.e. is on a well, and is connected to the sewer system their charges will be based on the number of ESDs times \$772, the average rate

Residential Sewer Charge – Proposed Method

Based on the proposed volume-based rate structure, the 2012 sewer charges for residential customers with a public water connection are:

Sewer Charge Component	Charge
Fixed Charge	\$540 per ESD
Volume Charge	\$.00437 per gallon per month

The minimum annual sewer charge for customers connected to the system is \$540 per ESD.

Estimating Your Residential Sewer Charge

A typical single-family residential customer using an average amount per month will see their bill increase by 5.5% in 2012. However each customer's consumption is unique and actual impacts will vary. The formula below will help you estimate your annual sewer bill based on the proposed rate structure. To determine your average usage, you will need your water bill for the months of January and February 2012. Find the amount of water used for each month in the bill. Add the months of January and February together and divide by two to get the average. Insert this number in the equation below. In addition, to determine the number of ESDs assigned to your property, look on the address label of this notice. The number of ESDs is printed on the label after the APN number. For single family homes, the ESD is one. Other types of residential uses, such as condominiums, triplexes, or homes with additional separate living structures, have different ESD figures.

Fixed Charge Calculation	Usage Charge	= Total Annual Sewer Bill
(Fixed Charge x No. of ESDs)	+ ((Your Average Use in Jan & Feb 2012 X (Usage Rate \$ per gallons per month) X (12 months))	

Sample for a Typical Residential User of 4,420 Gallons per Month

Fixed Cost	Usage Charge	= Total Annual Sewer Bill
((\$540 x 1 ESD) = \$540)	+ ((4,420 gallons per month x \$.00437 x 12))	= \$772

Sample for a User of 2,000 Gallons per Month

Fixed Cost	Usage Charge	Total Annual Sewer Bill
$(\$540 \times 1 \text{ ESD}) = \540	$(2,000 \text{ gallons per month} \times \$0.00437 \times 12)$	\$645

Further Information Available Prior to the Hearing

At the hearing, the Board will consider adoption of an ordinance which will establish the increased charge. Copy of the ordinance is on file and available for review at the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, CA 95403. In addition, the following persons may be contacted at the Agency for further information and/or obtaining copies of the draft proposed budget for fiscal year 2012-13:

Manuel Olvera, Engineering Technician III 707 547-1950
Candi Bryon, Department Analyst 707 521-6212

Property Owner Protest Procedure

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Board Meeting Room, as set forth in the opening paragraph

Date of this Notice: April 5, 2012

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WRITTEN PROTEST

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Additional Comments: _____

Use opposite side or attach additional sheets if needed.

Signature of Property Owner Required

Print Name

Fold Here First
.....

Place
Postage
Here

Sonoma Valley County Sanitation District
c/o Sonoma County Water Agency
404 Aviation Blvd.
Santa Rosa, CA 95403

.....
Fold Here Second

South Park County Sanitation District

NOTICE OF PUBLIC HEARING REGARDING PROPOSED SEWER SERVICE FEE INCREASE

Date, Time, and Place of Public Hearing

On May 22, 2012, at 10:00 a.m. or as soon thereafter as the matter may be heard, in the Board Meeting Room, 575 Administration Drive, Room 102A, Santa Rosa, California, the Board of Directors (Board) of the South Park County Sanitation District (District) will conduct a public hearing to consider increasing the annual sewer service fee to be collected on account of sewage collection and treatment services provided by the sewer system of the District to property within the District.

Property Owner Obligation

The fee will be imposed on each parcel upon which is located one or more structures which are connected to the system and the fee will be collected on the general property tax bill administered by Sonoma County. Payment of the fee will be the responsibility of the owner of the parcel.

What the Fee is for and How the Funds Collected Will be Used

The fee is imposed for two purposes: (a) to finance the ongoing operation and maintenance costs of the system and, (b) to pay the capital replacement program costs of the system. The purpose of the capital replacement program is to provide for the long-term replacement of system facilities as they wear out.

Amount of Proposed Fee Increase and Method of Calculating the Increased Fee

Effective July 1, 2012, the District proposes to increase the fee to \$810 per year per "equivalent single-family dwelling" (ESD), an increase of \$16, or 2.0% versus current year. A standard single-family home constitutes one ESD. Parcels which have other uses (for example, apartments and commercial buildings) will be assigned a number of ESDs using standard equivalency factors which estimate the probable quantity and quality of sewage effluent normally generated by such uses in comparison to a single-family home.

The increased fee has been calculated by dividing the annual costs of providing wastewater treatment and collection service by the estimated number of ESDs to arrive at the fee per ESD of \$810, and for parcels having more than one ESD assigned, the fee is calculated by multiplying the number of ESDs assigned to the parcel times \$810. The draft proposed budget for fiscal year 2012-13 describes the total annual expenses in detail and is available for review at the South Park County Sanitation District, c/o the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, California 95403, and the Sonoma County Regional Libraries in Santa Rosa (Main & Northwest), Windsor, Healdsburg, Cloverdale, Guerneville, Sebastopol, Petaluma, Rohnert Park, Rincon Valley, Sonoma, and Forestville (El Molino High School).

Further Information Available Prior to the Hearing

At the hearing, the Board will consider adoption of an ordinance which will establish the increased fee. Copy of the ordinance is on file and available for review at the Sonoma County Water Agency, 404 Aviation Boulevard, Santa Rosa, CA 95403. In addition, the following persons may be contacted at the Agency at (707) 526-5370 for further information and/or obtaining copies of the draft proposed budget for fiscal year 2012-13:

Manuel Olvera, Engineering Technician III
Candi Bryon, Department Analyst

Property Owner Protest Procedure

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Clerk of the Board
Board Meeting Room, as set forth in the opening paragraph

Date of this Notice: April 5, 2012

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NOTE: IN ORDER TO BE CONSIDERED, ANY PROTEST MUST BE ON THIS FORM

WRITTEN PROTEST

I am the parcel owner of the property located at the address on the back of this form. I am submitting this form to protest the proposed sewer rate increase.

Additional Comments: _____

Use opposite side or attach additional sheets if needed.

Signature of Property Owner Required

Print Name

Fold Here First

Place
Postage
Here

South Park County Sanitation District
c/o Sonoma County Water Agency
404 Aviation Blvd.
Santa Rosa, CA 95403

Fold Here Second



County of Sonoma Agenda Item Summary Report

Clerk of the Board
575 Administration Drive
Santa Rosa, CA 95403

Agenda Item Number: 38
(This Section for use by Clerk of the Board Only.)

To: Board of Supervisors of Sonoma Count

Board Agenda Date: May 21, 2013

Vote Requirement: No Vote Required

Department or Agency Name(s):

Staff Name and Phone Number:

Simeon Walton, 565-2348

Supervisorial District(s):

All

Title: Capital Project Plan

Recommended Actions:

Receive the recommended Five-Year Capital Project Plan for the period F.Y. 2013-2014 through F.Y. 2017-2018 and direct staff to submit the Plan to the Sonoma County Planning Commission for General Plan Consistency Review.

Executive Summary:

The Five Year Capital Project Plan (Plan) is prepared annually for Board review, direction, and referral to the Sonoma County Planning Commission for General Plan Consistency Review, per Board policy. The plan is required by Sonoma County Code, Chapter 2, Article II, div. 2-8L. In the last several years, the Plan was submitted as a consent calendar item. As progress has been made on key plans that help frame and inform the Plan, it is presented today as a regular calendar item.

The Capital Project Plan provides an overview of all County-owned facilities and major infrastructure, identifies capital improvement needs, and outlines project funding requirements for the upcoming five-year period. The Plan is a compendium of four separate capital project plans prepared respectively by General Services, Regional Parks, Transportation and Public Works, and the Sonoma County Water Agency.

In today's presentation, an overview of the Capital Project Plan will be presented, noting primary funding sources, funding needs, linkages to strategic plans, and approaches to deferred maintenance needs. Key projects within the Plan will be highlighted.

Preparation of the Plan is guided by strategic plans that frame the capital projects necessary to implement strategic vision. It is updated annually to reflect the most current capital needs and policy direction provided by your Board during the course of a year.

The Plan is a planning document and as such, receipt of the F.Y. 2013-2018 Plan does not authorize budgetary appropriations. Funding of the first year of each Capital Project Plan is authorized as part of the annual budget process by your Board. Any inconsistencies found between a proposed project and the County General Plan will be resolved in the preparation of each individual project, and prior to project execution.

The total funding need of all projects across all requesting departments and agencies within the rolling five year

time-frame of the current Plan, 2013-2018, is estimated at approximately \$503 million. When prior expenditures are included for active projects, along with projected costs beyond the five-year time frame, the total estimated funding is approximately \$985 million.

Each of the four Plans contained within the overall document provides a summary of its respective capital funding needs, as well as project details along with recommended funding sources. While many projects have an identified source of funding, many also fall under the “unfunded” category, and reflect the capital investments that department and agencies have identified as needed to meet their capital facility and infrastructure needs, but for which funding has not been identified. The needs identified in the Plan reflect best estimates at a given point in time, and may be revised, expanded or dismissed in view of updated short or long term circumstances taken into account in the annual process of developing the Plan.

Prior Board Actions:

5-15-12: Received FY 2012-2017 Capital Project Plan and referred the Plan to the Planning Commission. Received prior 5-year Capital Project Plans.

Strategic Plan Alignment Goal 3: Invest in the Future

Fiscal Summary - FY 12-13

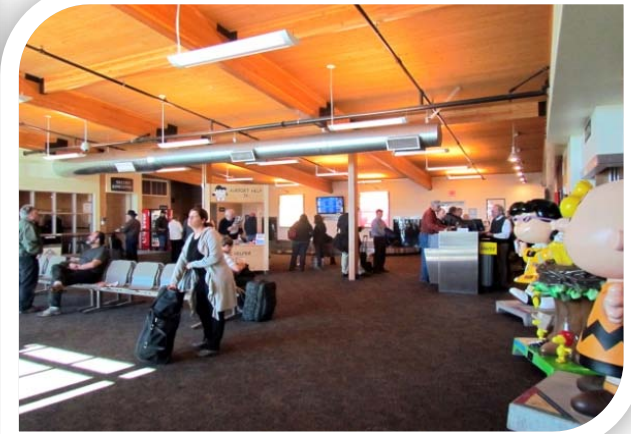
Expenditures		Funding Source(s)	
Budgeted Amount	\$	County General Fund	\$
Add Appropriations Req'd.	\$	State/Federal	\$
	\$	Fees/Other	\$
	\$	Use of Fund Balance	\$
	\$	Contingencies	\$
	\$		\$
Total Expenditure	\$	Total Sources	\$

Narrative Explanation of Fiscal Impacts (If Required):

Staffing Impacts

Position Title (Payroll Classification)	Monthly Salary Range (A – I Step)	Additions (Number)	Deletions (Number)

Narrative Explanation of Staffing Impacts (If Required):			
None			
Attachments:			
A copy of the F.Y. 2013-2018 Capital Project Plan.			
Related Items "On File" with the Clerk of the Board:			



County of Sonoma

Five-Year Capital Project Plan FY 2013-2018

Charles M. Schulz Airport Runway Safety Improvement Project

In order to meet new Federal Aviation Administration (FAA) standards and enhance operational safety, the Charles M. Schulz – Sonoma County Airport's runways will be extended so that they intersect rather than share the same endpoint. The runway safety improvement project is anticipated to be constructed in several phases with the project to be substantially completed by December 2014. Current project estimate is \$52 million, with approximately 90% to be funded through FAA grants.



County of Sonoma

Proposed Five Year Capital Project Plan F.Y. 2013-2014 through 2017-2018

Board of Supervisors

Susan Gorin (Chair Pro Tem)
David Rabbitt (Chair)
Shirlee Zane
Mike McGuire (Vice Chair)
Efren Carrillo

Veronica Ferguson
County Administrator

Chris Thomas
Assistant County Administrator



First District
Second District
Third District
Fourth District
Fifth District

David Sundstrom
Auditor-Controller-Treasurer-Tax Collector

Jennifer M. Murray
Deputy County Administrator

Submitting Departments:

José Luis Obregón
Director, General Services
Department

Caryl Hart
Director, Regional Parks
Department

Mark Aston
Director/Fire Chief
Fire and Emergency Services
Department

Grant Davis
General Manager, Sonoma
County Water Agency

Susan Klassen
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Public Works

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Mark Hummel, Associate Architect
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Sandra Gallant, Administrative Aide

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José Obregón, General Services Director (Chair)
Wayne Hovey, Acting County Architect
Mark Hummel, Associate Architect
Jennifer M. Murray, Deputy CAO
Mike Gossman, Budget Analyst, CAO
Steve Bartlett, Facilities Manager
Liz Yager, Energy and Sustainability Manager
Gene Clark, Deputy Director
Tamra Pinoris, Administrative Services Officer

Department Liaisons:

Tasha Houweling, Transportation and Public Works
Elizabeth Tyree, Regional Parks
Kent Gylfe, Water Agency

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None

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Executive Summary

2013-2018 Capital Project Plan Executive Summary

DATE: May 21, 2013
TO: Board of Supervisors
FROM: José Obregón, Director of General Services
SUBJECT: **2013-2018 County of Sonoma Capital Project Plan**

At the direction of the County Administrator, I am pleased to submit to your Board the proposed County of Sonoma Five-Year Capital Project Plan (C.P.P., or Plan) for fiscal years 2013-2014 through 2017-2018.

The Sonoma County Code (Section 2, Division 2-8L) requires the County Administrator to "recommend to the Board of Supervisors a long term capital project program including project priorities, costs, and methods of financing." Additionally, the C.P.P. complies with capital planning requirements that help maintain the County's credit rating.

The Capital Project Plan - What is it?

The overall Plan is a compilation of several individual Five-Year Capital Project Plans. Each Plan is developed by a corresponding department or agency and reflects the improvements proposed for the facilities and infrastructure the department or agency is responsible to maintain and operate. Projects described in the C.P.P. and their associated costs are distributed over a five year fiscal period. However, funding is not necessarily available for all projects proposed. The responsible department or agency makes recommendations as to which projects should be funded by identifying these in the Plan as "Funded" – that is, projects for which funding is both identified and recommended. Projects that are recommended for funding in the first year of the Plan

essentially constitute the upcoming Fiscal Year's annual Capital Projects Budget funding recommendation. The Plan and its recommendations serve as a guide for the annual Capital Projects Budget, but does not authorize funds for the projects – this occurs in the course of the annual budget process. It does, however, serve as an overview of needs and as a planning guide.

The General Services Department is responsible for compiling the individually submitted Department and Agency Plans into a single C.P.P. document. Individual Capital Project Plans included in this year's overall C.P.P. are provided by General Government, Regional Parks, Sonoma County Water Agency, and Transportation and Public Works. No new or continuing capital project requests for new or additional financing reflected in prior year Plans were submitted by Agricultural Preservation and Open Space District, Community Development Commission, or County Fair.

Capital Projects – What are they?

Capital projects by definition cost \$25,000 or more for buildings and \$100,000 or more for infrastructure. "Improvements" add new use, capacity, or life to an existing facility, or avoid impairment. Examples might be a major building or infrastructure addition, a major remodel, or significant changes to a facility to comply with

the Americans with Disabilities Act (A.D.A.). The construction of a new building or acquisition of new land is also capitalized, when the value is \$25,000 or more.

Certain “major repair and replacement” projects help sustain existing facilities and equipment to reach or extend their functional life span. These may include such things as re-roofing, replacement of equipment, and road and parking lot repaving projects. Repairs and replacements of building components of \$25,000 or more that add value are typically capitalized; those that only preserve remaining value or nominally extend the life span may be expensed as maintenance.

Please refer to individual Capital Project Plans in the tabbed sections below for further details and narrative summaries, including discussion of future significant projects.

Capital Project Types

For discussion, tracking and reporting purposes, it is helpful to differentiate capital project types. Within the overall C.P.P., these include:

- **Land & Building [L&B]** - Construction and acquisition associated with new infrastructure, buildings, trails, parks, etc. Includes all costs to prepare the asset for use.
- **Major Equipment [ME]** - Includes significant system replacements and first-time purchases of both significant and smaller equipment above threshold values.
- **Improvement [IMP]** – Improvements and renovations of existing buildings, facilities and infrastructure.
- **Maintenance [MT]** - Projects that maintain or protect asset value, but do not appreciably extend useful life or increase asset value.
- **Planning [PL]** – Planning activities that may/may not result in a construction project.

Project Funding Status

The funding status or classification for each project in the C.P.P. is indicated as one of the following:

- **Projects Funded [F]** – Projects that have a defined scope of work, a corresponding cost estimate, an identified funding source and are recommended for funding based on the anticipated funding appropriation level. The funding appropriation level is set by the CAO office as part of the budget process and the final actual project funding is at the pleasure of your Board, in the course of the annual budget process.
- **Projects Funded by Others [FBO]** – Projects that meet the same parameters as “projects funded” but have an identified funding source different than those sources normally relied upon by the responsible department or agency (i.e. the General Fund, for the G.G.C.P.P.)
- **Partially Funded [PF]** - Projects with a defined scope of work, corresponding cost estimate, but for which only a partial funding source has been identified.
- **Projects Unfunded [U]** - Projects which have a defined scope of work and cost estimate, but do not have an identified funding source.

The term “Funded by Others” within the General Government C.P.P. implies non-General Fund sources. For other department and agency C.P.P.s that do not rely on General Fund dollars for capital projects, the term implies sources other than usual sources for the work proposed, including non-County sources and grants.

C.P.P. Cost Summary

The total cost of all projects within the rolling five year time-frame of the current Plan, 2013-2018, is estimated at \$502,853,136. When prior expenditures are included for active phased projects in

the current plan, along with projected costs beyond the five-year time frame, the total value of proposed projects is \$984,978,779.

While most work has an identified source of funding, much work also falls under the “unfunded” category and reflects the capital investments that department and agencies have identified as needed to meet their capital facility and infrastructure needs at this point in time. All work and related implementation timelines is subject to final Board approval.

Generally, fiscal restraints and competing needs have led to a limited number of projects being funded. Consequently, projects recommended for funding consideration are based on addressing the most urgently needed improvements. Please see contributing department or agency overviews of their respective Capital Project Plan below.

Table 1

Capital Project Plan - Table 1 below summarizes and reflects the total estimated value of projects proposed by each Department or Agency of the overall C.P.P. It further indicates the value of projects recommended for funding from identified sources, as well as those projects for which no funding is identified.

Department/Agency Funding Status	PRIOR YRS	CURRENT YR 2012-13	FY01 2013- 14	FY02 2014- 15	FY03 2015- 16	FY04 2016- 17	FY05 2017- 18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
General Government	\$5,149,945	\$6,906,319	\$30,200,106	\$23,723,064	\$20,066,045	\$25,106,000	\$24,064,555	\$123,159,770	\$19,176,667	\$154,392,701
Funded	\$657,000	\$2,175,000	\$3,532,743	\$4,154,723	\$1,380,000	\$1,220,000	\$20,000	\$10,307,466	\$0	\$13,139,466
Funded by Others	\$726,000	\$918,000	\$5,897,058	\$2,675,000	\$5,250,000	\$4,700,000	\$150,000	\$18,672,058	\$150,000	\$20,466,058
Funded/Funded by Others	\$3,270,000	\$2,665,000	\$2,448,647	\$3,925,000	\$2,130,000	\$1,680,000	\$1,600,000	\$11,783,647	\$8,000,000	\$25,718,647
Unfunded	\$496,945	\$1,148,319	\$18,321,658	\$12,968,341	\$11,306,045	\$17,506,000	\$22,294,555	\$82,396,599	\$11,026,667	\$95,068,530
Regional Parks	\$9,201,009	\$4,145,806	\$13,261,575	\$10,017,000	\$8,948,000	\$6,560,000	\$9,531,000	\$48,317,575	\$28,907,000	\$90,571,390
Funded	\$5,373,323	\$470,500	\$693,000	\$205,000	\$0	\$0	\$0	\$898,000	\$0	\$6,741,823
Partially Funded	\$3,756,984	\$3,637,306	\$11,378,575	\$5,647,000	\$7,748,000	\$5,470,000	\$9,521,000	\$39,764,575	\$26,877,000	\$74,035,865
Unfunded	\$70,702	\$38,000	\$1,190,000	\$4,165,000	\$1,200,000	\$1,090,000	\$10,000	\$7,655,000	\$2,030,000	\$9,793,702
Transportation & Public Works	\$17,644,571	\$42,567,105	\$81,072,370	\$37,958,083	\$10,175,196	\$33,962,000	\$8,626,561	\$171,794,210	\$23,670,000	\$255,675,886
Funded	\$13,837,575	\$14,610,225	\$38,465,563	\$27,332,961	\$5,875,000	\$18,995,000	\$150,000	\$90,818,524	\$0	\$119,266,324
Funded by Others	\$0	\$0	\$45,000	\$25,000	\$25,000	\$50,000	\$25,000	\$170,000	\$0	\$170,000
Partially Funded	\$3,633,266	\$27,480,880	\$40,182,239	\$7,215,022	\$3,289,000	\$6,261,000	\$8,451,561	\$65,398,822	\$5,670,000	\$102,182,968
Unfunded	\$173,730	\$476,000	\$2,379,568	\$3,385,100	\$986,196	\$8,656,000	\$0	\$15,406,864	\$18,000,000	\$34,056,594
Water Agency	\$15,071,833	\$52,022,280	\$27,510,252	\$20,590,028	\$24,119,393	\$45,472,000	\$41,889,908	\$159,581,581	\$257,663,108	\$484,338,802
Funded	\$6,026,371	\$27,239,091	\$14,310,292	\$8,316,000	\$12,260,000	\$39,525,000	\$22,639,908	\$97,051,200	\$13,073,108	\$143,389,770
Funded by Others	\$886,454	\$3,150,000	\$480,000	\$0	\$0	\$0	\$0	\$480,000	\$0	\$4,516,454
Funded/Funded by Others	\$3,372,000	\$16,633,000	\$8,735,000	\$300,000	\$0	\$0	\$0	\$9,035,000	\$0	\$29,040,000
Partially Funded	\$4,708,645	\$3,541,247	\$2,983,000	\$7,103,000	\$11,555,000	\$5,947,000	\$19,250,000	\$46,838,000	\$244,590,000	\$299,677,892
Partially Funded/Funded by Others	\$78,363	\$683,942	\$667,960	\$4,871,028	\$304,393	\$0	\$0	\$5,843,381	\$0	\$6,605,686
Unfunded	\$0	\$775,000	\$334,000	\$0	\$0	\$0	\$0	\$334,000	\$0	\$1,109,000
Grand Total:	\$47,067,358	\$105,641,510	\$152,044,303	\$92,288,175	\$63,308,634	\$111,100,000	\$84,112,024	\$502,853,136	\$329,416,775	\$984,978,779

Board Consideration

At this point, staff is requesting review and input from your Board regarding the content of the Plan and projects proposed. Once accepted by your Board, the F.Y. 2013-2018 C.P.P. will be submitted to the Planning Commission for General Plan

consistency review. Review of the Plan does not authorize appropriations or finalize funding decisions. Such decisions will be made by your Board as part of the fiscal year's budget, and as project contracts are brought to your Board for award.

*General Government
Capital Project Plan
Overview*

2013-2018 General Government Five-Year Capital Project Plan

Description, Organization, Development Process, and Recommendations

Description

The General Government Capital Project Plan (G.G.C.P.P. or G.G. Plan) addresses facilities housing General Government departments over the next five fiscal years (F.Y). General Government facilities are County-owned facility assets managed by the General Services Department. Facilities serving the Human and Health Services Departments are also included as General Government Facilities when facilities housing these groups are owned by the County (currently many Health and Human Services staff and programs are otherwise housed in leased space). As managers of the General Government Facilities, General Services is tasked with developing the five year General Government component of the C.P.P. We refer to this component as the General Government Capital Projects Plan (G.G.C.P.P.)

Facility improvements funded by revenue sources other than the General Fund and managed by other departments or agencies are in separate sections of the overall Capital Project Plan such as Community Development Commission, Fairgrounds, Library, Transportation and Public Works, Regional Parks, Water Agency, etc. For uniformity in approach and in some cases to maximize value and impact, county-wide programs such as A.D.A. compliance work is managed by General Services on behalf of these other agencies. This also applies to improvements to a general government buildings housing staff from these departments.

The first year of recommended projects and related funding in the G.G.C.P.P. essentially constitutes the requested General Government Capital Projects Budget for the upcoming fiscal year.

Funding

The G.G. Plan relies largely on General Fund dollars for proposed capital improvements. The discretionary nature of the General Fund as a funding source distinguishes the G.G.C.P.P. from certain other department and agency capital project plans that have more dedicated sources and uses, e.g., Transportation and Public Works' funding for roads and bridges.

General Fund capital funding levels vary from year to year, depending on needs and available resources. However, due to competing needs and limited revenue, the level of funding has been consistently and significantly below what is required to more substantially meet the recognized need. Additional funding from non-General Fund sources including Tobacco Endowment funds, the Criminal Justice Construction Fund, and other eligible grant or financing sources, may be available and have been used to augment General Fund funding in any given fiscal year. In total in recent years and as a reflection of past year fiscal challenges, annual capital funding for the General Government component has totaled about \$5.4 million for all needs, whereas, in previous years capital funding levels were up to \$8.0 million.

The bottom line is that the limited financial resources have meant that funding has been recommended for only the highest priority capital projects. This remains true for the current G.G.C.P.P.

Organization

The G.G. Plan includes:

- A description of the process by which the G.G. Plan is developed,
- Comments on significant future and completed projects,
- A discussion of opportunities and challenges,
- Charts and tables summarizing proposed project costs by Functional Area, geographic location and facility groups,
- A tabular listing of all projects contained in the current G.G. Plan, with funding status, project name, brief description, proposed funding shown in the corresponding Plan year, and
- Individual project detail sheets for recommended high-priority projects.

Projects in the G.G.C.P.P. have been grouped by corresponding department Functional Area to align with the organization of the operating budget. For geographic locations, e.g., County Administration Center, Los Guilicos, Chanate, and Outlying areas, reference maps are also shown.

Plan Development Process

Inform

Each fall, General Services convenes a meeting with all impacted General Government departments to review the annual G.G.C.P.P. development process, including timeframes. This engagement is the first formal step in assisting departments to start the process of identifying and assigning priority to their capital needs. However, in many cases, needs have been mutually identified by G.S.D. staff and departments as the needs surface throughout the year.

Request

Shortly thereafter, General Government department capital project requests are submitted by the individual departments to General

Services for assimilation into the “request” list. Submitting departments are asked to also update any previous requests from prior years. Additionally, each department is asked to rank their project requests in order of importance and criticality.

GSD Review

General Services’ staff reviews submitted project requests for completeness, adding further information into a central project request database, and provides complete project descriptions, estimated project costs, proposed funding timeframes, and – where quantifiable – other anticipated net cost changes associated with maintenance, program staff, and/or utilities.

Prioritize

In order to prioritize project requests from an “organization-wide” perspective, General Services’ staff assigns preliminary prioritization scores to each project. These scores are based on criteria described in Administrative Policy 5-2, Policy for Capital Project and Asset Responsibility. Per Administrative Policy 5-2, all projects in the General Government Capital Project Plan must first serve to implement, or be consistent with, master plans for major County complexes and facilities, and with the County’s overall long-range strategic goals. Criteria for prioritizing projects in the General Government Capital Project Plan, per Administrative Policy 5-2. These criteria and related scoring are discussed with the departments in the informational phase of the process:

1. Required to meet compelling health, safety, legal or code compliance, a mandate of the Board of Supervisors, or a court order. (Projects with legal and urgent health/safety considerations are ranked highest of all).
2. Previously approved phases of a project, which are integral to completing its initial scope.
3. Required to keep an existing building, facility or complex operational. Provides measurable economic benefit or avoids

economic loss to the County. Serves to maintain or improve infrastructure of the County as a general benefit to County operations and services.

4. Alleviates constraints and impediments to effective public access and service such as improvements regarding space limitations or inefficient layout of space in County buildings or facilities, provisions for expanded or changed programs or services, or improvements to heating, ventilation or other work environment conditions.
5. Improves the environmental quality or aesthetics of County facilities and complexes.

The highest-ranked projects are those that address compelling legal, regulatory, or contractual obligations, or that address urgent safety needs, or that are urgently needed to preserve the value of an asset.

Next order-of-priority projects are those that have accumulated high scores based on the number of other criteria from policy 5-2 that are addressed. Organization-wide considerations being equal, individual department priorities are then given consideration.

A capital project funded by an outside, non-General Fund source may be given separate consideration to avoid losing funds as long as the project conforms to an appropriate master or strategic plan.

All G.G.C.P.P. projects and their prioritizations receive review by the General Services Facility Planning Group, which includes C.A.O. representation. General Services staff provides information regarding project prioritization to submitting departments.

Recommend

High-priority projects are then recommended for funding consideration within anticipated funding levels. These are categorized in the Plan as Funded projects (projects for which General Funded dollars are available and recommended) or as Funded by Others (when non-General Fund sources are available), while projects of lower priority are indicated as Unfunded. In some

instances the designation is Partially Funded, where partial project funding is identified. However, partially funded projects cannot move forward until full funding is made available. In any given year, additional funding beyond an initially proposed target level may be recommended, in order to address the highest-priority legally mandated and/or urgent safety projects.

A note about funding status: The use of General Fund dollars for capital projects in the General Government Capital Project Plan is more discretionary in nature than the use of many of the funding sources in other Department and Agency C.P.P.s, which are often dedicated or restricted-use sources. Therefore, an annual prioritization process is used to determine G.G.C.P.P. funding recommendations, as described above. General Government departments are many and diverse, each with the potential for submitting multiple new projects for any upcoming Plan year. This in turn necessitates annual re-prioritizing of all projects in the Plan, along with corresponding funding recommendations. Because of this, the five-year G.G.C.P.P. generally limits use of the term “Funded” to recommended first-plan year (F.Y. 01) projects. Projects proposed for subsequent years are generally termed “Unfunded”. Exceptions may include a phased project that must receive funding over multiple years in order to be completed, a high-priority project specifically recommended for funding in a future Plan year, or projects for which other eligible and appropriate non-General Fund sources are identified in advance.

Project Details

Please refer to the individual Project detail sheets for projects recommended for funding in F.Y. 13-14, further below.

General Government Plan Cost Summary

Overview – Total Plan Cost

The total cost of the more than 120 projects in the rolling five year time-frame of the current General Government Capital Plan 2013-

2018 is estimated at \$130,139,519. When costs for projects initiated within, but extending beyond the five-year time frame are included, the total estimated value is \$161,760,823. As noted above in the overall C.P.P. summary, much of this proposed work reflects important needs, yet lacks an identified source of funding. Please refer to the General Government – Cost Summary by Functional Area; – Cost Summary by Functional Area – Project List, and – Cost Summary by Funding Source tables at the end of this narrative section.

Projects benefitting Justice Services and public-safety related departments account for about half of the projects currently in the overall G.G. Plan. The majority of these address facilities under the Sheriff’s Office and Probation, including projects requested and managed by the General Services Department on behalf of the Sheriff. Additionally, four projects are for fire protection equipment storage facilities that serve outlying areas, requested by Fire and Emergency Services Department. Also included are several county-wide radio site projects that support a number of different public safety-related communications.

F.Y. 13-14 Recommendations and Critical Needs

For F.Y. 13-14, the targeted Capital Projects program General Fund contribution level is \$5,500,000, with up to \$1,600,000 dedicated to the County’s 2009 updated A.D.A. Transition Plan barrier removal projects, and up to \$3,900,000 addressing other capital improvement needs. As noted above, much of the \$3.9 million is directed towards public safety and Justice Services needs. The current Five-Year G.G. Plan identifies more than thirty high-priority projects with compelling legal, urgent safety or urgent asset preservation needs and which are recommended for funding in F.Y.13-14. In order to address these critical needs, the total cost of which exceeds General Fund target levels, the G.G. Plan recommends a total of \$13,133,197 in new funding in F.Y. 13-14, comprised of:

- \$5,500,000 of General Fund dollars for high-priority capital improvements including F.Y. 13-14 A.D.A. barrier removal work,

- \$1,794,945 of available F.Y. 13-14 Criminal Justice Construction Fund dollars to further address critical justice facility needs,
- \$984,803 in Tobacco Settlement dollars to address remaining critical and urgent projects, with,
- \$4,853,449 from other funding sources for additional capital improvements.

Please refer to the following General Government – Recommended F.Y.01 Funding Sources table.

High-priority projects recommended for funding address legal, regulatory, and contractual obligations, or are intended to preserve asset value. Such projects include: address detention needs, ongoing barrier removal under the County’s updated A.D.A. Transition Plan, completion of the relocation of infrastructure and operations necessary to prepare for the State’s new Santa Rosa Court House project, groundwater contamination monitoring, and repairs to or replacement of facility components necessary to maintain regulatory compliance or to prevent imminent damage or higher expenditures to existing assets.

Project Costs

The General Government Capital Project Plan reports values on the basis of estimated Project Cost. Estimates are based in turn on the project descriptions provided in this document and additional background research. “Project Cost” is the total cost of delivering a complete project. Project Cost often includes many sub-costs such as design and engineering fees, surveys, geotechnical investigation, hazardous materials sampling or removal, environmental review, permit fees, utility fees, hard construction costs, furnishings, ergonomic consulting, move coordination and move costs, telecommunications and data connectivity, and staff project management time.

General Government - Recommended FY13-14 Funding Sources

Funding Source	Funded Total	Funded	Funded/Funded By Others	Funded By Others
Criminal Justice Construction Fund	\$1,794,945		\$323,783	\$1,471,162
Fleet ACO	\$100,000		\$100,000	
General Fund	\$5,500,000	\$3,532,743	\$1,967,257	\$0
Power Purchase Agreement	\$195,000			\$195,000
Recorder Modernization	\$3,450,000			\$3,450,000
Securitization/Endowment A	\$838,503		\$57,607	\$780,896
Total:	\$11,878,448	\$3,532,743	\$2,448,647	\$5,897,058

Significant General Government Projects recommended F.Y. 13-14

Noteworthy and significant General Government capital projects generally costing over \$1 million which are recommended for funding in the coming fiscal year include:

- A.D.A. Barrier Removal – Up to \$1.6 million for fifth “plan-year” projects under the County of Sonoma 2009 updated American with Disabilities Act Transition Plan, at the County Administration Center, Fairgrounds, Transportation and Public Works facilities, pedestrian right-of-ways, and Regional Parks, consistent with Transition Plan priorities.
- M.A.D.F. – Over \$2.0 million in aggregate improvements to the Main Adult Detention Facility for improved inmate population management capabilities; kitchen relocation, allowing future Booking area expansion; continued planning of the inmate transfer connection between M.A.D.F. and new State Court House; roofing replacements.
- Sheriff facility – Initial planning for a proposed future \$1.4 million replacement structure for Sheriff’s evidence storage.
- Radio Infrastructure/Communications – Over \$1.4 million for improvements to radio communication towers and communications support infrastructure County-wide.
- Fire Stations (equipment stations) – Fire and Emergency Services plans construction of two new outlying fire equipment stations, one in south County in the Lakeville area near Petaluma, and one in northwest County near Annapolis. Project budgets, including F.E.S. contributions, total approximately \$1.7 million.

Significant General Government Projects beyond F.Y. 13-14

In addition to the nearer-term funding recommendations for F.Y. 2013-14, a number of significant future projects are listed and will be candidates for funding. Several relate to the Criminal Justice Master

Plan, while others support improved operational efficiencies or infrastructure upgrades.

Future significant projects consistent with long-term planning include:

- Sheriff’s new evidence storage building: \$1.4 million,
- Radio Infrastructure – various communication sites: \$1.1 to \$1.2 over three additional years,
- M.A.D.F. inmate transfer connection to new court house: \$3.2 million,,
- M.A.D.F. Booking area improvements: \$7.6 million,
- M.A.D.F. General population – subdivide dayrooms: \$1.4 million,
- M.A.D.F. re-roof: \$1.9 million,
- Sheriff Administration area expansion (interiors): \$1.0 million,
- Community Corrections Center: \$44 million (\$40 million SB 1022, \$4 million County of Sonoma match),
- Fire Stations, Two Rock and San Antonio: \$1.7 million aggregate cost,
- A.D.A. barrier removal: up to \$13 million over remaining eight years.

Above costs are estimated total Project Costs.

Significant General Government Projects completed in F.Y. 12-13

Significant projects completed in the 2012 – 2013 fiscal year include:

- Main Adult Detention Facility fire alarm upgrade, court holding area upgrade, facility-wide air duct cleaning and system balancing,
- Third “plan-year” barrier removal projects under the County of Sonoma 2009 updated American with Disabilities Act Transition Plan at the County Administration Center, County Fairgrounds, County parks, and at facilities and in public right-of-ways managed by Transportation and Public Works

Department. Plan-year four projects are meanwhile underway or commencing,

- Renovation of the Board of Supervisors meeting chamber, including A.D.A. accessibility improvements,
- Presentation to the Board of the Comprehensive County Facilities Plan. (Planning efforts are addressed within the G.G.C.P.P.).

Additional non-capital work completed includes the transfer of certain Veterans Memorial Hall building operations to private sector management, and the completion of land sales to the State for the new Santa Rosa court house project.

Opportunities and Challenges

Funding Levels

The General Government Capital Project Plan continues to focus recommendations on public safety, legal obligations, and preservation of County assets. Important sustainment and improvement projects are proposed in the Plan. Nevertheless, due to funding limitations the proportion of “Unfunded” projects in the Plan remains high. The common and on-going challenge within the five-year plan is that demand for capital improvements exceeds available funding capacity. Despite recommended additional funding from available Criminal Justice Construction Fund and Tobacco Settlement balances, beyond available General Fund dollars, the inevitable consequence is that many worthy projects will not receive funding

The replacement value of the General Government buildings portfolio, including County Administration Center, Detention facilities, Chanate Complex, Los Guilicos and Veterans Memorial Halls is currently estimated at over three-quarters of a billion dollars in Plant Replacement Value.

Based on industry standards, routine (non-capital) maintenance funding for this portfolio should be about \$4.6 million. Annual capital replacement and renewal for sustainment (not additional

“improvement” beyond current portfolio status) should be about \$3.8 million to protect the value of its current capital assets and realize full utilization. When funding remains below these levels, facility conditions will decline over time, creating prematurely obsolete and/or deteriorated facilities.

The need for ongoing funding for facility sustainment, longer term capital renewal reserve funding, and the mitigation of deferred maintenance needs remains a challenge and a strategic issue that was studied in the Comprehensive County Facilities Plan (C.C.F.P.). Decisions around the strategic implementation of the C.C.F.P. will dictate and guide the development of future G.G. Plans.

Comprehensive County Facilities Plan

Recently, the conceptual recommendations of the Comprehensive County Facilities Plan were conditionally accepted by the County Board of Supervisors. The C.C.F.P. proposes a reconfiguration of the County’s General Government facilities and real estate portfolio. It combines a strategy to use county owned facilities to house selective county operations and envisions the replacement of older, obsolete buildings at the County Administration Center, consolidating like staff, programs, and services within a reduced land footprint. Resultant and not required assets or properties would be leveraged or redeveloped for new uses. Recognizing that the development of the C.C.F.P. conceptual projects will require a pragmatic, financially viable, and multi-phase implementation strategy, staff has and will continue to align annual C.P.P. recommendations and project timelines with the Board directed outcomes to be pursued under the C.C.F.P., or disposal under the C.C.F.P. will be minimized pending finalization of C.C.F.P. implementation time frames.

The C.C.F.P. also recognizes that longer term use plans for North County Detention Facility lands and Los Guilicos youth services lands will be guided by the Criminal Justice Master Plan, Realignment and other justice- and youth-program related planning. Therefore, detention and other criminal justice projects proposed for consideration in the G.G. Plan are evaluated against longer term

objectives laid out not only in the C.C.F.P. but by strategies intrinsic to the Criminal Justice Master Plan.

Costs in the G.G. Plan reflect the needs of the current County facility portfolio environment, with many County-owned facilities at or approaching the end of their useful life span. Costs for major repairs and replacements will continue to grow as these existing facilities age further. Implementation of the new County Government Center development recommended by the Comprehensive County Facilities Plan would result in significant savings in ongoing operating costs (including owned operating costs, reserves, rent, leased operating costs, and lease reimbursements) once the new buildings come online and older buildings are decommissioned. Implementing the C.C.F.P. also offers the opportunity to “reset” the condition of most of the County’s occupied facilities, and to establish a corresponding maintenance and facility replacement program that allows the County to better manage future costs while providing the workforce and the community with properly located, efficient, safe and functional work spaces.

County Administration Center

The County Administration Center (C.A.C.) is currently envisioned as the long-term home campus for most Justice Services and General Government staff and programs. The Comprehensive County Facilities Plan (C.C.F.P.) proposes more and higher density utilization of existing real estate at the C.A.C., with the development of new facilities to house selective and/or liked programs within county owned space.

Future G.G. Plans will therefore include new C.A.C. based projects proposed and approved by the Board in alignment with the Comprehensive County Facilities Plan. Staff will ensure that any interim projects proposed within the C.A.C. support and align with C.C.F.P. long term objectives. Examples of such projects include the La Plaza A & B Space Reorganization, which provides holistic work space for multiple departments, employs updated utilization standards and furniture layouts and creates space that can be used as

swing space during C.C.F.P. implementation; development of Furniture Management Program/Consolidated Warehouse, also in support of space utilization targets and Mobile Work; and the C.C.F.P. Site Assessment and Implementation strategy development.

Criminal Justice improvement projects consistent with Criminal Justice Master Plan objectives and the longer term justice and detention facility use at the C.A.C. will also continue to be recommended.

Long term and high cost investments in obsolete General Government facilities proposed at the C.A.C. will be deferred where possible in order to align such needs with the timelines associated with the implementation of C.C.F.P.

Americans with Disabilities Act

Due to the critical nature of meeting the objectives of the County’s Transition Plan accessibility barrier removal projects will continue at the C.A.C., as required under the A.D.A., with high-use facilities prioritized. See additional A.D.A. discussion below.

New State Santa Rosa Court House

Assuming due progress and funding on the State’s side, the Administrative Office of the Courts will begin in the summer of 2014 the design of a new (approximate) 173,500 square foot court house for a site at the C.A.C. It is expected that, per current assumptions, construction could begin in 2016 and conclude in 2018. County projects that facilitate this project by relocating or preparing county infrastructure, facilities and grounds for the upcoming State Court House, are included and recommended for funding. Among these are the relocation of General Services’ Fleet Operations and Public Works’ Materials Lab, relocation of the Fleet motor pool, and minor adjustments to the location of County-owned storm drain and well-water lines on the Court House project site. Funding is also recommended for County staff to continue to provide ongoing representation and coordination to safeguard County interests. See additional Adult Detention discussion below.

Adult Detention

The findings of the January 2012 Adult Detention Needs Assessment Study remain relevant for the current G.G. Plan. The Needs Assessment found operational deficiencies exist within the County's adult detention facilities. In particular, at the Main Adult Detention Facility (M.A.D.F.):

- Limitations in the M.A.D.F. booking and release area impact the ability of staff to safely and effectively manage inmates during those processes;
- Existing Housing Units lack the capacity to adequately manage the current and projected population of special management inmates;
- the current M.A.D.F. kitchen is undersized to serve the County's inmate population, and the current operation of two separate kitchens (M.A.D.F. and North County Detention Facility - N.C.D.F.) is not cost effective;
- Improvements to the kitchen are required to address long-term future demands. Expanding the kitchen in its current location is infeasible.

Furthermore, the distribution of bed types within the County's current detention system is not well aligned with anticipated future demand. Projections of future jail bed demand include an expected increase in special management inmates, an influx of inmates following California's prison realignment (AB 109), and potential reductions in detention needs resulting from the County's efforts to reduce criminal behavior and recidivism if the County elects to pursue a Community Corrections Center. Based on the most current needs assessment the following recommendations continue to impact the detention facility needs reflected in the C.P.P.:

1. Construct a 160-bed Community Corrections Center (C.C.C.) to support the recommendations of the 2009 Adult Criminal Justice Phase 2 Master Plan and to align with Sonoma County's goals to reduce criminal behavior and recidivism. This recommendation is

under examination as impacts of Realignments coupled with the impacts of implementing new and alternative criminal detention program strategies has clouded the role and utilization of a C.C.C within the framework of the Criminal Justice Master Plan strategies.

2. Continue to utilize the existing County of Sonoma Five-Year Capital Project Plan (2013-2018) to implement the required housing unit improvements at the M.A.D.F. to enable safe and effective management of special populations.

3. Assess the value and viability of constructing a new cook-chill kitchen with the capacity to serve the needs for all detention facilities. Such a facility would result in reduced costs to the meal services program. The cook-chill kitchen must at a minimum provide meals for all adult detention system inmates, and could potentially provide meal services to the juvenile hall, other agencies within Sonoma County, and other counties.

4. Renovate and enlarge the MADF booking and release areas to extend their useful operational life and improve security throughout the booking and release processes. Relocation of the existing kitchen is a key component of this strategy.

As the 2013-18 G.G.C.P.P. is being drafted, the County is assessing the type of application to be submitted to the Board of State and Community Corrections (B.S.C.C.) for funding under Senate Bill 1022 for the above recommended Community Corrections Center. If approved, project funding is still likely to be one to two years away.

In the meantime, related projects remain in the G.G.C.P.P. in preparation for recommended improvements.

Radio Infrastructure – Communications

Radio tower and infrastructure projects support law enforcement/fire/public safety and other important county-wide radio communications. These projects promote the sustainment or improvement of critical public safety communications and data processing functions. Radio tower and infrastructure construction and land acquisition projects are managed by General Services on

behalf of the Sheriff's Office Radio Bureau. Current efforts have been the expansion of the network and the modernization of equipment. Some of the projects link to the Regional Bay Web effort that proposes to leverage grant funds to improve the regions communication infrastructure.

Fire and Emergency Services

Fire and Emergency Services (F.E.S.) has acquired a number of fire engines for their volunteer fire fighting units with federal grant assistance. The cost of these investments and the need to ensure that the equipment be operational at all times mandates that the units be housed in environments that safeguard reliability and promote longevity. In addition, the grant conditions stipulate that the apparatus must be housed in structures of a certain quality. Currently, the fire engines are stored in scattered, private barns within the response area. This dispersal has a resultant effect on response times. The projects to secure sites and construct fire stations and/or storage garages are a component of the solution to meet this requirement. Construction of these basic garages enhances response time, delivers superior protection for the equipment investment, provides a focus for community activities and may lower fire insurance rates for the District's citizens.

Americans with Disabilities Act

The County of Sonoma prepared and adopted an Americans with Disabilities Act (A.D.A.) Self-Evaluation and Transition Plan (S.E.T.P.) in 1992, as required by the Act. In 2009, the County updated its existing S.E.T.P. describing the process by which policies, programs, and facilities were evaluated for compliance with

the A.D.A., presenting the findings of that evaluation, and providing recommendations to ensure compliance, including the removal of physical barriers. In 2008, the County had conducted a physical audit of County facilities to identify facility barriers and provided recommendations on alterations required to meet state and federal accessibility standards. The County's 2009 updated S.E.T.P. includes a database of the physical barriers in the County's facilities that limit the accessibility of its programs, activities, or services to individuals with disabilities and provides a corresponding twelve-year schedule for taking the steps necessary to achieve barrier removal compliance with the ADA. The approximate total estimated cost for removal of all surveyed barriers is between \$22 and \$24 million, and includes training and administrative costs associated with a pragmatic and phased barrier removal program. To accomplish this program a fund level of \$2.0 million on average per A.D.A. updated Transition Plan Year was proposed. Of this amount, \$1.6 million goes towards "hard" capital improvements managed by General Services and other facility management Departments (Fair, Regional Parks, Sonoma County Water Agency, Transportation and Public Works,), with the remaining \$400,000 going towards non-capital web-compliance, training, and administrative efforts managed by Human Resources Department. At this time, the 2009 updated Transition Plan is entering its fourth year of implementation, continuing to address barrier removal in accordance with agreed-upon S.E.T.P. and code-mandated priorities. Physical barrier removal work remains on schedule for General Government facilities, in accordance with approved barrier removal prioritization in the approved 2009 updated County of Sonoma A.D.A. S.E.T.P., with completion of, or active engagement in, over \$6.0 million of work.

General Government - Funding Need Summary by Functional Area

FUNDING STATUS FUNCTION DEPARTMENT	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Funded	\$657,000	\$2,175,000	\$3,532,743	\$4,154,723	\$1,380,000	\$1,220,000	\$20,000	\$10,307,466	\$0	\$13,139,466
<i>Administrative and Fiscal Services</i>	<i>\$507,000</i>	<i>\$300,000</i>	<i>\$1,187,216</i>	<i>\$2,874,723</i>	<i>\$100,000</i>	<i>\$20,000</i>	<i>\$20,000</i>	<i>\$4,201,939</i>	<i>\$0</i>	<i>\$5,008,939</i>
General Services	507,000	300,000	1,187,216	2,874,723	100,000	20,000	20,000	4,201,939	0	5,008,939
<i>Justice Services</i>	<i>\$150,000</i>	<i>\$1,875,000</i>	<i>\$2,345,527</i>	<i>\$1,280,000</i>	<i>\$1,280,000</i>	<i>\$1,200,000</i>	<i>\$0</i>	<i>\$6,105,527</i>	<i>\$0</i>	<i>\$8,130,527</i>
Probation	\$0	\$0	\$565,527	\$0	\$0	\$0	\$0	\$565,527	\$0	\$565,527
Sheriff	\$150,000	\$1,875,000	\$1,780,000	\$1,280,000	\$1,280,000	\$1,200,000	\$0	\$5,540,000	\$0	\$7,565,000
Funded by Others	\$726,000	\$918,000	\$5,897,058	\$2,675,000	\$5,250,000	\$4,700,000	\$150,000	\$18,672,058	\$150,000	\$20,466,058
<i>Administrative and Fiscal Services</i>	<i>\$0</i>	<i>\$50,000</i>	<i>\$3,670,000</i>	<i>\$0</i>	<i>\$0</i>	<i>\$0</i>	<i>\$0</i>	<i>\$3,670,000</i>	<i>\$0</i>	<i>\$3,720,000</i>
Clerk/Recorder/Assessor	\$0	\$50,000	\$3,450,000	\$0	\$0	\$0	\$0	\$3,450,000	\$0	\$3,500,000
General Services	\$0	\$0	\$220,000	\$0	\$0	\$0	\$0	\$220,000	\$0	\$220,000
<i>Development Services</i>	<i>\$0</i>	<i>\$0</i>	<i>\$200,000</i>	<i>\$1,500,000</i>	<i>\$0</i>	<i>\$0</i>	<i>\$0</i>	<i>\$1,700,000</i>	<i>\$0</i>	<i>\$1,700,000</i>
Fire Emergency Services	\$0	\$0	\$200,000	\$1,500,000	\$0	\$0	\$0	\$1,700,000	\$0	\$1,700,000
<i>Justice Services</i>	<i>\$726,000</i>	<i>\$868,000</i>	<i>\$2,027,058</i>	<i>\$1,175,000</i>	<i>\$5,250,000</i>	<i>\$4,700,000</i>	<i>\$150,000</i>	<i>\$13,302,058</i>	<i>\$150,000</i>	<i>\$15,046,058</i>
Sheriff	\$726,000	\$868,000	\$2,027,058	\$1,175,000	\$5,250,000	\$4,700,000	\$150,000	\$13,302,058	\$150,000	\$15,046,058
Funded/Funded by Others	\$3,270,000	\$2,665,000	\$2,448,647	\$3,925,000	\$2,130,000	\$1,680,000	\$1,600,000	\$11,783,647	\$8,000,000	\$25,718,647
<i>Administrative and Fiscal Services</i>	<i>\$3,270,000</i>	<i>\$1,750,000</i>	<i>\$1,849,864</i>	<i>\$1,710,000</i>	<i>\$1,680,000</i>	<i>\$1,680,000</i>	<i>\$1,600,000</i>	<i>\$8,519,864</i>	<i>\$8,000,000</i>	<i>\$21,539,864</i>
General Services	\$3,270,000	\$1,750,000	\$1,849,864	\$1,710,000	\$1,680,000	\$1,680,000	\$1,600,000	\$8,519,864	\$8,000,000	\$21,539,864
<i>Development Services</i>	<i>\$0</i>	<i>\$915,000</i>	<i>\$0</i>	<i>\$465,000</i>	<i>\$0</i>	<i>\$0</i>	<i>\$0</i>	<i>\$465,000</i>	<i>\$0</i>	<i>\$1,380,000</i>
Fire Emergency Services	\$0	\$915,000	\$0	\$465,000	\$0	\$0	\$0	\$465,000	\$0	\$1,380,000
<i>Justice Services</i>	<i>\$0</i>	<i>\$0</i>	<i>\$598,783</i>	<i>\$1,750,000</i>	<i>\$450,000</i>	<i>\$0</i>	<i>\$0</i>	<i>\$2,798,783</i>	<i>\$0</i>	<i>\$2,798,783</i>
Sheriff	\$0	\$0	\$598,783	\$1,750,000	\$450,000	\$0	\$0	\$2,798,783	\$0	\$2,798,783
Unfunded	\$496,945	\$1,148,319	\$18,321,658	\$12,968,341	\$11,306,045	\$17,506,000	\$22,294,555	\$82,396,599	\$11,026,667	\$95,068,530
<i>Administrative and Fiscal Services</i>	<i>\$409,945</i>	<i>\$959,319</i>	<i>\$15,285,074</i>	<i>\$8,730,452</i>	<i>\$6,641,383</i>	<i>\$2,366,000</i>	<i>\$3,759,000</i>	<i>\$36,781,909</i>	<i>\$0</i>	<i>\$38,151,173</i>
County Counsel	\$0	\$0	\$40,000	\$0	\$0	\$0	\$0	\$40,000	\$0	\$40,000
General Services	\$409,945	\$959,319	\$14,304,421	\$8,730,452	\$6,641,383	\$2,366,000	\$3,759,000	\$35,801,256	\$0	\$37,170,520
Information Systems Department	\$0	\$0	\$940,653	\$0	\$0	\$0	\$0	\$940,653	\$0	\$940,653
<i>Justice Services</i>	<i>\$87,000</i>	<i>\$189,000</i>	<i>\$2,318,987</i>	<i>\$4,237,889</i>	<i>\$2,699,052</i>	<i>\$15,140,000</i>	<i>\$18,535,555</i>	<i>\$42,931,483</i>	<i>\$11,026,667</i>	<i>\$54,234,150</i>
Probation	\$0	\$0	\$0	\$0	\$99,771	\$800,000	\$0	\$899,771	\$0	\$899,771
Sheriff	\$87,000	\$189,000	\$2,318,987	\$4,237,889	\$2,599,281	\$14,340,000	\$18,535,555	\$42,031,712	\$11,026,667	\$53,334,379

FUNDING STATUS FUNCTION DEPARTMENT	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
<i>Other County Services</i>	\$0	\$0	\$717,597	\$0	\$1,965,610	\$0	\$0	\$2,683,207	\$0	\$2,683,207
Library	\$0	\$0	\$717,597	\$0	\$1,965,610	\$0	\$0	\$2,683,207	\$0	\$2,683,207
Grand Total:	\$5,149,945	\$6,906,319	\$30,200,106	\$23,723,064	\$20,066,045	\$25,106,000	\$24,064,555	\$123,159,770	\$19,176,667	\$154,392,701

General Government - Funding Need Summary by Functional Area - Project List

- Funding for all Projects in the Plan.
- Where a project is proposed to be financed by a debt issue, the table shows the project costs, not the annual debt service payments required.

Funding Status Function Project Name (Request No)	5 YEAR PLAN FOCUS									PROJECT TOTAL
	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	
	Funded	\$657,000	\$2,175,000	\$3,532,743	\$4,154,723	\$1,380,000	\$1,220,000	\$20,000	\$10,307,466	
<i>Administrative and Fiscal Services</i>	<i>\$507,000</i>	<i>\$300,000</i>	<i>\$1,187,216</i>	<i>\$2,874,723</i>	<i>\$100,000</i>	<i>\$20,000</i>	<i>\$20,000</i>	<i>\$4,201,939</i>	<i>\$0</i>	<i>\$5,008,939</i>
CAC Fleet/Materials Lab Relocation	\$0	\$280,000	\$620,383	\$0	\$0	\$0	\$0	\$620,383	\$0	\$900,383
CAC Well Relocation	\$0	\$0	\$0	\$20,000	\$80,000	\$0	\$0	\$100,000	\$0	\$100,000
CMP Boilers	\$0	\$0	\$251,833	\$251,833	\$0	\$0	\$0	\$503,666	\$0	\$503,666
County Groundwater Contamination Investigation	\$507,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$20,000	\$100,000	\$0	\$627,000
FJC reroof and mech screen	\$0	\$0	\$50,000	\$377,500	\$0	\$0	\$0	\$427,500	\$0	\$427,500
MADF Roof	\$0	\$0	\$180,000	\$1,750,000	\$0	\$0	\$0	\$1,930,000	\$0	\$1,930,000
NCDF Water Heaters and boilers	\$0	\$0	\$25,000	\$155,390	\$0	\$0	\$0	\$180,390	\$0	\$180,390
2680 Ventura Storm Drain Extension	\$0	\$0	\$40,000	\$300,000	\$0	\$0	\$0	\$340,000	\$0	\$340,000
<i>Justice Services</i>	<i>\$150,000</i>	<i>\$1,875,000</i>	<i>\$2,345,527</i>	<i>\$1,280,000</i>	<i>\$1,280,000</i>	<i>\$1,200,000</i>	<i>\$0</i>	<i>\$6,105,527</i>	<i>\$0</i>	<i>\$8,130,527</i>
Adult Probation Tenant Improvement	\$0	\$0	\$565,527	\$0	\$0	\$0	\$0	\$565,527	\$0	\$565,527
LG Firing Range Upgrades (Indoor)	\$0	\$0	\$200,000	\$0	\$0	\$0	\$0	\$200,000	\$0	\$200,000
MADF R Mod Metal Door Replacement	\$0	\$475,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$475,000
Radio Communications County Microwave System (Links)	\$0	\$0	\$180,000	\$180,000	\$180,000	\$0	\$0	\$540,000	\$0	\$540,000
Radio County Microwave System Processors	\$150,000	\$200,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$350,000
Radio Infrastructure - Various Communication Towers	\$0	\$1,200,000	\$1,400,000	\$1,100,000	\$1,100,000	\$1,200,000	\$0	\$4,800,000	\$0	\$6,000,000

5 YEAR PLAN FOCUS

Funding Status Function Project Name (Request No)	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Funded by Others	\$726,000	\$918,000	\$5,897,058	\$2,675,000	\$5,250,000	\$4,700,000	\$150,000	\$18,672,058	\$150,000	\$20,466,058
Administrative and Fiscal Services	\$0	\$50,000	\$3,670,000	\$0	\$0	\$0	\$0	\$3,670,000	\$0	\$3,720,000
CRA Consolidation Project	\$0	\$50,000	\$3,450,000	\$0	\$0	\$0	\$0	\$3,450,000	\$0	\$3,500,000
JJC/Sheriff UPS Replacement	\$0	\$0	\$25,000	\$0	\$0	\$0	\$0	\$25,000	\$0	\$25,000
Sheriff Sonoma Substation Solarization	\$0	\$0	\$195,000	\$0	\$0	\$0	\$0	\$195,000	\$0	\$195,000
Development Services	\$0	\$0	\$200,000	\$1,500,000	\$0	\$0	\$0	\$1,700,000	\$0	\$1,700,000
Fire Garage (Volunteer) - San Antonio	\$0	\$0	\$100,000	\$750,000	\$0	\$0	\$0	\$850,000	\$0	\$850,000
Fire Garage (Volunteer) - Two Rock	\$0	\$0	\$100,000	\$750,000	\$0	\$0	\$0	\$850,000	\$0	\$850,000
Justice Services	\$726,000	\$868,000	\$2,027,058	\$1,175,000	\$5,250,000	\$4,700,000	\$150,000	\$13,302,058	\$150,000	\$15,046,058
Central Kitchen Cook Chill Equipment	\$0	\$0	\$500,000	\$0	\$0	\$0	\$0	\$500,000	\$0	\$500,000
MADF & NCDF Modifications for Food Service Delivery	\$0	\$0	\$555,896	\$0	\$0	\$0	\$0	\$555,896	\$0	\$555,896
MADF Booking Improvements	\$0	\$0	\$0	\$1,000,000	\$4,800,000	\$1,800,000	\$0	\$7,600,000	\$0	\$7,600,000
MADF Cell Door Breach Programming	\$0	\$0	\$61,600	\$0	\$0	\$0	\$0	\$61,600	\$0	\$61,600
MADF Door Hardening	\$726,000	\$150,000	\$150,000	\$150,000	\$150,000	\$150,000	\$150,000	\$750,000	\$150,000	\$1,776,000
MADF Grinder/Auger System	\$0	\$443,000	\$100,000	\$0	\$0	\$0	\$0	\$100,000	\$0	\$543,000
MADF Inmate Transfer Connection to Courthouse	\$0	\$75,000	\$0	\$25,000	\$300,000	\$2,750,000	\$0	\$3,075,000	\$0	\$3,150,000
MADF R-Mod Dayroom Divider	\$0	\$155,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$155,000
NCDF Perimeter Security	\$0	\$45,000	\$659,562	\$0	\$0	\$0	\$0	\$659,562	\$0	\$704,562
Funded/Funded by Others	\$3,270,000	\$2,665,000	\$2,448,647	\$3,925,000	\$2,130,000	\$1,680,000	\$1,600,000	\$11,783,647	\$8,000,000	\$25,718,647
Administrative and Fiscal Services	\$3,270,000	\$1,750,000	\$1,849,864	\$1,710,000	\$1,680,000	\$1,680,000	\$1,600,000	\$8,519,864	\$8,000,000	\$21,539,864
CAC Motor Pool Lot Relocation	\$0	\$0	\$486,164	\$0	\$0	\$0	\$0	\$486,164	\$0	\$486,164
Roseland Groundwater Well Monitoring	\$0	\$0	\$60,000	\$60,000	\$30,000	\$30,000	\$0	\$180,000	\$0	\$180,000
New State Courthouse: Coordination Support	\$0	\$150,000	\$50,000	\$50,000	\$50,000	\$50,000	\$0	\$200,000	\$0	\$350,000
County ADA Barrier Removal	\$3,270,000	\$1,600,000	\$1,253,700	\$1,600,000	\$1,600,000	\$1,600,000	\$1,600,000	\$7,653,700	\$8,000,000	\$20,523,700
Development Services	\$0	\$915,000	\$0	\$465,000	\$0	\$0	\$0	\$465,000	\$0	\$1,380,000
Fire Garage (Volunteer) - Annapolis	\$0	\$555,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$555,000

			5 YEAR PLAN FOCUS								
Funding Status Function Project Name (Request No)	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL	
Fire Garage (Volunteer) - Lakeville	\$0	\$360,000	\$0	\$465,000	\$0	\$0	\$0	\$465,000	\$0	\$825,000	
Justice Services	<i>\$0</i>	<i>\$0</i>	<i>\$598,783</i>	<i>\$1,750,000</i>	<i>\$450,000</i>	<i>\$0</i>	<i>\$0</i>	<i>\$2,798,783</i>	<i>\$0</i>	<i>\$2,798,783</i>	
MADF General Population-Subdivide Dayroom & Yard	\$0	\$0	\$450,000	\$450,000	\$450,000	\$0	\$0	\$1,350,000	\$0	\$1,350,000	
Sheriff Building New Evidence Storage Building	\$0	\$0	\$148,783	\$1,300,000	\$0	\$0	\$0	\$1,448,783	\$0	\$1,448,783	
Unfunded	<i>\$496,945</i>	<i>\$1,148,319</i>	<i>\$18,321,658</i>	<i>\$12,968,341</i>	<i>\$11,306,045</i>	<i>\$17,506,000</i>	<i>\$22,294,555</i>	<i>\$82,396,599</i>	<i>\$11,026,667</i>	<i>\$95,068,530</i>	
Administrative and Fiscal Services	<i>\$409,945</i>	<i>\$959,319</i>	<i>\$15,285,074</i>	<i>\$8,730,452</i>	<i>\$6,641,383</i>	<i>\$2,366,000</i>	<i>\$3,759,000</i>	<i>\$36,781,909</i>	<i>\$0</i>	<i>\$38,151,173</i>	
Human Services Paulin Dr Skylights EEM #49	\$0	\$0	\$0	\$31,200	\$0	\$0	\$0	\$31,200	\$0	\$31,200	
Electric Vehicle Infrastructure - South	\$0	\$53,000	\$384,000	\$384,000	\$384,000	\$384,000	\$384,000	\$1,920,000	\$0	\$1,973,000	
Guerneville Community Center Services Facility	\$0	\$0	\$0	\$50,000	\$0	\$0	\$0	\$50,000	\$0	\$50,000	
Guerneville Library North & East side Rot Repair	\$0	\$0	\$25,000	\$150,000	\$0	\$0	\$0	\$175,000	\$0	\$175,000	
HOJ Rebuild 5 Air Handlers	\$0	\$0	\$0	\$248,537	\$0	\$0	\$0	\$248,537	\$0	\$248,537	
County Furniture Management Program/Warehouse	\$0	\$0	\$0	\$25,000	\$0	\$0	\$0	\$25,000	\$0	\$25,000	
Human Services Paulin Replace Multizone Air Handler	\$0	\$0	\$0	\$208,626	\$0	\$0	\$0	\$208,626	\$0	\$208,626	
County Administration Center Parking Replacement	\$0	\$0	\$0	\$0	\$0	\$200,000	\$3,300,000	\$3,500,000	\$0	\$3,500,000	
Human Services Rebuild Two Air Handlers	\$0	\$0	\$0	\$134,014	\$0	\$0	\$0	\$134,014	\$0	\$134,014	
ISD Rebuild Air Handlers	\$0	\$0	\$0	\$62,717	\$0	\$0	\$0	\$62,717	\$0	\$62,717	
HOJ South Elevator Repair	\$0	\$0	\$0	\$252,000	\$0	\$0	\$0	\$252,000	\$0	\$252,000	
County Facilities Hazard Mitigation	\$100,000	\$50,000	\$0	\$50,000	\$50,000	\$50,000	\$50,000	\$200,000	\$0	\$350,000	
County Buildings Retrocommissioning	\$0	\$0	\$35,000	\$0	\$0	\$0	\$0	\$35,000	\$0	\$35,000	
County Administration Center Paving Projects Phase II	\$0	\$0	\$0	\$165,000	\$240,000	\$210,000	\$0	\$615,000	\$0	\$615,000	
Comprehensive Energy Project (CEP) Phase 3	\$0	\$0	\$5,000,000	\$0	\$0	\$0	\$0	\$5,000,000	\$0	\$5,000,000	

			5 YEAR PLAN FOCUS								
Funding Status Function Project Name (Request No)	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL	
CCFP Site assessment (implementation)	\$0	\$50,000	\$0	\$50,000	\$0	\$0	\$0	\$50,000	\$0	\$100,000	
CAC Submeter/Tracking Software Installation	\$79,945	\$20,000	\$20,000	\$0	\$0	\$0	\$0	\$20,000	\$0	\$119,945	
CAC ALC Installation	\$0	\$0	\$131,890	\$100,000	\$100,000	\$100,000	\$0	\$431,890	\$0	\$431,890	
Admin Building HVAC-Replacement	\$0	\$0	\$0	\$100,000	\$975,000	\$0	\$0	\$1,075,000	\$0	\$1,075,000	
County Counsel Proxy cards	\$0	\$0	\$20,000	\$0	\$0	\$0	\$0	\$20,000	\$0	\$20,000	
County Counsel Duress Alarm	\$0	\$0	\$20,000	\$0	\$0	\$0	\$0	\$20,000	\$0	\$20,000	
La Plaza General Services Reconfiguration	\$162,000	\$646,319	\$361,864	\$0	\$0	\$0	\$0	\$361,864	\$0	\$1,170,183	
County Administration Center Security Improvements	\$50,000	\$0	\$0	\$100,000	\$100,000	\$0	\$0	\$200,000	\$0	\$250,000	
Veterans-Sebastopol Heating & Ventilating Replacement	\$0	\$0	\$0	\$0	\$325,000	\$0	\$0	\$325,000	\$0	\$325,000	
Veterans-Multi-Building Sound System Upgrades	\$0	\$0	\$0	\$175,000	\$0	\$0	\$0	\$175,000	\$0	\$175,000	
Veterans-Petaluma Driveway Repaving	\$0	\$0	\$115,000	\$0	\$0	\$0	\$0	\$115,000	\$0	\$115,000	
Veterans-Petaluma Grandstand Seating Replacement	\$0	\$0	\$0	\$850,000	\$0	\$0	\$0	\$850,000	\$0	\$850,000	
Veterans-Petaluma Re-roof and Heating/Ventilation Replacement	\$0	\$0	\$0	\$0	\$850,000	\$0	\$0	\$850,000	\$0	\$850,000	
Veterans-Santa Rosa Auditorium - Painting	\$0	\$0	\$0	\$0	\$80,000	\$0	\$0	\$80,000	\$0	\$80,000	
Veterans-Multi Buildings hot water heaters Replacement	\$0	\$0	\$0	\$100,000	\$0	\$0	\$0	\$100,000	\$0	\$100,000	
Veterans-Santa Rosa Solar Photovoltaic Shade	\$0	\$0	\$0	\$1,200,000	\$0	\$0	\$0	\$1,200,000	\$0	\$1,200,000	
Veterans-Sonoma Water Heaters (2) Replacement	\$0	\$0	\$0	\$17,011	\$0	\$0	\$0	\$17,011	\$0	\$17,011	
Veterans-Sonoma Heating System Replacement	\$0	\$0	\$0	\$40,089	\$0	\$0	\$0	\$40,089	\$0	\$40,089	
Veterans-Sonoma HVAC Upgrades	\$0	\$0	\$50,000	\$0	\$0	\$0	\$0	\$50,000	\$0	\$50,000	
Veterans-Sonoma Solar Photovoltaic Shade	\$0	\$0	\$0	\$800,000	\$0	\$0	\$0	\$800,000	\$0	\$800,000	

5 YEAR PLAN FOCUS										
Funding Status Function Project Name (Request No)	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
JJC Security Electronics Upgrades	\$0	\$25,000	\$75,000	\$25,000	\$25,000	\$25,000	\$25,000	\$175,000	\$0	\$200,000
Data Processing Building - Power Improvements	\$0	\$0	\$940,653	\$0	\$0	\$0	\$0	\$940,653	\$0	\$940,653
JJC Flooring Repairs	\$0	\$40,000	\$10,000	\$0	\$0	\$0	\$0	\$10,000	\$0	\$50,000
Veterans-Santa Rosa Major Renovation	\$0	\$0	\$8,000,000	\$0	\$0	\$0	\$0	\$8,000,000	\$0	\$8,000,000
LG Casa Manana Seismic Retrofit and Renovations	\$0	\$0	\$0	\$200,000	\$200,000	\$800,000	\$0	\$1,200,000	\$0	\$1,200,000
Veterans-Guerneville Window Replacement	\$0	\$0	\$0	\$0	\$225,000	\$0	\$0	\$225,000	\$0	\$225,000
LaPlaza A Replace Older RTU	\$0	\$0	\$0	\$520,309	\$0	\$0	\$0	\$520,309	\$0	\$520,309
LG Phase 1 Building Demolition	\$0	\$0	\$0	\$1,143,600	\$0	\$0	\$0	\$1,143,600	\$0	\$1,143,600
LG Tahoe Building Reroof	\$0	\$0	\$0	\$0	\$275,000	\$0	\$0	\$275,000	\$0	\$275,000
LG Water System Replacement	\$18,000	\$75,000	\$0	\$100,000	\$750,000	\$597,000	\$0	\$1,447,000	\$0	\$1,540,000
NCDF 300 Replace Three-5-ton Condensers	\$0	\$0	\$0	\$63,773	\$0	\$0	\$0	\$63,773	\$0	\$63,773
NCDF 400 Replace 26-tons of Rooftop Packaged Equipment	\$0	\$0	\$0	\$173,222	\$0	\$0	\$0	\$173,222	\$0	\$173,222
NCDF Replace 2 Kitchen Exhaust Fans	\$0	\$0	\$96,667	\$0	\$0	\$0	\$0	\$96,667	\$0	\$96,667
NCDF Roof Bld 500	\$0	\$0	\$0	\$25,000	\$360,000	\$0	\$0	\$385,000	\$0	\$385,000
Orenda Center HVAC Replacement	\$0	\$0	\$0	\$556,354	\$0	\$0	\$0	\$556,354	\$0	\$556,354
PRMD Roof Repairs/Cool Roof	\$0	\$0	\$0	\$150,000	\$702,383	\$0	\$0	\$852,383	\$0	\$852,383
Probation Camp Generator Replacement	\$0	\$0	\$0	\$130,000	\$0	\$0	\$0	\$130,000	\$0	\$130,000
NCDF Cool Roof 200 Building	\$0	\$0	\$0	\$350,000	\$0	\$0	\$0	\$350,000	\$0	\$350,000
Veterans/Community Bldgs. Kitchen Replacement	\$0	\$0	\$0	\$0	\$1,000,000	\$0	\$0	\$1,000,000	\$0	\$1,000,000
Justice Services	\$87,000	\$189,000	\$2,318,987	\$4,237,889	\$2,699,052	\$15,140,000	\$18,535,555	\$42,931,483	\$11,026,667	\$54,234,150
Radio Test Equipment Replacement and Repair	\$0	\$0	\$0	\$300,000	\$0	\$0	\$0	\$300,000	\$0	\$300,000
NCDF 201 Yard/Security	\$0	\$0	\$0	\$25,000	\$0	\$0	\$0	\$25,000	\$0	\$25,000
NCDF Kitchen Receiving Area Awning	\$0	\$0	\$0	\$0	\$30,000	\$0	\$0	\$30,000	\$0	\$30,000

5 YEAR PLAN FOCUS

Funding Status Function Project Name (Request No)	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
NCDF Lobby Security	\$0	\$0	\$0	\$100,000	\$345,948	\$0	\$0	\$445,948	\$0	\$445,948
NCDF New Clothing System	\$0	\$0	\$153,000	\$0	\$0	\$0	\$0	\$153,000	\$0	\$153,000
NCDF Re-Keying	\$0	\$0	\$72,893	\$0	\$0	\$0	\$0	\$72,893	\$0	\$72,893
NCDF Video Visiting	\$0	\$0	\$0	\$78,000	\$0	\$0	\$0	\$78,000	\$0	\$78,000
Non Public Safety Related Radio Gear	\$0	\$0	\$360,000	\$0	\$0	\$0	\$0	\$360,000	\$0	\$360,000
Sheriff Voice Radio System	\$0	\$0	\$390,000	\$0	\$0	\$0	\$0	\$390,000	\$0	\$390,000
Radio Network Monitoring System (NMS)	\$0	\$0	\$0	\$300,000	\$0	\$0	\$0	\$300,000	\$0	\$300,000
Sheriff Building - Administration Expansion	\$0	\$0	\$131,179	\$900,000	\$0	\$0	\$0	\$1,031,179	\$0	\$1,031,179
Morgue Facility Security Enhancements (Central)	\$0	\$0	\$31,415	\$0	\$0	\$0	\$0	\$31,415	\$0	\$31,415
MADF Lobby Expansion	\$0	\$0	\$0	\$25,000	\$0	\$0	\$0	\$25,000	\$0	\$25,000
Radio 700 mhz Trunked System	\$0	\$0	\$1,000,000	\$500,000	\$500,000	\$500,000	\$500,000	\$3,000,000	\$0	\$3,000,000
MADF Updated Rounds System	\$0	\$0	\$25,000	\$0	\$0	\$0	\$0	\$25,000	\$0	\$25,000
MADF Touch Screen Integration with IJS	\$0	\$0	\$0	\$200,000	\$190,000	\$0	\$0	\$390,000	\$0	\$390,000
MADF SERT Armory	\$0	\$0	\$0	\$48,000	\$0	\$0	\$0	\$48,000	\$0	\$48,000
MADF R-Mod Safety Cell Padding	\$0	\$0	\$28,000	\$0	\$0	\$0	\$0	\$28,000	\$0	\$28,000
MADF PTZ Cameras - Phase 2	\$2,000	\$189,000	\$0	\$130,000	\$0	\$0	\$0	\$130,000	\$0	\$321,000
MADF Paging System	\$0	\$0	\$83,500	\$0	\$0	\$0	\$0	\$83,500	\$0	\$83,500
Probation Camp Expansion	\$0	\$0	\$0	\$0	\$99,771	\$800,000	\$0	\$899,771	\$0	\$899,771
MADF Maximum Security Sink/Toilet Replacement	\$85,000	\$0	\$0	\$80,000	\$80,000	\$80,000	\$0	\$240,000	\$0	\$325,000
MADF I Module Housing Improvements	\$0	\$0	\$0	\$496,000	\$0	\$0	\$0	\$496,000	\$0	\$496,000
MADF H & J Module Housing Improvements	\$0	\$0	\$0	\$97,000	\$0	\$0	\$0	\$97,000	\$0	\$97,000
MADF Emergency Call Programming	\$0	\$0	\$44,000	\$0	\$0	\$0	\$0	\$44,000	\$0	\$44,000
LG Gymnasium Repairs and Replacements	\$0	\$0	\$0	\$125,000	\$600,000	\$0	\$0	\$725,000	\$0	\$725,000
Community Corrections Center New Construction	\$0	\$0	\$0	\$768,889	\$853,333	\$13,760,000	\$18,035,555	\$33,417,777	\$11,026,667	\$44,444,444

5 YEAR PLAN FOCUS

Funding Status Function Project Name (Request No)	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
MADF MH Observation Cell	\$0	\$0	\$0	\$65,000	\$0	\$0	\$0	\$65,000	\$0	\$65,000
<i>Other County Services</i>	<i>\$0</i>	<i>\$0</i>	<i>\$717,597</i>	<i>\$0</i>	<i>\$1,965,610</i>	<i>\$0</i>	<i>\$0</i>	<i>\$2,683,207</i>	<i>\$0</i>	<i>\$2,683,207</i>
Guerneville Library Renovation	\$0	\$0	\$717,597	\$0	\$1,965,610	\$0	\$0	\$2,683,207	\$0	\$2,683,207
Grand Total:	\$5,149,945	\$6,906,319	\$30,200,106	\$23,723,064	\$20,066,045	\$25,106,000	\$24,064,555	\$123,159,770	\$19,176,667	\$154,392,701

General Government - Funding Need Summary by Funding Source

- Sources of funding for all Projects in the Plan.
- Shows the total project cost by all of the various funding sources applicable to each project. Where the project is proposed to be financed by a debt issue, this table shows the total purchase or construction price of the project, not including the annual debt service payment.

FUNDING SOURCE	PRIOR YRS	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
			FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Criminal Justice Construction Fund	\$811,000	\$1,018,000	\$1,891,612	\$2,548,222	\$5,250,000	\$4,700,000	\$150,000	\$14,539,834	\$150,000	\$16,518,834
Fleet ACO	\$0	\$0	\$100,000	\$0	\$0	\$0	\$0	\$100,000	\$0	\$100,000
General Fund	\$1,068,945	\$4,923,319	\$23,724,991	\$18,517,842	\$14,048,045	\$8,022,000	\$7,682,555	\$71,995,433	\$9,102,667	\$87,090,364
Power Purchase Agreement	\$0	\$0	\$195,000	\$0	\$0	\$0	\$0	\$195,000	\$0	\$195,000
Recorder Modernization	\$0	\$50,000	\$3,450,000	\$0	\$0	\$0	\$0	\$3,450,000	\$0	\$3,500,000
SB 1022	\$0	\$0	\$0	\$692,000	\$768,000	\$12,384,000	\$16,232,000	\$30,076,000	\$9,924,000	\$40,000,000
Securitization/Endowment A	\$3,270,000	\$915,000	\$838,503	\$1,965,000	\$0	\$0	\$0	\$2,803,503	\$0	\$6,988,503
Grand Total:	\$5,149,945	\$6,906,319	\$30,200,106	\$23,723,064	\$20,066,045	\$25,106,000	\$24,064,555	\$123,159,770	\$19,176,667	\$154,392,701

Project Details - Administrative Support and Fiscal Services

The following pages provide detailed descriptions of each project included in this section, including cost and funding information. To find a specific project, please see the alphabetical projects listing located in the Appendix of this CPP. For more information about specific funding sources, please see the funding key also located in the Appendix.

R130001

2680 Ventura Storm Drain Extension

Funded

Function: Administrative and Fiscal Services
Department: General Services
Division/Section: Facilities Development Mgt Division

Status: REQUEST
Start Date: 7/1/2013
End Date: 6/30/2014



Description:

Design and construct extensions to the existing County-owned storm drain system across State lands, as required under terms of land transfer to State. First phase: design; second phase: construct. High contractual priority.

Project Cost	
Acquisition:	0
Construction:	260
Furniture/Reloc:	0
Design/PM:	80
Other:	0
Project Total:	340

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Minor increase in preventative maintenance.

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund		0	0	40	300	0	0	0	340	0	340
TOTALS:		0	0	40	300	0	0	0	340	0	340

All Values are presented in Thousands (1 x 1000)

R120037

CAC Fleet/Materials Lab Relocation

Funded

Function: Administrative and Fiscal Services **Status:** ACTIVE
Department: General Services **Start Date:** 7/1/2012
Division/Section: Facilities Development Mgt Division **End Date:** 6/30/2014

Description:

Relocate staff and contents out of existing facility. The current CAC Fleet Operations facility will be displaced by the new State Courthouse project. Relocate Fleet Operations, Materials Lab and other occupants to make way for new Courthouse parking. This project is solely for relocation costs and is in addition to potential acquisition funding. Costs include relocating the FD+M Construction Crew (CC) shop and Electric Vehicle chargers (see R100008). CC managers have already relocated to La Plaza A separately. A “No-Project” option does not exist, as the State now owns the land and County must vacate by June 2014. The project is required to meet commitments to the State and is consistent with both the Economic & Environmental Stewardship and Invest in the Future Strategic Plan Goals. High contractual priority.



Net Impact On Operating Budget:

Net impact dependent on location. One-time relocation costs.

Project Cost	
Acquisition:	0
Construction:	698
Furniture/Reloc:	98
Design/PM:	23
Other:	82
Project Total:	900

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Funding Summary				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
General Fund	150888	0	280	620	0	0	0	0	620	0	900
TOTALS:		0	280	620	0	0	0	0	620	0	900

All Values are presented in Thousands (1 x 1000)

R130002

CAC Well Relocation

Funded

Function: Administrative and Fiscal Services **Status:** REQUEST
Department: General Services **Start Date:** 7/1/2013
Division/Section: Facilities Development Mgt Division **End Date:** 12/31/2013



Description:

Relocate County-owned groundwater well situated on new State court house site, per terms of land transfer agreement. Well to be relocated away from area of construction, with use benefitting County retained. High contractual priority.

Project Cost	
Acquisition:	0
Construction:	80
Furniture/Reloc:	0
Design/PM:	20
Other:	0
Project Total:	100

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund		0	0	0	20	80	0	0	100	0	100
TOTALS:		0	0	0	20	80	0	0	100	0	100

All Values are presented in Thousands (1 x 1000)

R120009

CMP Boilers

Funded

Function: Administrative and Fiscal Services **Status:** REQUEST
Department: General Services **Start Date:** 7/2/2012
Division/Section: Facilities Development Mgt Division **End Date:** 11/2/2012

Description:

Replace 2 existing boilers with new 10 mbtu boilers. Three existing Central Mechanical Plant boilers do not meet emission standards: Regulation 9, Rule 7, Bay Area Air Quality Management District which says 33% of facility devices replaced by 1/1/13, 66% by 1/1/14 and 100% by 1/1/15.



Project Cost	
Acquisition:	0
Construction:	504
Furniture/Reloc:	0
Design/PM:	0
Other:	0
Project Total:	504

O and M Cost	
Utilities:	-33
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	-33

Net Impact On Operating Budget:

Annual Cost Savings of \$32,585 and fines averted.

Revenue/Refund:	
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eTons:	-288
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund		0	0	252	252	0	0	0	504	0	504
TOTALS:		0	0	252	252	0	0	0	504	0	504

All Values are presented in Thousands (1 x 1000)

R030004

County Groundwater Contamination Investigation

Funded

Function: Administrative and Fiscal Services **Status:** ACTIVE
Department: General Services **Start Date:** 7/9/2003
Division/Section: Facilities Development Mgt Division **End Date:**



Description:

Remediation continues as required by the North Coast Regional Water Quality Control Board (NCRWQCB) to prevent the spread of contamination. Noncompliant, underground fuel storage tanks at County facilities have been removed in accordance with regulations. However, residual petroleum-based contamination from these old tanks exists at some of the sites, and the NCRWQCB requires continued investigation and remediation of these sites, including ongoing monitoring and reporting per RCRA Groundwater Monitoring Technical Enforcement Guidance Document, OSWER 9950.1, September 1986. Consequences of No-Action are fines for violation of NCRWQCB regulations. Partial monitoring does not fulfill requirements. High regulatory priority.

Project Cost	
Acquisition:	0
Construction:	627
Furniture/Reloc:	0
Design/PM:	0
Other:	0
Project Total:	627

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
General Fund	150573	507	20	20	20	20	20	20	100	0	627
TOTALS:		507	20	20	20	20	20	20	100	0	627

All Values are presented in Thousands (1 x 1000)

R120007

FJC reroof and mech screen

Funded

Function: Administrative and Fiscal Services
Department: General Services
Division/Section: Facilities Development Mgt Division

Status: REQUEST
Start Date:
End Date:



Description:

Re-roof the Family Justice Center with a cool roof with 20 yr warranty. Remove old roofing and screen. Strengthen roof as necessary, add supports for new screen and then re-roof with cool roof with 20 yr warranty. Build new screen and attach to supports attached to roof deck. As of 01/2012 leaking has occurred. High asset preservation priority.

Project Cost	
Acquisition:	0
Construction:	378
Furniture/Reloc:	0
Design/PM:	50
Other:	0
Project Total:	428

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Impacts to be determined

Revenue/Refund:	
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eTons:	
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Funding Summary				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
General Fund		0	0	50	378	0	0	0	428	0	428
TOTALS:		0	0	50	378	0	0	0	428	0	428

All Values are presented in Thousands (1 x 1000)

R120004

MADF Roof

Funded

Function: Administrative and Fiscal Services **Status:** REQUEST
Department: General Services **Start Date:**
Division/Section: Facilities Development Mgt Division **End Date:**



Description:

Re-roof older MADF roof area. Becoming more critical; Roof is approx 94,000 sf for the old part in need of replacement. As of 12/2012 leaking has occurred. Phased replacement. High asset preservation priority.

Project Cost	
Acquisition:	0
Construction:	1,880
Furniture/Reloc:	0
Design/PM:	50
Other:	0
Project Total:	1,930

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Impacts to be determined

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund		0	0	180	1,750	0	0	0	1,930	0	1,930
TOTALS:		0	0	180	1,750	0	0	0	1,930	0	1,930

All Values are presented in Thousands (1 x 1000)

R120056

NCDF Water Heaters and boilers

Funded

Function: Administrative and Fiscal Services
Department: General Services
Division/Section: Energy & Sustainability

Status: REQUEST
Start Date:
End Date:



Description:

Phased replacements: FY 13-14, North County Detention Facility building 300 replace Classroom Heater and 4-ton Condenser with High Efficiency Equipment - Seasonal Energy Efficiency Ratio 15+ (\$25,000). FY 14-15: NCDF 401 - Kitchen steam boiler replacement Energy Efficiency Measure F (\$81,640); NCDF 201 - replace Raypak boiler & tank - No EEM - under EEM 76 (45,000) NCDF 300 Replace Two 75-gallon Water Heater with State Industries High Efficiency Water Heater (\$28,750). These replace old, failing equipment to boost efficiency and cut down on maintenance costs. Payback for all combined is in the 25 year range.

Net Impact On Operating Budget:

Impacts to be determined

Project Cost	
Acquisition:	0
Construction:	177
Furniture/Reloc:	0
Design/PM:	3
Other:	0
Project Total:	180

O and M Cost	
Utilities:	-8
Maintenance	0
Personnel:	0
Other:	0
OM Total:	-8

Revenue/Refund:	
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eTons:	22
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund		0	0	25	155	0	0	0	180	0	180
TOTALS:		0	0	25	155	0	0	0	180	0	180

All Values are presented in Thousands (1 x 1000)

R120055

CAC Parking Lot LED Upgrade Phase 2

Funded by Others

Function: Administrative and Fiscal Services
Department: General Services
Division/Section: Energy & Sustainability

Status: ACTIVE
Start Date:
End Date:



Description:

Upgrade to LED Parking lot lighting for La Plaza A, MADF, and Human Services. Will replace old metal halide fixtures with new LEDs. Efficiency cuts cost by 50%. Payback is less than 7 years. paid for via ARRA

Project Cost	
Acquisition:	0
Construction:	300
Furniture/Reloc:	0
Design/PM:	0
Other:	0
Project Total:	300

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Impacts to be determined

Revenue/Refund:	
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eTons:	0
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
ARRA		150	0	150	0	0	0	0	150	0	300
TOTALS:		150	0	150	0	0	0	0	150	0	300

All Values are presented in Thousands (1 x 1000)

R130008

CRA Consolidation Project

Funded by Others

Function: Administrative and Fiscal Services
Department: Clerk/Recorder/Assessor
Division/Section: Administration

Status: ACTIVE
Start Date:
End Date:

Description:

Evaluate relocations to consolidate CRA, then prepare move management and space designs for preferred solution. CRA seeks a single location from which to provide services. (Note: CRA previously sought a single location to consolidate all division including Registrar of Voters. Current objective is consolidation of Clerk and Recorder.) Sufficient funds were not available for the previously-planned (2009) LaPlaza B full consolidation site. Supersedes prior project R040002 CRA Co-location Project Study. Alleviates constraints to effective public service.



Project Cost	
Acquisition:	0
Construction:	3,200
Furniture/Reloc:	150
Design/PM:	150
Other:	0
Project Total:	3,500

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Allows opportunities to reduce duplication of management and administration functions, make more efficient use of County floor space.

Revenue/Refund:	
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eTons:	
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Funding Summary				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Recorder Modernization		0	50	3,450	0	0	0	0	3,450	0	3,500
TOTALS:		0	50	3,450	0	0	0	0	3,450	0	3,500

All Values are presented in Thousands (1 x 1000)

R130023

JJC/Sheriff UPS Replacement

Funded by Others

Function: Administrative and Fiscal Services
Department: General Services
Division/Section: Facilities Development Mgt Division

Status: REQUEST
Start Date:
End Date:

Description:

Replace existing uninterruptable power source. The UPS units allow for a safe transfer from utility power to generator power during a power outage. This insures that all safety and security controls remain active and do not experience power "spike" damage during the power transfer. This project is to replace and upgrade all UPS equipment that serve critical services. It is still being priced.

The failure of the UPS (Uninterruptible Power Supply) power back-up system represents a safety and security risk for public, staff and County facilities. The UPS units are at the end of their useful life span.



Net Impact On Operating Budget:

Impacts to be determined.

Project Cost	
Acquisition:	0
Construction:	20
Furniture/Reloc:	0
Design/PM:	5
Other:	0
Project Total:	25

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Securitization/Endowment A		0	0	25	0	0	0	0	25	0	25	
TOTALS:		0	0	25	0	0	0	0	25	0	25	

All Values are presented in Thousands (1 x 1000)

R070000

Sheriff Sonoma Substation Solarization

Funded by Others

Function: Administrative and Fiscal Services
Department: General Services
Division/Section: Energy & Sustainability

Status: REQUEST
Start Date: 9/1/2013
End Date: 6/30/2014



Description:

Install 45kW PhotoVoltaic system at Sonoma Sheiffs Substation. It will cut costs by \$4200/yr with a payback of 15 years. Will cut Greenhouse Gas by 5.7 tons/yr Project funded via State funded Sustainable Energy and Economic Development (SEED) fund and MOU to the BOS on 2/26/13.

Project Cost	
Acquisition:	0
Construction:	175
Furniture/Reloc:	0
Design/PM:	20
Other:	0
Project Total:	195

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund:	
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eTons:	
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Funding Summary				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Power Purchase Agreement		0	0	195	0	0	0	0	195	0	195
TOTALS:		0	0	195	0	0	0	0	195	0	195

All Values are presented in Thousands (1 x 1000)

R130012

CAC Motor Pool Lot Relocation

Funded/Funded by Others

Function: Administrative and Fiscal Services
Department: General Services
Division/Section: Fleet

Status: REQUEST
Start Date: 7/1/2013
End Date: 6/30/2014

Description:

Relocate Fleet parking. The current County Administration Center Fleet Motor Pool lot will be displaced the new State Court house project. Fleet Operations and this lot must be relocated by June 2014 to make way for that project. The new lot location will accommodate secure parking for 50-60 vehicles and include ten relocated electric vehicle (EV) charging stations with preparations for an additional ten. A kiosk structure accommodates an automated key dispenser, with part-time staff to prepare returned vehicles. The project is required to meet commitments to the State while preserving service levels and is consistent with both the Economic & Environmental Stewardship and Invest in the Future Strategic Plan Goals with its ever-expanding EV fleet. High contractual priority.



Net Impact On Operating Budget:

Slight increase in utilities (Gastes, lights & AC), with net fuel savings increasing with additional EV charger installation.

Project Cost	
Acquisition:	0
Construction:	385
Furniture/Reloc:	0
Design/PM:	66
Other:	35
Project Total:	486

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Funding Summary				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Fleet ACO		0	0	100	0	0	0	0	100	0	100
General Fund		0	0	329	0	0	0	0	329	0	329
Securitization/Endowment A		0	0	58	0	0	0	0	58	0	58
TOTALS:		0	0	486	0	0	0	0	486	0	486

All Values are presented in Thousands (1 x 1000)

R090002

County ADA Barrier Removal

Funded/Funded by Others

Function: Administrative and Fiscal Services **Status:** ACTIVE
Department: General Services **Start Date:** 7/1/2009
Division/Section: Facilities Development Mgt Division **End Date:** 6/30/2021



Description:

Remove and/or correct non-compliant building elements. Locations of work for 5th year updated Transition Plan include: General Government: Main Adult Detention Facility, Paulin Human Services Department building, La Plaza complex, Valley of the Moon Children's Center, Norton Center and Annex; Regional Parks: Hudeman Slough, Doran Park, Ragle Park; Fair: Public parking and Path of Travel; Transportation and Public Works refuse sites.

Project Cost	
Acquisition:	0
Construction:	15,966
Furniture/Reloc:	0
Design/PM:	2,817
Other:	2,087
Project Total:	20,870

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
TS	150326	3,270	0	0	0	0	0	0	0	0	3,270
General Fund		0	1,600	1,600	1,600	1,600	1,600	1,600	8,000	8,000	17,600
TOTALS:		3,270	1,600	1,600	1,600	1,600	1,600	1,600	8,000	8,000	20,870

All Values are presented in Thousands (1 x 1000)

R110028

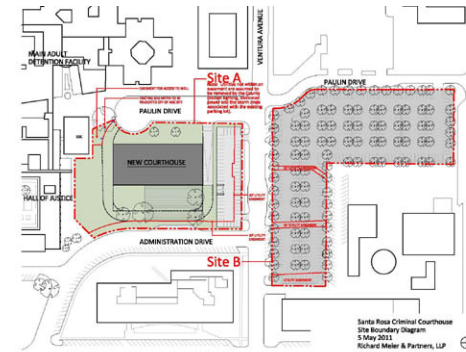
New State Courthouse: Coordination Support

Funded/Funded by Others

Function: Administrative and Fiscal Services **Status:** ACTIVE
Department: General Services **Start Date:** 6/30/2007
Division/Section: Facilities Development Mgt Division **End Date:**

Description:

Provide county staff coordination of construction impacts on County Administration Center land and infrastructure resulting from land transfer agreements for the new State courthouse project (transfer of County property to State, acquisition of Fleet site, parking easment on parking lot P20). Funded by Criminal Justice Construction Fund. High contractual and economic benefit priority.



Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	350
Other:	0
Project Total:	350

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

N/A

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
CJCF	109991	0	150	0	0	0	0	0	0	0	150
General Fund		0	0	50	50	50	50	0	200	0	200
TOTALS:		0	150	50	50	50	50	0	200	0	350

All Values are presented in Thousands (1 x 1000)

R110108

Roseland Groundwater Well Monitoring

Funded/Funded by Others

Function: Administrative and Fiscal Services
Department: General Services
Division/Section: Facilities Development Mgt Division

Status: ACTIVE
Start Date: 7/2/2012
End Date: 6/30/2016



Description:

Maintain groundwater monitoring well and on-going sampling and reporting test results per requirements of the North Coast Regional Water Quality Control Board (NCRWQCB) until removal of the contaminated soil; monitor the area for some period time thereafter, until contaminant levels drop to NCRWQCB satisfaction. Responsibility for the Roseland property shifts from redevelopment to the County. Pending disposition determination by the Successor Agency and/or Oversight Board. High regulatory priority.

Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	180
Other:	0
Project Total:	180

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Ongoing monitoring.

Revenue/Refund:	
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eTons:	
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Funding Summary				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
General Fund	150375	0	0	60	60	30	30	0	180	0	180
TOTALS:		0	0	60	60	30	30	0	180	0	180

All Values are presented in Thousands (1 x 1000)

Project Details - Justice Services

The following pages provide detailed descriptions of each project included in this section, including cost and funding information. To find a specific project, please see the alphabetical projects listing located in the Appendix of this CPP. For more information about specific funding sources, please see the funding key also located in the Appendix.

R130022

Adult Probation Tenant Improvement

Funded

Function: Justice Services
Department: Probation
Division/Section:

Status: REQUEST
Start Date:
End Date:



Description:

Provide additional office spaces for Probation Investigations units. As officers are added to support increases in Post Release Community Supervision populations and new programs are added to relieve Jail populations, there is no capacity within 104 J of the Hall of Justice. With a significant increase in clientele, more interview rooms are needed. Urine testing facilities need to be expanded, and with new business models that warrant co-location of partnering County agencies, there is an existing need for additional offices, cubicles, and meeting rooms. In addition, while SB678 provides incentive funding for lower recidivism rates for felony offenders, the Probation Department lacks group programming space to provide evidence based programming. High public safety priority.

Net Impact On Operating Budget:

No Net Impact

Project Cost	
Acquisition:	0
Construction:	335
Furniture/Reloc:	55
Design/PM:	97
Other:	79
Project Total:	566

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund		0	0	566	0	0	0	0	566	0	566
TOTALS:		0	0	566	0	0	0	0	566	0	566

All Values are presented in Thousands (1 x 1000)

R070005

LG Firing Range Upgrades (Indoor)

Funded

Function: Justice Services
Department: Sheriff
Division/Section: Law Enforcement

Status: REQUEST
Start Date:
End Date:



Description:

Replace the existing target track system with a new, reliable system. The Sheriff relies on this facility for quarterly mandated training requirements. No facility is available nearby that could meet these requirements. The building has been renovated with a new roof and ventilation, but the target equipment is the original equipment that came with the building. This equipment is subject to breakdown and repairs, which hampers the training and certification program. It is beyond useful life and costs of maintenance are escalating. High public safety priority.

Project Cost	
Acquisition:	0
Construction:	175
Furniture/Reloc:	0
Design/PM:	25
Other:	0
Project Total:	200

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund		0	0	200	0	0	0	0	200	0	200
TOTALS:		0	0	200	0	0	0	0	200	0	200

All Values are presented in Thousands (1 x 1000)

R120089

MADF R Mod Metal Door Replacement

Funded

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: ACTIVE
Start Date: 7/24/2012
End Date:



Description:

Replace existing doors. R-Mod lacks sufficient high security metal doors commensurate with the inmate population in this housing module. This module includes inmates on withdrawal protocol, administrative segregation and acute mental health diagnosis. To provide appropriate safety for staff and inmates, doors must be retrofitted to higher security door and lock that includes a cuffing and feeding port.

Net Impact On Operating Budget:

Impacts to be determined

Project Cost	
Acquisition:	0
Construction:	450
Furniture/Reloc:	0
Design/PM:	0
Other:	25
Project Total:	475

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund	111708	0	475	0	0	0	0	0	0	0	475
TOTALS:		0	475	0	0	0	0	0	0	0	475

All Values are presented in Thousands (1 x 1000)

R130027

Central Kitchen Cook Chill Equipment

Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: REQUEST
Start Date: 7/1/2013
End Date: 6/30/2014



Description:

Install new equipment. Start-up costs for cook chill equipment in central kitchen, to include specialized kitchen equipment necessary to transition food service operations from cook-serve to cook-chill. Allows relocation of MADF and NCDF kitchens to off-site location, in turn allowing MADF booking area expansion and improvements per Criminal Justice Master Plan objectives. High public safety and economic benefit priority.

Project Cost	
Acquisition:	0
Construction:	425
Furniture/Reloc:	0
Design/PM:	25
Other:	50
Project Total:	500

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Impacts to be determined.

Revenue/Refund:	
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eTons:	
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Funding Summary				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Criminal Justice Construction Fund		0	0	500	0	0	0	0	500	0	500
TOTALS:		0	0	500	0	0	0	0	500	0	500

All Values are presented in Thousands (1 x 1000)

R130026

MADF & NCDF Modifications for Food Service Delivery

Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: REQUEST
Start Date:
End Date:



Description:

Modify the Main Adult and North County Detention Facilities to accommodate delivery, distribution, and retherm of meals prepared at an off-site cook-chill kitchen. This supports transition from cook-serve to cook-chill method at an off-site facility. This project provides the retherm equipment and delivery carts only. Companion Project to Central Kitchen R090008, which in turn allows necessary MADF booking area expansion and improvements. High public safety consideration.

Project Cost	
Acquisition:	0
Construction:	486
Furniture/Reloc:	0
Design/PM:	19
Other:	51
Project Total:	556

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Impacts to be determined.

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
TS		0	0	556	0	0	0	0	556	0	556
TOTALS:		0	0	556	0	0	0	0	556	0	556

All Values are presented in Thousands (1 x 1000)

R110039

MADF Booking Improvements

Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: REQUEST
Start Date:
End Date:



Description:

Expand and improve Main Adult Detention Facility booking area for increased and safer processing flow, per Criminal Justice Master Plan Needs Assessment. Phase 1 (FY 14/15): Complete construction documents and specifications to expand booking area into existing kitchen area (kitchen relocates under separate project; sequencing dependency); Phase 2 (FY 14/15): Move from/demolition of existing kitchen area for new booking area expansion; Phase 3 (FY 15/16): Existing booking area remodeled. High public safety priority.

Net Impact On Operating Budget:

No Net Impact

Project Cost	
Acquisition:	0
Construction:	6,600
Furniture/Reloc:	0
Design/PM:	1,000
Other:	0
Project Total:	7,600

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
CJCF		0	0	0	1,000	4,800	1,800	0	7,600	0	7,600
TOTALS:		0	0	0	1,000	4,800	1,800	0	7,600	0	7,600

All Values are presented in Thousands (1 x 1000)

R130011

MADF Cell Door Breach Programming

Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: REQUEST
Start Date:
End Date:

Description:

Add programming to alert Central Control when a cell door is breached, which cell door is breached, so that those responding can have knowledge about the situation prior to entering the module. On Graveyard Staffing it is common for one Deputy to be responsible for two separate modules. There is currently no way to notify staff if a cell door is breached when the Deputy is out of the module. This is a significant safety and security concern. Recently an inmate was able to breach his cell door and had access to the dayroom without the Deputy being present. High public safety consideration.



Project Cost	
Acquisition:	0
Construction:	56
Furniture/Reloc:	0
Design/PM:	6
Other:	0
Project Total:	62

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
CJCF		0	0	62	0	0	0	0	62	0	62
TOTALS:		0	0	62	0	0	0	0	62	0	62

All Values are presented in Thousands (1 x 1000)

R050002

MADF Door Hardening

Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: ACTIVE
Start Date:
End Date:



Description:

Upgrade cell doors; continue installation of new high security doors and frames. Because of an increasing population of mentally ill and higher risk inmates, the Sheriff has had to modify the use of the housing units in the Main Adult Detention Facility. Housing for these inmates must be higher security and more durable than was originally designed. The plan in to install new doors and frames in each of the next several years; \$150,000 per year. (Note R-Mod door hardening is separate project.) High public safety consideration.

Project Cost	
Acquisition:	0
Construction:	1,340
Furniture/Reloc:	0
Design/PM:	0
Other:	0
Project Total:	1,340

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
CJCF	111328	440	150	150	150	150	150	150	750	0	1,340
TOTALS:		440	150	150	150	150	150	150	750	0	1,340

All Values are presented in Thousands (1 x 1000)

R120039

MADF Grinder/Auger System

Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: ACTIVE
Start Date: 7/2/2012
End Date: 6/30/2014



Description:

Install a grinder/auger system behind the Main Adult Detention Facility after the last manhole in the 8" main sewer line from the jail: Intercept and remove unwanted materials from the waste stream entering the City's sewer system. The need to install this system has been accelerated by the City's Administrative Order regarding unwanted items (clothing, etc.) from the MADF entering the sewer system. Additional funds request to design and build solution to groundwater conditions discovered through geotechnical exploration. High mandated priority.

Project Cost	
Acquisition:	0
Construction:	421
Furniture/Reloc:	0
Design/PM:	76
Other:	46
Project Total:	543

O and M Cost	
Utilities:	0
Maintenance:	60
Personnel:	120
Other:	2
OM Total:	183

Net Impact On Operating Budget:

1 FTE needed, plus blades, motors

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
CJCF	111682	0	443	100	0	0	0	0	100	0	543
TOTALS:		0	443	100	0	0	0	0	100	0	543

All Values are presented in Thousands (1 x 1000)

R110032

MADF Inmate Transfer Connection to Courthouse

Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: ACTIVE
Start Date: 10/27/2007
End Date: 6/30/2014



Description:

Construct a new secure connection from the Main Adult Detention Facility to the new State court house. Phase I: Provide concept study and cost estimate (\$150k); Phase II: Design and construct. Funds for the new State-constructed court house at the County Administration Center do not include a new secure connection to the M.A.D.F.; a secure connection constructed by the County avoids significantly increased inmate transfer operational costs once courts move from the H.O.J. to the new court house. Inmate transfer risks are also mitigated. High public and staff safety, and economic benefit priority.

Project Cost	
Acquisition:	0
Construction:	2,750
Furniture/Reloc:	0
Design/PM:	250
Other:	150
Project Total:	3,150

O and M Cost	
Utilities:	14
Maintenance:	2
Personnel:	0
Other:	0
OM Total:	17

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
CJCF	150268	0	75	0	25	300	2,750	0	3,075	0	3,150
TOTALS:		0	75	0	25	300	2,750	0	3,075	0	3,150

All Values are presented in Thousands (1 x 1000)

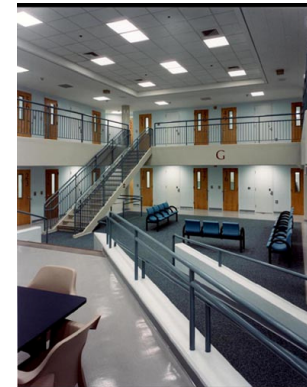
R120093

MADF R-Mod Dayroom Divider

Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: ACTIVE
Start Date:
End Date:



Description:

Sub-divide existing dayroom. Additional sub-dayroom space will allow mental health, withdrawal protocol and overflow administrative segregation inmates more time out of their cells per State of California Title 15 guidelines. High regulatory mandate consideration.

Project Cost	
Acquisition:	0
Construction:	140
Furniture/Reloc:	0
Design/PM:	10
Other:	5
Project Total:	155

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Impacts to be determined

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
CJCF	111690	0	155	0	0	0	0	0	0	0	155
TOTALS:		0	155	0	0	0	0	0	0	0	155

All Values are presented in Thousands (1 x 1000)

R130009

NCDF Perimeter Security

Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: ACTIVE
Start Date:
End Date:



Description:

Replace/upgrade NCDF Perimeter Security. The current North County Detention Facility perimeter security has been in place many years and is outdated. Inmates have recently defeated the perimeter security. Addressing this allows the NCDF to continue to be utilized as a detention facility. It is a legal requirement to detain inmates within the secure perimeter during their sentence; High public safety priority.

Project Cost	
Acquisition:	0
Construction:	538
Furniture/Reloc:	0
Design/PM:	107
Other:	60
Project Total:	705

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Impacts to be determined.

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
CJCF		0	45	660	0	0	0	0	660	0	705
TOTALS:		0	45	660	0	0	0	0	660	0	705

All Values are presented in Thousands (1 x 1000)

R100001

Radio Communications County Microwave System (Links)

Funded

Function: Justice Services
Department: Sheriff
Division/Section: Radio

Status: REQUEST
Start Date: 7/1/2014
End Date:



Description:

Replace & Upgrade Microwave System Links; FY 13-14 - between Sheriff Office to Sonoma Mountain & Sheriff Office to Mt. Jackson site locations. The Sonoma Mountain and Mt Jackson site locations are the two key loop protection microwave links that are originated from the Sheriff Office radio room. The equipment will have exceeded the reliable life cycle. Subsequent years continue additional replacements. Links are critical to county-wide communication for Law, Fire, Emergency Medical Services, Parks, Roads, SCWA, Animal Control, Transit. High public safety priority.

Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	0
Other:	540
Project Total:	540

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund		0	0	180	180	180	0	0	540	0	540
TOTALS:		0	0	180	180	180	0	0	540	0	540

All Values are presented in Thousands (1 x 1000)

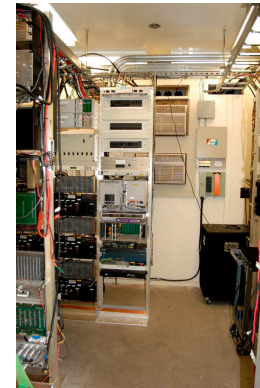
R100006

Radio County Microwave System Processors

Funded

Function: Justice Services
Department: Sheriff
Division/Section: Radio

Status: ACTIVE
Start Date:
End Date:



Description:

Replace microwave DS3 radio communication processing equipment located at remote communication sites. The County microwave system DS3 processors have exceeded reliable lifecycle by 3 years. The processors control voice and data communication at each communications site. Failure of a processor would cause the loss of Sheriff and Redcom radio communication to and from that site.

Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	0
Other:	350
Project Total:	350

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund	007278	150	200	0	0	0	0	0	0	0	350
TOTALS:		150	200	0	0	0	0	0	0	0	350

All Values are presented in Thousands (1 x 1000)

R110040

Radio Infrastructure - Various Communication Towers

Funded

Function: Justice Services **Status:** ACTIVE
Department: Sheriff **Start Date:** 7/1/2006
Division/Section: Radio **End Date:** 6/30/2018



Description:

Continue to develop the essential "fill-in" communication sites to improve redundancy for emergency response. The Radio Needs Analysis Report 8/2009 identified several areas with poor radio communications coverage. Additionally, existing sites need reconstruction. Mount Burdell - \$100K, new site; Moonraker Road - \$80K; Speedway - \$640,000K, new site; Rockpile Ridge - \$252K, new site; Mt Barham - \$151K, replace existing tower & vault on new site; Geysler Peak - \$167K. High public safety priority.

Project Cost	
Acquisition:	0
Construction:	5,400
Furniture/Reloc:	0
Design/PM:	600
Other:	0
Project Total:	6,000

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Impacts to be determined.

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund	150706	0	1,200	1,400	1,100	1,100	1,200	0	4,800	0	6,000
TOTALS:		0	1,200	1,400	1,100	1,100	1,200	0	4,800	0	6,000

All Values are presented in Thousands (1 x 1000)

R110005

MADF General Population-Subdivide Dayroom & Yard

Funded/Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Detention

Status: REQUEST
Start Date:
End Date:

Description:

Divide GP housing modules into sub-day rooms by placing barriers on the upper tier of the modules and divide yards to increase inmate out of cell time (OCA). Classification levels dictate which inmates may safely mix together. There is only one day room and one yard in each module. Inmates are rotated through in groups. Each group receives 1-3 hours of OCA a day. Inmates benefit mentally, physically and emotionally from increased out of cell time. Inmates that have less problems result more positive communications and interactions with Correctional Staff and overall compliance while in custody. Over time the inmate population has changed with less inmates mixing together and less OCA in general. Additional OCA ensures that inmates feel less isolated and disconnected from resources; ensures staff and inmate contact and assists Detention Staff in safely managing the inmate population. High regulatory mandate and public safety consideration.



Net Impact On Operating Budget:

No Net Impact

Project Cost	
Acquisition:	0
Construction:	1,200
Furniture/Reloc:	0
Design/PM:	150
Other:	0
Project Total:	1,350

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund	111674	0	0	275	450	450	0	0	1,175	0	1,175
CJCF		0	0	175	0	0	0	0	175	0	175
TOTALS:		0	0	450	450	450	0	0	1,350	0	1,350

All Values are presented in Thousands (1 x 1000)

R040005

Sheriff Building New Evidence Storage Building

Funded/Funded by Others

Function: Justice Services
Department: Sheriff
Division/Section: Law Enforcement

Status: REQUEST
Start Date:
End Date:



Description:

Add storage building to meet increasing demand for evidence storage space. The Sheriff is required to store evidence (not inmate belongings) for a mandated period of time, even after cases have been adjudicated. The storage must be secure and controlled and, therefore, is located in an Annex building in the secured parking lot of the Sheriff's Building . Because of the long term nature of the storage, the Annex is running out of space. A few years ago, high density shelving was installed in the Annex to maximize its capacity, but that is being exceeded. Two trailers are now on-site and various off-site storage locations are in use. Need for 15 years capacity determined to be 5,000 sf metal building at SE corner of parking lot. High legal priority.

Net Impact On Operating Budget:

No Net Impact

Project Cost	
Acquisition:	0
Construction:	1,185
Furniture/Reloc:	0
Design/PM:	132
Other:	132
Project Total:	1,449

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
General Fund		0	0	0	100	0	0	0	100	0	100
CJCF		0	0	149	1,200	0	0	0	1,349	0	1,349
TOTALS:		0	0	149	1,300	0	0	0	1,449	0	1,449

All Values are presented in Thousands (1 x 1000)

Project Details Development Services – Fire and Emergency Services

The following pages provide detailed descriptions of each project included in this section, including cost and funding information. To find a specific project, please see the alphabetical projects listing located in the Appendix of this CPP. For more information about specific funding sources, please see the funding key also located in the Appendix.

R130004

Fire Garage (Volunteer) - Lakeville

Funded/Funded by Others

Function: Development Services

Status: ACTIVE

Department: Fire Emergency Services

Start Date:

Division/Section:

End Date:

Description:

Acquire site, design and permit the 2nd of four “Truck Garage” satellite apparatus storage facilities for support of volunteer fire departments. This is a 4-bay metal building with no heat/AC, minimal light fixtures, power outlets and manual overhead doors. Pumper is supplied by a pre-fab metal water tank located nearby. Minimal parking paving and a chemical toilet are provided. May include a small modular with a training room and restroom in lieu of the chemical toilet.; otherwise volunteer firefighters must suit-up in their cars and forfeit a space for community engagement/fundraisers. Includes standard ramp, steps & awning. Construction to be funded in a subsequent phase, with cost to be estimated based on prior design.

The “No-Project” option leaves fire trucks scattered in area barns with current lengthy response times and high fire insurance rates.

The proposed project is consistent with the Safe, Healthy & Caring Community, Economic & Environmental Stewardship and Civic Services & Engagement elements of the Strategic Plan Goals. High public safety consideration.



Net Impact On Operating Budget:

Impacts to be determined.

Project Cost	
Acquisition:	0
Construction:	650
Furniture/Reloc:	0
Design/PM:	164
Other:	11
Project Total:	825

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Funding Summary				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Securitization/Endowment A	150904	0	360	0	465	0	0	0	465	0	825
TOTALS:		0	360	0	465	0	0	0	465	0	825

All Values are presented in Thousands (1 x 1000)

R130005

Fire Garage (Volunteer) - San Antonio

Funded by Others

Function: Development Services

Status: REQUEST

Department: Fire Emergency Services

Start Date:

Division/Section:

End Date:

Description:

Construct a "Truck Garage" satellite apparatus storage facility for support of volunteer fire departments. It is a 4-bay metal building with no heat/AC, minimal light fixtures, power outlets and manual overhead doors. Pumper is supplied by a pre-fab metal water tank located nearby. Minimal ADA parking paving and a chemical toilet are provided. Alt. #1 includes a small modular with a training room and restroom in lieu of the chemical toilet. Includes standard ramp, steps & awning. The "No-Project" option leaves fire trucks scattered in area barns with current lengthy response times and high fire insurance rates. If Alt. #1 is declined, volunteer firefighters must suit-up in their cars and forfeit a space for community engagement/fundraisers.

The proposed project is consistent with the Safe, Healthy & Caring Community, Economic & Environmental Stewardship and Civic Services & Engagement elements of the Strategic Plan Goals. High public safety consideration.



Project Cost	
Acquisition:	0
Construction:	700
Furniture/Reloc:	0
Design/PM:	150
Other:	0
Project Total:	850

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Impacts to be determined.

Revenue/Refund:	
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eTons:	
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Funding Summary				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Securitization/Endowment A		0	0	100	750	0	0	0	850	0	850
TOTALS:		0	0	100	750	0	0	0	850	0	850

All Values are presented in Thousands (1 x 1000)

R130003

Fire Station - Two Rock Volunteer Construction

Funded by Others

Function: Development Services **Status:** REQUEST
Department: Fire Emergency Services **Start Date:**
Division/Section: **End Date:**

Description:

Construct a “Truck Garage” satellite apparatus storage facility for support of volunteer fire departments. It is a 3-4 bay metal building with no heat/AC, minimal light fixtures, power outlets and manual overhead doors. Pumper is supplied by a pre-fab metal water tank located nearby. Minimal ADA parking paving and a chemical toilet are provided. Alt. #1 includes a small modular with a training room and restroom in lieu of the chemical toilet. Includes standard ramp, steps & awning.

The “No-Project” option leaves fire trucks scattered in area barns with current lengthy response times and the high fire insurance rates. If Alt. #1 is declined, volunteer firefighters must suit-up in their cars and forfeit a space for community engagement/fundraisers.

The proposed project is consistent with the Safe, Healthy & Caring Community, Economic & Environmental Stewardship and Civic Services & Engagement elements of the Strategic Plan Goals. High public safety consideration.



Net Impact On Operating Budget:

Impacts to be determined.

Project Cost	
Acquisition:	0
Construction:	700
Furniture/Reloc:	0
Design/PM:	150
Other:	0
Project Total:	850

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Funding Summary

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
FES		0	0	100	750	0	0	0	850	0	850
TOTALS:		0	0	100	750	0	0	0	850	0	850

All Values are presented in Thousands (1 x 1000)

R130006

Fire Garage (Volunteer) - Annapolis

Funded/Funded by Others

Function: Development Services
Department: Fire Emergency Services
Division/Section:

Status: ACTIVE
Start Date: 12/3/2012
End Date:

Description:

Construct 1st of four “Truck Garage” satellite fire stations for support of volunteer fire departments. It is a 3-bay metal building with no heat/AC, minimal light fixtures, power outlets and manual overhead doors. Pumper is supplied by a pre-fab metal water tank located nearby. Minimal ADA parking paving and a chemical toilet are provided. Alt. #1 includes a small modular with a training room and restroom in lieu of the chemical toilet. Includes standard ramp, steps & awning. The “No-Project” option leaves fire trucks scattered in area barns with current lengthy response times and high fire insurance rates. If Alt. #1 is declined, volunteer firefighters must suit-up in their cars and forfeit a space for community engagement/fundraisers. The proposed project is consistent with the Safe, Healthy & Caring Community, Economic & Environmental Stewardship and Civic Services & Engagement elements of the Strategic Plan Goals. High public safety consideration.



Net Impact On Operating Budget:

Impacts to be determined.

Project Cost	
Acquisition:	0
Construction:	373
Furniture/Reloc:	0
Design/PM:	155
Other:	27
Project Total:	555

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	
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eTons:	
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Funding Summary				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Securitization/Endowment A	150904	0	555	0	0	0	0	0	0	0	555
TOTALS:		0	555	0	0	0	0	0	0	0	555

All Values are presented in Thousands (1 x 1000)

Facility Descriptions

County Administration Center

Facility Description

Site and Location

The County Administration Center is an 83-acre site located in Santa Rosa adjacent to Highway 101 between Bicentennial Way on the north, Mendocino Avenue on the east and Steele Lane on the south. The site is roughly flat with Paulin Creek running along the south edge. The surrounding neighborhood includes residential and commercial areas. Also included with this facility are the La Plaza buildings just south of the main campus area, on County Center Drive. See “County Center Campus Map.”

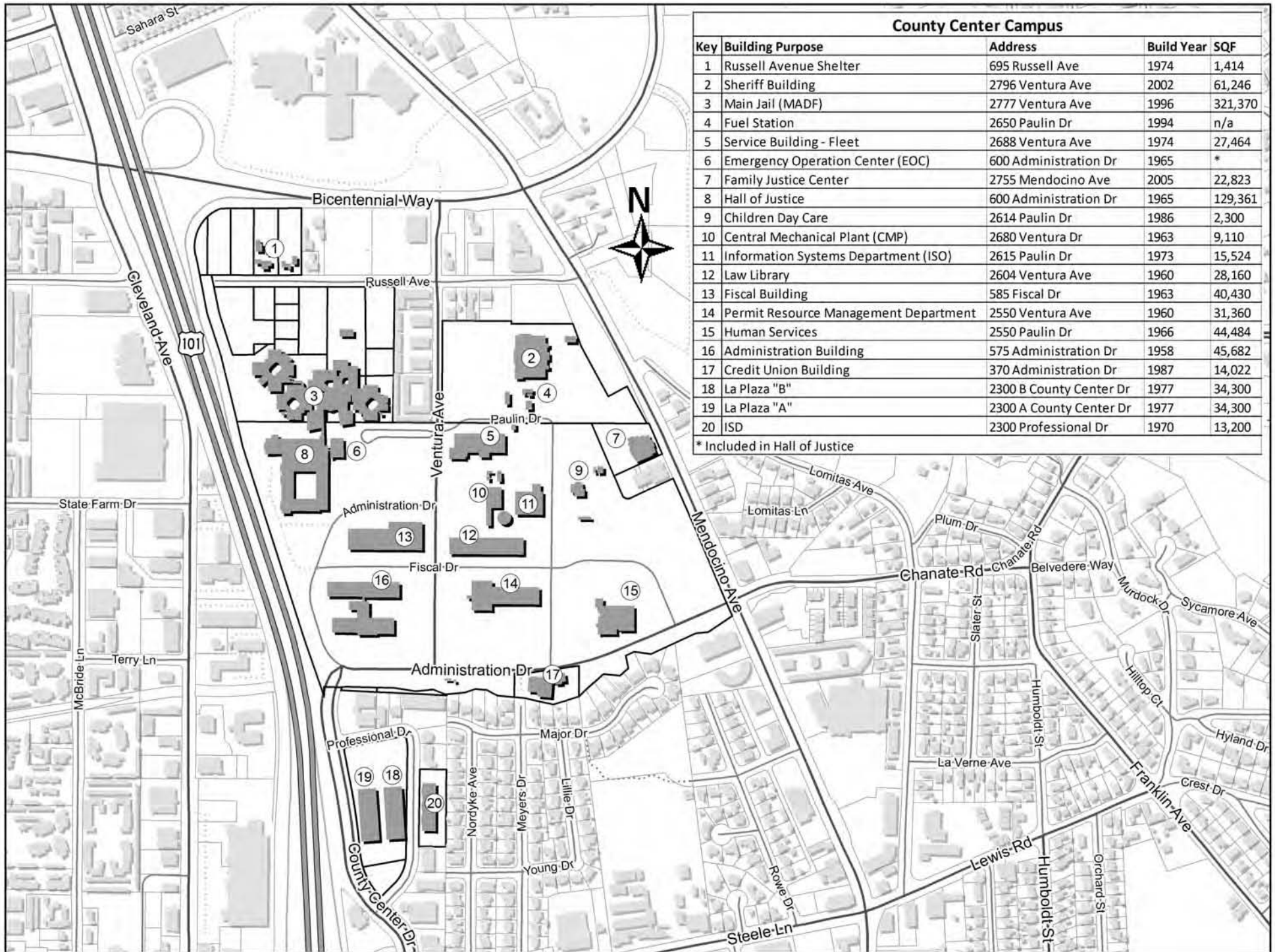
Improvements:

The existing site is broadly developed, but not intensively so. Many of the buildings are single story. Much of the area is devoted to surface parking lots, and there are large vacant areas adjacent to Mendocino Avenue. There are 17 buildings with over 580,000 square feet (not including the Main Adult Detention Facility – see “Adult Detention

Facilities”) constructed between 1958 (Administration Building) and 2002 (Sheriff’s Building). The Center is served by a Central Mechanical Plant for space heating and air conditioning, a new 1.4 megawatt Fuel Cell power supply, and a high voltage (12 KV) power distribution system (except for the La Plaza, 2300 Professional Drive, 370 Administration Drive, and 2755 Mendocino Avenue buildings).

Use:

The County Administration Center is the main seat of County government and is used for major functions including Administration, Fiscal Services, Justice, Human Services, Development Services, Information Systems, Transportation/ Public Works and General Services. The buildings are primarily used for general office and administrative use and include courtrooms, hearing rooms and various support functions. These are in addition to detention functions located in the northwest quadrant of the C.A.C., described below.



County Center Campus

Key	Building Purpose	Address	Build Year	SQF
1	Russell Avenue Shelter	695 Russell Ave	1974	1,414
2	Sheriff Building	2796 Ventura Ave	2002	61,246
3	Main Jail (MADF)	2777 Ventura Ave	1996	321,370
4	Fuel Station	2650 Paulin Dr	1994	n/a
5	Service Building - Fleet	2688 Ventura Ave	1974	27,464
6	Emergency Operation Center (EOC)	600 Administration Dr	1965	*
7	Family Justice Center	2755 Mendocino Ave	2005	22,823
8	Hall of Justice	600 Administration Dr	1965	129,361
9	Children Day Care	2614 Paulin Dr	1986	2,300
10	Central Mechanical Plant (CMP)	2680 Ventura Dr	1963	9,110
11	Information Systems Department (ISO)	2615 Paulin Dr	1973	15,524
12	Law Library	2604 Ventura Ave	1960	28,160
13	Fiscal Building	585 Fiscal Dr	1963	40,430
14	Permit Resource Management Department	2550 Ventura Ave	1960	31,360
15	Human Services	2550 Paulin Dr	1966	44,484
16	Administration Building	575 Administration Dr	1958	45,682
17	Credit Union Building	370 Administration Dr	1987	14,022
18	La Plaza "B"	2300 B County Center Dr	1977	34,300
19	La Plaza "A"	2300 A County Center Dr	1977	34,300
20	ISD	2300 Professional Dr	1970	13,200

* Included in Hall of Justice

Justice Services

Facility Description

There are two adult detention facilities: the Main Adult Detention Facility (“M.A.D.F.”) and the North County Detention Facility (“N.C.D.F.”).

M.A.D.F.

Site and Location:

The M.A.D.F. is located in the northwest quadrant of the County Administration Center adjacent to the Hall of Justice. Law enforcement access to the M.A.D.F. is from Russell Avenue on the north, while public access is to the lobby on the south side of the building, from Paulin Drive. See following Map.

Improvements:

The facility was constructed in 1989 with 249,000 square feet and expanded in 1997, adding 72,000 square feet. The current total rated capacity is 915 beds. The Sheriff’s Department is in the process of installing additional beds. Medium security housing is single and double bunk cells with direct supervision. Maximum security housing is single bed cells with indirect supervision. The facility has its own food service (laundry service is located at N.C.D.F.) and has a security electronics system with remote door controls, intercoms, surveillance cameras and fire alarm system. The facility represents a major infrastructure investment at the C.A.C.

Use:

M.A.D.F. is primarily for pre-trial male and female inmates waiting or in process of being tried. M.A.D.F. is also used for sentenced inmates as required by specific circumstances.

N.C.D.F.

Site and Location:

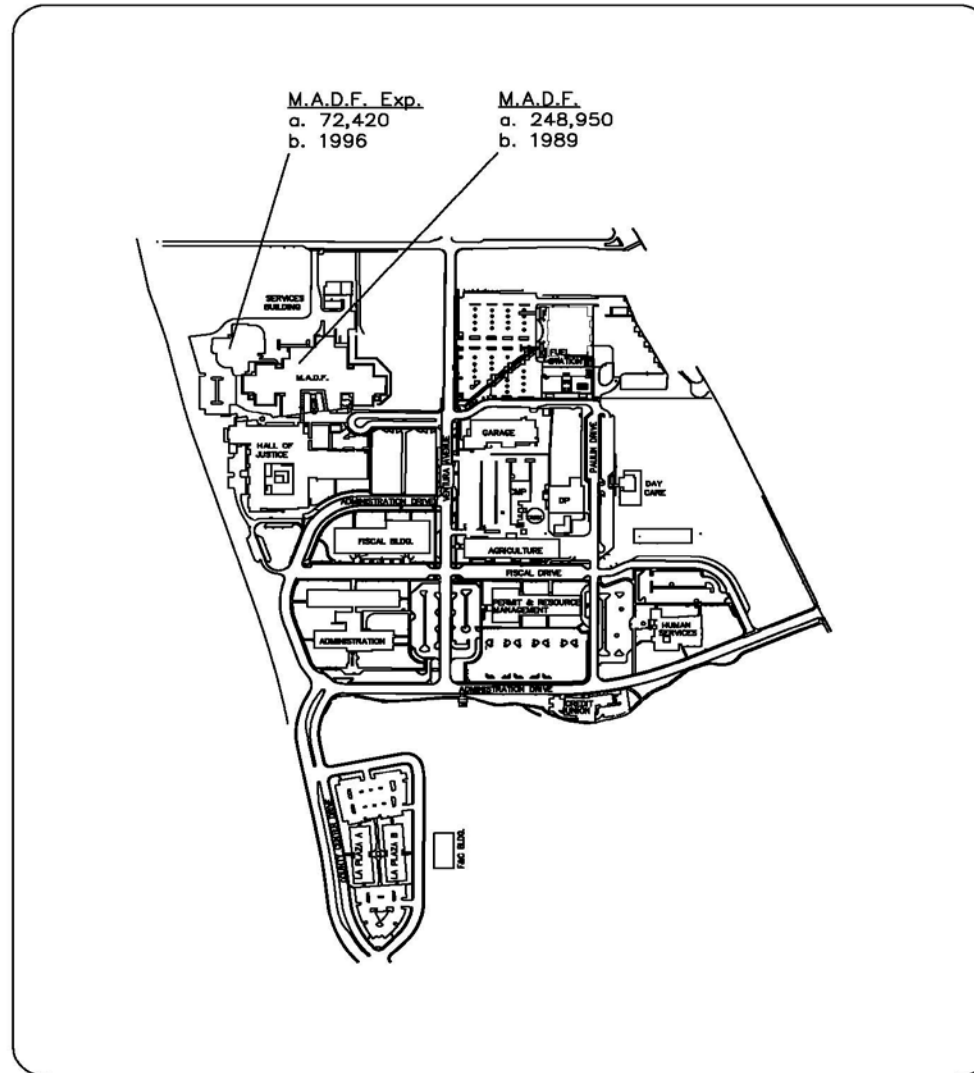
The N.C.D.F. is located on Ordnance Road near the Charles M. Schultz County Airport on the west, the Transportation/Public Works Road Yard on the south, open undeveloped land on the north and a business park on the east. A creek runs along the north edge of the site. See following map. The N.C.D.F. site was originally part of the Santa Rosa Army Airfield during World War II and was used for ordnance storage and chemical warfare training.

Improvements:

There are five main buildings and several ancillary buildings constructed from 1959 through 1989 with a total of 84,000 square feet and 561 rated beds (579 maximum capacity) primarily in dormitory style housing with direct supervision. The facility has its own food service and provides laundry service for N.C.D.F. and M.A.D.F. The facility’s security electronics system is similar to M.A.D.F.

Use:

The facility is primarily for sentenced male and female inmates with some pretrial inmates. N.C.D.F. was originally an "honor farm" for minimum security inmates but the inmate population has evolved into hardened offenders convicted of more serious crimes.



Main Adult Detention Facility



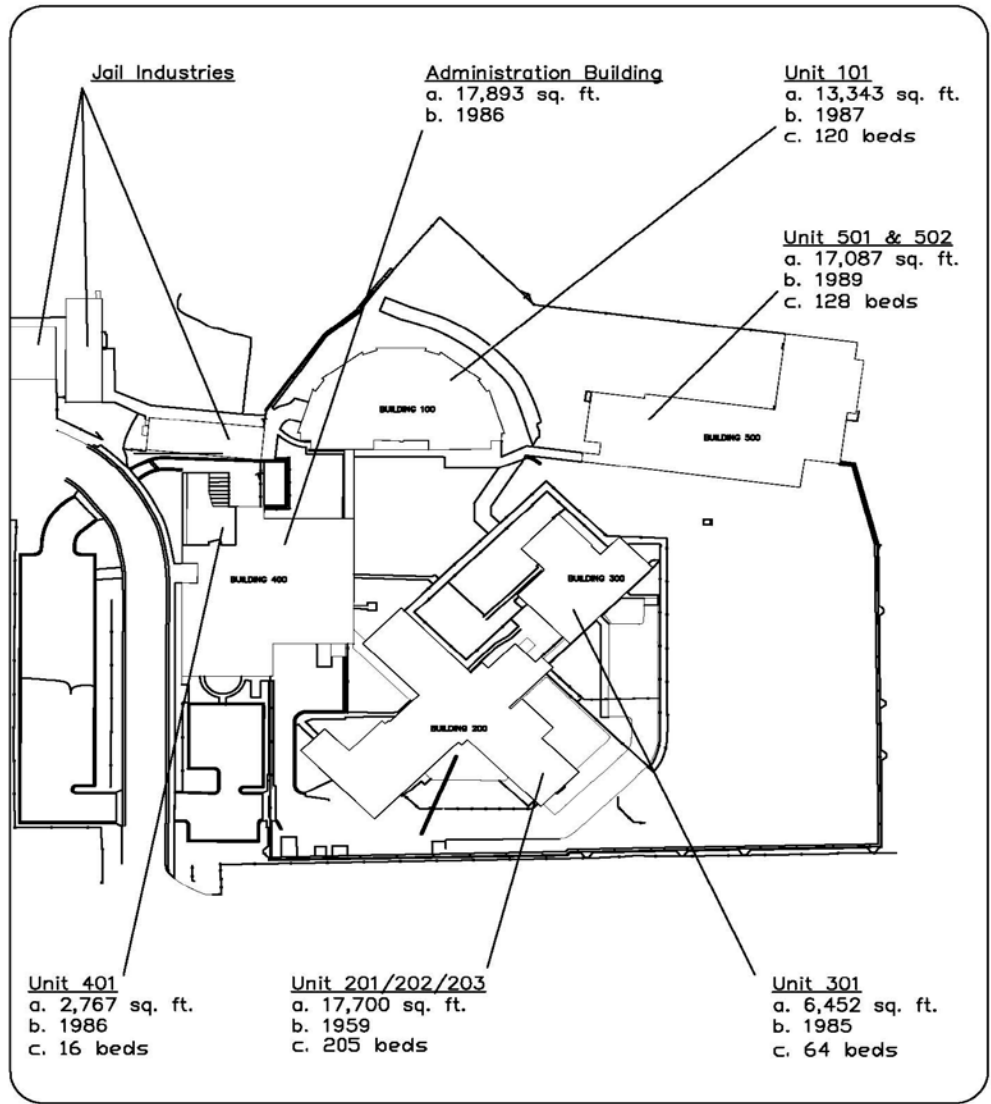
0 250' 500' 1,000'
SCALE: 1" = 500'

Key


Building Name
a. Building Area
b. Year Constructed

January 1997

Map
2C.1



**NORTH COUNTY
DETENTION FACILITY**


0 60' 120' 240'
 SCALE: 1" = 120'

Key

Building Name
a. Building Area
b. Year Constructed
c. BOC rated capacity

January 2005

Map
B2

Los Guilicos and Juvenile Facilities

Facility Description

Juvenile Facilities include Los Guilicos and the Probation Camp. Los Guilicos also has functions and facilities that are not juvenile-related.

Los Guilicos

Site and Location:

This 283-acre site is located approximately 12 miles east of the County Administration Center on Highway 12. It is made up of open, gently sloping areas adjacent to the highway where existing development is concentrated, with steeper tree-covered mountainside further north, abutting Hood Mountain Regional Park. Hood Creek runs along the eastern edge of the property. The vicinity is primarily agricultural north of Highway 12, with the Oakmont residential area to the south. See following map.

Improvements:

30 buildings with over 200,000 square feet were built between 1858 and 2009 including: Juvenile Justice Center completed in 2006, Valley of the Moon Children's Center phases completed in 2005 and 2009; Hood House (State & National Historical Landmark) built in 1858; Pythian Buildings built as a retirement home in 1927; the old Juvenile Justice Facility built in the 1950s and 1960s as a California Youth Authority School for Girls; the old Valley of the Moon Children's Home built in 1976; five single family residences built in the 1950s; and various other buildings. Much of the critical site infrastructure supporting the newer development has been updated, but the older buildings present ongoing challenges in terms of their maintenance and deteriorating conditions. The second phase 750kW photovoltaic power array was recently added to the campus, allowing energy independence.

Use:

The current use is primarily for Juvenile Justice including Juvenile Court, Probation, Juvenile Hall and (previously) Sierra Youth Center. The site also has the Valley of the Moon Children's Center and Redwood

Children's Center operated by Human Services; Community School operated by Sonoma County Office of Education; County Training Center operated by Human Resources; offices for the Sonoma County Grand Jury; and an indoor Firing Range used for law enforcement training operated by the Sheriff's department. A number of the older buildings are vacant or used only for storage due to their age and poor condition.

Probation Camp

Site and Location:

The Probation Camp ("Youth Camp") is on a rise in the middle of a large agricultural area located off of Eastside Road north of Forestville (See following map).

Improvements:

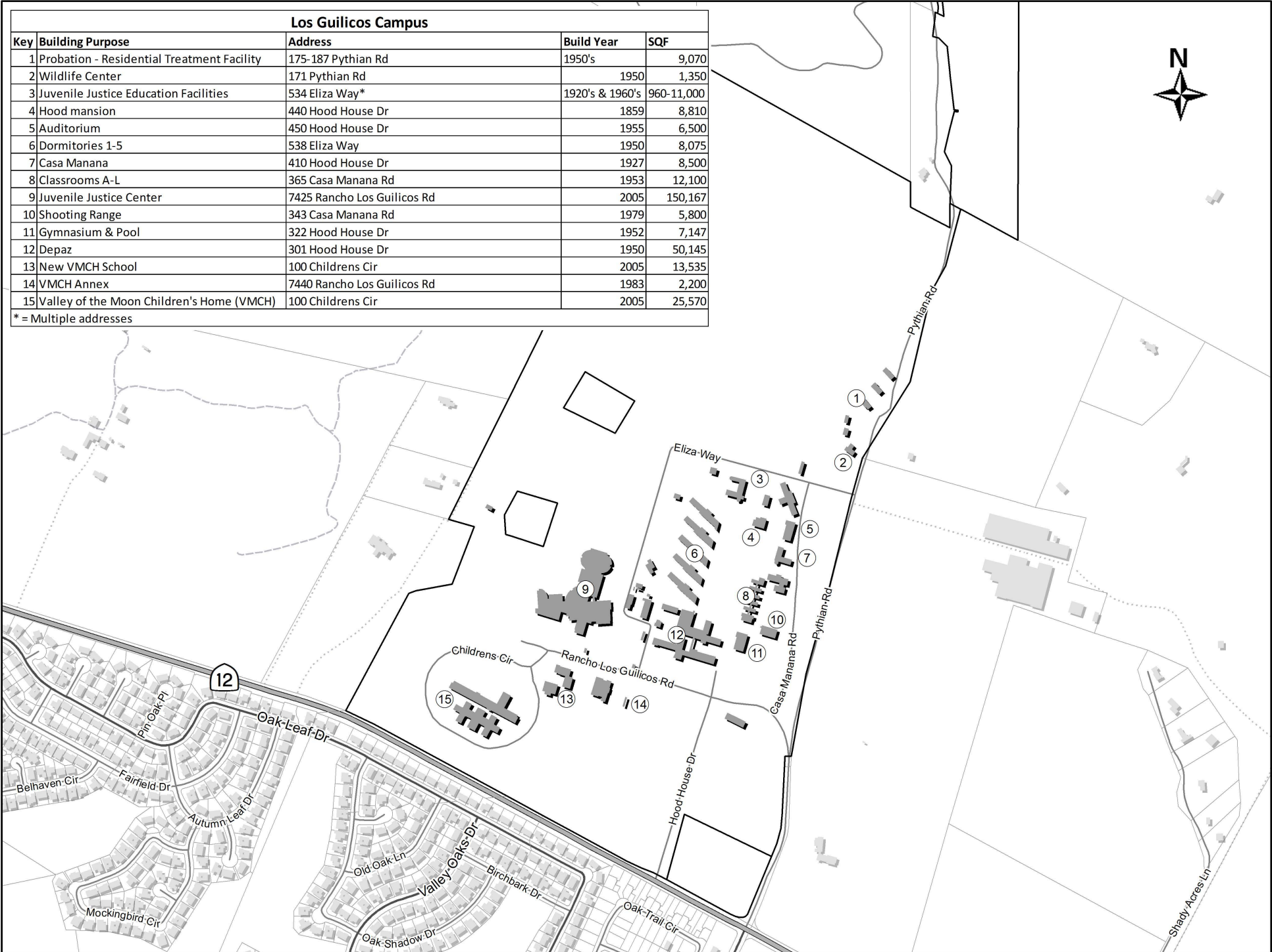
The site is developed with workshops and living areas with approximately 8,600 square feet including: living quarters with kitchen, dining room, restrooms, dormitory with 24 beds, shops, classroom, and a large warehouse.

Use:

The facility is operated by the Probation Department as a Camp program for juvenile offenders, which is part of the Juvenile Justice System. The site is also used for staging by the Probation Supervised Adult Crew program.

Los Guillicos Campus				
Key	Building Purpose	Address	Build Year	SQF
1	Probation - Residential Treatment Facility	175-187 Pythian Rd	1950's	9,070
2	Wildlife Center	171 Pythian Rd	1950	1,350
3	Juvenile Justice Education Facilities	534 Eliza Way*	1920's & 1960's	960-11,000
4	Hood mansion	440 Hood House Dr	1859	8,810
5	Auditorium	450 Hood House Dr	1955	6,500
6	Dormitories 1-5	538 Eliza Way	1950	8,075
7	Casa Manana	410 Hood House Dr	1927	8,500
8	Classrooms A-L	365 Casa Manana Rd	1953	12,100
9	Juvenile Justice Center	7425 Rancho Los Guillicos Rd	2005	150,167
10	Shooting Range	343 Casa Manana Rd	1979	5,800
11	Gymnasium & Pool	322 Hood House Dr	1952	7,147
12	Depaz	301 Hood House Dr	1950	50,145
13	New VMCH School	100 Childrens Cir	2005	13,535
14	VMCH Annex	7440 Rancho Los Guillicos Rd	1983	2,200
15	Valley of the Moon Children's Home (VMCH)	100 Childrens Cir	2005	25,570

* = Multiple addresses



Radio Communications

Facility Description

The Sheriff's Office Radio Communications Bureau operates and maintains twelve microwave and radio-linked sites throughout the County that are relied upon by a combination of County and local government agencies and departments with an emphasis on public safety. The radio communications network plays a vital role in providing voice and data communications for use by 9-1-1 dispatch and field personnel that are members of County and local law enforcement agencies, Countywide emergency medical response and all of the County's individual fire districts; serving the critical emergency response needs for the residents of Sonoma County.

The Radio Bureau's objective is to provide secure and reliable radio communications coverage with the following priorities:

Maintain current functionality of the County communications systems and site infrastructure in use today.

Improve and upgrade the County radio network to expand and enhance functionality of the communications network.

Develop radio sites necessary to improve communications coverage, primarily for public safety, dependent upon one-time and long term maintenance funding.

Expansion of the system is also being considered including:

Expand development of south county communication sites that will serve and improve public safety voice and data communications.

Increase bandwidth, capacity and redundancy of our mobile data communications network, as a core for multi-jurisdictional public safety computer systems.

Improve non-public safety radio capacity throughout the County.



Health and Human Services Facilities

Facility Description

The Chanate Complex primarily houses Health Services, including Department of Health Services' Mental Health Division, biology laboratory, and certain administrative staff. A few other ancillary department activities are here as well. The County Hospital and Morgue are also here. More recently, D.H.S Environmental and Public Health offices have largely consolidated to leased space at 625 5th Street, in downtown Santa Rosa. Additionally, D.H.S. continues to oversee programs at the Orenda Center.

Chanate Medical Complex

Site and Location:

The Chanate Medical Complex is an 81-acre site, located 2-1/2 miles east of the County Administration Center, bisected by Chanate Road. The area is hilly and wooded, located in an active geological zone with evidence of the Healdsburg/Rogers Creek Fault. The surrounding neighborhood is primarily residential. There is a Water Agency flood control basin on the south side of the site. See following map.

Improvements:

The Hospital and the Family Practice Center buildings are leased to Sutter Health, Inc. There are also eleven general government buildings with 91,000 square feet built between 1936 and 1994. The major buildings are old and inefficient: Norton Mental Health Center was built in 1938 as a T.B. Hospital; the Public Health Clinic in 1952 as a Juvenile Hall and the Day Treatment Center in 1952 also as part of the Juvenile Hall complex; Chanate Hall in 1946 as a Nurse's Dormitory. These buildings suffer problems common to older buildings—A.D.A. access deficiencies, asbestos containing materials, energy inefficiencies, worn out building components and structural and functional obsolescence. Most site utilities, such as water, sewer, and power, were installed decades ago and need major repairs or replacement. Facilities in the eastern portion of this complex, accessed by County Farm Road, do not

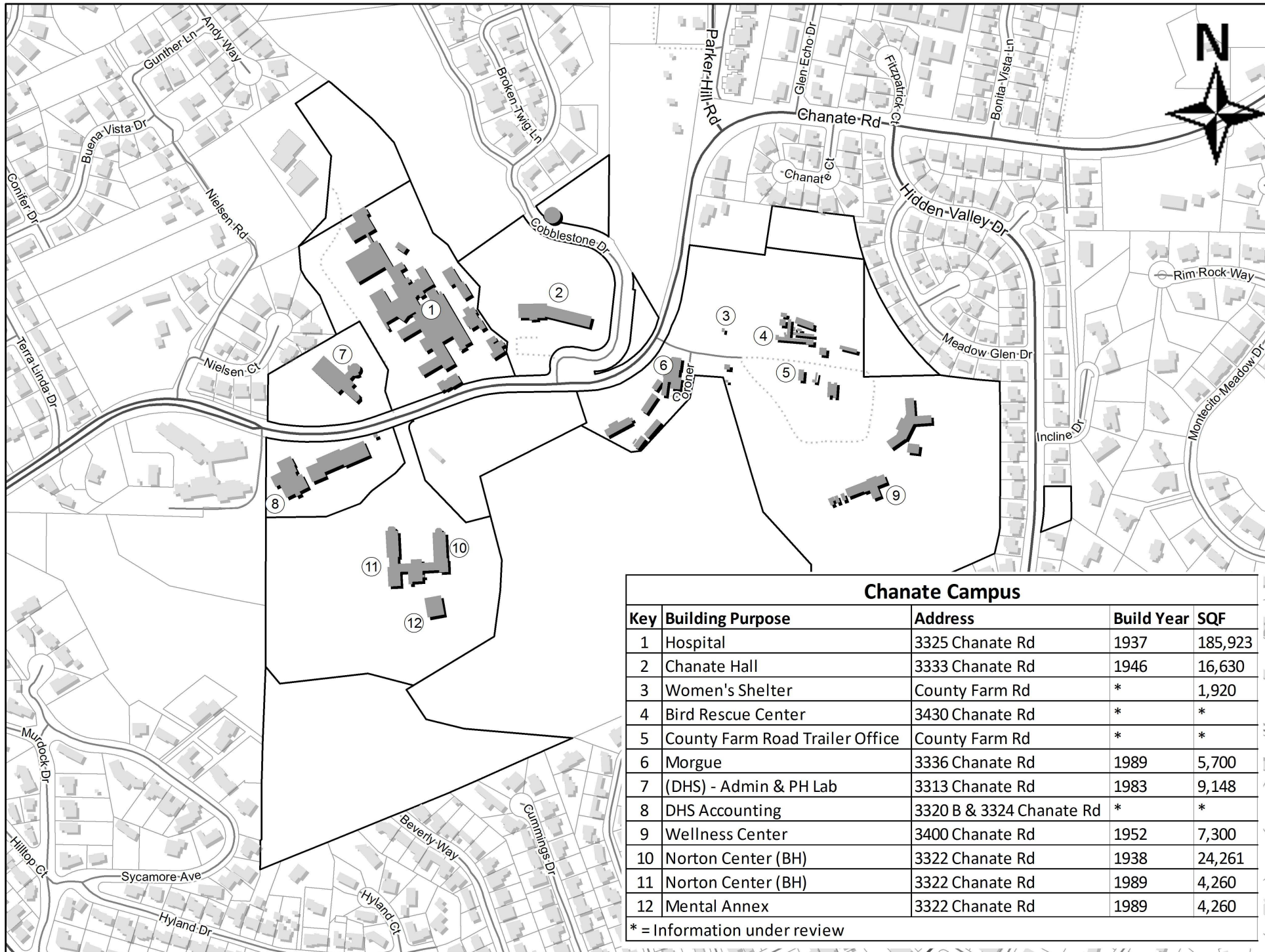
have adequate utilities or roads. Domestic water is connected to Santa Rosa, but distribution pipes are old and deteriorated. Roads and parking are inadequate and deteriorated, and there are no sidewalks from Chanate Road.

Use:

The complex includes the Hospital and Family Practice managed by Sutter Health (F.P. buildings have since been vacated). Sutter plans to vacate facilities at Chanate by 2014. There is a D.H.S. Public Health laboratory, administrative offices, Wellness Center, and psychiatric services and day treatment operated by Mental Health, and the County Morgue operated by the Sheriff-Coroner. Some miscellaneous functions such as the Bird Sanctuary, women's homeless shelter and storage are also at the complex.

Orenda Center

Orenda Center is a 13,440 square foot building constructed in 1959 and expanded in 1974, located on Bennett Valley Road in Santa Rosa. It has been used as an alcohol and drug treatment center operated by the Health Services Department. The Center's building continues to need major repairs and replacements.



Chanate Campus

Key	Building Purpose	Address	Build Year	SQF
1	Hospital	3325 Chanate Rd	1937	185,923
2	Chanate Hall	3333 Chanate Rd	1946	16,630
3	Women's Shelter	County Farm Rd	*	1,920
4	Bird Rescue Center	3430 Chanate Rd	*	*
5	County Farm Road Trailer Office	County Farm Rd	*	*
6	Morgue	3336 Chanate Rd	1989	5,700
7	(DHS) - Admin & PH Lab	3313 Chanate Rd	1983	9,148
8	DHS Accounting	3320 B & 3324 Chanate Rd	*	*
9	Wellness Center	3400 Chanate Rd	1952	7,300
10	Norton Center (BH)	3322 Chanate Rd	1938	24,261
11	Norton Center (BH)	3322 Chanate Rd	1989	4,260
12	Mental Annex	3322 Chanate Rd	1989	4,260

* = Information under review

Veterans/Community Service Buildings

Facility Description

Sites and Locations:

Eight Veterans/Community Service Buildings are located at various sites throughout Sonoma County: Cloverdale, 1.4 acres; Guerneville, 1 acre; Sebastopol, 1.7 acres; Occidental, 2.8 acres; Santa Rosa, 9.7 acres; Sonoma, 15.3 acres; Cotati, 1.6 acres; and Petaluma, 5.1 acres. See following map.

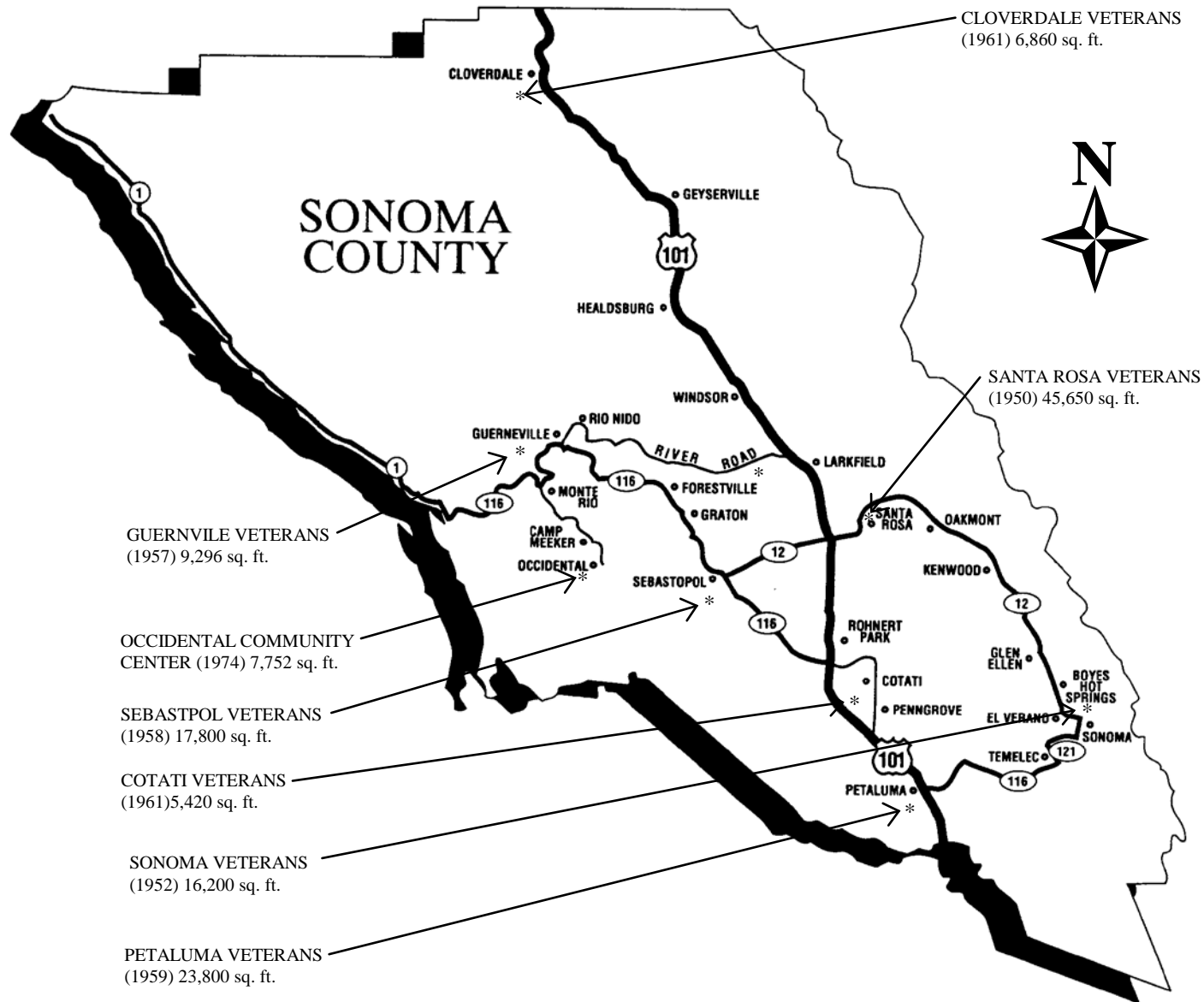
Improvements:

The buildings vary in size from 5,400 square feet (Cotati) to 45,600 square feet (Santa Rosa) for a total of 133,000 square feet. Most of them were constructed between 1950 and 1961, except Occidental, which was built in 1974, and Guerneville, which is an older school building. The

buildings typically have a large auditorium, meeting rooms, kitchens, and support spaces and offices, and have challenges associated with their age and condition: worn systems and components, A.D.A. access deficiencies, hazardous materials, and/or structural issues. Paving is also worn out and deficient at some the facilities.

Use:

Use of the buildings is now managed by General Services Department with new third-party operational agreements having been concluded for several of these facilities. They are valuable community resources and serve a variety of functions including: venues for public and private events, conferences and entertainment; community meetings; emergency shelters; training and educational functions; voting places; and County functions.



Veterans & Community Service Buildings

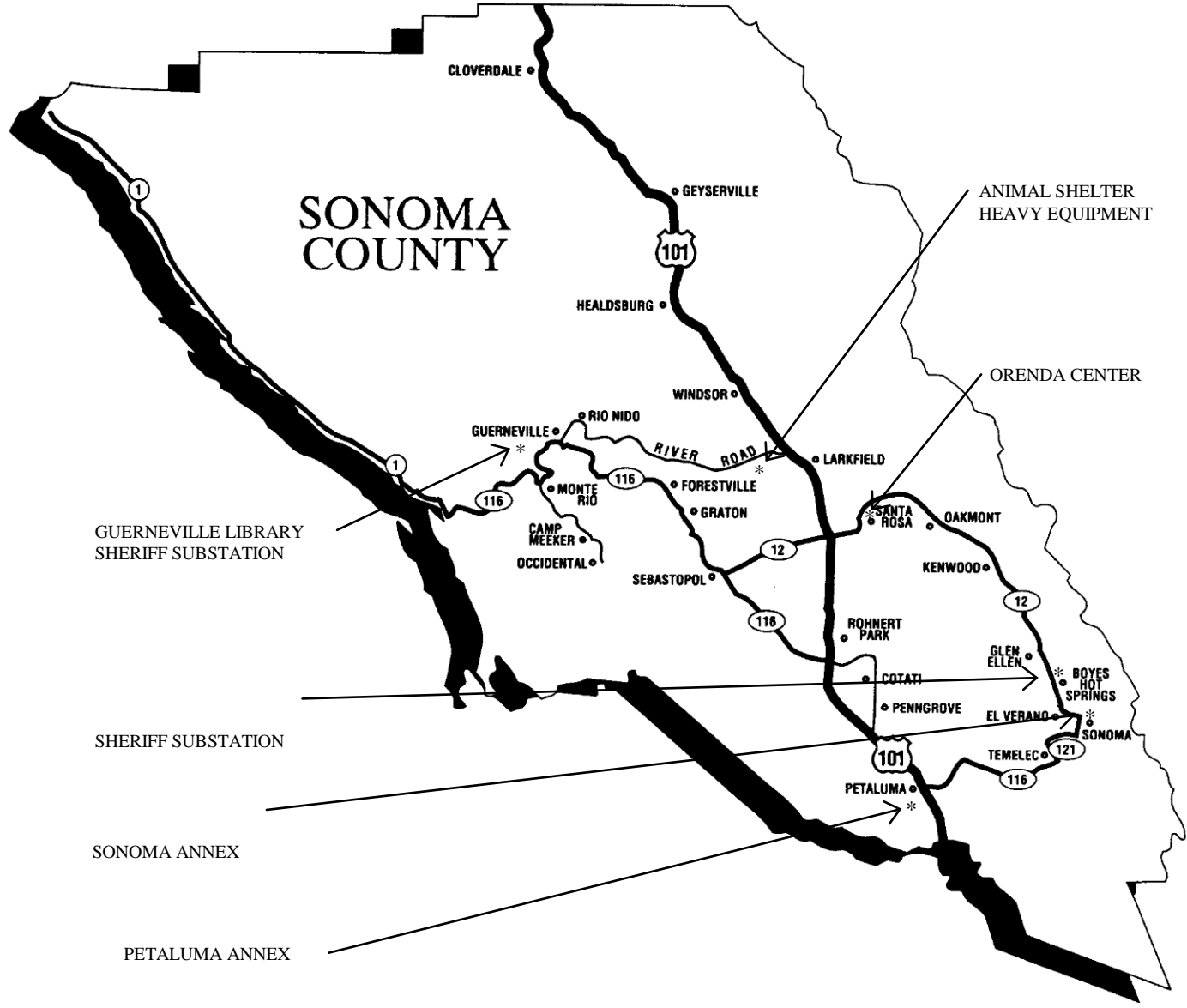
Other County Services

Facility Description

Site and Locations

Other Facilities is a category for projects that may apply to any or all County general government facilities (e.g.: maintenance and monitoring of underground storage tanks) or for buildings that do not clearly fit into other categories. Buildings in this category include: Guerneville Library; Guerneville Sheriff's Substation (located in the Guerneville Veteran's

Building); Sonoma Valley Sheriff's Substation; Animal Shelter and Agriculture Warehouse; Heavy Equipment Facility; and County Annexes in a shared building at Petaluma. See following map. Additionally, the county-wide A.D.A. Transition Plan physical barrier removal effort is listed under this category.



OTHER FACILITIES

Fire and Emergency Services Buildings

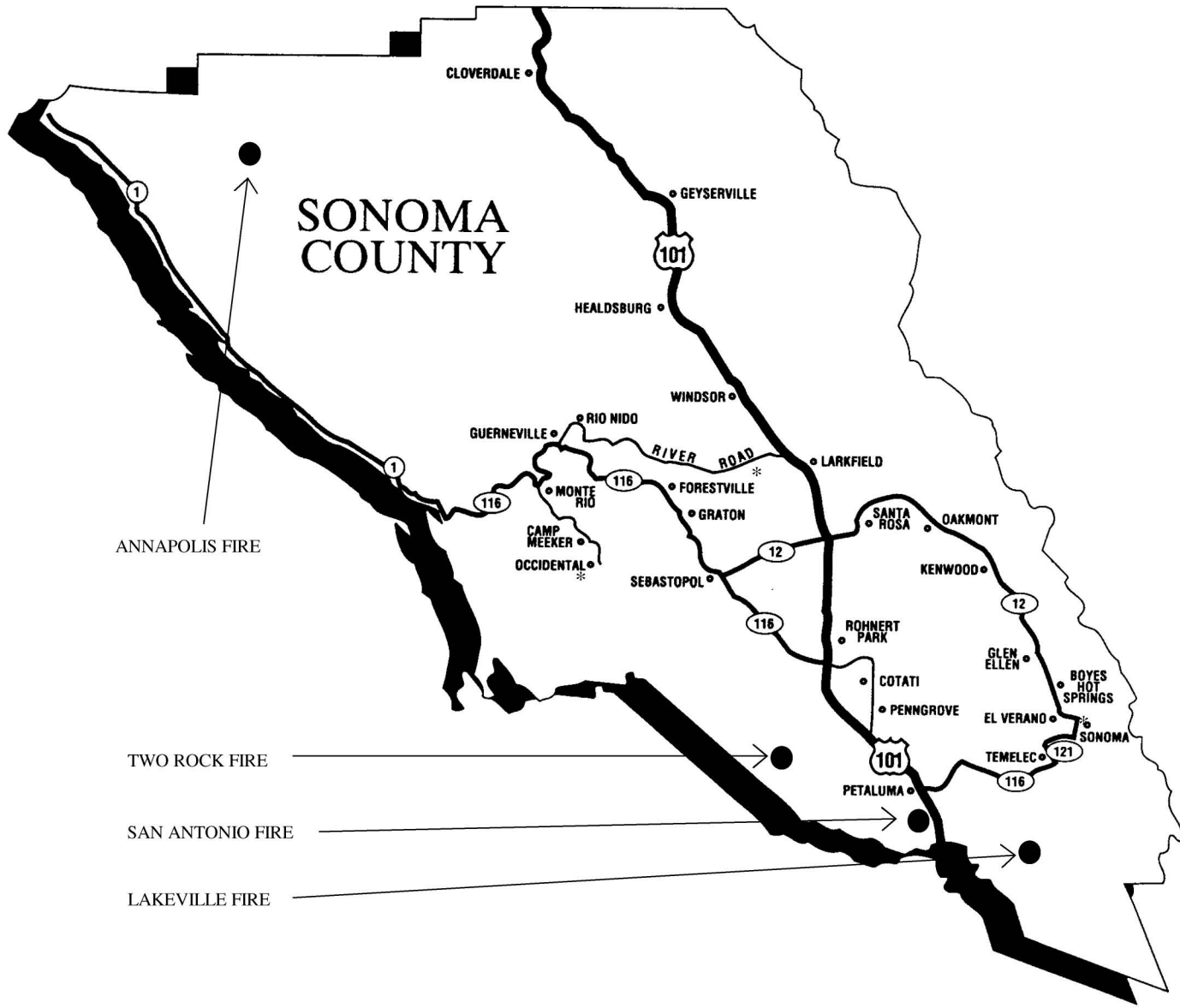
Facility Description

Sites and Locations:

Four Fire and Emergency Services buildings are proposed at four separate sites in Sonoma County: at Lakeville Highway east of Petaluma; the Annapolis Road Yard in the northwestern part of the county; San Antonio Road in the southern area; and in the Two Rock valley in the southwestern area.

Improvements:

Relatively straightforward metal buildings, with associated water supply tanks and chemical toilets, will vary in capacity from three equipment storage bays at Annapolis up to four bays at the other three sites. The buildings provide secure and suitable storage for fire suppression and emergency response equipment, protecting the equipment investment. The new locations help enhance response times. Sites will be graded with gravel as necessary, with corresponding new utilities installed or existing site utilities upgraded.



Development Services-Fire and Emergency Services

Development Services - Regional Parks

Sonoma County Regional Parks Overview

Department Mission:

The Sonoma County Regional Parks Department leads in the preservation, conservation, restoration and promotion of natural, scenic, historical, and cultural resources in Sonoma County. We provide recreational, educational, social, and cultural opportunities for the public.

Facility Objectives:

The Sonoma County Regional Parks Department is charged under the Sonoma County General Plan to include any proposed acquisition or development of lands for parks and trails in its five-year capital improvement plan. This Plan includes proposals to acquire, plan, and develop park properties for parks and trails. Regional Parks currently operates and maintains fifty-two park and trail facilities consisting of 18,672 acres.

The Five-Year Capital Project Plan includes the goals and projects identified in the County General Plan. This Plan also recognizes the goals of the County's Area and Specific Plans, the Local Coastal Plan, and the Bicycle and Pedestrian Plan. This plan recommends projects included in the Open Space District's Long-Range Acquisition Plan, *Connecting Communities with the Land*. Regional and State plans such as the Bay Area Conservation Lands Network and the California Outdoor Recreation Plan inform the strategic importance and community need for projects. Regional and State plans also guide projects such as the California Coastal Trail, San Francisco Bay Trail, and the Bay Area Ridge Trail.

The capital improvement projects included in this five-year plan are designed to meet the increased demand for outdoor recreation facilities from both residents and visitors to Sonoma County. It is estimated that park and trail facilities operated by Regional Parks serve the recreation needs of more than 5.8 million visitors a year.

Process:

The Sonoma County Regional Parks Department is responsible as the "lead agency" under the California Environmental Quality Act (C.E.Q.A.), for environmental compliance of park and recreation improvement projects. The Department secures property and develops master plans for park facilities that address recreation, preservation, and conservation of natural and historic resources.

Scheduling of Projects:

In 2002, the Regional Parks Department developed a set of project ranking criteria to assist the Parks Director in drafting priorities and establishing expectations for new park and trail development. The Director recommends projects for the Five Year Capital Project Plan for Board of Supervisors approval. The Board also approves the Capital Projects Budget, which is informed by the first year of the Capital Project Plan. This project ranking was updated in 2011 to reflect the Sustainability Management approach recommended in the 2010 Regional Parks Management Review Services Assessment. The goal of this approach is to achieve greater financial sustainability for the Department to support the growth and sustainability of outdoor recreation facilities in Sonoma County. The criteria are:

1. Strategic importance
2. Addressing community needs
3. Community benefit / estimated Public Use
4. Meeting deadlines imposed by Grant Funding Agency
5. Potential for value added opportunities to recover operating costs
6. Readiness of project
7. Legal requirements
8. Health and safety requirements
9. Availability of funds
10. Assessment of grant funds for recreation that can be leveraged with local funds
11. Long term operations and maintenance requirements

Changes from Prior Plans:

The principal changes to the proposed Five-Year Capital Project Plan are:

1. Deferral of unfunded capital projects beyond F.Y. 2013-14 because of lack of funding for acquisition and development.
2. Inclusion of planning for Open Space District-protected lands in west Sonoma County including Poff and Carrington ranches and Willow Creek and the Spud Point Marina redevelopment project.

Cost and Financing:

The Five-Year Capital Project Plan for 2013-14 to 2017-18 identifies 71 projects for acquiring, planning, and developing new park and trail facilities. Since the 1986 institution of Park Mitigation Fees on new residential development, the Regional Parks Department's strategy has been to maximize the value of those fees by using them as leverage for grants. Competitive grant programs often serve specific needs, such as river access, habitat restoration, tree planting, trails, and boating facilities. Therefore it is often necessary to adjust priorities to take advantage of the primary purpose of these funding opportunities.

The economic recession has impacted local and state revenue sources traditionally used by Regional Parks for acquisition, planning, and development. Local revenue from Park Mitigation Fees has slowed with a reduction in new housing starts. Local sales-tax generated Measure M and Sonoma County Agricultural Preservation and Open Space District funding has been reduced, which has slowed the availability of funding for trails and parks projects. State bond-funded grants have also been reduced. Some existing grant programs have been slowed and the launch of new grant programs has been limited. To account for the current trend in revenue reductions, this Five-Year Capital Project Plan (C.P.P.) estimates revenues below F.Y. 2010/11 actual revenue for all five years of the plan.

The Five-Year C.P.P. for 2013-14 to 2017-18 totals \$43,317,268, of which \$23 million is for regional trails, \$6.9 million for river and coastal access parks, \$2.9 million for community and regional parks, \$6.3 million for regional open space parks & preserves, \$1.9 million

for dredging of county facilities in Bodega Bay harbor, \$2.2 million for renovations to the Healdsburg Veteran's Memorial Beach Park and dam, \$1.1 million for renovating and adding visitor facilities to Mason's Marina, \$400,000 to redevelop Occidental Community Center, \$3.1 million for renovating and expanding the Environmental Discovery Center, and \$8,000 for Hood Mansion use feasibility study.

Transportation funding of \$1.98 million from the Sonoma County Transportation Authority and Metropolitan Transportation Commission for bikeway projects is included in the C.P.P. \$42 million of the Five-Year Capital Plan is unfunded, but this includes over \$3.5 million of grant applications pending for F.Y. 2012-13, and \$4.4 million in identified funding sources to be applied for in F.Y. 2012-13 and F.Y. 2013-14.

The operations and maintenance costs of the new park and trail facilities have been funded by the Board of Supervisors from the General Fund Interest Earnings (G.F.I.E.) and Sonoma County Transient Occupancy Tax (T.O.T.). For new parks acquired by the Open Space District, the District has provided the first three years of operations and maintenance funding. The operations and maintenance of several of the parks and facilities to be developed this year will be proposed in the department's F.Y. 2013-14 budget submittal. T.O.T. currently provides \$2.1 million annually to Regional Parks. Regional Parks will be evaluating maintenance and operations funding with the County Administrator's Office from sources including Transportation and Occupancy Tax, day use fees, program fees, and private donations, returning to the Board for approval on a project by project basis.

Sources of Funding:

1. State Bond Funding.

In the past, allocations and competitive grants from Bond Measure funding offered opportunities to secure funding for park or trail acquisition and development. These included the 2000 Parks Bond (Prop 12), the 2000 Clean Water Bond (Prop 13), the 2002 Resources Bond (Prop 40), the 2002 Clean Water Bond (Prop 50), the 2006

Safe Drinking Water Bond (Prop 84), and the 2006 Housing Bond Act (Prop 1C).

However, most of this funding has been allocated. This Capital Project Plan emphasizes local and federal grant sources along with transportation funding for regional trail projects.

There are active and pending grant projects which include Taylor Mountain Phase 1 development from State Parks' Park Development Program for \$750,000, Mark West Creek acquisition from Resources Agency's River Parkways program for \$1 million, Tolay Lake Regional Park Master Plan, which is funded by a \$300,000 State Coastal Conservancy grant and by a \$500,000 donation from Federated Indians of Graton Rancheria. The Conservancy is also funding the Timber Cove California Coastal Trail feasibility study for \$200,000. Pending grants include \$820,000 requested from State Parks' Habitat Conservation, Recreational Trails, and Land and Water Conservation Fund programs for Mark West Creek acquisition and . Discussions are underway for California Boating & Waterways funding Westside Boat Launch Conservancy funding for Riverfront Park Phase 3 and Occidental Community Center redevelopment.

2. Other State Funding.

Boating & Waterways

The State Department of Boating and Waterways awarded a Boating Trails Access Facility Grant (\$323,208) for Russian River access at Guerneville River Park. Discussions are underway with Boating and Waterways to fund restroom construction and boat ramp improvements at Wohler Bridge Fishing Access.

Boating and Waterways also provides Boat Launching Facility Grants. They are funding planning for boat ramp improvements at both Westside Regional Park and Doran Beach Regional Park for \$350,000. Grant funding requests will be made for construction during these next five years.

State Parks

The Habitat Conservation Fund annually awards \$2 million statewide Protecting, restoring, and enhancing wildlife habitat and fisheries,

vital to maintain California's quality of life. This includes funding for acquiring habitat and restoring or enhancing wetlands and riparian habitat. A \$320,000 request for Mark West Creek acquisition is pending.

3. Federal Funding.

a. Coastal Impact Assistance Program (C.I.A.P.)

The Energy Policy Act of 2005 authorized the disbursement of Outer Continental Shelf revenues (oil drilling) to impacted states and coastal counties. The California Resources Agency is the lead state agency for implementing the federal C.I.A.P. with the Fish and Wildlife Service. In August 2007, the Board approved planning and development projects in the Bodega Bay Trail Plan for inclusion in the State's CIAP. Sonoma County's share is approximately \$114,000. The State's C.I.A.P. was completed in 2009 and funding should be available for disbursement in 2013.

b. Community Development Block Grant

A grant for \$30,000 was awarded for Larson Park A.D.A. improvements. A grant request for Hudeman Slough (\$75,000) A.D.A. Improvements is pending. Priorities for Regional Parks grant requests are based on the Sonoma County Self-Evaluation and Transition Plan, approved in 2009. It should be noted that this is the primary source of funding for A.D.A. improvements at existing Regional Parks facilities.

c. North American Wetlands Conservation Act (N.A.W.C.A.)

The U.S. Fish & Wildlife Service provides matching grants to organizations and individuals who have developed partnerships to carry out wetland conservation projects in the United States, Canada, and Mexico. The grant program requires a dollar for dollar match. Using past Tolay acquisition funds as a match, \$250,000 was secured for creek restoration at Tolay Lake Regional Park. N.A.W.C.A. is also a possible source for future creek and lake restoration funding.

d. Recreational Trails Program (R.T.P.)

R.T.P. provides funds annually for recreational trails and trails-related projects. The R.T.P. is Federal Highway Administration funding administered for competitive grants at the state level by State Parks. Several projects that could potentially be funded by the R.T.P. have been identified in this document. Construction of the Lower Johnson Ridge Trail at Hood Mountain secured a grant for \$117,500 in 2009 and a \$250,000 grant for Mark West Creek acquisition is pending.

e. Land and Water Conservation Program (L.W.C.F.)

The L.W.C.F. program annually funds the acquisition and development of outdoor recreation areas and facilities. L.W.C.F. is National Parks Service funding administered for competitive grants at the state level by State Parks. In recent years, approximately \$2 million has been available state-wide. A \$250,000 request for Mark West Creek acquisition is pending.

4. Local Funding.

The Park Mitigation Fee Trust fund is essential to the success of applying for competitive grants at the state and federal level as a source of local matching funds. This is the fee paid by developers of new residential housing units in the unincorporated areas of the County. The downturn in building activity and foreclosures has reduced the number of new housing units constructed in the unincorporated areas of Sonoma County.

Trends in the residential construction industry, a limit to fee increases, and a delay in receiving fees were factored into projections of park mitigation fee revenue. No fee increase was made for F.Y. 2010-11 through F.Y. 2012-13. A 5% fee increase is considered for F.Y. 2013-14. The reduced level of building activity starting in F.Y. 2008-09 through F.Y. 12-13 is projected for the next five years.

5. Transportation Funding.

With the county passage of Measure M, three Regional Parks Class 1 bikeway projects are being funded by Sonoma County Transportation Authority (S.C.T.A.). Regional Parks' projects include the Central

Sonoma Valley Trail (\$1,900,000), Sonoma-Schellville Trail (\$650,000), and the Bodega Bay Bicycle and Pedestrian Trail (\$950,000). Funding allocations for these three projects are included in the Five-Year Capital Project Plan.

S.C.T.A. is managing the One Bay Area Grant Program, and funding for Mark West Creek Trail was requested (\$350,000).

Managed by the Metropolitan Transportation Commission (M.T.C.), Lifeline funds are to be used for Class 1 Bikeways for the Central Sonoma Valley Trail project (\$500,000).

The SMART Trail, located within the Sonoma-Marin Area Rail Transit (SMART) right-of-way on the southern boundary of Santa Rosa, has secured construction funding from the Southwest Redevelopment Agency (\$501,460) along with Congestion Mitigation/Air Quality and Surface Transportation Funds managed by M.T.C. (\$620,000).

Also managed by M.T.C., Transportation for Livable Communities a grant was awarded for the Bodega Bay Bicycle and Pedestrian Trail project (\$535,000).

6. Sonoma County Agricultural Preservation & Open Space District.

With the reauthorization of the ¼ cent sales tax by voters in November 2006, the District secured funding for the acquisition, preservation and protection of land within the County through 2031. A number of Regional Parks' projects have been supported by the District's annual Matching Grant Program. A \$252,000 grant is recommended for Riverfront Park Phase 3 and a \$358,000 grant is recommended for Guerneville River Park phase 2.

The District also leads in the acquisition of land for future regional parks. Regional Parks is working with the District to accept transfer of recreation properties including Taylor Mountain and North Slope Sonoma Mountain. Regional Parks will be requesting funding for initial public access, operation and management. For Taylor Mountain, the District has partnered with Regional Parks since 2010 to provide daily operations and maintenance services. The District will provide \$75,000 for the three years of operation after transfer.

Regional Parks is proposing use of increased park use revenues, and exploring other revenue sources, for ongoing operations and management.

Another project under consideration is the acquisition and development of a regional park in the Mark West Creek watershed. The District acquired properties in the watershed in 2007 and 2008, and negotiations and fundraising are underway to acquire additional properties to create a new 1,100-acre park. Creation of the park will depend on District acquisition of public access.

The District is also contracting with Regional Parks for park planning and development services. Regional Parks is managing trail

construction projects including the first phase of the Laguna de Santa Rosa Trail and the North Slope Sonoma Mountain Trail.

7. Sonoma County Water Agency.

Regional Parks is working with the Sonoma County Water Agency to develop bikeways along channels under their jurisdiction, such as Santa Rosa Creek, Colgan Creek, and Copeland Creek, and pursue joint grant funding opportunities for those projects, along with projects at Riverfront Regional Park, Spring Lake Park, and Wohler Bridge Fishing Access.

Funding Source Table

Division/Section Funding Source	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	SYR TOTAL	FUTURE YRS	PROJECT TOTAL
1 - Sonoma Coast	\$2,087,440	\$693,898	\$3,704,000	\$2,270,000	\$1,520,000	\$1,900,000	\$3,505,000	\$12,899,000	\$900,000	\$16,580,338
ADA	\$0	\$110,000	\$390,000	\$0	\$0	\$0	\$0	\$390,000	\$0	\$500,000
Boating & Waterways	\$350,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$350,000
Coastal Impact Assistance Program	\$114,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$114,000
Community Development Block Grant	\$0	\$64,538	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$64,538
Federal, Other	\$0	\$0	\$400,000	\$270,000	\$1,200,000	\$0	\$0	\$1,870,000	\$0	\$1,870,000
Measure M	\$50,000	\$170,000	\$0	\$130,000	\$0	\$100,000	\$500,000	\$730,000	\$0	\$950,000
Metropolitan Transportation Commission	\$535,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$535,000
Other	\$0	\$23,898	\$40,000	\$0	\$0	\$0	\$0	\$40,000	\$0	\$63,898
Park Mitigation Fees-1	\$542,338	\$149,509	\$15,000	\$90,000	\$70,000	\$75,000	\$75,000	\$325,000	\$0	\$1,016,847
Private Foundation	\$0	\$100,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$100,000
Regional Parks Foundation	\$207,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$207,000
Spud Point Marina Ent.	\$0	\$6,000	\$65,000	\$0	\$0	\$0	\$0	\$65,000	\$0	\$71,000
State	\$0	\$50,000	\$25,000	\$50,000	\$250,000	\$0	\$0	\$325,000	\$0	\$375,000
State Coastal Conservancy	\$289,102	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$289,102
State, Federal, Other	\$0	\$20,000	\$1,104,000	\$895,000	\$0	\$100,000	\$2,360,000	\$4,459,000	\$0	\$4,479,000
State, Other	\$0	(\$47)	\$1,620,000	\$835,000	\$0	\$1,625,000	\$570,000	\$4,650,000	\$900,000	\$5,549,953
Tidelands	\$0	\$0	\$45,000	\$0	\$0	\$0	\$0	\$45,000	\$0	\$45,000
2 - North County	\$701,380	\$258,093	\$2,635,000	\$35,000	\$550,000	\$425,000	\$200,000	\$3,845,000	\$0	\$4,804,473
ADA	\$0	\$95,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$95,000
Boating & Waterways	\$53,300	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$53,300
Community Development Block Grant	\$0	\$46,470	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$46,470
Open Space District	\$252,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$252,000
Park Mitigation Fees-2	\$25,080	\$55,623	\$10,000	\$35,000	\$50,000	\$65,000	\$50,000	\$210,000	\$0	\$290,703
Park Mitigation Fees-3	\$257,000	\$36,000	\$50,000	\$0	\$0	\$0	\$0	\$50,000	\$0	\$343,000
State, Federal, Other	\$0	\$0	\$0	\$0	\$0	\$80,000	\$0	\$80,000	\$0	\$80,000

Division/Section Funding Source	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State, Other	\$114,000	\$25,000	\$2,575,000	\$0	\$500,000	\$280,000	\$150,000	\$3,505,000	\$0	\$3,644,000
3 - Russian River	\$399,492	\$111,500	\$1,508,000	\$925,000	\$285,000	\$405,000	\$0	\$3,123,000	\$10,250,000	\$13,883,992
Boating & Waterways	\$323,208	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$323,208
Open Space District	\$0	\$0	\$368,000	\$0	\$0	\$0	\$0	\$368,000	\$0	\$368,000
Other	\$0	\$0	\$240,000	\$0	\$0	\$0	\$0	\$240,000	\$0	\$240,000
Park Mitigation Fees-3	\$44,034	\$111,500	\$70,000	\$50,000	\$25,000	\$0	\$0	\$145,000	\$0	\$300,534
State Coastal Conservancy	\$32,250	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$32,250
State, Federal, Other	\$0	\$0	\$380,000	\$500,000	\$0	\$0	\$0	\$880,000	\$8,220,000	\$9,100,000
State, Other	\$0	\$0	\$450,000	\$375,000	\$260,000	\$405,000	\$0	\$1,490,000	\$2,030,000	\$3,520,000
4 - Santa Rosa	\$3,170,374	\$1,094,093	\$1,769,000	\$3,395,000	\$1,876,000	\$1,765,000	\$270,000	\$9,075,000	\$4,398,000	\$17,737,467
Community Foundation	\$0	\$4,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$4,000
Major Maintenance	\$0	\$38,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$38,000
Metropolitan Transportation Commission	\$620,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$620,000
Open Space District	\$983,794	\$760,811	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,744,605
Other	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$544,000	\$544,000
Park Mitigation Fees-3	\$0	\$0	\$20,000	\$0	\$0	\$0	\$0	\$20,000	\$0	\$20,000
Park Mitigation Fees-4	\$24,000	\$145,480	\$35,000	\$55,000	\$55,000	\$40,000	\$60,000	\$245,000	\$543,000	\$957,480
Recreational Trails Program	\$117,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$117,500
Redevelopment-Santa Rosa	\$501,460	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$501,460
Sale of Surplus Property	\$70,702	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$70,702
State Coastal Conservancy	\$152,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$152,000
State Parks	\$0	\$0	\$750,000	\$0	\$0	\$0	\$0	\$750,000	\$0	\$750,000
State, Federal, Other	\$700,918	\$145,802	\$360,000	\$0	\$616,000	\$935,000	\$0	\$1,911,000	\$0	\$2,757,720
State, Other	\$0	\$0	\$604,000	\$3,340,000	\$1,205,000	\$790,000	\$210,000	\$6,149,000	\$3,311,000	\$9,460,000
4 - Santa Rosa, 2 - North County	\$92,000	\$0	\$1,320,000	\$0	\$800,000	\$0	\$0	\$2,120,000	\$0	\$2,212,000
Park Mitigation Fees-2	\$0	\$0	\$0	\$0	\$10,000	\$0	\$0	\$10,000	\$0	\$10,000
Park Mitigation Fees-4	\$12,000	\$0	\$0	\$0	\$40,000	\$0	\$0	\$40,000	\$0	\$52,000
Pending	\$0	\$0	\$820,000	\$0	\$0	\$0	\$0	\$820,000	\$0	\$820,000

Division/Section Funding Source	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Regional Parks Foundation	\$80,000	\$0	\$500,000	\$0	\$0	\$0	\$0	\$500,000	\$0	\$580,000
State, Federal, Other	\$0	\$0	\$0	\$0	\$750,000	\$0	\$0	\$750,000	\$0	\$750,000
5 - South County	\$60,539	\$5,306	\$280,000	\$1,100,000	\$560,000	\$20,000	\$20,000	\$1,980,000	\$2,798,000	\$4,843,845
Local, State, Other	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,837,000	\$1,837,000
Open Space District	\$55,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$55,000
Park Mitigation Fees-5	\$5,539	\$5,306	\$15,000	\$45,000	\$60,000	\$20,000	\$20,000	\$160,000	\$0	\$170,845
State, Federal, Other	\$0	\$0	\$0	\$525,000	\$500,000	\$0	\$0	\$1,025,000	\$941,000	\$1,966,000
State, Other	\$0	\$0	\$265,000	\$530,000	\$0	\$0	\$0	\$795,000	\$20,000	\$815,000
5 - South County, 3 - Russian River	\$0	\$6,000	\$200,000	\$300,000	\$320,000	\$325,000	\$1,100,000	\$2,245,000	\$2,250,000	\$4,501,000
Park Mitigation Fees-3	\$0	\$2,000	\$5,000	\$5,000	\$5,000	\$10,000	\$10,000	\$35,000	\$0	\$37,000
Park Mitigation Fees-5	\$0	\$4,000	\$10,000	\$10,000	\$15,000	\$15,000	\$15,000	\$65,000	\$0	\$69,000
State, Other	\$0	\$0	\$185,000	\$285,000	\$300,000	\$300,000	\$1,075,000	\$2,145,000	\$2,250,000	\$4,395,000
5 - South County, 6 - Sonoma Valley	\$1,952,500	\$150,000	\$200,000	\$1,150,000	\$350,000	\$0	\$0	\$1,700,000	\$0	\$3,802,500
Federated Indians of Graton Rancheria	\$150,000	\$150,000	\$200,000	\$0	\$0	\$0	\$0	\$200,000	\$0	\$500,000
Park Mitigation Fees-5	\$950,000	\$0	\$0	\$100,000	\$25,000	\$0	\$0	\$125,000	\$0	\$1,075,000
Park Mitigation Fees-6	\$509,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$509,000
Regional Parks Foundation	\$43,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$43,500
State Coastal Conservancy	\$300,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$300,000
State, Other	\$0	\$0	\$0	\$1,050,000	\$325,000	\$0	\$0	\$1,375,000	\$0	\$1,375,000
6 - Sonoma Valley	\$735,565	\$1,335,741	\$1,090,000	\$600,000	\$2,107,000	\$600,000	\$400,000	\$4,797,000	\$8,311,000	\$15,179,306
ADA	\$0	\$40,000	\$60,000	\$0	\$0	\$0	\$0	\$60,000	\$0	\$100,000
Community Development Block Grant	\$0	\$0	\$30,000	\$0	\$0	\$0	\$0	\$30,000	\$0	\$30,000
Major Maintenance	\$0	\$38,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$38,000
Measure M	\$100,634	\$0	\$50,000	\$50,000	\$550,000	\$0	\$0	\$650,000	\$0	\$750,634
Metropolitan Transportation Commission	\$50,000	\$0	\$500,000	\$0	\$0	\$0	\$0	\$500,000	\$0	\$550,000
Open Space District	\$396,805	\$977,193	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$1,373,998
Other	\$0	\$0	\$150,000	\$0	\$0	\$0	\$0	\$150,000	\$0	\$150,000
Park Mitigation Fees-6	\$137,626	\$225,548	\$50,000	\$25,000	\$50,000	\$50,000	\$15,000	\$190,000	\$0	\$553,174

Division/Section Funding Source	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State Parks	\$50,500	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$50,500
State, Federal, Other	\$0	\$0	\$0	\$525,000	\$1,507,000	\$0	\$0	\$2,032,000	\$8,251,000	\$10,283,000
State, Other	\$0	\$5,000	\$250,000	\$0	\$0	\$550,000	\$385,000	\$1,185,000	\$60,000	\$1,250,000
Wildlife Conservation Board	\$0	\$50,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$50,000
6 - Sonoma Valley, 4 - Santa Rosa	\$1,719	\$21,175	\$205,575	\$242,000	\$500,000	\$300,000	\$4,036,000	\$5,283,575	\$0	\$5,306,469
Park Mitigation Fees-6	\$1,719	\$21,175	\$5,000	\$20,000	\$10,000	\$20,000	\$36,000	\$91,000	\$0	\$113,894
State, Other	\$0	\$0	\$200,575	\$222,000	\$490,000	\$280,000	\$4,000,000	\$5,192,575	\$0	\$5,192,575
7 - Larkfield Wikiup, 4 - Santa Rosa	\$0	\$185,000	\$350,000	\$0	\$80,000	\$300,000	\$0	\$730,000	\$0	\$915,000
Local, State, Federal	\$0	\$0	\$0	\$0	\$80,000	\$300,000	\$0	\$380,000	\$0	\$380,000
Park Mitigation Fees-Sutter	\$0	\$185,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$185,000
Pending	\$0	\$0	\$350,000	\$0	\$0	\$0	\$0	\$350,000	\$0	\$350,000
7 - Larkfiled Wikiup	\$0	\$285,000	\$0	\$0	\$0	\$520,000	\$0	\$520,000	\$0	\$805,000
Other	\$0	\$260,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$260,000
Park Mitigation Fees-7	\$0	\$25,000	\$0	\$0	\$0	\$15,000	\$0	\$15,000	\$0	\$40,000
State, Other	\$0	\$0	\$0	\$0	\$0	\$505,000	\$0	\$505,000	\$0	\$505,000
Grand Total:	\$9,201,009	\$4,145,806	\$13,261,575	\$10,017,000	\$8,948,000	\$6,560,000	\$9,531,000	\$48,317,575	\$28,907,000	\$90,571,390

RP08001

Bodega Bay Bike & Pedestrian Trail - Coastal Prairie

Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2007
Division/Section: 1 - Sonoma Coast **End Date:** 2013



Description:

Phase 1, sections 1B and 1C, will construct the northern 1.1 mile of Class 1 trail from Keefe Ave south to Bell Tower site. Project crosses Bodega Dunes State Parks land and County land and includes trailhead parking and boardwalk over wetland areas. Project identified as high priority in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways. Construction funding includes Sonoma County Transportation Authority Measure M funding. This project would complete part of the California Coastal Trail.

Project Cost	
Acquisition:	0
Construction:	810
Furniture/Reloc:	0
Design/PM:	509
Other:	0
Project Total:	1,319

O and M Cost	
Utilities:	0
Maintenance	29
Personnel:	0
Other:	-3
OM Total:	26

Net Impact On Operating Budget:

Completion estimated 2013

Revenue/Refund:	3
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Coastal Impact Assistance Program	140962	114	0	0	0	0	0	0	0	0	114
Measure M	140962	50	170	0	130	0	0	0	130	0	350
Metropolitan Transportation Commission	140962	535	0	0	0	0	0	0	0	0	535
Park Mitigation Fees-1	140962	240	0	5	75	0	0	0	80	0	320
State, Federal, Other	140962	0	0	0	0	0	0	0	0	0	0
TOTALS:		939	170	5	205	0	0	0	210	0	1,319

RP09010

Bodega Bay Bike & Pedestrian Trail - Harbor

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2010
Division/Section: 1 - Sonoma Coast **End Date:** 2017



Description:

Phase 2, Segments 3D-1, 3D-2, 5B & 6B would construct 1.6 miles of Class I trail connecting the Bay Flat Road, the Tides and Lucas Warf south to Smith Brothers Road, to Highway 1, and connecting to the existing southern section of the trail at Birdwalk Coastal Access. Project identified as high priority in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways. This project would complete part of the California Coastal Trail.

Project Cost	
Acquisition:	0
Construction:	2,400
Furniture/Reloc:	0
Design/PM:	378
Other:	0
Project Total:	2,778

O and M Cost	
Utilities:	0
Maintenance	25
Personnel:	0
Other:	0
OM Total:	25

Net Impact On Operating Budget:

Completion estimated 2017

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Measure M	140962	0	0	0	0	0	0	300	300	0	300
Park Mitigation Fees-1	140962	123	10	0	0	50	15	25	90	0	223
State Coastal Conservancy	140962	75	0	0	0	0	0	0	0	0	75
State, Federal, Other	140962	0	0	5	0	0	100	2,075	2,180	0	2,180
TOTALS:		198	10	5	0	50	115	2,400	2,570	0	2,778

RP09004

Bodega Bay Bike & Pedestrian Trail - North

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2010
Division/Section: 1 - Sonoma Coast **End Date:** 2017



Description:

Phase 3, Segment 2B would construct .32 miles of Class 1 trail connecting the Phase 1 project at the Bell Tower site to Bay Flat Road. The project would cross Bodega Dunes campground and riparian areas, requiring boardwalk and/or bridge crossings. Project identified as high priority in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways. This trail would complete part of the California Coastal Trail.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	505	Maintenance:	7
Furniture/Reloc:	0	Personnel:	0
Design/PM:	205	Other:	0
Other:	0		
Project Total:	710	OM Total:	7

Net Impact On Operating Budget:

Completion estimated 2017

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Measure M	RP09004	0	0	0	0	0	100	200	300	0	300
Park Mitigation Fees-1	RP09004	68	2	5	0	20	10	20	55	0	125
State, Federal, Other	RP09004	0	0	0	0	0	0	285	285	0	285
TOTALS:		68	2	5	0	20	110	505	640	0	710

RP07066

Bodega Bay Dredging

Unfunded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2013
Division/Section: 1 - Sonoma Coast **End Date:** 2015

Description:

Project includes planning, permitting, and implementing marina and Bodega Harbor channel dredging. Project is necessary to support full access to and revenue collected from County facilities.



Project Cost	
Acquisition:	0
Construction:	1,200
Furniture/Reloc:	0
Design/PM:	670
Other:	0
Project Total:	1,870

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Change

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Federal, Other	140830	0	0	400	270	1,200	0	0	1,870	0	1,870
TOTALS:		0	0	400	270	1,200	0	0	1,870	0	1,870

RP08011

Bodega Bay Sport Fishing Center

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2016
Division/Section: 1 - Sonoma Coast **End Date:** 2018

Description:

Construct a permanent restroom to replace temporary portable facility to meet current park and Party Boat user needs. This would save funding used for regular pumping service and improve public health and safety by providing water for visitors along with eliminating clean up issues associated with vandalism of portable restroom.



Net Impact On Operating Budget:

Increase for maintaining new structure

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	240	Maintenance:	16
Furniture/Reloc:	0	Personnel:	0
Design/PM:	60	Other:	0
Other:	0		
Project Total:	300	OM Total:	16

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State, Other	140998	0	0	0	0	0	300	0	300	0	300
TOTALS:		0	0	0	0	0	300	0	300	0	300

RP13001

Carrington Ranch

Unfunded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2013
Division/Section: 1 - Sonoma Coast **End Date:** 2014

Description:

Planing for public access to Open Space District property in west Sonoma County.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	0	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	40	Other:	0
Other:	0		
Project Total:	40	OM Total:	0

Net Impact On Operating Budget:

No change

Revenue/Refund:	0	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State, Other	tbd	0	0	40	0	0	0	0	40	0	40
TOTALS:		0	0	40	0	0	0	0	40	0	40

RP10013

Doran Park

Partially Funded

Function: DS
Department: Regional Parks
Division/Section: 1 - Sonoma Coast

Status: Active
Start Date: 2009
End Date: 2016



Description:

Planning and design is underway for the Boat Launch to improve vehicle and pedestrian circulation, along with improvements to the boat ramp, dock, fish cleaning station, and parking to comply with ADA requirements. This area is highly congested during fishing seasons. This is funded with a California Boating & Waterways grant. Construction of Boat Launch improvements are planned for F.Y. 1415.

A new restroom and shower building between the Gull and Shell campgrounds is planned for F.Y. 1617. Currently these campsites are served by composting toilets. The sewer line is in place and the new facility will be fully accessible and serve the existing park user needs.

Net Impact On Operating Budget:

No change for Boat Launch improvements; Increase for maintaining new structure

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,617	Maintenance:	14
Furniture/Reloc:	0	Personnel:	0
Design/PM:	365	Other:	0
Other:	0		
Project Total:	1,982	OM Total:	14

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
ADA	140137	0	110	390	0	0	0	0	390	0	500
Boating & Waterways	140137	110	0	0	0	0	0	0	0	0	110
Community Development Block Grant	140137	0	65	0	0	0	0	0	0	0	65
Park Mitigation Fees-1	140137	38	94	0	0	0	25	0	25	0	157
State, Other	140137	0	0	0	800	0	350	0	1,150	0	1,150
TOTALS:		148	269	390	800	0	375	0	1,565	0	1,982

RP12002

Estero Trail

Partially Funded

Function: DS
Department: Regional Parks
Division/Section: 1 - Sonoma Coast

Status: Active
Start Date: 2012
End Date: 2014



Description:

Planning for a trail connection on the Open Space District's conservation easement on Bordessa Ranch. Planning work will identify a trail easement location and include a preliminary evaluation of public access. Planning funding from the State Coastal Conservancy. Future funding is needed to develop preferred trail alignment, once determined by the Board of Supervisors.

Project Cost	
Acquisition:	0
Construction:	250
Furniture/Reloc:	0
Design/PM:	125
Other:	0
Project Total:	375

O and M Cost	
Utilities:	0
Maintenance	20
Personnel:	0
Other:	0
OM Total:	20

Net Impact On Operating Budget:

Create plan for future trail

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State	140509	0	50	25	50	250	0	0	325	0	375
TOTALS:		0	50	25	50	250	0	0	325	0	375

RP17012

Gualala Park Expansion

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2016
Division/Section: 1 - Sonoma Coast **End Date:** 2019

Description:

This future project includes planning for potential park expansion, providing improved access to the Gualala River along with new camping opportunities.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	0	Maintenance:	29
Furniture/Reloc:	0	Personnel:	0
Design/PM:	300	Other:	-5
Other:	0		
Project Total:	300	OM Total:	24

Net Impact On Operating Budget:

Increase for maintaining expanded facility; new use increases revenue

Revenue/Refund:	5	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-1	140228	0	0	0	0	0	0	15	15	0	15
State, Other	140228	0	0	0	0	0	0	285	285	0	285
TOTALS:		0	0	0	0	0	0	300	300	0	300

RP13015

Mason's Marina

Unfunded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2012
Division/Section: 1 - Sonoma Coast **End Date:** 2015

Description:

This project includes planning and developing a new Visitor Center and existing renovating docks at Mason's Marina. Work would improve A.D.A. access and create an opportunity for a Bodega Bay "Water Taxi." Project funding requested from California Boating & Waterways. Project facilitated with National Parks Services' Rivers, Trails and Conservation Assistance Program for planning assistance.



Net Impact On Operating Budget:

Improvements will increase revenue

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	895	Maintenance:	75
Furniture/Reloc:	0	Personnel:	0
Design/PM:	170	Other:	-49
Other:	0		
Project Total:	1,065	OM Total:	26
Revenue/Refund:	49	eTons:	

Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State, Federal, Other	tbd	0	0	170	895	0	0	0	1,065	0	1,065
TOTALS:		0	0	170	895	0	0	0	1,065	0	1,065

RP13014

Occidental Community Center

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2011
Division/Section: 1 - Sonoma Coast **End Date:** 2015



Description:

Redevelop existing building as an Adventure Day Lodge, centralizing recreational support services and information and providing a tourism base for recreational access to public and private properties in West County. This redeveloped facility would offer shuttle service, visitor support services, outdoor recreation equipment, food service, commercial kitchen, restrooms, and gathering areas. Project facilitated with National Parks Services' Rivers, Trails and Conservation Assistance Program for planning assistance.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	400	Maintenance:	80
Furniture/Reloc:	0	Personnel:	0
Design/PM:	100	Other:	0
Other:	0		
Project Total:	500	OM Total:	80

Net Impact On Operating Budget:

Increase for maintaining improved and expanded facility; new use adds revenue

Revenue/Refund:	50	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Private Foundation	140517	0	100	0	0	0	0	0	0	0	100
State, Federal, Other	140517	0	0	400	0	0	0	0	400	0	400
TOTALS:		0	100	400	0	0	0	0	400	0	500

RP13003

Poff Ranch

Unfunded

Function: DS
Department: Regional Parks
Division/Section: 1 - Sonoma Coast

Status: Request
Start Date: 2013
End Date: 2014

Description:

Planing for public access to Open Space District property in west Sonoma County.



Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	40
Other:	0
Project Total:	40

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No change

Revenue/Refund:	0
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State, Other	tbd	0	0	40	0	0	0	0	40	0	40
TOTALS:		0	0	40	0	0	0	0	40	0	40

RP08005

Sea Ranch Bluff Top Trail

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2007
Division/Section: 1 - Sonoma Coast **End Date:** 2013



Description:

This project includes developing license agreements with The Sea Ranch Association for relocating sections of the Bluff Top Trail public access easement, which have eroded into the commons areas, and access to Walk On Beach, which has been closed for several years due to erosion. After completing the license agreements and final design, the appropriate C.E.Q.A. documents will be prepared and filed, followed by completing improvements.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	9	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	57	Other:	0
Other:	0		
Project Total:	66	OM Total:	0

Net Impact On Operating Budget:

No change

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-1	140111	32	19	0	0	0	0	0	0	0	52
State Coastal Conservancy	140111	14	0	0	0	0	0	0	0	0	14
State, Other	140111	0	0	0	0	0	0	0	0	0	0
TOTALS:		46	19	0	0	0	0	0	0	0	66

RP12005

Spud Point Marina

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2012
Division/Section: 1 - Sonoma Coast **End Date:** 2014

Description:

This project includes replacing aging and failing equipment at Spud Point Marina including the three-ton Hoist, Ice Plant, and Transformers. This project will keep the facility operable for fishing industry uses and support local jobs, improve safety, increase marina revenue, and reduce maintenance costs. The hoist breaks down regularly during fishing season, creating a barrier for intended users. The ice plant is nearly 30 years old and the only facility between Fort Bragg and San Francisco Bay. Only one of the six transformers has been replaced. The remaining have deteriorated in the marine environment. Failure would impact all dock users, especially livaboards.



Project Cost	
Acquisition:	0
Construction:	316
Furniture/Reloc:	0
Design/PM:	279
Other:	0
Project Total:	595

O and M Cost	
Utilities:	0
Maintenance:	-11
Personnel:	0
Other:	-2
OM Total:	-13

Net Impact On Operating Budget:

Reduce maintenance costs and increase revenue

Revenue/Refund:	2
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Spud Point Marina Ent.	140061	0	6	65	0	0	0	0	65	0	71
State, Federal, Other	140061	0	0	479	0	0	0	0	479	0	479
Tidelands	140061	0	0	45	0	0	0	0	45	0	45
TOTALS:		0	6	589	0	0	0	0	589	0	595

RP17003

Stillwater Park Expansion

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2016
Division/Section: 1 - Sonoma Coast **End Date:** 2019



Description:

This future project would expand the park into lands designated for Stillwater Cove Regional Park, but currently held through a life estate. An exact date is not proposed. Also included are constructing trail connections including developing new sections of the California Coastal Trail.

Project Cost	
Acquisition:	0
Construction:	241
Furniture/Reloc:	0
Design/PM:	60
Other:	0
Project Total:	301

O and M Cost	
Utilities:	0
Maintenance	68
Personnel:	0
Other:	0
OM Total:	68

Net Impact On Operating Budget:

Increase for maintaining expanded facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-1	140236	0	1	0	0	0	0	15	15	0	16
State, Other	140236	0	0	0	0	0	0	285	285	0	285
TOTALS:		0	1	0	0	0	0	300	300	0	301

RP10006

Timber Cove California Coastal Trail

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 1 - Sonoma Coast **End Date:** 2017



Description:

Trail feasibility work is underway to identify a 3 mile trail alignment parallel to Highway 1 for the California Coastal Trail. The goal is to safely connect Stillwater Cove Regional Park to Fort Ross State Historic Park for pedestrian and bicycle access. Trail design is planned for 2014 with implementation as funding is available, through 2016. Project included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.

Project Cost	
Acquisition:	110
Construction:	1,745
Furniture/Reloc:	0
Design/PM:	300
Other:	0
Project Total:	2,155

O and M Cost	
Utilities:	0
Maintenance	30
Personnel:	0
Other:	0
OM Total:	30

Net Impact On Operating Budget:

Increase for maintaining new facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-1	140327	5	0	0	15	0	25	0	40	0	45
State Coastal Conservancy	140327	200	0	0	0	0	0	0	0	0	200
State, Other	140327	0	0	0	35	0	975	0	1,010	900	1,910
TOTALS:		205	0	0	50	0	1,000	0	1,050	900	2,155

RP07009

Watson School

Partially Funded

Function: DS
Department: Regional Parks
Division/Section: 1 - Sonoma Coast

Status: Active
Start Date: 2006
End Date: 2015



Description:

Watson School Building Restoration is currently underway with funding from the Parks Foundation. The work will restore the original structure of the building and refurnish the interior as a complete example of an early one-room schoolhouse. Watson School would then be reopened to the public for historical interpretation, school programs, public meetings and historical society and non-profit use. Additional parking is needed to support the increased use of the facility and this work is planned for F.Y. 1314.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	190	Maintenance:	18
Furniture/Reloc:	0	Personnel:	0
Design/PM:	79	Other:	-3
Other:	0		
Project Total:	269	OM Total:	15

Net Impact On Operating Budget:

Increase for maintaining improved and expanded facility; new use adds revenue

Revenue/Refund:	3	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Other	140947	0	24	40	0	0	0	0	40	0	64
Park Mitigation Fees-1	140947	0	0	5	0	0	0	0	5	0	5
Regional Parks Foundation	140947	200	0	0	0	0	0	0	0	0	200
TOTALS:		200	24	45	0	0	0	0	45	0	269

RP12007

West Sonoma County Gateway

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2011
Division/Section: 1 - Sonoma Coast **End Date:** 2015



Description:

This project will plan for access to public and publicly-used open space including trailheads, access easements, use agreements, and transportation operating agreements. This project includes trails identified in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways. Project facilitated with National Parks Services' planning assistance.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	52	Maintenance:	50
Furniture/Reloc:	0	Personnel:	0
Design/PM:	51	Other:	-20
Other:	0		
Project Total:	103	OM Total:	30
Revenue/Refund:	20	eTons:	

Net Impact On Operating Budget:

Increase for managing improved and expanded facilities; new use increases revenue

Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-1	140459	21	5	0	0	0	0	0	0	0	26
Regional Parks Foundation	140459	7	0	0	0	0	0	0	0	0	7
State, Federal, Other	140459	0	20	50	0	0	0	0	50	0	70
TOTALS:			28	25	50	0	0	0	50	0	103

RP10008

Westside Park

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 1 - Sonoma Coast **End Date:** 2015

Description:

The Westside Boat Launch Expansion includes a third launch lane, new boarding floats, new pathways, and parking and fish cleaning station reconfiguration. This will accommodate heavy use during opening days of fishing seasons. The new facilities will be fully accessible and meet park obligations identified in the transition plan. Planning work is underway, funded with a California Boating & Waterways grant. Future construction is proposed for 2014 with grant funding to be requested from Boating & Waterways.



Project Cost	
Acquisition:	0
Construction:	1,500
Furniture/Reloc:	0
Design/PM:	273
Other:	0
Project Total:	1,773

O and M Cost	
Utilities:	0
Maintenance	3
Personnel:	0
Other:	0
OM Total:	3

Net Impact On Operating Budget:

Improvements will increase revenue

Revenue/Refund:	10
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Boating & Waterways	140558	240	0	0	0	0	0	0	0	0	240
Park Mitigation Fees-1	140558	15	18	0	0	0	0	0	0	0	33
State, Other	140558	0	0	1,500	0	0	0	0	1,500	0	1,500
TOTALS:		255	18	1,500	0	0	0	0	1,500	0	1,773

RP13006

Willow Creek

Unfunded

Function: DS
Department: Regional Parks
Division/Section: 1 - Sonoma Coast

Status: Request
Start Date: 2013
End Date: 2014



Description:
Planning for public access to Willow Creek environs Open Space District protected lands.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	0	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	40	Other:	0
Other:	0		
Project Total:	40	OM Total:	0

Net Impact On Operating Budget:

No change

Revenue/Refund:	0	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State, Other	tbd	0	0	40	0	0	0	0	40	0	40
TOTALS:		0	0	40	0	0	0	0	40	0	40

RP15022

Cloverdale River Park

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2014
Division/Section: 2 - North County **End Date:** 2016



Description:

Construct a permanent restroom to replace temporary portable facility to meet current park and trail user needs. This would save funding used for regular pumping service and improve public health and safety by providing water for group picnic area along with eliminating clean up issues associated with vandalism of portable restroom. This restroom would be connected with sewer and would follow the proposed McCray Road subdivision development.

Project Cost	
Acquisition:	0
Construction:	300
Furniture/Reloc:	0
Design/PM:	35
Other:	0
Project Total:	335

O and M Cost	
Utilities:	0
Maintenance	14
Personnel:	0
Other:	0
OM Total:	14

Net Impact On Operating Budget:

Increase for maintaining new structure

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-2	140665	0	0	0	35	30	0	0	65	0	65
State, Other	140665	0	0	0	0	270	0	0	270	0	270
TOTALS:		0	0	0	35	300	0	0	335	0	335

RP09021

Foothill Regional Park Phases 4 & 5

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2008
Division/Section: 2 - North County **End Date:** 2018



Description:

Phase 4 proposed for F.Y. 1617 includes construction of a well, restroom, connections to utilities, and picnic area. Phase 5 proposed for F.Y. 1718 includes Pond C renovation and construction of a fishing pier. Completion of these phases will conclude park development included in the adopted the Master Plan.

Project Cost	
Acquisition:	0
Construction:	312
Furniture/Reloc:	0
Design/PM:	102
Other:	0
Project Total:	414

O and M Cost	
Utilities:	0
Maintenance	25
Personnel:	0
Other:	0
OM Total:	25

Net Impact On Operating Budget:

Increase for maintaining new improvements

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-2	140442	0	0	0	0	0	25	50	75	0	75
State, Other	140442	114	25	0	0	0	50	150	200	0	339
TOTALS:		114	25	0	0	0	75	200	275	0	414

RP17023

Geyserville River Park

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2016
Division/Section: 2 - North County **End Date:** 2019



Description:

Includes planning work for a new park and Russian River access in the Geyserville area. The Sonoma County General Plan 2020, Public Facilities and Services Element, supports the National Recreation and Parks Association recommendations for community parks. This park classification includes a variety of activities within a 30 minute drive of population centers at a rate of 2.5 acres per 1,000 persons.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	0	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	250	Other:	0
Other:	0		
Project Total:	250	OM Total:	0

Net Impact On Operating Budget:

Study for future project

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-2	tbd	0	0	0	0	0	20	0	20	0	20
State, Other	tbd	0	0	0	0	0	230	0	230	0	230
TOTALS:		0	0	0	0	0	250	0	250	0	250

RP11024

Healdsburg Veterans Memorial Beach

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2010
Division/Section: 2 - North County **End Date:** 2014



Description:

Regional Parks installs the Healdsburg Veterans Memorial Dam each summer to provide for recreational opportunities for the community. When installed, the dam forms the Healdsburg Pool from approximately mid-June to following the Labor Day weekend. Over the years, the concrete footing that supports the flashboard dam has eroded. To repair this erosion, Regional Parks plans to clean the area around the footing and pour new concrete over the sections of the dam sill. Regional Parks is working to obtaining the required permits to complete these repairs. Funding for renovation includes contributions from the City of Healdsburg. Regional Parks also completed A.D.A. improvements in 2012.

Project Cost	
Acquisition:	0
Construction:	2,025
Furniture/Reloc:	0
Design/PM:	352
Other:	0
Project Total:	2,377

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No change

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
ADA	140897	0	95	0	0	0	0	0	0	0	95
Community Development Block Grant	140897	0	46	0	0	0	0	0	0	0	46
Park Mitigation Fees-2	140897	15	21	0	0	0	0	0	0	0	36
State, Other	140897	0	0	2,200	0	0	0	0	2,200	0	2,200
TOTALS:		15	162	2,200	0	0	0	0	2,200	0	2,377

RP07018

Riverfront Park Phase 3

Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2006
Division/Section: 2 - North County **End Date:** 2014



Description:

Construct Lake Benoist and Russian River access including trails, park driveway extension, picnic and rest areas, boat portages, entry kiosk, and lakeshore and river planting restoration. Project is funded with and Open Space District Matching Grant, California Boating & Waterways grant, park mitigation fees, and Aggregate Resources Mitigation funds.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	348	Maintenance:	53
Furniture/Reloc:	0	Personnel:	0
Design/PM:	300	Other:	-12
Other:	0		
Project Total:	648	OM Total:	41
Revenue/Refund:	12	eTons:	

Net Impact On Operating Budget:

Increase for maintaining expanded facility; new use increases revenue

Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Boating & Waterways	140889	53	0	0	0	0	0	0	0	0	53
Open Space District	140889	252	0	0	0	0	0	0	0	0	252
Park Mitigation Fees-3	140889	257	36	50	0	0	0	0	50	0	343
State, Other	140889	0	0	0	0	0	0	0	0	0	0
TOTALS:		562	36	50	0	0	0	0	50	0	648

RP13004

Riverfront Park Phase 4

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2016
Division/Section: 2 - North County **End Date:** 2017

Description:

Phase 4 improvements will provide marsh restoration planting, natural trail, and interpretive signs identified in the Master Plan.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	80	Maintenance:	2
Furniture/Reloc:	0	Personnel:	0
Design/PM:	20	Other:	0
Other:	0		
Project Total:	100	OM Total:	2

Net Impact On Operating Budget:

Increase for maintaining expanded facility

Revenue/Refund:	0	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-2	140889	0	0	0	0	0	20	0	20	0	20
State, Federal, Other	140889	0	0	0	0	0	80	0	80	0	80
TOTALS:		0	0	0	0	0	100	0	100	0	100

RP15020

Riverfront Park Phase 5

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2014
Division/Section: 2 - North County **End Date:** 2017



Description:

Phase 5 improvements would develop access to the Russian River, including trail development and a boat portage. Improvements would include roadway improvements, additional parking, and portable restroom. Two trail sections are proposed including the .6 mile River Trail, extending north from the Lake Trail, and the North River Access Trail connecting to the boat portage. The boat portage would provide river access for non-motorized boats.

Project Cost	
Acquisition:	0
Construction:	225
Furniture/Reloc:	0
Design/PM:	75
Other:	0
Project Total:	300

O and M Cost	
Utilities:	0
Maintenance	29
Personnel:	0
Other:	0
OM Total:	29

Net Impact On Operating Budget:

Increase for maintaining expanded facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State, Other	140889	0	0	300	0	0	0	0	300	0	300
TOTALS:		0	0	300	0	0	0	0	300	0	300

RP10019

Russian River Access Sites - upper

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 2 - North County **End Date:** 2014



Description:

Information has been gathered on existing conditions along the Russian River. The next step is preparing an Acquisition Plan and complete C.E.Q.A. for sites for future acquisition. This study area includes potential Russian River access sites from the Mendocino County line to Healdsburg Memorial Beach. This furthers the findings from the State Coastal Conservancy's Russian River Access and Trespass Management Plan.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	0	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	130	Other:	0
Other:	0		
Project Total:	130	OM Total:	0

Net Impact On Operating Budget:

Create plan for future trail

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-2	140970	10	35	10	0	0	0	0	10	0	55
State, Other	140970	0	0	75	0	0	0	0	75	0	75
TOTALS:		10	35	85	0	0	0	0	85	0	130

RP16017

Wohler Bridge Fishing Access

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2015
Division/Section: 2 - North County **End Date:** 2019



Description:

This project includes adding a restroom and improving the existing boat launch. The permanent restroom would reduce the costs for regular pumping service and improve public health and safety by eliminating clean up issues associated with vandalism of portable restroom. The existing boat launch sees heavy seasonal use and ramp restoration is needed to maintain access. California Boating and Waterways has expressed interest in helping to fund these improvements.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	200	Maintenance:	14
Furniture/Reloc:	0	Personnel:	0
Design/PM:	50	Other:	0
Other:	0		
Project Total:	250	OM Total:	14

Net Impact On Operating Budget:

Increase for maintaining new structure

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-2	140400	0	0	0	0	20	0	0	20	0	20
State, Other	140400	0	0	0	0	230	0	0	230	0	230
TOTALS:		0	0	0	0	250	0	0	250	0	250

RP14027

Dutch Bill Creek Trail

Unfunded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2013
Division/Section: 3 - Russian River **End Date:** 2017



Description:

Planning for 5.46 miles of Class I along portions of the North Pacific Coast Railroad right-of way. This trail would connect Occidental to the planned Russian River Trail at Monte Rio. This project is included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	2,030	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	155	Other:	0
Other:	0		
Project Total:	2,185	OM Total:	0

Net Impact On Operating Budget:

Planning for future project

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State, Other	tbd	0	0	0	0	0	155	0	155	2,030	2,185
TOTALS:		0	0	0	0	0	155	0	155	2,030	2,185

RP15028

Graton Community Park

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2014
Division/Section: 3 - Russian River **End Date:** 2017



Description:

Includes planning work for a new community park in the Graton area. The Sonoma County General Plan 2020, Public Facilities and Services Element, supports the National Recreation and Parks Association recommendations for community parks. This park classification includes a variety of activities within a 30 minute drive of population centers at a rate of 2.5 acres per 1,000 persons.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	0	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	285	Other:	0
Other:	0		
Project Total:	285	OM Total:	0

Net Impact On Operating Budget:

Study for future project

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-3	tbd	0	0	0	0	25	0	0	25	0	25
State, Other	tbd	0	0	0	0	260	0	0	260	0	260
TOTALS:		0	0	0	0	285	0	0	285	0	285

RP10030

Guerneville River Park Phase 2

Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 3 - Russian River **End Date:** 2014



Description:

Phase 2 includes construction of an entry driveway on the east side of Highway 116, parking, boat turn around, boat launch ramp/portage, trail connecting to the first phase, picnic area, trash cans, and signage, and a trail connecting underneath the highway bridge to the first phase of park development. Additional property acquisition is needed for driveway access. California Boating & Waterways has awarded a grant for most of the construction funding. Acquisition and remaining development funding has been awarded from the Open Space District's Matching Grant program.

Project Cost		O and M Cost	
Acquisition:	285	Utilities:	0
Construction:	340	Maintenance:	13
Furniture/Reloc:	0	Personnel:	0
Design/PM:	133	Other:	-7
Other:	0		
Project Total:	758	OM Total:	6

Net Impact On Operating Budget:

Increase for maintaining expanded facility; new use increases revenue

Revenue/Refund:	7	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Boating & Waterways	140772	323	0	0	0	0	0	0	0	0	323
Open Space District	140772	0	0	368	0	0	0	0	368	0	368
Park Mitigation Fees-3	140772	24	23	20	0	0	0	0	20	0	67
State, Other	140772	0	0	0	0	0	0	0	0	0	0
TOTALS:		347	23	388	0	0	0	0	388	0	758

RP14031

Guerneville River Park Phase 3

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2013
Division/Section: 3 - Russian River **End Date:** 2015

Description:

Phase 3 includes planning and construction of camping and additional parking to serve expanded park use.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	375	Maintenance:	36
Furniture/Reloc:	0	Personnel:	0
Design/PM:	25	Other:	-36
Other:	0		
Project Total:	400	OM Total:	0
Revenue/Refund:	36	eTons:	

Net Impact On Operating Budget:

Increase for maintaining expanded facility; new use increases revenue offsetting maintenance costs

Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-3	140772	0	0	0	25	0	0	0	25	0	25
State, Other	140772	0	0	0	375	0	0	0	375	0	375
TOTALS:		0	0	0	400	0	0	0	400	0	400

RP13032

Ragle Ranch Regional Park

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2012
Division/Section: 3 - Russian River **End Date:** 2015

Description:

Construct a permanent restroom near the volleyball and tennis courts. This would reduce funding used for regular pumping service and improve public health and safety by providing water for group picnic area along with eliminating clean up issues associated with vandalism of portable restroom. This restroom would be located adjacent to the popular volleyball and tennis courts and would be connected to septic system.



Project Cost	
Acquisition:	0
Construction:	255
Furniture/Reloc:	0
Design/PM:	30
Other:	0
Project Total:	285

O and M Cost	
Utilities:	0
Maintenance	14
Personnel:	0
Other:	0
OM Total:	14

Net Impact On Operating Budget:

Increase for maintaining new structure

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Other	140285	0	0	240	0	0	0	0	240	0	240
Park Mitigation Fees-3	140285	0	25	20	0	0	0	0	20	0	45
TOTALS:		0	25	260	0	0	0	0	260	0	285

RP13029

Russian River Access Sites - lower

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2012
Division/Section: 3 - Russian River **End Date:** 2015



Description:

A Feasibility Study is needed to identify Russian River access areas for Russian River below Wohler Bridge. This furthers the findings from the State Coastal Conservancy’s Russian River Access and Trespass Management Plan. Acquisition is considered in F.Y. 1217. A future project outside this C.P.P. will be an access study for the middle reach of the Russian River.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	200	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	75	Other:	0
Other:	0		
Project Total:	275	OM Total:	0

Net Impact On Operating Budget:

Study for future project

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-3	140251	0	25	0	0	0	0	0	0	0	25
State, Other	140251	0	0	0	0	0	250	0	250	0	250
TOTALS:		0	25	0	0	0	250	0	250	0	275

RP14025

Russian River Trail

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2013
Division/Section: 3 - Russian River **End Date:** 2016



Description:

Planning for trail paralleling the Russian River in West Sonoma County from Rio Nido to the Sheridan area. Planning work proposed for F.Y. 1314 and initial acquisition planned for F.Y. 1415. This project is included in the 2009 SCTA Lower Russian River Community Based Transportation Plan and the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.

Project Cost		O and M Cost	
Acquisition:	845	Utilities:	0
Construction:	7,450	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	850	Other:	0
Other:	0		
Project Total:	9,145	OM Total:	0

Net Impact On Operating Budget:

Create plan for future trail

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-3	tbd	0	0	20	25	0	0	0	45	0	45
State, Federal, Other	tbd	0	0	380	500	0	0	0	880	8,220	9,100
TOTALS:		0	0	400	525	0	0	0	925	8,220	9,145

RP13026

Steelhead Beach Phase 3

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2011
Division/Section: 3 - Russian River **End Date:** 2014



Description:

A camping analysis for Steelhead Beach was completed in 2011 at the request of the State Coastal Conservancy as Phase 2 construction was being completed. This Phase 3 project would develop a walk-in campground area and park entry improvements, and meet the walk-in portion of the camping needs identified in the approved Master Plan.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	426	Maintenance:	39
Furniture/Reloc:	0	Personnel:	0
Design/PM:	125	Other:	-25
Other:	0		
Project Total:	551	OM Total:	14
Revenue/Refund:	25	eTons:	

Net Impact On Operating Budget:

Increase for maintaining improved facility; new use increases revenue

Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-3	140657	20	39	10	0	0	0	0	10	0	69
State Coastal Conservancy	140657	32	0	0	0	0	0	0	0	0	32
State, Other	140657	0	0	450	0	0	0	0	450	0	450
TOTALS:		52	39	460	0	0	0	0	460	0	551

RP15042

Colgan Creek Trail

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2014
Division/Section: 4 - Santa Rosa **End Date:** 2018

Description:

The project includes both the west and east extensions of Colgan Creek Trail. The western extension would plan and construct 1.79 miles of Class I trail between Stony Point Road at Todd Road to Llano. This trail would provide a connection between the SMART bikeway and the Laguna de Santa Rosa Trail.

This project also includes planning and construction of .24 miles of Class I trail from Santa Rosa city limits to Taylor Mountain Regional Park.

This project is included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.



Project Cost	
Acquisition:	210
Construction:	550
Furniture/Reloc:	0
Design/PM:	320
Other:	0
Project Total:	1,080

O and M Cost	
Utilities:	0
Maintenance:	8
Personnel:	0
Other:	0
OM Total:	8

Net Impact On Operating Budget:

No change

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-4	140798	0	0	0	15	35	40	20	110	0	110
State, Other	140798	0	0	0	0	230	440	200	870	100	970
TOTALS:		0	0	0	15	265	480	220	980	100	1,080

RP11046

Environmental Discovery Center

Unfunded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2012
Division/Section: 4 - Santa Rosa **End Date:** 2015



Description:

The existing Environmental Education Center houses the most highly attended environmental education program in the county in a facility that will require approximately \$1,000,000 of repairs and renovation in the near future. The current building structure shows significant signs of deterioration in the windows and exterior. This project will renovate an existing facility and build a 2,000 square foot addition. The construction will double the education space, allowing room for volunteer training and the transformation of programs reflecting California’s changing demographics. The expanded space will allow for increased programming and building rentals.

Project Cost	
Acquisition:	0
Construction:	2,480
Furniture/Reloc:	0
Design/PM:	620
Other:	0
Project Total:	3,100

O and M Cost	
Utilities:	0
Maintenance:	-50
Personnel:	0
Other:	0
OM Total:	-50

Net Impact On Operating Budget:

No increase in maintenance; additional programming and revenue offsets costs

Revenue/Refund:	50
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State, Other	tbd	0	0	100	3,000	0	0	0	3,100	0	3,100
TOTALS:		0	0	100	3,000	0	0	0	3,100	0	3,100

RP10035

Hood Mountain - Lawson

Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 4 - Santa Rosa **End Date:** 2013



Description:

Funded by the Open Space District, this project includes studies, planning, master plan amendment, and development to add 247 acres to Hood Mountain Regional Park and Open Space Preserve. Work includes transfer of the property from the District to the County.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	121	Maintenance:	9
Furniture/Reloc:	0	Personnel:	0
Design/PM:	60	Other:	-5
Other:	0		
Project Total:	181	OM Total:	4

Net Impact On Operating Budget:

Increase for maintaining expanded facility

Revenue/Refund:	5	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Open Space District	140095	181	0	0	0	0	0	0	0	0	181
TOTALS:		181	0	0	0	0	0	0	0	0	181

RP08038

Hood Mountain Expansion

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2007
Division/Section: 4 - Santa Rosa **End Date:** 2016



Description:

Project includes assisting the Open Space District with feasibility studies for acquisition for park expansion, planning for access improvements, and construction for Hood Mountain Regional Park and Open Space Preserve. This project also includes analysis of Hood Mansion for public use, partially funded by the Community Foundation.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	830	Maintenance:	24
Furniture/Reloc:	0	Personnel:	0
Design/PM:	140	Other:	0
Other:	0		
Project Total:	970	OM Total:	24

Net Impact On Operating Budget:

Increase for maintaining expanded facility

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Community Foundation	140095	0	4	0	0	0	0	0	0	0	4
Park Mitigation Fees-4	140095	24	63	15	20	0	0	0	35	0	122
State, Other	140095	0	0	504	340	0	0	0	844	0	844
TOTALS:		24	67	519	360	0	0	0	879	0	970

RP09034

Hood Mountain Ridge Trail

Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2008
Division/Section: 4 - Santa Rosa **End Date:** 2013

Description:

The project will construct a 0.3 mile multi-use pathway from the existing end of the Ridge Trail within Los Guilicos County Center to Highway 12. With crosswalks, ramps, and new push button signals, the project will facilitate a safe and accessible crossing of Highway 12. The project will complete a critical link in the Ridge Trail, ultimately connecting Hood Mountain Regional Park and Open Space Preserve with the City of Santa Rosa and Annadel State Park. The Bay Area Ridge Trail envisions a 550 mile trail open for hikers, equestrians, and cyclists.



Project Cost	
Acquisition:	0
Construction:	283
Furniture/Reloc:	0
Design/PM:	60
Other:	0
Project Total:	343

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No change

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-4	140095	0	74	0	0	0	0	0	0	0	74
Recreational Trails Program	140095	118	0	0	0	0	0	0	0	0	118
State Coastal Conservancy	140095	152	0	0	0	0	0	0	0	0	152
State, Other	140095	0	0	0	0	0	0	0	0	0	0
TOTALS:		270	74	0	0	0	0	0	0	0	343

RP10039

Laguna De Santa Rosa Trail

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 4 - Santa Rosa **End Date:** 2017



Description:

The first phase of new trail development at Kelly farm was completed in 2012 with funding from the Open Space District. Phase 2 trail planning and construction is proposed for F.Y. 1516. Project is included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.

Project Cost		O and M Cost	
Acquisition:	800	Utilities:	0
Construction:	3,945	Maintenance:	95
Furniture/Reloc:	0	Personnel:	0
Design/PM:	900	Other:	0
Other:	0		
Project Total:	5,645	OM Total:	95

Net Impact On Operating Budget:

Increase for maintaining new facility

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Open Space District	140160	803	761	0	0	0	0	0	0	0	1,564
State, Other	140160	0	0	0	0	870	0	0	870	3,211	4,081
TOTALS:		803	761	0	0	870	0	0	870	3,211	5,645

RP17044

Matanzas Creek Park

Unfunded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2016
Division/Section: 4 - Santa Rosa **End Date:** 2019



Description:

Planning a trail connection to Taylor Mountain from Matanzas Creek Park. This could provide a trail link between Bennett Valley, the Water Agency's Matanzas Creek property, and Taylor Mountain Regional Park and Open Space Preserve.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	0	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	10	Other:	0
Other:	0		
Project Total:	10	OM Total:	0

Net Impact On Operating Budget:

Study for future project

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
State, Other	140020	0	0	0	0	0	0	10	10	0	10
TOTALS:		0	0	0	0	0	0	10	10	0	10

RP17036

Roseland Creek Trail

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2016
Division/Section: 4 - Santa Rosa **End Date:** 2019



Description:

Planning for a 1.41 mile Class I trail connection between Ludwig Road and Laguna de Santa Rosa Trail along the Sonoma County Water Agency flood control channel. Project is included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	313	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	250	Other:	0
Other:	0		
Project Total:	563	OM Total:	0

Net Impact On Operating Budget:

Create plan for future trail

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-4	tbd	0	0	0	0	0	0	20	20	543	563
TOTALS:		0	0	0	0	0	0	20	20	543	563

RP17037

Peterson Creek Trail

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2016
Division/Section: 4 - Santa Rosa **End Date:** 2019



Description:

Planning for a 1.4 mile Class I trail connection between Fulton Road and Santa Rosa Creek Trail along SCWA flood control channel. Project included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	544	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	20	Other:	0
Other:	0		
Project Total:	564	OM Total:	0

Net Impact On Operating Budget:

Create plan for future trail

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Other	tbd	0	0	0	0	0	0	0	0	544	544
Park Mitigation Fees-4	tbd	0	0	0	0	0	0	20	20	0	20
TOTALS:		0	0	0	0	0	0	20	20	544	564

RP08040

Santa Rosa Creek Trail

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2007
Division/Section: 4 - Santa Rosa **End Date:** 2017



Description:

Construction of 2.14 miles of Class 1 trail from Fulton to Willowside roads was completed in 2012. Trail extension to Laguna de Santa Rosa Trail planned for 2015 with construction in 2016. Project is included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,153	Maintenance:	18
Furniture/Reloc:	0	Personnel:	0
Design/PM:	350	Other:	0
Other:	0		
Project Total:	1,503	OM Total:	18

Net Impact On Operating Budget:

Increase for maintaining new facility

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-4	140541	0	0	0	20	20	0	0	40	0	40
State, Federal, Other	140541	701	146	0	0	616	0	0	616	0	1,463
TOTALS:		701	146	0	20	636	0	0	656	0	1,503

RP10033

SMART Trail Phase 1

Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 4 - Santa Rosa **End Date:** 2013

Description:

Work is underway for planning and construction 1.3 miles of Class I trail from Hearn Ave to Rodota Trail along SMART rail corridor. Project included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways. This is funded by Metropolitan Transportation Commission’s Congestion Management/Clean Air grant and Santa Rosa Southwest Area Redevelopment.



Project Cost	
Acquisition:	0
Construction:	1,003
Furniture/Reloc:	0
Design/PM:	118
Other:	0
Project Total:	1,121

O and M Cost	
Utilities:	0
Maintenance	13
Personnel:	0
Other:	0
OM Total:	13

Net Impact On Operating Budget:

Increase for maintaining new facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Metropolitan Transportation Commission	140319	620	0	0	0	0	0	0	0	0	620
Redevelopment-Santa Rosa	140319	501	0	0	0	0	0	0	0	0	501
State, Federal, Other	140319	0	0	0	0	0	0	0	0	0	0
TOTALS:		1,121	0	0	0	0	0	0	0	0	1,121

RP10043

Taylor Mountain Phase 1 and 2

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 4 - Santa Rosa **End Date:** 2019



Description:

The Open Space District and Regional Parks are leading the Master Plan for this new park and preserve. \$750,000 has been awarded from State Parks to design and construct Phase 1 including the driveway, parking, trails, restroom, picnic areas, and a natural play course off of Petaluma Hill Road. The project site is chosen as the first phase of development because of its ease of access off of an arterial road. The site has the fewest limitations to development and is close to equestrian areas and facilities. This project will create the first non-restricted public access to the 1,100-acre park.

Phase 2 planning is proposed for F.Y. 1516 with construction in F.Y. 1617.

Project Cost	
Acquisition:	0
Construction:	1,050
Furniture/Reloc:	0
Design/PM:	164
Other:	0
Project Total:	1,214

O and M Cost	
Utilities:	0
Maintenance	250
Personnel:	0
Other:	0
OM Total:	250

Net Impact On Operating Budget:

Increase for maintaining new facility; increase for maintaining expanded facility; new use creates revenue

Revenue/Refund:	40
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-4	140806	0	9	0	0	0	0	0	0	0	9
State Parks	140806	0	0	750	0	0	0	0	750	0	750
State, other	140806	0	0	0	0	105	350	0	455	0	455
TOTALS:		0	9	750	0	105	350	0	1,205	0	1,214

RP10045

West County Trail

Unfunded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 4 - Santa Rosa **End Date:** 2014

Description:

The West County Trail surface is settling at the three bridge crossings due to erosion around the bridge supports. Regular patching of the trail surface has provided a temporary fix. The deteriorated wood retaining walls supporting the bridges need to be replaced with concrete. Replacement of three of three bridges between Petaluma Ave and Merced Ave would provide a lasting restoration. This will reduce maintenance costs, and will improve safety and A.D.A. access.

This project also includes planning and development of connecting trail and trailhead in Forestville in F.Y. 1314. Acquisition of trail easement is provided by Forestville Planning Association.



Net Impact On Operating Budget:

No change

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,035	Maintenance:	15
Furniture/Reloc:	0	Personnel:	0
Design/PM:	409	Other:	0
Other:	0		
Project Total:	1,444	OM Total:	15

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Major Maintenance	140376	0	38	0	0	0	0	0	0	0	38
Park Mitigation Fees-3	140376	0	0	20	0	0	0	0	20	0	20
Park Mitigation Fees-4	140376	0	0	20	0	0	0	0	20	0	20
Sale of Surplus Property	140376	71	0	0	0	0	0	0	0	0	71
State, Federal, Other	140376	0	0	360	0	0	935	0	1,295	0	1,295
TOTALS:		71	38	400	0	0	935	0	1,335	0	1,444

RP10041

Mark West Creek Regional Park

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 4 - Santa Rosa, 2 - North County **End Date:** 2017



Description:

This is a proposed new regional park and preserve in the Mark West Creek watershed. In partnership with Sonoma Land Trust and the Open Space District, fundraising is underway to acquire land that, added to the properties already acquired by the District for the public, will create a 1,100-acre Regional Park and Open Space Preserve that will provide recreational and educational opportunities and protect scenic, natural, and agricultural resources.

The first phase of development is planned for F.Y. 1516 including public access improvements and visitor-serving facilities.

Project Cost		O and M Cost	
Acquisition:	1,320	Utilities:	0
Construction:	642	Maintenance:	225
Furniture/Reloc:	0	Personnel:	0
Design/PM:	250	Other:	-45
Other:	0		
Project Total:	2,212	OM Total:	180

Net Impact On Operating Budget:

Increase for maintaining new facility; new use creates revenue

Revenue/Refund:	45	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-2	140145	0	0	0	0	10	0	0	10	0	10
Park Mitigation Fees-4	140145	12	0	0	0	40	0	0	40	0	52
Pending	140145	0	0	820	0	0	0	0	820	0	820
Regional Parks Foundation	140145	80	0	500	0	0	0	0	500	0	580
State, Federal, Other	140145	0	0	0	0	750	0	0	750	0	750
TOTALS:		92	0	1,320	0	800	0	0	2,120	0	2,212

RP07050

Bay Trail - Petaluma

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2006
Division/Section: 5 - South County **End Date:** 2016



Description:

Trail acquisition, planning, and Phase I construction for approximately 2 miles of Class 1 Trail. Project identified as high priority in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways. Once completed, this trail would complete part of the San Francisco Bay Trail which envisions a 500 mile shoreline trail around the Bay. This project will create a safe non-motorized transportation and recreation route parallel to Highway 37, from Sears Point west to Marin County.

Project Cost	
Acquisition:	20
Construction:	1,776
Furniture/Reloc:	0
Design/PM:	256
Other:	0
Project Total:	2,052

O and M Cost	
Utilities:	0
Maintenance	9
Personnel:	0
Other:	0
OM Total:	9

Net Impact On Operating Budget:

Increase for maintaining new facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-5	140863	6	5	0	25	50	0	0	75	0	86
State, Federal, Other	140863	0	0	0	525	500	0	0	1,025	941	1,966
TOTALS:		6	5	0	550	550	0	0	1,100	941	2,052

RP16053

Bellevue Creek Trail

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2016
Division/Section: 5 - South County **End Date:** 2020



Description:

Planning for a 4.74 mile Class 1 trail from Petaluma Hill Road to Stony Point Road. Trail would cross under Highway 101 and connect to the Laguna de Santa Rosa Trail. Project included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways. This project will create a safe non-motorized transportation and recreation route, connecting Rohnert Park to trails to the west including the Laguna de Santa Rosa Trail.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,327	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	560	Other:	0
Other:	0		
Project Total:	1,887	OM Total:	0

Net Impact On Operating Budget:

Planning for future project

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Local, State, Other	tbd	0	0	0	0	0	0	0	0	1,837	1,837
Park Mitigation Fees-5	tbd	0	0	0	0	10	20	20	50	0	50
TOTALS:		0	0	0	0	10	20	20	50	1,837	1,887

RP11051

Copeland Creek Trail

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2010
Division/Section: 5 - South County **End Date:** 2015



Description:

Plan and develop a trail connecting Sonoma State University to Crane Creek Regional Park. Funding includes Open Space District Matching Grant to Rohnert Park and Sonoma County Water Agency grant requests, and is associated with the separate Crane Creek Park Expansion project. This project is included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.

Project Cost	
Acquisition:	0
Construction:	550
Furniture/Reloc:	0
Design/PM:	85
Other:	0
Project Total:	635

O and M Cost	
Utilities:	0
Maintenance	31
Personnel:	0
Other:	0
OM Total:	31

Net Impact On Operating Budget:

Increase for maintaining new facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Open Space District	140178	55	0	0	0	0	0	0	0	0	55
Park Mitigation Fees-5	140178	0	0	5	20	0	0	0	25	0	25
State, Other	140178	0	0	25	530	0	0	0	555	0	555
TOTALS:		55	0	30	550	0	0	0	580	0	635

RP13054

Crane Creek Park Expansion

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2012
Division/Section: 5 - South County **End Date:** 2015



Description:

In partnership with the Sonoma County Water Agency, acquire a 75-acre expansion to the existing Crane Creek Regional Park. This acquisition would allow for a sustainably designed trail alignment for the planned Copeland Creek Trail project and not developing a trail following the property line directly up the hill.

Project Cost		O and M Cost	
Acquisition:	250	Utilities:	0
Construction:	15	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	5	Other:	0
Other:	0		
Project Total:	270	OM Total:	0

Net Impact On Operating Budget:

O&M is captured under Copeland Creek Trail

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-5	140434	0	0	10	0	0	0	0	10	0	10
State, Other	140434	0	0	240	0	0	0	0	240	20	260
TOTALS:		0	0	250	0	0	0	0	250	20	270

RP13052

Petaluma-Sebastopol Trail

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2011
Division/Section: 5 - South County, 3 - Russian River **End Date:** 2018



Description:

This project considers a 12 mile Class I trail connecting Petaluma with Sebastopol, and considers construction as alignment is approved and funding is available. Feasibility Study funding is requested from Caltrans. Project planning, acquisition, C.E.Q.A., and the first phase of construction are planned for the following years. Project is included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.

Project Cost		O and M Cost	
Acquisition:	320	Utilities:	0
Construction:	3,350	Maintenance	93
Furniture/Reloc:	0	Personnel:	0
Design/PM:	831	Other:	0
Other:	0		
Project Total:	4,501	OM Total:	93

Net Impact On Operating Budget:

Increase for maintaining new facility

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-3	140525	0	2	5	5	5	10	10	35	0	37
Park Mitigation Fees-5	140525	0	4	10	10	15	15	15	65	0	69
State, Other	140525	0	0	185	285	300	300	1,075	2,145	2,250	4,395
TOTALS:		0	6	200	300	320	325	1,100	2,245	2,250	4,501

RP07047

Tolay Lake Regional Park Master Plan

Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2006
Division/Section: 5 - South County, 6 - Sonoma Valley **End Date:** 2013



Description:

The project is to complete a comprehensive master plan for a major regional park in Sonoma County. The plan includes two unique properties, Tolay Lake Regional Park and Tolay Creek Ranch. They are adjacent properties totaling 3,434 acres located between the City of Petaluma and Sonoma Valley. A number of resource studies and baseline assessments have already been completed. This project is funded by contributions from Federated Indians of Graton Rancheria, State Coastal Conservancy, and the Sonoma County Regional Parks Foundation.

Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	2,303
Other:	0
Project Total:	2,303

O and M Cost	
Utilities:	0
Maintenance	126
Personnel:	0
Other:	0
OM Total:	126

Net Impact On Operating Budget:

Create plan for future park

Revenue/Refund:	25
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Federated Indians of Graton Rancheria	140707	150	150	200	0	0	0	0	200	0	500
Park Mitigation Fees-5	140707	950	0	0	0	0	0	0	0	0	950
Park Mitigation Fees-6	140707	509	0	0	0	0	0	0	0	0	509
Regional Parks Foundation	140707	44	0	0	0	0	0	0	0	0	44
State Coastal Conservancy	140707	300	0	0	0	0	0	0	0	0	300
State, Other	140707	0	0	0	0	0	0	0	0	0	0
TOTALS:		1,953	150	200	0	0	0	0	200	0	2,303

RP15048

Tolay Lake Regional Park Phase 1

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2014
Division/Section: 5 - South County, 6 - Sonoma Valley **End Date:** 2017



Description:

The Tolay Lake Master Plan project, currently underway, will identify the first phase of construction improvements for this new Regional Park. The first phase of park improvements is proposed for F. Y. 1415. This project will create the first non-restricted public access to the 3,434-acre park.

Project Cost	
Acquisition:	0
Construction:	920
Furniture/Reloc:	0
Design/PM:	230
Other:	0
Project Total:	1,150

O and M Cost	
Utilities:	0
Maintenance	115
Personnel:	0
Other:	0
OM Total:	115

Net Impact On Operating Budget:

O&M increase for full opening of park and adding Tolay Creek property; future facility O&M to be determined with Master Plan

Revenue/Refund:	102
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-5	140707	0	0	0	100	0	0	0	100	0	100
State, Other	140707	0	0	0	1,050	0	0	0	1,050	0	1,050
TOTALS:		0	0	0	1,150	0	0	0	1,150	0	1,150

RP16049

Tolay Lake Regional Park Phase 2

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2015
Division/Section: 5 - South County, 6 - Sonoma Valley **End Date:** 2018

Description:

The Tolay Lake Master Plan project, currently underway, will identify the second phase of construction improvements for this new Regional Park. The second phase of park improvements is proposed for F.Y. 1516.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	280	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	70	Other:	0
Other:	0		
Project Total:	350	OM Total:	0

Net Impact On Operating Budget:

O&M to be determined with facilities identified in Master Plan

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-5	140707	0	0	0	0	25	0	0	25	0	25
State, Other	140707	0	0	0	0	325	0	0	325	0	325
TOTALS:		0	0	0	0	350	0	0	350	0	350

RP07063

Bay Trail - Sonoma

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2006
Division/Section: 6 - Sonoma Valley **End Date:** 2016



Description:

Trail acquisition, planning, and Phase I construction of approximately 7.3 miles of Class 1 Trail. Project identified as high priority in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways. Once completed, this trail would complete part of the San Francisco Bay Trail which envisions a 500 mile shoreline trail around the Bay. This project will create a safe non-motorized transportation and recreation route parallel to Highway 37, from Napa/Solano County west to Sears Point along with connections across Skaggs Island north to Highway 12 and the planned Sonoma Schellville Trail.

Project Cost	
Acquisition:	100
Construction:	8,456
Furniture/Reloc:	0
Design/PM:	806
Other:	0
Project Total:	9,362

O and M Cost	
Utilities:	0
Maintenance	31
Personnel:	0
Other:	0
OM Total:	31

Net Impact On Operating Budget:

Increase for maintaining new facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-6	140863	6	5	0	25	50	0	0	75	0	86
State, Federal, Other	140863	0	0	0	525	500	0	0	1,025	8,251	9,276
TOTALS:		6	5	0	550	550	0	0	1,100	8,251	9,362

RP07059

Central Sonoma Valley Trail

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2006
Division/Section: 6 - Sonoma Valley **End Date:** 2013

Description:

Regional Parks completed Central Sonoma Valley Trail construction in Larson Park in 2011. The next step, is to plan and construct an additional .42 miles of multi-use trail. This includes a trail across the adjacent Flowery Elementary School to Highway 12 as well as a trail from Highway 12 along the north side of Verano Avenue to Sonoma Creek , providing a connection to Maxwell Farms Regional Park. Construction would include a bridge across Pequeno Creek between the school and park. This project identified as high priority in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.



Project Cost	
Acquisition:	0
Construction:	734
Furniture/Reloc:	0
Design/PM:	180
Other:	0
Project Total:	914

O and M Cost	
Utilities:	0
Maintenance	9
Personnel:	0
Other:	0
OM Total:	9

Net Impact On Operating Budget:

Increase for maintaining new facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Measure M	140921	63	0	50	50	0	0	0	100	0	163
Metropolitan Transportation Commission	140921	50	0	500	0	0	0	0	500	0	550
Park Mitigation Fees-6	140921	61	0	25	0	0	0	0	25	0	86
State Parks	140921	51	0	0	0	0	0	0	0	0	51
State, Other	140921	0	5	0	0	0	0	0	0	60	65
TOTALS:		224	5	575	50	0	0	0	625	60	914

RP13062

Ernie Smith Park

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2014
Division/Section: 6 - Sonoma Valley **End Date:** 2016



Description:

Phase 2 includes park development for 2.4 acre expansion area, donated by the Elizabeth Park Homeowners Association. This is followed by developing a trail connecting Ernie Smith Park to Maxwell Farms Regional Park in F.Y. 1617. This is identified as a high priority project in the adopted 2010 County Bikeway Plan. Regional Parks will work with Transportation and Public Works for this combined Class I and Class II bikeway.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	750	Maintenance:	13
Furniture/Reloc:	0	Personnel:	0
Design/PM:	250	Other:	0
Other:	0		
Project Total:	1,000	OM Total:	13

Net Impact On Operating Budget:

Increase for maintaining expanded facility

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-6	635334	0	0	0	0	0	50	15	65	0	65
State, Other	635334	0	0	0	0	0	550	385	935	0	935
TOTALS:		0	0	0	0	0	600	400	1,000	0	1,000

RP12060

Hudeman Slough

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2011
Division/Section: 6 - Sonoma Valley **End Date:** 2014

Description:

Site improvements are needed to support ongoing public fishing and boating access to Hudeman Slough. The boat launch provides access to San Pablo Bay and is identified in the San Francisco Bay Area Water Trail Plan as part of the network of boating access sites for single and multi-day trips around the Bay. Community Development Block Grant for A.D.A. Improvements was requested. Design for Boat Launch Redevelopment is underway with funding from Wildlife Conservation Board.



Project Cost	
Acquisition:	0
Construction:	258
Furniture/Reloc:	0
Design/PM:	150
Other:	0
Project Total:	408

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No change

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
ADA	140368	0	40	40	0	0	0	0	40	0	80
Major Maintenance	140368	0	20	0	0	0	0	0	0	0	20
Park Mitigation Fees-6	140368	5	3	0	0	0	0	0	0	0	8
State, Other	140368	0	0	250	0	0	0	0	250	0	250
Wildlife Conservation Board	140368	0	50	0	0	0	0	0	0	0	50
TOTALS:		5	113	290	0	0	0	0	290	0	408

RP13061

Larson Park Improvements

Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2012
Division/Section: 6 - Sonoma Valley **End Date:** 2014



Description:

Completed boundary survey and providing planning assistance for creek restoration work. Sonoma Ecology Center is requesting Resources Agency Urban Greening funding for the restoration in Larson Park and the adjacent Flowery School. Community Development Block Grant funding has been awarded and matched with local A.D.A. funding for barrier removal and A.D.A. improvements at Larson Park.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	40	Maintenance:	14
Furniture/Reloc:	0	Personnel:	0
Design/PM:	28	Other:	-14
Other:	0		
Project Total:	68	OM Total:	0

Net Impact On Operating Budget:

Increased maintenance offset by new revenue

Revenue/Refund:	14	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
ADA	635342	0	0	20	0	0	0	0	20	0	20
Community Development Block Grant	635342	0	0	30	0	0	0	0	30	0	30
Major Maintenance	635342	0	18	0	0	0	0	0	0	0	18
State, Other	635342	0	0	0	0	0	0	0	0	0	0
TOTALS:		0	18	50	0	0	0	0	50	0	68

RP10055

North Sonoma Mountain Regional Park

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2009
Division/Section: 6 - Sonoma Valley **End Date:** 2015



Description:

Phase 1 Trail construction is underway, funded by the Open Space District. Planning for and construction of picnic area, camping, and possible event sites is planned for F.Y. 1213 and F.Y. 1314. Land transfer from the District to County also proposed.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	924	Maintenance:	128
Furniture/Reloc:	0	Personnel:	0
Design/PM:	600	Other:	-32
Other:	0		
Project Total:	1,524	OM Total:	96

Net Impact On Operating Budget:

Increase for maintaining new facility; new use creates revenue

Revenue/Refund:	32	eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Open Space District	140194	397	977	0	0	0	0	0	0	0	1,374
Other	140194	0	0	150	0	0	0	0	150	0	150
TOTALS:		397	977	150	0	0	0	0	150	0	1,524

RP07056

Sonoma Schellville Trail

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2006
Division/Section: 6 - Sonoma Valley **End Date:** 2016



Description:

Planning and acquisition continues in F.Y. 1314 for this 4.8 mile trail. The first phase of Class 1 trail construction planned for F.Y. 1516. Project is included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways. Project funding includes Sonoma County Transportation Authority Measure M funding.

Project Cost	
Acquisition:	178
Construction:	1,371
Furniture/Reloc:	0
Design/PM:	250
Other:	0
Project Total:	1,799

O and M Cost	
Utilities:	0
Maintenance	64
Personnel:	0
Other:	0
OM Total:	64

Net Impact On Operating Budget:

Increase for maintaining new facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Measure M	140475	38	0	0	0	550	0	0	550	0	588
Park Mitigation Fees-6	140475	25	178	0	0	0	0	0	0	0	204
State, Federal, Other	140475	0	0	0	0	1,007	0	0	1,007	0	1,007
TOTALS:		63	178	0	0	1,557	0	0	1,557	0	1,799

RP09057

Sonoma Valley Regional Park

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2008
Division/Section: 6 - Sonoma Valley **End Date:** 2014



Description:

The Open Space District acquired 41 acres of former Sonoma Developmental Center an expansion to Sonoma Valley Regional Park in 2007. This project is to plan and develop a trail extension into the expanded park area, opening it for public use.

Project Cost	
Acquisition:	0
Construction:	60
Furniture/Reloc:	0
Design/PM:	45
Other:	0
Project Total:	105

O and M Cost	
Utilities:	0
Maintenance	2
Personnel:	0
Other:	0
OM Total:	2

Net Impact On Operating Budget:

Increase for maintaining expanded facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Other	140681	0	0	0	0	0	0	0	0	0	0
Park Mitigation Fees-6	140681	41	39	25	0	0	0	0	25	0	105
TOTALS:		41	39	25	0	0	0	0	25	0	105

RP13058

Sonoma Valley Trail

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2012
Division/Section: 6 - Sonoma Valley, 4 - Santa Rosa **End Date:** 2015

Description:

Feasibility Study for a 13 mile Class I trail connecting Sonoma with Santa Rosa is included for F.Y. 1213. Study area starts at Agua Caliente Road (Springs Area) and follows the Highway 12 corridor to Melita Road (Santa Rosa). Funding is requested from Caltrans. Project planning, acquisition, C.E.Q.A., and the first phase of construction are planned for the following years. Project included in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.



Project Cost		O and M Cost	
Acquisition:	525	Utilities:	0
Construction:	4,036	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	745	Other:	0
Other:	0		
Project Total:	5,306	OM Total:	0

Net Impact On Operating Budget:

Study for future project

Revenue/Refund:		eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-6	140491	2	21	5	20	10	20	36	91	0	114
State, Other	140491	0	0	201	222	490	280	4,000	5,193	0	5,193
TOTALS:		2	21	206	242	500	300	4,036	5,284	0	5,306

RP12001

Mark West Creek Trail

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2012
Division/Section: 7 - Larkfield Wikiup, 4 - Santa Rosa **End Date:** 2017

Description:

New trail planning and development of a 1.39 mile multi-use trail connecting Old Redwood Highway, west to the SMART Bikeway near the Airport industrial area. Phase I design work is underway, partially funded by Sutter Hospital Mitigation, and will construct a .32 mile multi-use path, starting at Mark West Elementary School, connecting with the path on Hop Ranch Road, and extending the trail to Fulton Road. Phase 2 is planned for 2015 and will construct a multi use path connecting Fulton Road to the SMART Trail, approximately 0.6 miles. This project identified as high priority in the adopted 2010 County Bikeway Plan, which also designates Regional Parks as responsible for establishing and maintaining Class I bikeways.



Net Impact On Operating Budget:

Increase for maintaining new facility

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	618	Maintenance:	15
Furniture/Reloc:	0	Personnel:	0
Design/PM:	297	Other:	0
Other:	0		
Project Total:	915	OM Total:	15
Revenue/Refund:	0	eTons:	

Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Local, State, Federal	140293	0	0	0	0	80	300	0	380	0	380
Park Mitigation Fees-Sutter	140293	0	185	0	0	0	0	0	0	0	185
Pending	140293	0	0	350	0	0	0	0	350	0	350
TOTALS:		0	185	350	0	80	300	0	730	0	915

RP17065

Maddux Park Phase 4

Partially Funded

Function: DS **Status:** Request
Department: Regional Parks **Start Date:** 2016
Division/Section: 7 - Larkfiled Wikiup **End Date:** 2019

Description:

This project includes planning and construction of informal fields, parking, and restroom. This would complete improvements in the approved park Master Plan.



Project Cost	
Acquisition:	0
Construction:	416
Furniture/Reloc:	0
Design/PM:	104
Other:	0
Project Total:	520

O and M Cost	
Utilities:	0
Maintenance	26
Personnel:	0
Other:	0
OM Total:	26

Net Impact On Operating Budget:

Increase for maintaining expanded facility

Revenue/Refund:	
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Park Mitigation Fees-7	140426	0	0	0	0	0	15	0	15	0	15
State, Other	140426	0	0	0	0	0	505	0	505	0	505
TOTALS:		0	0	0	0	0	520	0	520	0	520

RP12064

Schopflin Fields Phase 3

Partially Funded

Function: DS **Status:** Active
Department: Regional Parks **Start Date:** 2011
Division/Section: 7 - Larkfiled Wikiup **End Date:** 2014

Description:

This project will construct an additional public amenities at the park and with local partner support, the additional baseball/soccer field, meeting current community needs. This would complete park development identified in the adopted Master Plan.



Project Cost	
Acquisition:	0
Construction:	225
Furniture/Reloc:	0
Design/PM:	60
Other:	0
Project Total:	285

O and M Cost	
Utilities:	0
Maintenance	40
Personnel:	0
Other:	-20
OM Total:	20

Net Impact On Operating Budget:

Increase for maintaining improved facility; new use increases revenue

Revenue/Refund:	20
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eTons:	
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Funding Summary:				5 YEAR PLAN FOCUS							
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Other	140715	0	260	0	0	0	0	0	0	0	260
Park Mitigation Fees-7	140715	0	25	0	0	0	0	0	0	0	25
TOTALS:		0	285	0	0	0	0	0	0	0	285

SONOMA COUNTY REGIONAL PARKS CAPITAL PROJECT PLAN



Existing Parks - Capital Projects

1. Alder Park
2. Arnold Field
3. Bird Walk Coastal Access
4. Central Sonoma Valley Trail
5. Cloverdale River Park
6. Colgan Creek Trail
7. Crane Creek Regional Park
8. Doran Regional Park
9. Ernie Smith Community Park
10. Foothill Regional Park
11. Forestville River Access /Mom's Beach
12. Gualala Point Regional Park
13. Guerneville River Park
14. Healdsburg Veterans Memorial Beach
15. Helen Putnam Regional Park
16. Hood Mountain Regional Park & Open Space Preserve
17. Hudeman Slough Boat Launch
18. Hunter Creek Trail
19. Kenwood Plaza Park
20. Laguna de Santa Rosa Trail
21. Larson Park
22. Maddux Ranch Park
23. Maxwell Farms Regional Park
24. Moran Goodman Park
25. Pinnacle Gulch /Short Tail Gulch Trails
26. Porto Bodega Sport Fishing Center
27. Ragle Ranch Regional Park
28. Riverfront Regional Park
29. Santa Rosa Creek Trail
30. Sea Ranch Access Trails
31. Shaw Park
32. Shiloh Ranch Regional Park
33. Soda Springs Reserve
34. Sonoma Valley Regional Park
35. Spring Lake Regional Park - Environmental Discovery Center - Visitor Center
36. Spud Point Marina / Mason's Marina
37. Steelhead Beach Regional Park
38. Stillwater Cove Regional Park
39. Sunset Beach River Access
40. Taylor Mountain Regional Park & Open Space Preserve
41. Tolay Lake Regional Park
42. Tom Schopflin Fields
43. Watson School
44. West County/Joe Rodota Trail
45. Westside Regional Park
46. Wohler Bridge Fishing Access

Proposed Parks - Capital Projects

- A. Bay Trail - Petaluma
- B. Bay Trail - Sonoma
- C. Bellevue Creek Trail
- D. Bodega Bay Bike & Ped. Trail
- E. Bodega Bay Dredging
- F. Carrington Ranch
- G. Copeland Creek Trail
- H. Dutch Bill Creek Trail
- I. Estero Trail
- J. Geyserville River Park
- K. Graton Community Park
- L. Mark West Creek Regional Park
- M. Matanzas Creek Park
- N. Poff Ranch
- O. No. Sonoma Mtn Regional Park
- P. Occidental Community Center
- Q. Petaluma - Sebastopol Trail
- R. Peterson Creek Trail
- S. Roseland Creek Trail
- T. Russian River Access Sites - lower
- U. Russian River Access Sites - upper
- V. Russian River Trail
- W. SMART Trail Santa Rosa-Petaluma
- X. Sonoma Schellville Trail
- Y. Sonoma Valley Trail
- Z. Timber Cove Coastal Trail
- AA. West County Gateway
- AB. Willow Creek

- City Limits
- County Park
- Proposed County Park

This Map is for illustrative purposes only and is not intended to be a definitive property description.
Data Sources: Sonoma County ISD GIS Central

February 2013



0 5 10 Miles



Development Services – Transportation and Public Works

Transportation and Public Works 2013-2018 Capital Project Plan

Department Overview

Capital Projects Plan Mission:

The Sonoma County Department of Transportation and Public Works has wide variety of responsibilities.

The 2013-2018 Capital Project Plan (“C.P.P.”) includes construction projects for roads, bridges, small water systems, as well as, airport, refuse, and transit facilities. The Department’s 5-year C.P.P. totals \$162.5 million.

Objectives:

- The Road Division plan is intended to address, within the limits of projected available funding and in accordance with the parameters and conditions attached to specific funding sources, the priority needs of the County’s road system.
- Update Airport Layout Plan and Airport Master Plan pursuant to F.A.A. safety and security requirements and Airport’s long-term development objectives.
- Refuse has implemented programs to increase recycling, reuse, and reduction of discarded materials to meet the California Integrated Waste Management Act of 1989 (A.B. 939). The Division is responsible for satisfying Federal and State environmental protection requirements for closed landfills.

- To be responsive to the transit travel demands of Sonoma County residents; to provide efficient and cost-effective public transportation services between major cities and towns in Sonoma County, and to be responsive to local governments who contract for Sonoma County Transit services.
- Complete improvements needed in order to provide safe, efficient, and cost effective water service to the communities of Freestone, Jenner, Salmon Creek, and Fitch Mountain.

Master Plan:

The Road Division is responsible for all of the roads and bridges found in the unincorporated areas of the County. This responsibility involves a wide variety of project types and funding sources that often are not interdependent. The Division has found that no one master plan can accommodate all of these variables. Because of this it has, therefore, been necessary to develop a number of master plans rather than a single master plan. There are three primary plans used to determine Road Division projects; Bridge Master Plan, Pavement Management Plan and the Traffic Mitigation Plan.

On December 4, 2007 t he Board approved the draft Airport Master Plan Update. The final plan was adopted on January 24, 2012.

The 2003 C o.I.W.M.P. adopted on O ctober 15, 2003 by the S.C.W.M.A., and subsequently approved by the California Integrated Waste Management Board (“C.I.W.M.B.”), includes the long-term management strategy described in the Sonoma County Solid Waste Management Alternatives Analysis (Analysis). T he Analysis identified a long-term disposal strategy for Sonoma County through continued expansion of the Central Landfill. After the Central landfill temporarily stopped accepting waste for disposal due to water quality concerns in October 2005, landfill operations restarted in September 2010 with disposal of 140,000 tons per year.

Park and Ride/Intermodal Facility development continue to be a priority with the Transit Division. Projected demand and available funding determine the scope and design of each project.

To complete necessary water treatment upgrades to bring all of the water systems in compliance with state regulation for safe drinking water.

Scheduling of Projects:

The Road Division has prioritized its list of proposed construction projects for FY 13-14 based on available funding and the needs of the County road system, as well as concern for eliminating the backlog of projects that have yet to be constructed.

Projects for Refuse are scheduled to develop a closure plan and begin closure for the Central Landfill, perform maintenance on closed landfills, comply with evolving regulations, maintain recycling goals, and, to the extent possible, spread the costs evenly over a period of years to match the revenue flow.

Changes from Prior Plans:

All existing project costs have undergone review and revision where necessary to reflect additional information and new regulatory requirements. T otal plan has decreased by \$29.5 million largely due to several major projects with phases scheduled for completion in F.Y. 2012-13, including the first phases of the Airport Runway Extension, pavement preservation projects, and Airport Boulevard at Fulton. Two major projects (Eighth Street East at Highway 121 and Highway 12 Sidewalks) have been moved out of the 5 year C.P.P. to “Future Years” due to lack of available funding.

Cost and Financing:

Capital projects are funded by a variety of funding sources within each division. Several projects within the divisions have been listed with undetermined funding. T hese projects will not be scheduled for design and construction until such time as funding can be secured.

Funding Source Table

Division/Section Funding Source	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Airport Division	\$1,875,000	\$26,986,000	\$29,472,520	\$4,802,372	\$3,639,000	\$11,417,000	\$1,786,561	\$51,117,453	\$8,000,000	\$87,978,453
Federal	\$1,687,500	\$24,237,000	\$25,942,500	\$1,755,000	\$2,835,000	\$8,100,000	\$1,350,000	\$39,982,500	\$0	\$65,907,000
Loan	\$0	\$0	\$0	\$1,100,000	\$0	\$1,656,000	\$0	\$2,756,000	\$8,000,000	\$10,756,000
Local	\$187,500	\$2,693,000	\$2,882,500	\$195,000	\$315,000	\$900,000	\$150,000	\$4,442,500	\$0	\$7,323,000
Operating Revenues	\$0	\$56,000	\$647,520	\$1,752,372	\$489,000	\$761,000	\$286,561	\$3,936,453	\$0	\$3,992,453
Integrated Waste Division	\$3,645,868	\$690,512	\$9,657,000	\$4,969,000	\$1,900,000	\$1,795,000	\$6,590,000	\$24,911,000	\$0	\$29,247,380
Other (User Fees)	\$3,645,868	\$690,512	\$9,657,000	\$4,969,000	\$1,900,000	\$1,795,000	\$6,590,000	\$24,911,000	\$0	\$29,247,380
Roads Division	\$10,663,756	\$14,344,513	\$36,962,586	\$28,186,711	\$4,636,196	\$20,750,000	\$250,000	\$90,785,493	\$15,670,000	\$131,463,762
Federal	\$2,770,024	\$4,335,568	\$14,805,833	\$25,510,000	\$4,596,196	\$18,300,000	\$0	\$63,212,029	\$14,530,000	\$84,847,621
Local	\$6,822,962	\$6,715,175	\$18,332,798	\$2,436,711	\$0	\$200,000	\$250,000	\$21,219,509	\$1,140,000	\$35,897,646
State	\$1,070,770	\$3,293,770	\$3,823,955	\$240,000	\$40,000	\$2,250,000	\$0	\$6,353,955	\$0	\$10,718,495
Salmon Creek Water District	\$161,494	\$215,000	\$928,000	\$0	\$0	\$0	\$0	\$928,000	\$0	\$1,304,494
Federal	\$161,494	\$215,000	\$928,000	\$0	\$0	\$0	\$0	\$928,000	\$0	\$1,304,494
Transit Division	\$1,298,453	\$331,080	\$4,052,264	\$0	\$0	\$0	\$0	\$4,052,264	\$0	\$5,681,797
Air Quality Funds	\$421,184	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$421,184
Federal	\$676,459	\$150,000	\$3,370,842	\$0	\$0	\$0	\$0	\$3,370,842	\$0	\$4,197,301
Local	\$125,914	\$15,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$140,914
State	\$74,896	\$166,080	\$681,422	\$0	\$0	\$0	\$0	\$681,422	\$0	\$922,398
Grand Total:	\$17,644,571	\$42,567,105	\$81,072,370	\$37,958,083	\$10,175,196	\$33,962,000	\$8,626,561	\$171,794,210	\$23,670,000	\$255,675,886

Airport Division

Airport Division Overview

Division C.P.P. Mission:

The primary responsibility of the Airport division is the operation and maintenance of the 1050 acre Charles M. Schulz-Sonoma County Airport facility including its 25-acre Airport Industrial Park. The Airport maintains operational, security and safety standards in accordance with Federal Aviation Administration (FAA) Regulations Part 139 (commercial airline service airports) and transportation security administration (TSA) requirements and regulations. Commercial airline service resumed at the airport on March 20, 2007. In addition to facilities for airline passenger service, the Airport maintains facilities for business and recreational aircraft, law enforcement, emergency medical transport and fire fighting aircraft.

The Division's primary ongoing goal is to fund all of its operations and capital project costs while meeting the service needs of the community.

Division Objectives:

Construct improvements designed to increase the efficiency, safety and utility of the Airport for airline, commercial aviation and recreational users consistent with Board objectives. Construct airfield improvements required by evolving Federal security and safety regulations.

Construct an extension to existing runway 14/32 and 1/19. The existing 5,100 foot main runway is inadequate to accommodate the new generation of regional jets. Inadequate runway length will most likely remain an obstacle to attracting and retaining additional scheduled passenger service carriers.

Construct a new air traffic control tower. The existing tower was built in the early 1960's and is in need of major renovation. Its present location does not accommodate the current FAA security requirements for air traffic control towers and conflicts with the construction site for the new terminal and Airport development.

Construct a new approximate 700-space automobile parking facility to accommodate the expansion of air passenger service and accommodate future increases in commercial air service.

Acquire land in the runway approach areas in a manner consistent with the policies outlined in the Air Transportation Element of the Sonoma County General Plan.

Build new Airport facilities to satisfy the needs of the community and enhance the future financial position of the Airport Enterprise Fund.

Division Master Plan:

The existing Airport Master Plan was approved by the Board of Supervisors in 1998. In fiscal year 2004-05, the division began an update of the Airport Master Plan for future Airport development, especially in relation to a planned runway extension. On January 24, 2012 the Board approved the Airport Master Plan and related General Plan and zoning amendments. The 2013-2014 – 2017-2018 Airport CPP contains projects in that plan as well as projects not addressed in the plan.

Scheduling of Projects:

Department staff is in discussion with a willing seller for the acquisition of an additional parcel identified for approach protection. In order to take advantage of this situation, the Department may need to proceed with the purchase prior to F.A.A. funding and seek reimbursement.

Changes from Prior Plans:

The design and construction of a new terminal has been delayed and is no longer expected within the time frame covered by this CPP. Three ongoing repair and

maintenance projects - asphalt repair, hangar painting/roof coats, and existing terminal improvements - are shown in the 2013-14 to 2017-18 C.P.P. Land acquisition costs, solar panel installation on the terminal building, and relocation of the air traffic control tower and aircraft rescue firefighting building are brought forward in the current C.P.P. Additional projects for this period include the procurement of a landing fee system to track planes landing and associated revenue due to the Airport, the addition of the parking lot phase II, which is anticipated in F.Y. 2016-17, and repairs to the industrial park building.

Cost and Financing:

The Charles M. Schulz-Sonoma County Airport is operated as an enterprise. All funding for operations and for the non-Federal or State share of capital projects comes from Airport operations income. Consequently, the intent is to fund Airport capital projects through the use of Federal or State user charge subventions to the extent possible. For that reason, most major projects are geared to conform to Federal or State funding eligibility requirements.

TPW12006

Airfield Improvements

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2012
Division/Section: Airport Division **End Date:** 6/30/2017

Description:

Miscellaneous airfield capital projects funded by Federal Aviation Administration grants.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	0	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	3,500		
Project Total:	3,500	OM Total:	0

Net Impact On Operating Budget:

10% local match that will be funded through Passenger Facility Charges or operational revenues

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	291708	0	0	135	405	1,260	900	450	3,150	0	3,150
Local	291708	0	0	15	45	140	100	50	350	0	350
TOTALS:		0	0	150	450	1,400	1,000	500	3,500	0	3,500

TPW12003

Asphalt Repair/Rejuvenation/Hangar Painting and Reroofing:

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2013
Division/Section: Airport Division **End Date:** 4/30/2018



Description:

Ongoing asphalt and hangar maintenance and reconstruction. Includes Airport road repairs in 15/16 (\$250,000) and the overlay of Becker Blvd in 16/17 (\$500,000).

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,951	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	1,951	OM Total:	0

Net Impact On Operating Budget:

Asphalt and hangar maintenance is budgeted each year based on priorities

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

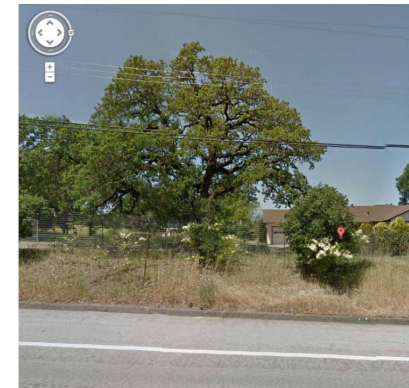
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Operating Revenues	291013	0	0	198	217	489	761	287	1,951	0	1,951
TOTALS:		0	0	198	217	489	761	287	1,951	0	1,951

TPW13002

Land Acquisition

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2013
Division/Section: Airport Division **End Date:** 6/30/2017



Description:

Project consists of the fee simple acquisition of land underlying the runway approaches, including the cost of relocation, demolition, and fencing. Acquisition of this land will protect the approaches to the runway by ensuring compatible land uses. Properties have been identified as part of the Airport Master Plan.

Project Cost		O and M Cost	
Acquisition:	5,000	Utilities:	0
Construction:	0	Maintenance:	2
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	5,000	OM Total:	2

Net Impact On Operating Budget:

10% local match that will be funded through Passenger Facility Charges or operational revenues

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	291708	0	0	900	900	900	900	900	4,500	0	4,500
Local	291708	0	0	100	100	100	100	100	500	0	500
TOTALS:		0	0	1,000	1,000	1,000	1,000	1,000	5,000	0	5,000

TPW10001

Runway Extension

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2010
Division/Section: Airport Division **End Date:** 6/30/2015

Description:

Project consists for decoupling runways 14/32 and 1/19, extending runway 1/19, modifying associated taxiways and service roads, acquisition of three parcels needed for the construction, design and construction of a back-up generator, relocation of the localizer and environmental mitigation costs. The project was approved by the Board of Supervisors on January 24, 2012.



Project Cost		O and M Cost	
Acquisition:	3,000	Utilities:	9
Construction:	28,000	Maintenance:	50
Furniture/Reloc:	0	Personnel:	0
Design/PM:	4,385	Other:	0
Other:	20,340		
Project Total:	55,725	OM Total:	59

Net Impact On Operating Budget:

10% local match that will be funded through Passenger Facility Charges or operational revenues

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	291708	1,688	24,233	24,233	0	0	0	0	24,233	0	50,153
Local	291708	188	2,693	2,693	0	0	0	0	2,693	0	5,573
TOTALS:		1,875	26,925	26,925	0	0	0	0	26,925	0	55,725

TPW15007

Air Traffic Control Tower Relocation

Unfunded

Function: Development Services **Status:** Request
Department: Transportation & Public Works **Start Date:** 7/1/2016
Division/Section: Airport Division **End Date:** 6/30/2017



Description:

This project consists of the site study for relocation and replacement of the existing air traffic control tower. The tower was built in the early 1960s and has outlived its useful life span. It is in need of major renovation which are not cost effective. Also, the present location does not meet new Federal Aviation Administration (FAA) guidelines concerning security clearance surrounding the tower. These requirements cannot be accommodated at the present location. The tower's present location also interferes with the proposed airport terminal and terminal development area.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	12
Construction:	8,000	Maintenance:	15
Furniture/Reloc:	0	Personnel:	0
Design/PM:	556	Other:	0
Other:	0		
Project Total:	8,556	OM Total:	27

Net Impact On Operating Budget:

A loan will be secured for the construction of the tower and will be repaid by the FAA over a 30 year lease.

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Loan	291708	0	0	0	0	0	556	0	556	8,000	8,556
TOTALS:		0	0	0	0	0	556	0	556	8,000	8,556

TPW12009

Aircraft Rescue and Fire Fighting Building

Unfunded

Function: Development Services **Status:** Request
Department: Transportation & Public Works **Start Date:** 7/1/2013
Division/Section: Airport Division **End Date:** 6/30/2017



Description:

Design and construction of new aircraft rescue and fire fighting (ARFF) building. The existing ARFF building was built in 1972 and has not received any renovations. With new Federal Aviation Administration guidelines for aircraft safety and protection the ARFF building no longer meets standards. The site of the existing building also interferes with the new airport terminal and will need to be moved before the construction of the proposed terminal begins.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	15
Construction:	7,000	Maintenance:	12
Furniture/Reloc:	0	Personnel:	0
Design/PM:	1,250	Other:	0
Other:	0		
Project Total:	8,250	OM Total:	27

Net Impact On Operating Budget:

10% local match that will be funded through Passenger Facility Charges or operational revenues

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	291708	0	0	0	450	675	6,300	0	7,425	0	7,425
Local	291708	0	0	0	50	75	700	0	825	0	825
TOTALS:		0	0	0	500	750	7,000	0	8,250	0	8,250

All Values are presented in Thousands (1 x 1000)

TPW13005

Carwash

Unfunded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2013
Division/Section: Airport Division **End Date:** 6/30/2014

Description:

This project consists of replacing the existing carwash. The carwash will be reaching the end of its useful life. Increasing maintenance costs and decreasing efficiency will potential decrease revenues the Airport receives from its use by concessionaires.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	6
Construction:	0	Maintenance:	12
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	125		
Project Total:	125	OM Total:	18

Net Impact On Operating Budget:

The carwash generates revenue for the Airport. Loss of the carwash would decrease operating revenues

Revenue/Refund: 18 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Operating Revenues	291708	0	0	125	0	0	0	0	125	0	125
TOTALS:		0	0	125	0	0	0	0	125	0	125

TPW13001

Industrial Building Repairs

Unfunded

Function: Development Services **Status:** Request
Department: Transportation & Public Works **Start Date:** 7/1/2013
Division/Section: Airport Division **End Date:** 6/30/2015



Description:

This project will consist of the replacement of the Heating Ventilation and Air Conditioning (HVAC) system and the re-roofing of the Airport office building at 2290 Airport Blvd.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	10
Construction:	425	Maintenance:	12
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	425	OM Total:	22

Net Impact On Operating Budget:

Project is expected to be funded with operational revenues

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Operating Revenues	291302	0	0	175	250	0	0	0	425	0	425
TOTALS:		0	0	175	250	0	0	0	425	0	425

TPW14001

Landing Fee System

Unfunded

Function: Development Services **Status:** Request
Department: Transportation & Public Works **Start Date:** 7/1/2014
Division/Section: Airport Division **End Date:** 6/30/2015



Description:

Purchase of technologically based solution for tracking plane landings at the Sonoma County Airport. This system will benefit the Airport by reducing inaccuracies in reporting and therefore enhance revenue collection opportunities.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	2
Construction:	0	Maintenance:	2
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	10
Other:	85		
Project Total:	85	OM Total:	14

Net Impact On Operating Budget:

Project is expected to be funded with operational revenues

Revenue/Refund: 15 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Operating Revenues	291708	0	0	0	85	0	0	0	85	0	85
TOTALS:		0	0	0	85	0	0	0	85	0	85

TPW13004

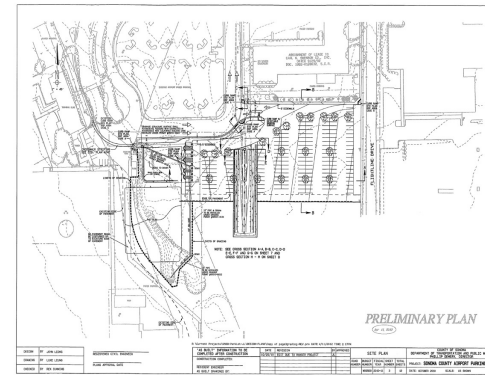
Parking Lot

Unfunded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2014
Division/Section: Airport Division **End Date:** 6/30/2017

Description:

Construct Phase I and II of new auto parking lot is required in order to accommodate the increase in air passengers. Approximately 700 new automobile parking spaces will be added and the entire airport parking facility will be upgraded with a state-of-the-art payment system at exit gates and the cashier's booth.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	12
Construction:	2,200	Maintenance:	25
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	9
Other:	0		
Project Total:	2,200	OM Total:	46

Net Impact On Operating Budget:

Additional revenue generated from increase in parking will offset the loan payment

Revenue/Refund: 292 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Loan	291708	0	0	0	1,100	0	1,100	0	2,200	0	2,200
TOTALS:		0	0	0	1,100	0	1,100	0	2,200	0	2,200

TPW12011

Solar

Unfunded

Function: Development Services **Status:** Request
Department: Transportation & Public Works **Start Date:** 7/1/2012
Division/Section: Airport Division **End Date:** 6/30/2014



Description:

This project consists of the procurement and installation of solar panels on the existing Airport Terminal building, Airport Administration building, and the Airfield Electrical vault.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	450	Maintenance:	5
Furniture/Reloc:	0	Personnel:	0
Design/PM:	55	Other:	0
Other:	250		
Project Total:	755	OM Total:	5

Net Impact On Operating Budget:

10% local match that will be funded through Passenger Facility Charges or operational revenues

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	291708	0	5	675	0	0	0	0	675	0	680
Local	291708	0	1	75	0	0	0	0	75	0	76
TOTALS:		0	5	750	0	0	0	0	750	0	755

Refuse (Integrated Waste Division)

Integrated Waste Division Overview

Division C.P.P. Mission:

The primary mission of the Division is to provide environmentally sound and cost-effective solid waste disposal for the County after maximizing the diversion of reusable and recyclable materials in the waste stream.

Division Objectives:

The Division has implemented programs to increase recycling, reuse, and reduction of discarded materials to meet the California Integrated Waste Management Act of 1989 (A.B. 939) mandate of reducing 50% of the waste stream by the year 2000 compared to the 1990 waste disposal rates. The California Department of Resources Recycling and Recovery (CalRecycle) determines diversion requirement compliance by setting a per capita disposal target rate and measuring a city or county's actual per capita disposal rate against the target. The County, as part of the Sonoma County Waste Management Agency ("S.C.W.M.A."), met compliance requirements in the 2010 reporting year with an actual disposal rate of 4.1 pounds per person per day as compared with our maximum allowable compliance rate of 7.1 pounds per person per day. The S.C.W.M.A. must maintain a disposal rate less than the target rate to stay in compliance with A.B. 939. The 2003 Countywide Integrated Waste Management Plan ("Co.I.W.M.P.") has established a goal for the S.C.W.M.A. and its member jurisdictions of 70% diversion by the year 2015.

The Division is responsible for satisfying Federal and State environmental protection requirements for closed landfills. Additionally, the Division endeavors to capture

the maximum energy available from the landfilled waste through utilization of landfill gas for productive uses.

Division Master Plan:

The 2003 Co.I.W.M.P., adopted on October 15, 2003 by the S.C.W.M.A., and subsequently approved by the California Integrated Waste Management Board (now CalRecycle), includes the long-term management strategy described in the Sonoma County Solid Waste Management Alternatives Analysis (Analysis). The Analysis identified a long-term disposal strategy for Sonoma County through continued expansion of the Central Landfill. Subsequently, in October 2005 the Central landfill temporarily stopped accepting waste for disposal due to water quality concerns. As a result a reassessment of long term solid waste alternatives was completed and presented to the Board of Supervisors on April 25, 2006. Between 2006 and 2009 the Board investigated the feasibility of Divestiture of the Solid Waste Disposal System to the private sector, ultimately making the decision not to do so. As part of the transition planning the S.C.W.M.A. prepared an amendment to the Co.I.W.M.P. reflecting the long-term disposal capacity needs of Sonoma County to be met through either expansion of the Central landfill by public or private entities or by out-haul of waste to out-of-county disposal facilities by either truck or rail. A Supplemental Program Environmental Impact Report (E.I.R.) examining these potential changes was completed and certified by the S.C.W.M.A. on February 17, 2010. The amended Co.I.W.M.P. was approved by CalRecycle on September 8, 2010. The amended Co.I.W.M.P. was approved by CalRecycle on

September 8, 2010. The County is currently working with the Cities and stakeholders to identify a longer term solution for handling of solid waste in Sonoma County, which emphasizes diversion with continued exploration of an in-county disposal option at the Central Landfill. At the same time, the Division, as directed by the Board of Supervisors, is negotiating a Master Operations Agreement (MOA) for the operation and maintenance of the Central Disposal Site. Permit applications were approved by CalRecycle in March 2012, and are scheduled to be considered for adoption by the North Coast Regional Water Quality Control Board in March 2013.

Scheduling of Projects:

Projects are scheduled to continue ongoing development of the Central Landfill and begin a partial closure of filled areas of the Central Landfill, perform maintenance on closed landfills, comply with evolving regulations, maintain recycling goals, and, to the extent possible, spread the costs evenly over a period of years to match the revenue flow. However, if the MOA is executed by the Board and becomes effective through waste commitments by the Cities, all projects related to the Central Landfill will be undertaken by a private contractor as opposed to the County.

Changes from Prior Plans:

The changes reflected in the 2013-2018 Five-Year Plan include revisions where necessary to all existing project costs to reflect additional information and new regulatory requirements. No new projects have been added to this Plan.

Cost and Financing:

Capital projects may be funded by tipping fees, grants, and financing by issuing certificates of participation guaranteed by the Refuse Enterprise Fund. Several projects have been listed with undetermined funding. These projects will not be

scheduled for design and construction until such time as funding can be secured.

Projects Under Study:

Although landfill operations temporarily resumed at the Central Disposal Site beginning September 1, 2010, and permitting activities are underway to continue landfill operations, it has been necessary to remove and/or postpone some of the projects planned for implementation. The following summary describes future projects that include the consideration of the current situation:

- Central Landfill development using phased-in construction of Phase III of Landfill 2 is anticipated to begin in FY 13-14. A permit has been secured from CalRecycle and a permit from the RWQCB is expected in the Spring 2013 with waste flow commitments anticipated to be secured in FY 12-13. To minimize overhaul expenses before the first cell of expansion is ready, plans, specifications, estimates and a final construction quality assurance plan will be required in FY 12-13 to provide sufficient time for approval by regulatory agencies.
- A consultant was hired to evaluate the possibility of expanding landfill operations at the Central Disposal Site and secure the necessary permits from CalRecycle and RWQCB to move forward. The permit application was submitted to CalRecycle March 2011 and is still under review. Actual construction is not anticipated until the Summer 2013.
- The Division is responsible for preparing and obtaining approval of final closure and post-closure maintenance plans for the Central Landfill two years prior to the start

of closure construction activities. The final closure and post-closure maintenance plans were submitted to the State by the August 1, 2008 deadline. Approvals from all regulatory agencies have been received. At this time, closure activities, should the landfill not ultimately re-open are anticipated to begin in FY 13-14 and continue over a three-year period.

- New scales need to be installed at the Central Disposal Site to replace scales that have significant metal fatigue.

New scales and a scale house at Healdsburg Transfer Station were completed in FY 11-12.

- Post-closure construction activities include expansion of the leachate pumping system and maintenance at Central; and replacement of landfill gas collectors, laterals and mains for the Central Landfill Gas Recovery System.

TPW12015

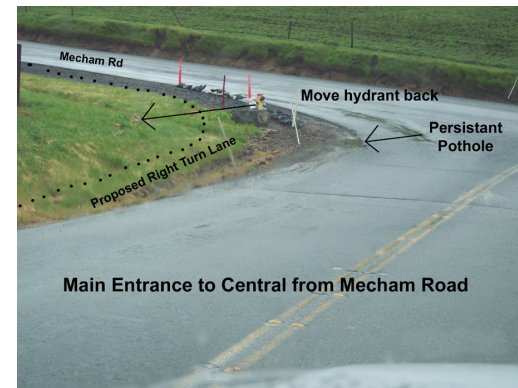
Central Entrance Improvements

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2012
Division/Section: Integrated Waste Division **End Date:** 6/30/2016

Description:

This project consists of improvements to the intersection at Mecham Road and the main access road to the Central Disposal Site as well as a another location on Mecham near Hammel Road. Improvements would potentially include (1) retrofitting the entrance security gate with an automatic gate opener so that authorized drivers can open the gate without leaving the vehicle; (2) moving a fire hydrant to provide for (3) construction of a right turn lane; and (4) installation of a subsurface collector on Mecham near Hammel to eliminate groundwater seeping through an asphalt construction joint that is creating a safety hazard. This project is needed only if the Master Operating Agreement (MOA) is not executed. If the MOA is executed, the project becomes the responsibility of the contractor.



Project Cost	
Acquisition:	0
Construction:	250
Furniture/Reloc:	0
Design/PM:	0
Other:	0
Project Total:	250

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other (User Fees)	286112	0	0	50	50	150	0	0	250	0	250
TOTALS:		0	0	50	50	150	0	0	250	0	250

TPW98018

Central Gas Recovery

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/1998
Division/Section: Integrated Waste Division **End Date:** 6/30/2018

Description:

The Central Landfill Gas Recovery System is a complex network of vertical extraction wells and horizontal collectors, which operate under vacuum to control gas emissions and sub-surface migration, and provide fuel to a 7.5 megawatt electrical power plant. The cost of replacing, as needed, vertical and horizontal collectors and associated laterals and gas mains within the 1971 permit footprint and in the East Canyon, on an annual basis, is included in this plan. This project is needed only if the Master Operating Agreement (MOA) is not executed. If the MOA is executed, the project becomes the responsibility of the contractor.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	759	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	100	Other:	0
Other:	0		
Project Total:	859	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other (User Fees)	286120	559	0	75	50	50	75	50	300	0	859
TOTALS:		559	0	75	50	50	75	50	300	0	859

TPW98017

Central Leachate

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/1998
Division/Section: Integrated Waste Division **End Date:** 6/30/2017

Description:

Expansion of leachate pumping system and continued maintenance. State regulations require strict control of leachate at all landfills. This project consists of a leachate management plan for the design and installation of a leachate pumping and infiltration control necessary for lowering high leachate levels that interfere with landfill gas collection. Pursuant to Water Board ("RWQCB") permit requirements, the County must minimize the potential impact to groundwater that may be caused by landfill gas and leachate. This project is needed only if the Master Operating Agreement (MOA) is not executed. If the MOA is executed, the project becomes the responsibility of the contractor.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,202	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	200	Other:	0
Other:	0		
Project Total:	1,402	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other (User Fees)	286120	607	221	75	25	400	75	0	575	0	1,402
TOTALS:		607	221	75	25	400	75	0	575	0	1,402

TPW98016

Central Transfer Station Improvements

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/1998
Division/Section: Integrated Waste Division **End Date:** 6/30/2017



Description:

This project consists of a programmed upgrade of the cashiering function at both scale areas at the Central Landfill. Improvements will commence at the C-7 & C-9 scales (in the Operations Improvements Area), followed by C-1 & C-8 (near the gas flare). The improvements will address employee ergonomics and customer efficiency and safety and will conform, where possible, to a standard where customers will conduct their transactions at the window without leaving their vehicles. This project is needed only if the Master Operating Agreement (MOA) is not executed. If the MOA is executed, the project becomes the responsibility of the contractor.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,196	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	200	Other:	0
Other:	0		
Project Total:	1,396	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other (User Fees)	286112	211	0	100	400	490	95	100	1,185	0	1,396
TOTALS:		211	0	100	400	490	95	100	1,185	0	1,396

TPW00113

Guerneville Closed Landfill

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 8/1/2000
Division/Section: Integrated Waste Division **End Date:** 6/30/2017



Description:

Replant native trees and complete construction of a burn waste leachate containment and pumping station. Additional work includes (1) construction of an over side drain and energy dissipater to correct severe erosion on the north side of the closed landfill; (2) construction of a retaining wall to support the earthen slope on the edge of the closed landfill below the metals recycling bunker; and (3) replanting trees. Should dirt become available from nearby jobs at a reasonable price, it would be used to fill in the old sedimentation pond and augment cover soils on the landfill surface to reduce infiltration and leachate production. Staff is evaluating safety concerns regarding the access to the leachate pumping station to determine the necessity of a new access road which would require a land purchase. This project is needed whether or not the Master Operating Agreement is executed.

Project Cost		O and M Cost	
Acquisition:	50	Utilities:	0
Construction:	1,196	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	200	Other:	0
Other:	0		
Project Total:	1,446	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other (User Fees)	286120	1,111	0	85	100	50	100	0	335	0	1,446
TOTALS:		1,111	0	85	100	50	100	0	335	0	1,446

TPW04014

Guerneville Transfer Station Improvements

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 8/30/2004
Division/Section: Integrated Waste Division **End Date:** 6/30/2017



Description:

Construction of improvements to gatehouse and relocate scale. Improvements such as tipping building floor replacement, developing a water supply, and adding a separate yard debris diversion area. Improvements to the transfer station are for improving traffic safety. Relocating the scale will improve facility efficiency, safety and customer convenience, and address ADA Barrier Report deficiencies. By preparing a cost analysis of developing a water supply, the County may be able to determine how much money it would potentially save over the long term versus hauling water to the facility. If economically feasible, funds will be added to future budgets. Improving the recycling area would help achieve increased landfill diversion goals. This project is needed only if the Master Operating Agreement (MOA) is not executed. If the MOA is executed, the project becomes the responsibility of the contractor.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	593	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	593	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other (User Fees)	286112	118	10	115	350	0	0	0	465	0	593
TOTALS:		118	10	115	350	0	0	0	465	0	593

TPW98019

Maintain Access Roads

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 8/11/1998
Division/Section: Integrated Waste Division **End Date:** 6/30/2017

Description:

The condition of all or a portion of the access roads to Healdsburg, Sonoma, Guerneville and Central Disposal Sites are in need of significant maintenance. An evaluation has been made in with consultation with the County's Material Testing Lab. The Lab recommends further deflection testing to assess subgrade stability during mid-winter conditions. This work is necessary to maintain safe access to the County disposal sites. Deferring repair can increase cost of repair disproportionately. If the Master Operating Agreement (MOA) is executed, the contractor will assume responsibility for maintaining the access road into the Central Disposal Site and outlying transfer stations. The contractor would also become responsible for maintaining asphalt surfaces within the transfer station that is beyond the access road to the facility. If the MOA is not executed, County will continue to maintain all access roads.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	841	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	841	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other (User Fees)	286112	71	130	150	130	260	100	0	640	0	841
TOTALS:		71	130	150	130	260	100	0	640	0	841

TPW00012

Sonoma Site Closure/ Leachate Collection

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 9/18/2000
Division/Section: Integrated Waste Division **End Date:** 6/30/2016



Description:

A slope adjacent to the closed landfill and below the neighboring vineyard has proven over the years to require ongoing maintenance to limit landslide activity. The project involves stabilizing the slope to avoid the expense of covering the entire slope with plastic every two years. Recently the County received free dirt from a neighboring project and has stockpiled the dirt near the base of the slope. Additional soil is needed to repair the slope permanently. The Division is required to take corrective action to collect excess leachate resulting from groundwater intrusion into the waste in agreement with the Regional Water Quality Control Board. Corrective action may include grading to increase runoff coefficient adjacent to landfill and cover maintenance. Additionally, cracks are developing in the landfill cover that will require cover maintenance. A cinderblock building will be constructed to protect the electrical generator for the site which provides backup power for the leachate pumps. This project is needed whether or not the Master Operating Agreement is executed.

Project Cost	
Acquisition:	0
Construction:	443
Furniture/Reloc:	0
Design/PM:	0
Other:	0
Project Total:	443

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other (User Fees)	286120	8	60	0	50	75	250	0	375	0	443
TOTALS:		8	60	0	50	75	250	0	375	0	443

TPW01020

Sonoma Transfer Station Improvements

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 4/2/2001
Division/Section: Integrated Waste Division **End Date:** 6/30/2015



Description:

The proposed tasks are driven primarily by the need to address health and safety measures in addition to improving site use efficiency. Planning studies will be performed to evaluate alternative improvements to traffic circulation to improve safety and efficiency, which may include relocation of the scales or associated structures. Exit and entrance scales should be relocated to either side of the new scale house, and the public should pass by the Recycle/Reuse Center prior to the cashier's booth (if physically possible) consistent with the new Integrated Waste Division standard. This project is needed only if the Master Operating Agreement (MOA) is not executed. If the MOA is executed, the project becomes the responsibility of the contractor.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	991	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	991	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other (User Fees)	286112	71	100	120	700	0	0	0	820	0	991
TOTALS:		71	100	120	700	0	0	0	820	0	991

TPW98022

Healdsburg Landfill Gas Collection and Flare System

Funded by Others

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 8/1/1998
Division/Section: Integrated Waste Division **End Date:** 6/30/2018



Description:

Excessive and non-compliant levels of landfill gas ("LFG") have been detected and monitored at this site, and LFG mitigation measures have been recommended by a County consultant. This project consists of expanding the collection system in phases and replacing existing wells as needed. This project is needed whether or not the Master Operating Agreement is executed.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	170	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	170	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Other (User Fees)	286120	0	0	45	25	25	50	25	170	0	170
TOTALS:		0	0	45	25	25	50	25	170	0	170

TPW13023

Central Closure

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2012
Division/Section: Integrated Waste Division **End Date:** 6/30/2015

Description:

This project involves the design and construction of a partial final cover of Landfill 1. In March of 2011, a partial final closure plan was submitted and approved by CalRecycle to close the south face of Landfill No. 1. RWQCB concurrence with the accepted closure plan is still required. It is anticipated this concurrence will come in early 2012, allowing design plans to be prepared starting in 2012/2013 continuing with an RFP process in 2013/14 and project construction in Summer of 2014. California regulations require landfill owners to close such areas of the landfill as soon as practical. This project is needed only if the Master Operating Agreement (MOA) is not executed. If the MOA is executed, responsibility for Central Closure becomes the responsibility of the contractor and partial closure of a 14.4 acre area on the south face will be part of the first phase of landfill expansion.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	2,690	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	74	Other:	0
Other:	0		
Project Total:	2,764	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Other (User Fees)	286120	0	0	25	2,739	0	0	0	2,764	0	2,764
TOTALS:		0	0	25	2,739	0	0	0	2,764	0	2,764

TPW12024

Central Landfill Development

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/12/2012
Division/Section: Integrated Waste Division **End Date:** 6/30/2018

Description:

Phased-in expansion of the Central Disposal Site beginning with construction of Phase III of Landfill 2. Landfill of waste will reach capacity in Landfill 1 (East Canyon) by July 2013. A permit has been secured from the LEA/CalRecycle and a permit from the Regional Water Quality Control Board is expected during FY11/12. Waste flow commitments are expected to be secured by early FY 12/13. To minimize outhaul expenses before the first cell of expansion is ready to receive waste, plans/specifications/estimate ("PS&E") and a final construction quality assurance ("C.Q.A.") plan will be required and should be prepared in early FY 12/13 to provide time for agency approval. Phase III construction should commence by spring 2013. Since Phase III has 4.8 years of capacity at a rate of 250,000 tons per year, waste filling in Phase IV would not begin for 4 to 5 years after filling begins in Phase III. For the interim years assume \$100,000 per year to provide for unexpected issues such as liner repair or correction of design deficiency. Preparation of PS&E and a CQA Plan for Phase IV should commence in FY 15/16. As the design for Phase IV required the toe embankment to come within 20 feet of the Fleet Maintenance building's entrance, the building will need to be modified. This project is needed only if the Master Operating Agreement (MOA) is not executed. If the MOA is not executed and if the Board of Supervisors directs staff to move forward, it will be necessary to secure financing to proceed with the project. If the MOA is executed, this project becomes the responsibility of the contractor.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	15,262	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	300	Other:	0
Other:	0		
Project Total:	15,562	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Other (User Fees)	286120	0	0	8,647	100	100	300	6,415	15,562	0	15,562
TOTALS:		0	0	8,647	100	100	300	6,415	15,562	0	15,562

TPW09026

Healdsburg Transfer Station Improvements

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 4/20/2009
Division/Section: Integrated Waste Division **End Date:** 6/30/2017



Description:

Repair the tipping floor. Install drop inlets to address very significant settlement of waste under asphalt areas causing portions of site to drain poorly. The gray water collection system is over 20 years old and may need to be replaced within a few years if connection to the City of Healdsburg sewer system cannot be made. In certain locations where refuse is processed by heavy equipment, the concrete floor shows excessive wear and reinforcing steel is exposed. Failure to repair within the next year may result in a disproportionate cost to repair. Drop inlets needed in areas where asphalt is failing and settlement has lead to ponding, which in turn exacerbates further settling. This project is needed only if the Master Operating Agreement (MOA) is not executed. If the MOA is executed, the project becomes the responsibility of the contractor.

Project Cost	
Acquisition:	0
Construction:	2,429
Furniture/Reloc:	0
Design/PM:	100
Other:	0
Project Total:	2,529

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other (User Fees)	286112	889	170	170	250	300	750	0	1,470	0	2,529
TOTALS:		889	170	170	250	300	750	0	1,470	0	2,529

Roads Division

Roads Division Overview

Division C.P.P. Mission:

This Capital Project Plan is intended to address, within the limits of projected available funding and in accordance with the parameters and conditions attached to specific funding sources, the priority needs of the County's road system. Those needs primarily relate to improvements to avoid future maintenance problems, responding to traffic capacity problems and consequent reduction in level of service, responding to identified safety deficiencies, and enhancement of the road system. Typically, these various goals are not unique to a particular type of improvement and an individual project will accomplish more than one goal.

Overall, the County's population continues to grow and, as it grows, the strain on the existing road system increases. The projects shown in the 5-Year Plan are intended to reduce this strain within the limitations of available projected funding. Projects will restore or improve the existing road surface, widen or add shoulders, add turn lanes and traffic signals, or reconstruct entire segments of roads, address this increasing traffic strain on the system.

Division Objectives:

Identify projects to upgrade the existing roads and bridges to keep them in a serviceable condition, avoiding inordinate future yearly maintenance costs.

Identify projects which address a particular safety concern, typically related to actual or potential traffic accidents.

Identify projects which improve or restore capacity in response to traffic increases.

Identify projects which enhance or improve the road system such as the addition of bicycle lanes.

Eliminate the backlog of projects.

Develop new funding sources to fund the long list of needed projects.

Division Master Plan:

This Division is responsible for all of the roads and bridges found in the unincorporated areas of the County. This responsibility involves a wide variety of project types and funding sources that often are not interdependent. The Division has found that no one master plan can accommodate all of these variables. Because of this it has, therefore, been necessary to develop a number of master plans rather than a single master plan.

One type of master plan that the Division employs is the type associated with Traffic Mitigation Fees. These master plans are established at the time the mitigation fees are established and focus on capacity and operational improvements on arterial and major collector roads in response to traffic increases resulting from land development.

A second type of master plan that the Division employs is called the “Pavement Management System.” This system uses a database model to develop pavement maintenance strategies by periodically assessing the existing inventories of Pavement Condition Index (P.C.I.) of the county road system. This model in turn helps the department develop and prioritize the most cost-effective method and sequence for maintaining the pavement of each road.

A third type of master plan is the State Bridge Assessment Program in which State personnel examine the County's bridges and rank them using fixed criteria as to their condition and eligibility to qualify for Federal Rehabilitation Funds.

Finally, not all projects lend themselves to master planning due to their unique restrictions or due to the one-time nature of the funding source.

Scheduling of Projects:

Division projects are scheduled according to master plans when the project has been identified by an existing master plan. However, with funding sources changing significantly from year to year and, given that funding sources often will only pay for a specific type of project (e.g., bridges), the Division often finds itself in the position of setting priorities at the time the funding sources appear.

Federal funding rules now impose timeline constraints for federally funded projects, a so-called “use it or lose it” requirement. The timing of the County’s requests for federal funds will, therefore, need to be closely evaluated.

Projects planned with undetermined funding will be delayed until a definitive funding source is found.

The Division has prioritized its list of proposed construction projects for F.Y. 2013-14 based on a available funding and the needs of the County’s Primary Road System.

Changes from Prior Plans:

This five-year plan is approximately \$22 million less than the 2012-17 Plan in terms of total dollar expenditures. Overall the decrease is due to planned completion of several phases of large projects in F.Y. 2012-13, such as Porter Creek Bridge and the beginning phases of the Airport Boulevard Interchange.

Cost and Financing:

Road and Bridge projects secure funds from a wide variety of funding sources. The amount available from each of these revenue sources varies, sometimes quite widely, from year to year.

The federal funds shown are subject to authorization through the state and regional transportation agencies with adoption of yearly regional and state transportation improvement plans. The availability of Traffic Mitigation Fee Funds is subject to development continuing at the rates seen in the prior 5-year period. In addition, these mitigation funds generally pay only a portion of the cost of a project with the balance having to come from other revenue sources. Traffic Mitigation Funds can be used for capacity improvements but not for maintenance of existing facilities.

Use of our share of federal guaranteed funding for the overlay and reconstruction program will be used in the future as a mechanism to help preserve the Primary Road System, as approved by the Board in October 2010.

TPW11033

2012 Pavement Preservation Program

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 5/24/2011
Division/Section: Roads Division **End Date:** 6/30/2014

Description:

Repair and overlay of Calistoga Road, Fulton Road, Main Street in Penngrove, and Lakeville Hwy. Part of Federal authorization for surface treatments. Selected roads are part of Board approved Priority Road network.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	4,377	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	150	Other:	0
Other:	0		
Project Total:	4,527	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035030	0	0	3,917	0	0	0	0	3,917	0	3,917
Local	035030	0	0	0	0	0	0	0	0	0	0
State	035030	116	0	494	0	0	0	0	494	0	610
TOTALS:		116	0	4,411	0	0	0	0	4,411	0	4,527

TPW13103

2012-13 Pavement Preservation Plan - One Time Reserves

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 07/01/2012
Division/Section: Roads Division **End Date:** 6/30/2014



Description:

The Board of Supervisor's approved a Pavement Preservation Program, using one-time reserves, for those roads in the county which are not in the Primary Road System and may not be eligible for federal funding. The project includes pavement work on Adobe Canyon Road (76021), Armstrong Woods Road (80131), Bean Avenue (61017), Bohemian Highway (6002A and 70150), Burbank Avenue (78011), Chileno Valley Road (4801), Doran Beach Road (61002), Eastside Road (7905A), Lichau Road (67002), London Ranch Road (66045), Main Street (6002B), Snyder Lane (68025), West Dry Creek Road (99017) and Westside Road (8001)

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	6,500	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	6,500	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035063	0	0	6,500	0	0	0	0	6,500	0	6,500
TOTALS:		0	0	6,500	0	0	0	0	6,500	0	6,500

TPW98045

Adobe Road at East Washington Street Intersection Improvements

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 2/9/1998
Division/Section: Roads Division **End Date:** 6/30/2014

Description:

Intersection Improvements to include channelization and signalization of intersection of Adobe Road and East Washington Street. Will improve traffic flow and safety of the intersection and eliminate the 3-way stop condition.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	3,400	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	500	Other:	0
Other:	0		
Project Total:	3,900	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035030	50	0	1,659	0	0	0	0	1,659	0	1,708
Local	035030	802	190	0	0	0	0	0	0	0	992
State	035030	69	200	930	0	0	0	0	930	0	1,200
TOTALS:		921	390	2,589	0	0	0	0	2,589	0	3,900

All Values are presented in Thousands (1 x 1000)

TPW05047

Airport Boulevard at Fulton Road Intersection Improvements

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 9/12/2005
Division/Section: Roads Division **End Date:** 6/30/2013



Description:

Intersection Improvements at Airport Boulevard and Fulton Road and Widening to Old Redwood Hwy. As part of larger group of projects to the Airport Area this will improve traffic flow and integrate with the new Highway 101 Interchange project.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	2,500	Maintenance:	0
Furniture/Reloc:	700	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	3,200	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035030	117	780	0	0	0	0	0	0	0	897
State	035030	196	2,107	0	0	0	0	0	0	0	2,303
TOTALS:		313	2,887	0	0	0	0	0	0	0	3,200

All Values are presented in Thousands (1 x 1000)

TPW92043

Arnold Drive at Agua Caliente Intersection Improvements

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 2/18/1992
Division/Section: Roads Division **End Date:** 6/30/2013



Description:

Replacement of existing stopped controlled intersection with installation of roundabout at Arnold Drive at Agua Caliente and the Hanna Boys Center. Will improve traffic flow at busy intersection by eliminating the all-way stop condition. The intersection improvements are included in the Measure M Funding Program.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	2,000	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	557	Other:	0
Other:	0		
Project Total:	2,557	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035030	116	1,450	0	0	0	0	0	0	0	1,566
State	035030	340	650	0	0	0	0	0	0	0	990
TOTALS:		457	2,100	0	0	0	0	0	0	0	2,557

TPW11037

Bohan Dillon Road over South Fork Gualala River Bridge Replacement

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 3/4/2011
Division/Section: Roads Division **End Date:** 6/30/2017



Description:

Bridge replacement to widen from one lane to two lanes the structure on Bohan Dillon Road over South Fork of Gualala River.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	2,500	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	500	Other:	0
Other:	0		
Project Total:	3,000	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035022	24	250	216	0	0	2,500	0	2,716	0	2,990
Local	035022	10	0	0	0	0	0	0	0	0	10
TOTALS:		34	250	216	0	0	2,500	0	2,716	0	3,000

TPW96027

Boyes Boulevard over Sonoma Creek Replacement

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 11/7/1996
Division/Section: Roads Division **End Date:** 6/30/2015



Description:

Seismic replacement of bridge on Boyes Boulevard over Sonoma Creek. Existing bridge is deemed seismically deficient by State of California.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	5,250	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	650	Other:	0
Other:	0		
Project Total:	5,900	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035022	384	44	502	4,800	0	0	0	5,302	0	5,730
Local	035022	30	5	0	0	0	0	0	0	0	35
State	035022	134	0	0	0	0	0	0	0	0	134
TOTALS:		548	50	503	4,800	0	0	0	5,303	0	5,900

All Values are presented in Thousands (1 x 1000)

TPW01028

Brickway Boulevard over Mark West Creek Bridge Replacement

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 8/28/2001
Division/Section: Roads Division **End Date:** 6/30/2016



Description:

Bridge replacement on Laughlin Road at Mark West Creek with new location on Brickway Boulevard over Mark West Creek. New bridge will provide alternate travel route from River Road to the Airport Area and ease traffic congestion as area continues to develop. This corridor is included in the Airport Master Plan and in the Measure M funding program.

Project Cost		O and M Cost	
Acquisition:	100	Utilities:	0
Construction:	4,250	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	944	Other:	0
Other:	0		
Project Total:	5,294	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	035022	461	177	250	200	4,000	0	0	4,450	0	5,088
Local	035022	101	23	20	0	0	0	0	20	0	144
State	035022	62	0	0	0	0	0	0	0	0	62
TOTALS:		624	200	270	200	4,000	0	0	4,470	0	5,294

All Values are presented in Thousands (1 x 1000)

TPW11036

Freestone Flat Road over Salmon Creek Bridge Replacement

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 3/4/2011
Division/Section: Roads Division **End Date:** 6/30/2017



Description:

Bridge replacement to widen from one lane to two lanes the structure on Freestone Flat Road over Salmon Creek.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	4,000	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	500	Other:	0
Other:	0		
Project Total:	4,500	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	035022	47	225	224	0	0	4,000	0	4,224	0	4,496
Local	035022	4	0	0	0	0	0	0	0	0	4
TOTALS:		51	225	224	0	0	4,000	0	4,224	0	4,500

TPW09048

Geysers Road over Big Sulphur Creek Bridge Replacement

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 1/29/2009
Division/Section: Roads Division **End Date:** 6/30/2014



Description:

Bridge replacement on Geysers Road over Big Sulphur Creek. Existing bridge is seismically deficient and too narrow for two-lane traffic. It is also structurally inadequate to carry some of the heavy trucks that transport equipment to the Geysers power plants.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	5,000	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	897	Other:	0
Other:	0		
Project Total:	5,897	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	035022	85	350	400	5,000	0	0	0	5,400	0	5,835
Local	035022	17	0	0	0	0	0	0	0	0	17
State	035022	0	45	0	0	0	0	0	0	0	45
TOTALS:		102	395	400	5,000	0	0	0	5,400	0	5,897

All Values are presented in Thousands (1 x 1000)

TPW11035

Geysers Road over Fraiser Creek Bridge Replacement

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 3/4/2011
Division/Section: Roads Division **End Date:** 6/30/2017



Description:

Bridge replacement to widen from one lane to two lanes the structure on Geysers Road over Fraiser Creek.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	2,800	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	636	Other:	0
Other:	0		
Project Total:	3,436	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

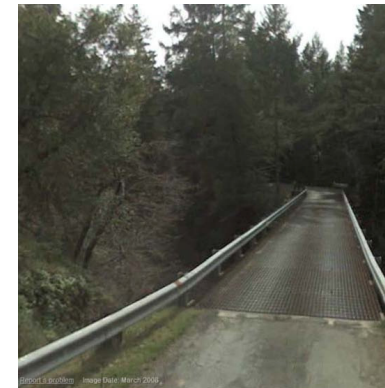
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	035022	35	100	0	500	0	2,800	0	3,300	0	3,435
Local	035022	1	0	0	0	0	0	0	0	0	1
TOTALS:		36	100	0	500	0	2,800	0	3,300	0	3,436

TPW11034

Hauser Bridge Road over South Fork Gualala River Bridge Replacement

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 3/4/2011
Division/Section: Roads Division **End Date:** 6/30/2017



Description:

Bridge replacement to widen from one lane to two lanes the structure on Hauser Bridge Road over South Fork of Gualala River.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	4,500	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	500	Other:	0
Other:	0		
Project Total:	5,000	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	035022	25	325	141	0	0	4,500	0	4,641	0	4,990
Local	035022	10	0	0	0	0	0	0	0	0	10
TOTALS:		34	325	141	0	0	4,500	0	4,641	0	5,000

TPW03032

Highway 101 at Airport Boulevard Interchange

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2009
Division/Section: Roads Division **End Date:** 6/30/2014



Description:

Replacement of the existing interchange at Highway 101 and Airport Boulevard. Will provide much needed traffic congestion relief. Cooperative agreement with Caltrans and Sonoma County Transportation Authority. Funding listed represents the County paid portion of the project.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	12,000	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	1,000	Other:	0
Other:	0		
Project Total:	13,000	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035089	3,300	2,832	5,869	1,000	0	0	0	6,869	0	13,000
State	035089	0	0	0	0	0	0	0	0	0	0
TOTALS:		3,300	2,832	5,869	1,000	0	0	0	6,869	0	13,000

TPW04044

Hwy 116 and Mirabel Road Intersection Improvements

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 1/22/2004
Division/Section: Roads Division **End Date:** 6/30/2015



Description:

Roundabout and Channelization at intersection of State Route 116 and Mirabel Road. This project will reconstruct and lower a section of State Route 116 between Mirabel Road and Hidden Lake Road to improve sight distance. Will improve traffic flow during peak commute hours.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	4,750	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	1,758	Other:	0
Other:	0		
Project Total:	6,508	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035030	0	0	0	0	0	0	0	0	0	0
Local	035030	1,308	450	4,750	0	0	0	0	4,750	0	6,508
State	035030	0	0	0	0	0	0	0	0	0	0
TOTALS:		1,308	450	4,750	0	0	0	0	4,750	0	6,508

All Values are presented in Thousands (1 x 1000)

TPW12031

Jimtown Bridge Scour Repair

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 1/24/2012
Division/Section: Roads Division **End Date:** 6/30/2013



Description:

Scour repair of the Jimtown Bridge on Alexander Valley Road over the Russian River to enhance stability of the existing structure.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,100	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	80	Other:	0
Other:	0		
Project Total:	1,180	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035022	0	180	1,000	0	0	0	0	1,000	0	1,180
TOTALS:		0	180	1,000	0	0	0	0	1,000	0	1,180

TPW07041

King Ridge Road over Austin Creek Bridge Retrofit

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 1/23/2007
Division/Section: Roads Division **End Date:** 6/30/2015



Description:

Retrofit of bridge on King Ridge Road over Austin Creek (Ohmmann's Bridge)

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,500	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	500	Other:	0
Other:	0		
Project Total:	2,000	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	035022	85	200	196	1,500	0	0	0	1,696	0	1,982
Local	035022	18	0	0	0	0	0	0	0	0	18
TOTALS:		104	200	196	1,500	0	0	0	1,696	0	2,000

TPW04042

Mirabel Road Shoulder Widening Phase I

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 1/22/2004
Division/Section: Roads Division **End Date:** 6/30/2014



Description:

Shoulder improvements on Mirabel Road between Highway 116 and River Road to improve pedestrian and bicyclist access and safety in two phases. Phase 1 - Highway 116 to Davis Street and Phase 2 - Davis Street to River Road.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,750	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	450	Other:	0
Other:	0		
Project Total:	2,200	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035030	78	270	92	0	0	0	0	92	0	440
State	035030	10	0	1,750	0	0	0	0	1,750	0	1,760
TOTALS:		88	270	1,842	0	0	0	0	1,842	0	2,200

TPW11039

O'Donnell Lane over Calabazas Creek Bridge Rehabilitation

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 3/4/2011
Division/Section: Roads Division **End Date:** 6/30/2016



Description:

Bridge rehabilitation O'Donnell Lane over Calabazas Creek to strengthen existing one lane historic brick arch bridge structure.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	400	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	103	Other:	0
Other:	0		
Project Total:	503	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035022	26	75	0	0	400	0	0	400	0	501
Local	035022	2	0	0	0	0	0	0	0	0	2
TOTALS:		28	75	0	0	400	0	0	400	0	503

All Values are presented in Thousands (1 x 1000)

TPW00029

Porter Creek Bridge Replacement

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 11/28/2000
Division/Section: Roads Division **End Date:** 6/30/2014



Description:

Seismic replacement of bridge on Porter Creek Road over Porter Creek. Current bridge is structurally deficient due to fatigue cracking in center-span steel beams and has been temporarily shored to allowed legal loads. It has a sufficiency rating of 4 out of 100.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	5,000	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	1,110	Other:	0
Other:	0		
Project Total:	6,110	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035022	684	2,000	2,444	0	0	0	0	2,444	0	5,128
Local	035022	388	0	0	0	0	0	0	0	0	388
State	035022	94	200	300	0	0	0	0	300	0	594
TOTALS:		1,166	2,200	2,744	0	0	0	0	2,744	0	6,110

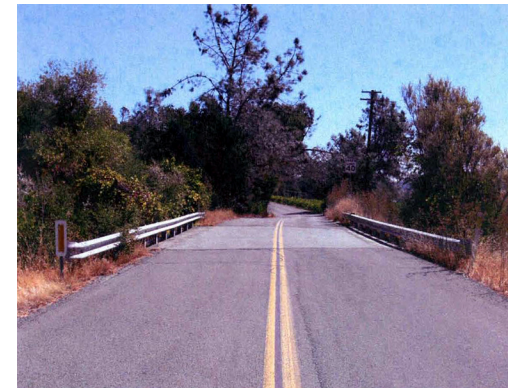
All Values are presented in Thousands (1 x 1000)

TPW11038

River Road over Gill Creek Bridge Replacement

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 3/4/2011
Division/Section: Roads Division **End Date:** 6/30/2017



Description:

Bridge replacement to widen from one lane to two lanes the structure on River Road over Gill Creek in Geyserville area.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	4,500	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	500	Other:	0
Other:	0		
Project Total:	5,000	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	035022	54	120	324	0	0	4,500	0	4,824	0	4,998
Local	035022	2	0	0	0	0	0	0	0	0	2
TOTALS:		56	120	324	0	0	4,500	0	4,824	0	5,000

TPW03051

Stony Pont at Roblar Road Intersection Improvements

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 3/1/2003
Division/Section: Roads Division **End Date:** 6/30/2014



Description:

Signalization and channelization of intersection of Stony Point Road at Roblar Road. This will improve traffic flow and increase the safety of the intersection.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,250	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	400	Other:	0
Other:	0		
Project Total:	1,650	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035030	77	295	0	1,278	0	0	0	1,278	0	1,650
TOTALS:		77	295	0	1,278	0	0	0	1,278	0	1,650

TPW08030

Watmaugh Road over Sonoma Creek Bridge Replacement

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2008
Division/Section: Roads Division **End Date:** 6/30/2020



Description:

Seismic Bridge Replacement of bridge on Watmaugh Road over Sonoma Creek. Existing bridge is deemed seismically deficient by State of California. Caltrans has indicated that Watmaugh Road Bridge has one of the lowest sufficiency ratings in the entire state of California.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	7,500	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	1,000	Other:	0
Other:	0		
Project Total:	8,500	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

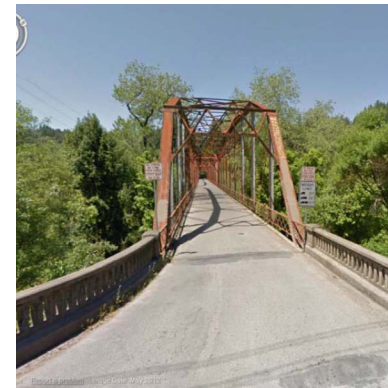
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035022	207	173	1,064	7,000	0	0	0	8,064	0	8,444
Local	035022	26	0	0	0	0	0	0	0	0	26
State	035022	7	22	0	0	0	0	0	0	0	30
TOTALS:		241	195	1,064	7,000	0	0	0	8,064	0	8,500

TPW96053

Wohler Road over Russian River Bridge Retrofit

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 11/1/1996
Division/Section: Roads Division **End Date:** 6/30/2015



Description:

Seismic retrofit of historic bridge on Wohler Road over the Russian River. Existing bridge is deemed seismically deficient by State of California.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	4,500	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	500	Other:	0
Other:	0		
Project Total:	5,000	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035022	69	20	550	4,000	0	0	0	4,550	0	4,639
Local	035022	101	0	0	0	0	0	0	0	0	101
State	035022	10	0	49	200	0	0	0	249	0	259
TOTALS:		181	20	599	4,200	0	0	0	4,799	0	5,000

All Values are presented in Thousands (1 x 1000)

TPW13100

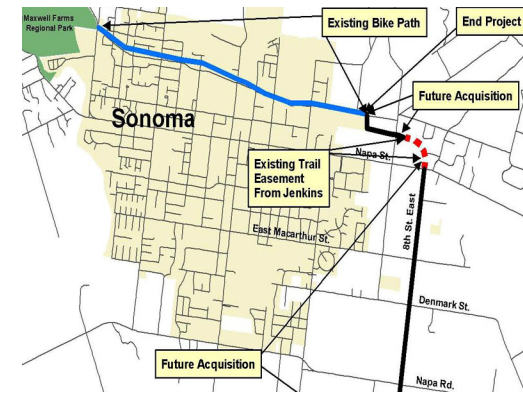
Eighth Street East and Napa Road - Crossing for Schellville Trail

Partially Funded

Function: Development Services **Status:** Request
Department: Transportation & Public Works **Start Date:** 7/1/2013
Division/Section: Roads Division **End Date:** 6/30/2018

Description:

Sonoma County Regional Parks is planning to extend the bike and pedestrian trail known as the Schellville Trail. The people using the trail will need to cross Napa Road upon leaving Eighth Street East. This project will provide for facilities to aid crossing Napa Road.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	10	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	10	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18		
Local	035030	0	0	0	0	0	0	0	10	10
TOTALS:		0	0	0	0	0	0	0	10	10

All Values are presented in Thousands (1 x 1000)

TPW99054

Eighth Street East at Highway 121 Intersection Improvements

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 10/31/1999
Division/Section: Roads Division **End Date:** 6/30/2017



Description:

Signalization and channelization of intersection of Eighth Street East and Highway 121 to alleviate congestion and improve safety.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	4,300	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	773	Other:	0
Other:	0		
Project Total:	5,073	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035030	267	0	0	0	0	0	0	0	4,530	4,797
Local	035030	275	0	0	0	0	0	0	0	0	275
TOTALS:		543	0	0	0	0	0	0	0	4,530	5,073

TPW13101

Forestville Area Bike and Pedestrian Circulation

Partially Funded

Function: Development Services **Status:** Request
Department: Transportation & Public Works **Start Date:** 7/1/2015
Division/Section: Roads Division **End Date:** 7/1/2020



Description:

Small projects in various location within the Forestville area that will aid in the circulation of pedestrian and bicycle traffic. Projects may be stand alone or incorporated into larger improvement projects. Enhancements are funded by Quarry Mitigation Funds.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	10	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	5	Other:	0
Other:	0		
Project Total:	15	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035030	0	0	0	0	0	0	0	0	15	15
TOTALS:		0	0	0	0	0	0	0	0	15	15

TPW13102

Forestville Crosswalks

Partially Funded

Function: Development Services **Status:** Request
Department: Transportation & Public Works **Start Date:** 7/1/2013
Division/Section: Roads Division **End Date:** 6/30/2018

Description:

Small projects in various location within the Forestville area that will aid drivers with visability of crosswalks. Projects may be stand alone or incorporated into larger improvement projects. Enhancements are funded by Quarry Mitigation Funds.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	10	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	5	Other:	0
Other:	0		
Project Total:	15	OM Total:	0

Net Impact On Operating Budget:

No Net Effect

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035030	0	0	0	0	0	0	0	0	15	15
TOTALS:		0	0	0	0	0	0	0	0	15	15

TPW05055

Highways 12 and 121 at Millerick Road Intersection Improvements

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 1/6/2005
Division/Section: Roads Division **End Date:** 6/30/2014



Description:

Signalization and Channelization of Highways 12 and 121 and Millerick Road to improve safety and traffic flow. Caltrans Cooperative project.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	400	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	400	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035089	0	0	100	0	0	0	0	100	0	100
State	035089	0	0	300	0	0	0	0	300	0	300
TOTALS:		0	0	400	0	0	0	0	400	0	400

TPW05050

Laughlin Road Reconstruction

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 11/15/2005
Division/Section: Roads Division **End Date:** 6/30/2017



Description:

Reconstruction of Laughlin Road from River Road to Brickway Boulevard as part of the new Brickway Bridge Project. Existing bridge and roadway are functionally obsolete as an Airport Area arterial link.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	2,250	Maintenance:	0
Furniture/Reloc:	600	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	2,850	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Local	035030	1	240	0	159	0	200	0	359	0	600
State	035030	0	0	0	0	0	2,250	0	2,250	0	2,250
TOTALS:		1	240	0	159	0	2,450	0	2,609	0	2,850

TPW03056

Mark West Springs Road at Lorraine/Michelle Way Intersection Improvements

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2003
Division/Section: Roads Division **End Date:** 6/30/2025



Description:

Widening of roadway to include center turn lane and expansion of shoulders to six feet wide for increased capacity and safer access for bicyclists and pedestrians.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,000	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	389	Other:	0
Other:	0		
Project Total:	1,389	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Local	035030	39	0	0	0	0	0	250	250	1,100	1,389
TOTALS:		39	0	0	0	0	0	250	250	1,100	1,389

TPW96057

Wohler Road over Mark West Creek Bridge Replacement

Partially Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 11/1/1996
Division/Section: Roads Division **End Date:** 6/30/2014



Description:

Seismic replacement of bridge on Wohler Road over Mark West Creek. Existing bridge is deemed seismically deficient by State of California. "Little Wohler" has an adverse alignment that will be corrected as part of the replacement.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	4,650	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	750	Other:	0
Other:	0		
Project Total:	5,400	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035022	259	100	2,668	2,300	0	0	0	4,968	0	5,327
State	035022	27	46	0	0	0	0	0	0	0	73
TOTALS:		286	146	2,668	2,300	0	0	0	4,968	0	5,400

TPW11052

Monte Rio Bridge Seismic Retrofit Study

Unfunded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 10/1/2011
Division/Section: Roads Division **End Date:** 6/30/2025



Description:

Preliminary engineering study to determine costs/benefits associated with seismic retrofit or replacement of bridge on Bohemian Hwy over Russian River. Existing bridge is deemed seismically deficient by State of California.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	10,000	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	950	Other:	0
Other:	0		
Project Total:	10,950	OM Total:	0

Net Impact On Operating Budget:

No Net Impact

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					SYR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Federal	035022	8	177	250	210	196	0	0	656	10,000	10,841
Local	035022	0	0	2	0	0	0	0	2	0	2
State	035022	4	23	0	40	40	0	0	80	0	107
TOTALS:		12	200	252	250	236	0	0	738	10,000	10,950

All Values are presented in Thousands (1 x 1000)

Transit Division

Transit Division Overview

Division C.P.P. Mission:

Provide necessary facilities required for maintenance, repair, management, and operation of County-supported public transportation services.

Division Objectives:

To be responsive to the transit travel demands of Sonoma County residents; to provide efficient and cost-effective public transportation services between Sonoma County's incorporated cities and unincorporated communities; and be responsive to local governments who fund Sonoma County Transit services through annual TDA, STA and Measure M contributions.

Division Master Plan:

Park and Ride/Intermodal Facility development continue to be a priority with the Transit Division. Projects are designed to accommodate transit and park and ride users in the present, and commuter rail passengers in the future. Projected demand and available funding determine the scope and design of each project.

Scheduling of Projects:

2013/2014: In F.Y. 11/12, Sonoma County Transit acquired property located at 970 East Cotati Avenue

for future development of the Cotati Intermodal Facility. The project will be bid in early 2013 with construction anticipated to begin in May/June 2013. A similar intermodal facility will be constructed in Healdsburg at the city's old NWP Station site. Construction of the Healdsburg Intermodal Facility is anticipated to commence in July 2013.

Changes from Prior Plans:

The current five-year plan is similar to prior plans for the intermodal facilities with most of the construction costs brought forward and projected into F.Y. 2013/2014. The Transit Facility Parking Lot Rehabilitation project entered in last year's CPP is scheduled for completion by June 2013. No new projects for Transit have been added in the current CPP.

Cost and Financing:

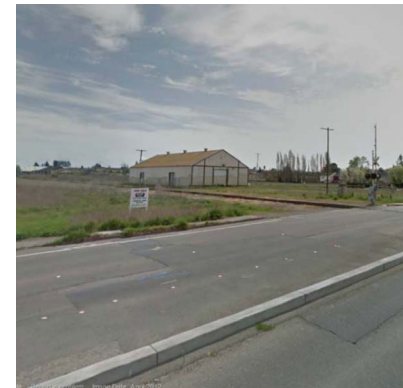
Capital projects for Sonoma County Transit are funded by grants obtained by Federal, state, and local entities. The intermodal facilities will be funded largely by Federal Transit Administration grants with local matching funds coming from Transportation Development Act, Air Quality, and other local sources.

TPW12059

Cotati Intermodal

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 5/31/2013
Division/Section: Transit Division **End Date:** 10/31/2013



Description:

The Cotati Intermodal Facility, located on East Cotati Avenue, will provide approximately 85 space park and ride lot, bus boarding areas for fixed-route transit services, and will serve as Cotati's "SMART" station when commuter rail service is introduced in the future. The project is funded by the Federal Transit Administration, Bay Area Air Quality Management District, and Transportation Development Act funds.

Project Cost		O and M Cost	
Acquisition:	601	Utilities:	1
Construction:	2,191	Maintenance:	1
Furniture/Reloc:	0	Personnel:	0
Design/PM:	417	Other:	0
Other:	0		
Project Total:	3,209	OM Total:	2

Net Impact On Operating Budget:

\$2,400 increase in annual O&M

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Air Quality Funds	292524	421	0	0	0	0	0	0	0	0	421
Federal	292524	475	150	1,598	0	0	0	0	1,598	0	2,223
Local	292525	77	0	0	0	0	0	0	0	0	77
State	292524	20	166	302	0	0	0	0	302	0	488
TOTALS:		993	316	1,900	0	0	0	0	1,900	0	3,209

TPW12060

Healdsburg Intermodal

Funded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 7/1/2013
Division/Section: Transit Division **End Date:** 12/31/2013



Description:

The Healdsburg Intermodal Facility, located at the historic Healdsburg Depot site on Harmon Street, will provide an approximate 47-space park and ride lot, a bicycle/pedestrian path and will serve as Healdsburg's SMART station when commuter rail service is introduced in the future. The project is funded by the Federal Transit Administration and Transportation Development Act Funds, as well as matching local funds from the City of Healdsburg.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	2,152	Maintenance:	1
Furniture/Reloc:	0	Personnel:	0
Design/PM:	320	Other:	0
Other:	0		
Project Total:	2,472	OM Total:	1

Net Impact On Operating Budget:

\$1,200 increase in annual O&M

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	292524	201	0	1,773	0	0	0	0	1,773	0	1,975
Local	292524	49	15	0	0	0	0	0	0	0	64
State	292524	55	0	379	0	0	0	0	379	0	434
TOTALS:		305	15	2,152	0	0	0	0	2,152	0	2,472

CSA41 - Small Water Districts (Fitch Mountain, Salmon Creek, Freestone, and Jenner)

CSA 41- Water Districts Division Overview

Division C.P.P. Mission:

Provide required maintenance, upgrade, repair, management, and operation of four public water systems (Fitch Mountain, Salmon Creek, Freestone, and Jenner).

Division Objectives:

Complete improvements needed in order to provide safe, efficient, and cost effective water service to the communities of Freestone, Jenner, Salmon Creek, and Fitch Mountain.

Division Master Plan:

To complete necessary water treatment upgrades to bring all of the water systems in compliance with state regulation for safe drinking water.

Scheduling of Projects:

F.Y. 2013-14 – Construction of various system improvements for the Salmon Creek Water District including expansion of the spring collection system, installation of a new ultra filtration unit, distribution system improvements, and installation of a new raw

water storage tank. Other components of the project include land acquisition, design, engineering and geotechnical studies and required inspections. Although construction of this project was originally planned for FY 11-12, it has taken longer to obtain the necessary permits for the project which are required prior to submitting an application to the U.S.D.A. for funding.

Changes from Prior Plans:

There are only minor changes (\$200,000 reduction) from prior plans which represent the planning, environmental services and rights of way which were completed in F.Y. 2012-13.

Cost and Financing:

The four water districts are operated as enterprise funds. All funding for capital projects will come from the water districts' unrestricted retained earnings (fund balance) and/or from federal grants and/or loans. The U.S.D.A. Rural Development grant/loan application package for the Salmon Creek Water District has been submitted for consideration.

TPW09058

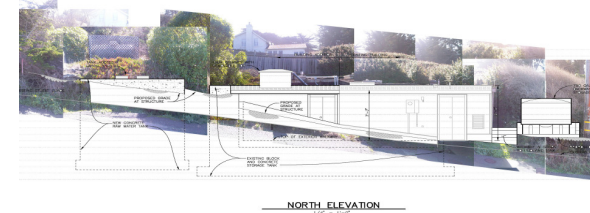
Salmon Creek Water District Water System Improvements

Unfunded

Function: Development Services **Status:** Active
Department: Transportation & Public Works **Start Date:** 2/20/2009
Division/Section: Salmon Creek Water District **End Date:** 6/30/2013

Description:

Construction of various system improvements for the Salmon Creek Water District including expansion of the spring collection system, installation of a new ultrafiltration unit, distribution system improvements, and installation of a new raw water storage tank.



Project Cost		O and M Cost	
Acquisition:	55	Utilities:	0
Construction:	1,184	Maintenance:	19
Furniture/Reloc:	0	Personnel:	0
Design/PM:	65	Other:	0
Other:	0		
Project Total:	1,304	OM Total:	19

Net Impact On Operating Budget:

\$1600/month increase in O & M. Possibly other minimal increases that are unknown at this time.

Revenue/Refund: 0 **eTons:** 0

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			SYR TOTAL
Federal	632810	161	215	928	0	0	0	0	928	0	1,304
TOTALS:		161	215	928	0	0	0	0	928	0	1,304

*Development Services -
Sonoma County Water
Agency*

Overview

Our Mission:

The Sonoma County Water Agency (Agency) was created in 1949 by an act of the California State Legislature and is a special district. The law that created the Agency gives it the authority to produce and furnish surface water and groundwater for beneficial uses; to control floodwaters; to generate electricity; and to provide recreational facilities in connection with the Agency's facilities. Legislation enacted in 1994 added the treatment and disposal of wastewater to the Agency's responsibilities. Increasing environmental regulations, including the listing of three Russian River salmonid species under the Federal Endangered Species Act, have influenced the Agency's water supply, flood control and wastewater management activities. Consequently, the Agency actively participates in and funds projects involving natural resource (e.g., fisheries, wetlands, etc.) protection, recovery and enhancement. In addition, the Agency is implementing the Russian River Watershed Biological Opinion which was issued by the National Oceanic and Atmospheric Administration ("N.O.A.A.") National Marine Fisheries Service in September 2008.

Based on the increasingly complex nature of the Agency's activities, it was determined that efforts were needed to better define and focus the Agency's mission and objectives in order to make the best use of its limited resources. In 2003, the Agency developed its first formal mission statement, which is as follows:

Mission Statement:

The mission of the Sonoma County Water Agency is to effectively manage the water resources in our care for the benefit of people and the environment through resource and environmental stewardship, technical innovation, and responsible fiscal management.

In the future, the Agency believes it will need to manage watersheds using an integrated water management approach. In addition to developing a mission statement, Agency staff believed it was also necessary to define a set of guiding principles that the Agency expects its employees to follow in carrying out its mission. While the Agency's mission has and will likely change over time, the Agency's values should be relatively stable and enduring. These values support and guide the decision-making of every employee, helping the organization to accomplish its mission and meet its strategic goals in an appropriate manner. These values are as follows:

Solutions and Innovation:

We will focus on finding solutions to the water management challenges we face, whether it is in the day-to-day operation of our systems or the development of long-term programs to meet future needs. We will encourage innovation, creativity and ingenuity, recognizing that the best solutions often have not been tried before.

People and Teamwork:

We believe our employees are our most valuable asset. We respect the diversity of our staff and promote teamwork and mutual respect among all sections of the Agency. We also believe that a creative and empowered workplace can inspire staff to use their talents and commitment to fulfill the Agency's mission.

Community Service:

The Agency strives to provide high-quality service to those who rely on our water supply, flood protection and sanitation services. We also recognize that we are part of a larger community and believe that we should support the ideas of environmental stewardship and sustainability to improve the quality of life for both present and future generations.

Integrity:

Drawing upon the experience of our staff and the needs of our employees, our customers and our community, we will strive to make decisions in a sound and reasonable manner. We believe that our communication with others should be honest and sincere.

This mission statement and the Agency's values are reflected in the Agency's Strategic Plan – a five year plan of goals and strategies to address the Water Agency's most pressing needs in the areas of Organizational Operations, Sanitation, Water Supply, Energy and Flood Control. This plan guides the Agency as it addresses the challenges it faces in pursuing its mission. The projects in this Capital Projects Plan are derived from the objectives in the Agency's Strategic Plan.

Agency Objectives:***Water Transmission System:***

The Agency is the primary provider of potable water to eight water contractors. The water contractors include the cities of Santa Rosa, Petaluma, Sonoma, Rohnert Park, and Cotati, the Town of Windsor, and the Valley of the Moon, and North Marin Water Districts. The Agency is responsible for the planning, environmental review, design, and construction of capital improvement projects to expand the water transmission system that serves the water contractors as required under the terms and conditions specified in the Restructured Agreement for Water Supply (Agreement). The water contractors' Water Advisory Committee (WAC) and/or Technical Advisory Committee (TAC) meets regularly (WAC quarterly and TAC monthly) with the Agency to discuss the scheduling and financing of water transmission system projects and other water supply and transmission system issues. Capital improvements made to the water transmission system are typically funded from the Storage Facilities Fund, the Pipeline Facilities Funds, and the Common Facilities Fund to meet the needs of the water contractors for the facilities identified under the Agreement. Capital projects have been scheduled to accommodate funding limitations, to provide the least disruption to existing facilities and water contractors, and to allow an orderly and timely start-up to meet the conditions of the Agreement or any new laws or regulations governing drinking water suppliers.

Sanitation Zones:

The Agency owns and operates four sanitation zones, which include Airport-Larkfield-Wikiup, Geyserville, Penngrove, and Sea Ranch. The sanitation zones operate as zones of benefit, similar to the Agency's flood control zones.

Sanitation projects are scheduled according to the specific needs for each zone. Funding of projects may be accomplished by Federal and/or State grants, state revolving fund loans, certificates of participation, notes, revenue bonds, or on a pay-as-you-go basis.

This 5-year plan includes funding for projects to replace, upgrade, or expand the collection, treatment, and disposal/reclamation facilities for most of the sanitation zones. The projects in this section of the plan meet the objectives in Sanitation Goals and Strategies in the Agency's Strategic Plan.

Sanitation Districts:

The Agency is also responsible for the overall management (including operation) of four County Sanitation Districts. The four districts include Occidental, Russian River, Sonoma Valley, and South Park. Each County Sanitation District exists as a separate legal entity.

This 5-year plan includes funding for projects to replace, upgrade, or expand the collection, treatment, and disposal/reclamation facilities for the County Sanitation Districts. The projects in this section of the plan meet the objectives in Sanitation Goals and Strategies in the Agency's Strategic Plan.

Agency Master Plan

The Water Agency's Capital Projects Plan identifies projects to be constructed over the next five years totaling approximately \$159 million, all of which are designed to meet the Agency's mission and mandate. The Water Agency was created in 1949 by an act of the California State Legislature and is a special district. The law that created the Agency gives it the authority to produce and furnish surface water and groundwater for beneficial uses; to control floodwaters; to generate electricity; and to provide recreational facilities in connection with the Agency's facilities. Legislation enacted in 1994 added the treatment and disposal of wastewater to the Agency's responsibilities.

Water Transmission:

This 5-year plan includes funding for 25 projects related to the water transmission system. The total projected cost for these projects is approximately \$92 million. This list of projects also includes construction projects required by the Biological Opinion. The Agency is identifying potential water supply sources and facilities to meet expected future water demands. The projects identified in this section of the plan meet the objectives in Water Supply Goals and Strategies of the Agency's Strategic Plan.

Water Supply:

Russian River Projects Fund, Recycled Water Fund, and Warm Springs Dam Fund

These three funds are used: (1) to pay the costs for water supply and erosion control activities along the Russian River arising from assurances given by the Agency for the construction of the Coyote Valley Dam Project and Warm Springs Dam Project; (2) to pay the costs incurred by the Agency in securing and

defending the Agency's appropriative water rights necessary for the realization of the full benefit of those projects; (3) to pay the costs incurred by the Agency in operating the Coyote Valley Dam and Warm Springs Dam Projects; (4) to pay the costs for water supply issues arising from activities of the Potter Valley Project; and (5) for fishery enhancement programs to ensure compliance with environmental regulations.

In this 5-year plan, the Warm Springs Dam Fund will fund four projects at an approximate cost of \$20 million as part of the implementation of the Biological Opinion. The projects identified in this section of the plan meet the objectives of Water Supply Goals and Strategies and Flood Control Goals and Strategies of the Agency's Strategic Plan.

Administration and General Funds:

These funds include the General Fund, the Spring Lake Park Fund, and the Sustainability- Renewable Energy Fund.

One General Fund capital construction project is planned in this 5-year capital plan. It includes a composting toilet pilot study project within the community of Occidental.

The Spring Lake Park Fund provides for occasional construction projects in Spring Lake Park. Spring Lake Park is a public park owned by the Agency and operated under contract by the Sonoma County Regional Parks Department. In this 5-year capital plan, there are no identified capital projects for Spring Lake Park.

The Sustainability-Renewable Energy Fund provides for the Agency's Renewable Energy and Efficiency and Sustainability

efforts. In this 5-year plan, there are three capital projects identified for the Sustainability-Renewable Energy Fund. The projects identified in this section of the plan meet the objectives in Energy Goals and Strategies of the Agency's Strategic Plan.

Internal Service Fund:

The Internal Service Fund provides for: (1) building improvements to the Administration building, Service Center, and Operations and Maintenance building at the Agency's West College Avenue site; (2) building improvements to the Administration building at the Agency's 404 Aviation Boulevard site; (3) building improvements to the maintenance facilities located at the Airport Treatment Plant; (4) the building improvements to the Agency's operations and maintenance facility at 204 Concourse Blvd; and (5) funding of new building sites and other land purchases.

The new operations and maintenance building located at 204 Concourse Blvd. was purchased in 2008, and was remodeled to house operations and maintenance staff. The current 5-year plan includes funding for a new building and renovating an existing building at the Airport-Larkfield-Wikiup Waste Water Treatment Plant for the relocation of the fleet and maintenance centers. Upon completion of this project, the Agency operations and maintenance staff will vacate the 2150 West College facility.

Special Revenue Funds – Flood Control Zones:

The Special Revenue Funds described below are used to construct and improve flood control facilities and to provide program support services for the flood control zones in Sonoma County. Common types of features constructed to help alleviate flooding are channelization works, bypass conduit systems, and

diversion systems. In addition, natural systems are maintained to provide flood control capacity.

Sonoma County is divided into nine major watershed areas. Flood control zones were created encompassing eight of these watersheds. Zone 1A (Laguna-Mark West), Zone 2A (Petaluma River), and Zone 3A (Valley of the Moon/Upper Sonoma Creek) have the most active flood control programs. Zone 4A (Upper Russian River) and Zone 6A (Dry Creek) are completely inactive. Zone 5A (Lower Russian River) and Zone 8A (South Coastal) are less active than Zones 1A, 2A, and 3A, with only ongoing maintenance of existing facilities being performed. Zone 7A (North Coastal) has minimal fund reserves earning interest. The ninth watershed area, covering the lower portions of Sonoma Creek and the Petaluma River, was never established as a zone.

Flood control zones were created to reduce the frequency of flooding within the zone through construction of facilities to safely handle projected storm flows. An appointed advisory committee for each active zone meets regularly to make recommendations to the Agency's Board of Directors regarding priorities for flood protection projects within each zone. Proposed projects are evaluated in consideration of historical flooding problems, areas benefited, alternative funding available, special safety and health factors, coordination with other public projects, and environmental concerns.

Flood Control Zone 1A is the watershed area that drains into and includes the Laguna de Santa Rosa and Mark West Creek.

Flood Control Zone 2A is the watershed area in Sonoma County that drains into and includes the Petaluma River, with the exception of the most southerly portion of the area, which consists primarily of reclaimed tidelands.

Flood Control Zone 3A is the watershed area in Sonoma County that drains into and includes Sonoma Creek, generally north of Highway 121.

The primary funding source for all three Zones is an ad valorem property tax. In the past, capital projects in Zone 1A and 2A were also funded by a voter-approved ten-year benefit assessment program for flood control, which ended June 30, 2007. Some additional funds are sometimes available from cities, the County, and community development sources to construct flood control projects.

In this 5-year plan, there are four projects identified for funding in Zone 1A, eight projects identified for funding in Zone 2A, and two projects identified for funding in Zone 3A. The Agency will not take the lead on all of these projects, but will provide administration services and funding for these projects through the flood control zones. Funding provided by partner entities are not included in the project costs presented in this plan. The projects identified in this section of the plan meet the Objectives of Flood Control Goals and Strategies of the Agency's Strategic Plan.

Funding Source Table

Division/Section Funding Source	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	SYR TOTAL	FUTURE YRS	PROJECT TOTAL
Administration & General Fund	\$175,000	\$228,000	\$288,000	\$3,000	\$12,000	\$0	\$0	\$303,000	\$0	\$706,000
Other	\$100,000	\$150,000	\$260,000	\$0	\$0	\$0	\$0	\$260,000	\$0	\$510,000
SCWA General Fund	\$75,000	\$78,000	\$28,000	\$3,000	\$12,000	\$0	\$0	\$43,000	\$0	\$196,000
Administration Internal Services Fund	\$1,177,000	\$4,411,097	\$832,000	\$0	\$0	\$0	\$0	\$832,000	\$0	\$6,420,097
Facilities Fund	\$1,177,000	\$4,411,097	\$832,000	\$0	\$0	\$0	\$0	\$832,000	\$0	\$6,420,097
Airport-Larkfield-Wikiup Sanitation Zone	\$113,960	\$625,040	\$925,000	\$0	\$200,000	\$0	\$200,000	\$1,325,000	\$0	\$2,064,000
ALWSZ	\$113,960	\$625,040	\$925,000	\$0	\$200,000	\$0	\$200,000	\$1,325,000	\$0	\$2,064,000
Geyserville Sanitation Zone	\$0	\$60,000	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000	\$150,000	\$30,000	\$240,000
Geyserville Sanitation Zone	\$0	\$60,000	\$30,000	\$30,000	\$30,000	\$30,000	\$30,000	\$150,000	\$30,000	\$240,000
Occidental County Sanitation Dist.	\$748,000	\$740,000	\$240,000	\$240,000	\$4,840,000	\$240,000	\$0	\$5,560,000	\$0	\$7,048,000
EPA STAG Grant	\$659,000	\$600,000	\$200,000	\$200,000	\$4,800,000	\$200,000	\$0	\$5,400,000	\$0	\$6,659,000
OCSZ	\$89,000	\$140,000	\$40,000	\$40,000	\$40,000	\$40,000	\$0	\$160,000	\$0	\$389,000
Penngrove Sanitation Zone	\$0	\$60,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$60,000
PSZ	\$0	\$60,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$60,000
Russian River County Sanitation District	\$690,779	\$3,550,000	\$280,000	\$30,000	\$50,000	\$300,000	\$7,450,000	\$8,110,000	\$250,000	\$12,600,779
Other	\$690,779	\$3,450,000	\$230,000	\$30,000	\$50,000	\$300,000	\$7,450,000	\$8,060,000	\$250,000	\$12,450,779
RRCSD-CDBG	\$0	\$100,000	\$50,000	\$0	\$0	\$0	\$0	\$50,000	\$0	\$150,000
Sea Ranch Sanitation Zone	\$0	\$10,000	\$110,000	\$53,000	\$54,000	\$55,000	\$57,000	\$329,000	\$58,000	\$397,000
SRSZ	\$0	\$10,000	\$110,000	\$53,000	\$54,000	\$55,000	\$57,000	\$329,000	\$58,000	\$397,000
Sonoma Valley County Sanitation Dist.	\$3,706,812	\$9,356,000	\$8,884,127	\$3,020,000	\$1,250,000	\$7,380,000	\$800,000	\$21,334,127	\$7,220,000	\$41,616,939
Bureau of Reclamation ARRA grant	\$577,000	\$75,000	\$1,000,000	\$0	\$0	\$0	\$0	\$1,000,000	\$2,000,000	\$3,652,000
SVCSD	\$531,358	\$701,000	\$6,369,127	\$3,020,000	\$1,250,000	\$7,380,000	\$800,000	\$18,819,127	\$5,220,000	\$25,271,485
SVCSD, Bureau of Reclamation (Title 16 Watersmart Development Grant)	\$1,712,000	\$5,430,000	\$1,035,000	\$0	\$0	\$0	\$0	\$1,035,000	\$0	\$8,177,000
SVCSD, SRF	\$886,454	\$3,150,000	\$480,000	\$0	\$0	\$0	\$0	\$480,000	\$0	\$4,516,454
South Park County Sanitation Dist.	\$758,681	\$4,568,000	\$2,110,000	\$60,000	\$0	\$183,000	\$3,000,000	\$5,353,000	\$140,000	\$10,819,681
SPCSD	\$758,681	\$4,568,000	\$2,110,000	\$60,000	\$0	\$183,000	\$3,000,000	\$5,353,000	\$140,000	\$10,819,681

Division/Section Funding Source	PRIOR YRS	CURRENT YR 2012-13	FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18	5YR TOTAL	FUTURE YRS	PROJECT TOTAL
Water Supply - Warm Springs Dam	\$2,221,976	\$7,950,420	\$2,365,165	\$540,000	\$8,154,000	\$7,910,000	\$802,908	\$19,772,073	\$141,315,108	\$171,259,577
Other	\$2,221,976	\$7,950,420	\$2,365,165	\$540,000	\$8,154,000	\$7,910,000	\$802,908	\$19,772,073	\$141,315,108	\$171,259,577
Water Transmission System - Common Facilities Fund	\$2,647,087	\$9,062,000	\$9,431,960	\$13,116,028	\$4,469,393	\$4,840,000	\$8,800,000	\$40,657,381	\$200,000	\$52,566,468
Water Transmission	\$1,527,724	\$1,611,000	\$1,175,000	\$5,530,000	\$315,000	\$4,750,000	\$8,800,000	\$20,570,000	\$200,000	\$23,908,724
Water Transmission, CDFW	\$865,000	\$6,359,000	\$7,400,000	\$300,000	\$0	\$0	\$0	\$7,700,000	\$0	\$14,924,000
Water Transmission, FEMA PDM	\$254,363	\$1,092,000	\$856,960	\$7,286,028	\$4,154,393	\$90,000	\$0	\$12,387,381	\$0	\$13,733,744
Water Transmission System - Common Facilities Fund/General Fund	\$43,000	\$1,200,000	\$50,000	\$300,000	\$0	\$0	\$0	\$350,000	\$0	\$1,593,000
General Fund	\$43,000	\$300,000	\$50,000	\$300,000	\$0	\$0	\$0	\$350,000	\$0	\$693,000
Water Transmission	\$0	\$900,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$900,000
Water Transmission System - O&M Fund	\$262,000	\$1,929,000	\$350,000	\$450,000	\$1,000,000	\$2,500,000	\$0	\$4,300,000	\$3,800,000	\$10,291,000
Water Transmission	\$262,000	\$1,929,000	\$350,000	\$450,000	\$1,000,000	\$2,500,000	\$0	\$4,300,000	\$3,800,000	\$10,291,000
Water Transmission System - Pipeline Fund	\$798,000	\$3,924,000	\$260,000	\$570,000	\$60,000	\$6,300,000	\$550,000	\$7,740,000	\$104,550,000	\$117,012,000
Water Transmission	\$3,000	\$300,000	\$200,000	\$570,000	\$60,000	\$6,300,000	\$550,000	\$7,680,000	\$104,550,000	\$112,533,000
Water Transmission, FEMA HMGP	\$795,000	\$3,624,000	\$60,000	\$0	\$0	\$0	\$0	\$60,000	\$0	\$4,479,000
Water Transmission System - Storage Fund	\$371,098	\$699,000	\$590,000	\$1,500,000	\$1,500,000	\$15,400,000	\$20,200,000	\$39,190,000	\$100,000	\$40,360,098
Water Transmission	\$371,098	\$399,000	\$350,000	\$1,500,000	\$1,500,000	\$15,400,000	\$20,200,000	\$38,950,000	\$100,000	\$39,820,098
Water Transmission/CalTrans	\$0	\$300,000	\$240,000	\$0	\$0	\$0	\$0	\$240,000	\$0	\$540,000
Zone 1A Flood Control	\$391,440	\$2,177,592	\$270,000	\$345,000	\$0	\$0	\$0	\$615,000	\$0	\$3,184,032
Zone 1A	\$391,440	\$1,257,592	\$270,000	\$345,000	\$0	\$0	\$0	\$615,000	\$0	\$2,264,032
Zone 1A - River Parkways	\$0	\$920,000	\$0	\$0	\$0	\$0	\$0	\$0	\$0	\$920,000
Zone 2A Flood Control	\$13,000	\$1,075,884	\$161,000	\$0	\$0	\$0	\$0	\$161,000	\$0	\$1,249,884
Zone 2A	\$13,000	\$1,075,884	\$161,000	\$0	\$0	\$0	\$0	\$161,000	\$0	\$1,249,884
Zone 3A Flood Control	\$954,000	\$396,247	\$333,000	\$333,000	\$2,500,000	\$334,000	\$0	\$3,500,000	\$0	\$4,850,247
Zone 3A	\$954,000	\$396,247	\$333,000	\$333,000	\$2,500,000	\$334,000	\$0	\$3,500,000	\$0	\$4,850,247
Grand Total:	\$15,071,833	\$52,022,280	\$27,510,252	\$20,590,028	\$24,119,393	\$45,472,000	\$41,889,908	\$159,581,581	\$257,663,108	\$484,338,802

Flood Control Zones

Changes from Prior Plans:

Zone 1A (Laguna-Mark-West Creek)

One new project, Laguna Channel Restoration, was added to the capital plan for Zone 1A for F.Y. 2013-14 through F.Y. 2017-18.

Zone 2A (Petaluma)

No new projects were added to the list of capital projects for Zone 2A in the F.Y. 2013-14 through F.Y. 2017-18 capital plan.

Zone 3A (Valley of the Moon)

One new project, City Watersheds of Sonoma Valley, was added to the list of capital projects for Zone 3A in the F.Y. 2013-14 through F.Y. 2017-18 capital plan.

WA00075

Brush Creek 42A

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2004
Division/Section: Zone 1A Flood Control **End Date:** 06/30/2014

Description:

Implement flood control measures to reduce flooding along Brush Creek Tributary 42A, upstream of Middle Rincon Road.



Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	415
Other:	0
Project Total:	415

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 1A	673202	322	93	0	0	0	0	0	0	0	415
TOTALS:		322	93	0	0	0	0	0	0	0	415

WA06074

Santa Rosa Creek Fish Ladder Repair

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: Zone 1A Flood Control **End Date:** 06/30/2015

Description:

Repair inlet structure to the Santa Rosa Creek fish ladder to improve function.



Project Cost	
Acquisition:	0
Construction:	302
Furniture/Reloc:	0
Design/PM:	213
Other:	0
Project Total:	515

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 1A	673202	57	388	0	70	0	0	0	70	0	515
TOTALS:		57	388	0	70	0	0	0	70	0	515

WA12100

Laguna Channel Restoration

Funded/ Funded by Others

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Zone 1A Flood Control **End Date:** 06/30/2015



Description:

The Water Agency in partnership with the Laguna de Santa Rosa Foundation will implement the following project:

- Public access - parking area and 1.25 mile hiking trail along main channel;
- Sediment removal
- 30 acres of riparian planting to improve flood protection, provide shade canopy, and encourage growth of native species
- Habitat restoration outreach and education
- Interpretative signs
- Installation of low flow summer channels to reduce upstream pools of stagnant water and mosquito production, improve water quality, and enhance the stream’s natural ecological value.

Project Cost	
Acquisition:	0
Construction:	850
Furniture/Reloc:	0
Design/PM:	70
Other:	0
Project Total:	920

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 1A - River Parkways		0	920	0	0	0	0	0	0	0	920
TOTALS:		0	920	0	0	0	0	0	0	0	920

WA07073

Copeland Creek Detention-Recharge & Habitat Restoration - Phase 1

Partially Funded

Function: Development Services
Department: Water Agency
Division/Section: Zone 1A Flood Control

Status: Active
Start Date: 07/01/2011
End Date: 06/30/2015



Description:

An integrated multi-benefit flood control & groundwater recharge project, including construction of detention-recharge basins adjacent Copeland Creek, upstream of Petaluma Hill Road, and habitat restoration downstream to Hwy 101. Phase 1 will implement instream restoration and perform the majority of design and CEQA for the project.

Project Cost	
Acquisition:	0
Construction:	600
Furniture/Reloc:	0
Design/PM:	733
Other:	0
Project Total:	1,333

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 1A	673202	12	776	270	275	0	0	0	545	0	1,333
TOTALS:		12	776	270	275	0	0	0	545	0	1,333

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Zone 2A Flood Control **End Date:** 06/30/2014

Description:

Funding agreement with City of Petaluma to evaluate the cost/benefit feasibility to design and construct a sediment basin just beyond the east edge of the City where Adobe Creek crosses under Casa Grande Road.



Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	87
Other:	0
Project Total:	87

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 2A	673301	0	87	0	0	0	0	0	0	0	87
TOTALS:		0	87	0	0	0	0	0	0	0	87

WA08078

Corona Road Denman Reach (floodwall)

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Zone 2A Flood Control **End Date:** 06/30/2016



Description:

Funding Agreement with City of Petaluma to construct new, or modify existing, floodwall along Denman Reach of Petaluma River to reduce flooding.

Project Cost		O and M Cost	
Acquisition:	15	Utilities:	0
Construction:	69	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	72	Other:	0
Other:	0		
Project Total:	156	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 2A	673301	5	151	0	0	0	0	0	0	0	156
TOTALS:		5	151	0	0	0	0	0	0	0	156

WA08083

Kelly Creek @sunnyslope Avenue Pre-Design

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Zone 2A Flood Control **End Date:** 06/30/2015



Description:

Funding Agreement with City of Petaluma to reduce localized flooding adversely affecting residential properties and structures adjacent to Kelly Creek downstream of Sunnyslope Avenue by reconnecting the natural, open stream portion of Kelly Creek flows and diverting the piped collection system to an appropriate facility; Improve water quality in the open channel of Kelly Creek by reconnecting the upstream flows from the open channel of Kelly Creek and diverting the contained culvert to the existing culverted system.

Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	62
Other:	0
Project Total:	62

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 2A	673301	0	62	0	0	0	0	0	0	0	62
TOTALS:		0	62	0	0	0	0	0	0	0	62

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Zone 2A Flood Control **End Date:** 06/30/2014



Description:

Funding Agreement with City of Petaluma to re-construct a failing check structure in Lynch Creek to prevent headcut, sediment movement and deposition as well as protecting upstream structures.

Project Cost	
Acquisition:	0
Construction:	13
Furniture/Reloc:	0
Design/PM:	10
Other:	0
Project Total:	23

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 2A	673301	0	23	0	0	0	0	0	0	0	23
TOTALS:		0	23	0	0	0	0	0	0	0	23

WA08081

Petaluma River (Corona Reach) Overflow Chl FS

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Zone 2A Flood Control **End Date:** 06/30/2014



Description:

Funding Agreement with City of Petaluma to conduct cost/benefit feasibility analysis and model run for 1) a linear detention channel along the west side of Highway 101 from Corona Road overpass south along the old railroad right-of-way, and 2) modification of the Capri Creek confluence with Petaluma River to reduce flow obstruction.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	0	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	122	Other:	0
Other:	0		
Project Total:	122	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 2A	673301	0	122	0	0	0	0	0	0	0	122
TOTALS:		0	122	0	0	0	0	0	0	0	122

WA08076

Washington Creek Repair & Enhancement

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Zone 2A Flood Control **End Date:** 06/30/2015



Description:

Funding Agreement with City of Petaluma to implement structural repairs and cross-sectional modifications to the Washington Creek corridor to conserve, and where possible, increase flow capacity.

Project Cost	
Acquisition:	0
Construction:	129
Furniture/Reloc:	0
Design/PM:	51
Other:	0
Project Total:	180

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 2A	673301	0	180	0	0	0	0	0	0	0	180
TOTALS:		0	180	0	0	0	0	0	0	0	180

WA08080

Capri Creek Flood Capacity & Habitat Enhancement

**Partially Funded/
Funded by Others**

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Zone 2A Flood Control **End Date:** 12/30/2016



Description:

Funding Agreement with City of Petaluma to conduct design, CEQA, permitting, and grant administration activities for a multi-benefit flood reduction and habitat enhancement project in the Capri Creek sub-basin of the Petaluma watershed.

Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	227
Other:	0
Project Total:	227

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 2A	673301	0	66	161	0	0	0	0	161	0	227
TOTALS:		0	66	161	0	0	0	0	161	0	227

WA08077

Denman Reach Flood Terrace

**Partially Funded/
Funded by Others**

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Zone 2A Flood Control **End Date:** 06/30/2016



Description:

Funding Agreement with City of Petaluma to provide match funding to implement a floodplain modification project to reduce flooding along the Petaluma River.

Project Cost		O and M Cost	
Acquisition:	15	Utilities:	0
Construction:	288	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	90	Other:	0
Other:	0		
Project Total:	393	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 2A	673301	8	385	0	0	0	0	0	0	0	393
TOTALS:		8	385	0	0	0	0	0	0	0	393

WA04087

Upper Sonoma Creek (Kenwood-Adobe) Project

Partially Funded

Function: Development Services **Status:** Feasibility
Department: Water Agency **Start Date:** 07/01/2008
Division/Section: Zone 3A Flood Control **End Date:** 06/30/2017



Description:

Funding agreement with Sonoma Ecology Center for an integrated multi-benefit demonstration project to address flooding along Sonoma Creek from the Hwy 12 bridge in Kenwood to 0.5 miles downstream and the larger study area consisting of the entire contributing area (Adobe Canyon’s alluvial fan). This project will provide a demonstration for future integrated water management projects regarding the integration of flood protection and groundwater recharge. It helps to fulfill the objectives of the Sonoma Creek and Tributaries Sediment TMDL, the Sonoma Valley Groundwater Management Plan, and the Sonoma Creek Watershed Enhancement Plan.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	20	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	630	Other:	0
Other:	0		
Project Total:	650	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Zone 3A	673400	254	396	0	0	0	0	0	0	0	650
TOTALS:		254	396	0	0	0	0	0	0	0	650

Water Supply

Changes from prior plans:

The current plan includes projects related to the implementation of the Biological Opinion. No new projects were added to the list of capital projects for the Russian River Projects, Recycled Water Fund, or Warm Springs Dam Fund in the F.Y. 2013-14 through F.Y. 2017-18 capital plan.

WA05042

Dry Creek Habitat Restoration (Mile 1)

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: Water Supply - Warm Springs Dam **End Date:** 06/30/2014



Description:

The Dry Creek Habitat Enhancement Project - MILE 1 is the first mile (demonstration reach) of a 3-6 mile enhancement project within the main stem of Dry Creek, as required by the 2008 NMFS Biological Opinion. The MILE 1 project site is located in and along Dry Creek from approximately ½ mile upstream of Lambert Bridge to ½ mile downstream of Lambert Bridge. The objective of the project is to increase the amount of high quality rearing habitat for juvenile coho and steelhead by implementing enhancement practices that emulate natural geomorphic effects. The primary enhancement approaches planned for the project include the following: Backwater Channels & Ponds; Constructed Riffles; Pool Enhancement; Winter Refuge Enhancement; Log Jams and Large Woody Debris Placement; Boulder Clusters; and Streambank Stabilization, Repair and Construction. The project will most likely require diversion of the creek during construction of some sections, local dewatering, earthwork, shoring, fish screening and relocation, and will likely have permit constraints that will limit work in the stream to a window of time between June 15th and October 15th. Access, staging, right-of-way and strict adherence to permit compliance will need to be considered for construction.

Project Cost	
Acquisition:	413
Construction:	7,617
Furniture/Reloc:	0
Design/PM:	1,292
Other:	371
Project Total:	9,693

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	0	eTons:	0
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Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
Other	673806	1,335	6,938	1,395	25	0	0	0	1,420	0	9,693
TOTALS:		1,335	6,938	1,395	25	0	0	0	1,420	0	9,693

WA08043

Dry Creek Habitat Restoration Project (Miles 2 & 3)

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Water Supply - Warm Springs Dam **End Date:** 06/30/2017



Description:

As identified in the Russian River Biological Opinion (NMFS, 2008), the Dry Creek Habitat Enhancement Project -MILES 2&3 (Project) is the second phase of a 3-6 mile enhancement project within the main stem of Dry Creek. The Project site is within the Dry Creek channel and on private properties in an unincorporated area of Sonoma County, California. The objective of the Project is to increase the amount of high quality rearing habitat for juvenile coho and steelhead by implementing enhancement practices that emulate natural geomorphic effects. The primary enhancement approaches planned for the Project include, but are not limited to the following: Backwater Channels & Ponds; Constructed Riffles; Pool Enhancement; Winter Refuge Enhancement; Log Jams and Large Woody Debris Placement; Boulder Clusters; and Streambank Stabilization, Repair and Construction.

The project is currently in planning and design phases. When constructed the project will most likely require diversion of the creek during construction of some sections, local dewatering, earthwork, shoring, fish screening and relocation, and will likely have permit constraints that will limit work in the stream to a window of time between June 15th and October 15th. Access, staging, right-of-way and strict adherence to permit compliance will need to be considered for construction.

Net Impact On Operating Budget:

Project Cost	
Acquisition:	1,067
Construction:	16,038
Furniture/Reloc:	0
Design/PM:	1,955
Other:	0
Project Total:	19,060

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other	673806	0	908	920	465	8,104	7,860	803	18,152	0	19,060
TOTALS:		0	908	920	465	8,104	7,860	803	18,152	0	19,060

WA05044

Dry Creek Bypass Pipeline

Partially Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: Water Supply - Warm Springs Dam **End Date:** 06/30/2023



Description:

An alternative pipeline project to comply with NMFS' Biological Opinion by diverting water supply flows outside of the Dry Creek channel. The potential project is preliminarily identified to include approximately 14 miles of pipeline - up to 72 inches in diameter - generally along Dry Creek Road, from Lake Sonoma to the Russian River. Near term efforts are for planning and feasibility. If project construction is funded, CEQA and design not anticipated to occur prior to 2019.

Project Cost	
Acquisition:	1,300
Construction:	121,000
Furniture/Reloc:	0
Design/PM:	11,000
Other:	8,830
Project Total:	142,130

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other	673806	880	50	50	50	50	50	0	200	141,000	142,130
TOTALS:		880	50	50	50	50	50	0	200	141,000	142,130

Administration and General

Changes from prior plans:

One new project, 404 Aviation Blvd Roof, was added to the list of capital projects for the Administration and General Funds in the F.Y. 2013-14 through F.Y. 2017-18 capital plan.

WA10001

Composting Toilets Pilot Study

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: Administration & General Fund **End Date:** 06/30/2016



Description:

Pilot project to test the ability of composting toilets to handle human waste in a safe and effective manner.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	36	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	160		
Project Total:	196	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
SCWA General Fund	672105	75	78	28	3	12	0	0	43	0	196
TOTALS:		75	78	28	3	12	0	0	43	0	196

WA11004

Biomass Conversion

Partially Funded

Function: Development Services
Department: Water Agency
Division/Section: Administration & General Fund

Status: Planning
Start Date: 07/01/2012
End Date: 6/30/2014

Description:

Investigate conversion of locally available biomass into energy.



Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	150
Other:	10
Project Total:	160

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other		50	50	60	0	0	0	0	60	0	160
TOTALS:		50	50	60	0	0	0	0	60	0	160

WA12003

Geothermal Low Temp

Partially Funded

Function: Development Services
Department: Water Agency
Division/Section: Administration & General Fund

Status: Planning
Start Date: 07/01/2011
End Date: 06/30/2014

Description:

Investigate development of geothermal resources into energy.



Project Cost	
Acquisition:	0
Construction:	0
Furniture/Reloc:	0
Design/PM:	250
Other:	100
Project Total:	350

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Other		50	100	200	0	0	0	0	200	0	350
TOTALS:		50	100	200	0	0	0	0	200	0	350

Internal Service

Changes from prior plans:

One new project, O&M Parking Lot, was added to the list of capital projects for the Internal Service Fund in the F.Y. 2013-14 through F.Y. 2017-18 capital plan.

WA13107

O&M Parking Lot

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 01/01/2013
Division/Section: Administration Internal Services Fund **End Date:** 06/30/14

Description:

Construction of a parking lot at the Airport Treatment plant to support the Water Agency's operational and maintenance services.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	600	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	150	Other:	0
Other:	0		
Project Total:	750	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

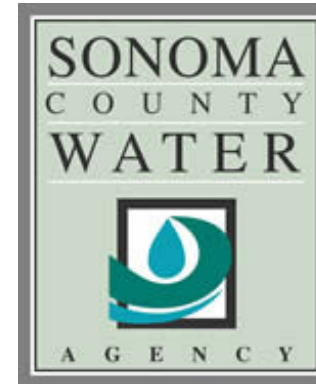
FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Facilities Fund		0	750	0	0	0	0	0	0	0	750
TOTALS:		0	750	0	0	0	0	0	0	0	750

WA09014

Service Center

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: Administration Internal Services Fund **End Date:** 06/30/2014



Description:

Construct a Service Center to house fleet operations and sanitation and flood maintenance personnel.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	3,666	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	970	Other:	0
Other:	0		
Project Total:	4,636	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
Facilities Fund	687202	1,177	2,961	498	0	0	0	0	498	0	4,636
TOTALS:		1,177	2,961	498	0	0	0	0	498	0	4,636

WA12345

404 Aviation Blvd Roof

Unfunded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 03/01/12
Division/Section: Administration Internal Services Fund **End Date:** 06/30/13

Description:

Re-Roof the 404 Aviation Boulevard roof. Solar panels will need to be taken off and re-installed. Existing roof will be removed and new applied.



Project Cost	
Acquisition:	3
Construction:	912
Furniture/Reloc:	0
Design/PM:	120
Other:	0
Project Total:	1,034

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Facilities Fund		0	700	334	0	0	0	0	334	0	1,034
TOTALS:		0	700	334	0	0	0	0	334	0	1,034

Water Transmission System

Changes from Prior Plans:

Agency Fund:

No new projects were added to the F.Y. 2013-14 through F.Y. 2017-18 capital plan for Agency Fund.

Storage Facilities:

No new projects were added to the F.Y. 2013-14 through F.Y. 2017-18 capital plan for Storage Facilities.

Pipeline Facilities:

One new project, Bennet Valley Fault Crossing, was added to the F.Y. 2013-14 through F.Y. 2017-18 capital plan for Pipeline Facilities.

Common Facilities:

No new projects were added to the F.Y. 2013-14 through F.Y. 2017-18 capital plan for Common Facilities.

WA08047

Air Valve Replacements - Phase 2

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: Water Transmission System - Common **End Date:** 06/30/2015
 Facilities Fund

Description:

Replace and upgrade air valves throughout the Water Transmission System to meet current standards.



Project Cost	
Acquisition:	18
Construction:	1,677
Furniture/Reloc:	0
Design/PM:	541
Other:	0
Project Total:	2,236

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
Water Transmission	675421	1,115	541	290	290	0	0	0	580	0	2,236
TOTALS:		1,115	541	290	290	0	0	0	580	0	2,236

WA08050

Collector 6 Chlorine Solution Lines

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Water Transmission System - Common **End Date:** 06/30/2014
 Facilities Fund

Description:

Replace the existing chlorine solution pipelines between the Wohler plant and Collector 6 with a pipe material more resistant against corrosive degradation.



Project Cost	
Acquisition:	0
Construction:	68
Furniture/Reloc:	0
Design/PM:	42
Other:	0
Project Total:	110

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission	675421	0	25	85	0	0	0	0	85	0	110
TOTALS:		0	25	85	0	0	0	0	85	0	110

WA10058

Mirabel Infiltration Ponds 2 & 3 Rehabilitation

Funded

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2014
Division/Section: Water Transmission System - Common **End Date:** 06/30/2015
 Facilities Fund

Description:

Rehabilitate the infiltration ground surface of Infiltration Ponds 2 & 3 at the Mirabel water production facility to remove silt and restore infiltration capacity.



Project Cost	
Acquisition:	0
Construction:	950
Furniture/Reloc:	0
Design/PM:	50
Other:	0
Project Total:	1,000

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
Water Transmission	675421	0	0	0	1,000	0	0	0	1,000	0	1,000
TOTALS:		0	0	0	1,000	0	0	0	1,000	0	1,000

WA08053

Mirabel Surge Tanks

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Water Transmission System - Common **End Date:** 06/30/2016
 Facilities Fund

Description:

To reduce the risks of pipeline ruptures/leaks due to transient pressures in the water transmission system following power failures, construct surge control system at the Mirabel production facilities, including three 8,000 gallon surge tanks and appurtenant equipment and controls.



Project Cost	
Acquisition:	0
Construction:	2,550
Furniture/Reloc:	0
Design/PM:	450
Other:	0
Project Total:	3,000

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
Water Transmission	675421	0	200	200	2,400	200	0	0	2,800	0	3,000
TOTALS:		0	200	200	2,400	200	0	0	2,800	0	3,000

WA08056

System-wide Meter Replacements

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Water Transmission System - Common **End Date:** 06/30/2015
 Facilities Fund

Description:

To comply with regulations limiting material constituents contained within infrastructure that is in direct contact with drinking water, replace 150-175 flow meters throughout the water transmission system.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	1,188	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	63	Other:	0
Other:	0		
Project Total:	1,250	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
Water Transmission	675421	0	400	400	450	0	0	0	850	0	1,250
TOTALS:		0	400	400	450	0	0	0	850	0	1,250

WA09057

Mirabel Diversion Facilities Improvements

Funded/ Funded by Others

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2008
Division/Section: Water Transmission System - Common Facilities Fund **End Date:** 6/30/2016

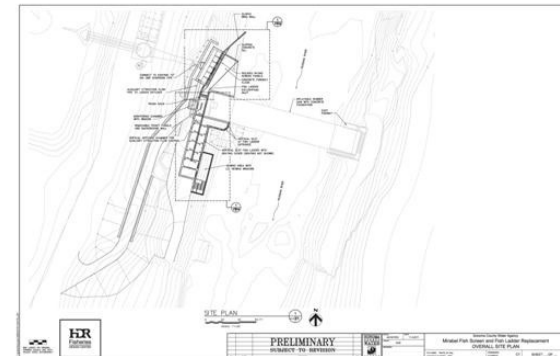
Description:

This multi-element project at the Mirabel Diversion Facilities will address liquefaction mitigation identified in the Water Agency's Natural Hazard Reliability Study and Local Hazard Mitigation Plan; replace the fish screen as required by the 2008 NMFS Biological Opinion; upgrade the fish ladder to contemporary standards; enhance monitoring, viewing, and educational opportunities; and improve access for operational and maintenance purposes and ADA compliance.

Soil conditions in the vicinity of the project are vulnerable to a major earthquake. A significant seismic event could result in liquefaction of the soil and render the river diversion facilities inoperable - threatening the Water Agency's ability to reliably supply water. Ground improvements under this project will reduce the liquefaction risk.

Replacement of the Fish Screen is required by the 2008 NMFS Biological Opinion (BO) in order to avoid impingement or stranding of listed salmonids. A modified fish ladder will provide attractive flow to fish, eliminating delays in fish passage due to unattractive flow conditions over the Mirabel Inflatable Dam and eliminating the need to alter dam inflation to accommodate fish passage.

Net Impact On Operating Budget:



Project Cost	
Acquisition:	0
Construction:	13,450
Furniture/Reloc:	0
Design/PM:	1,474
Other:	0
Project Total:	14,924

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
Water Transmission, CDFW	675421	865	6,359	7,400	300	0	0	0	7,700	0	14,924
TOTALS:		865	6,359	7,400	300	0	0	0	7,700	0	14,924

WA04048

Collector 3 & 5 Liquefaction Mitigation

Partially Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2010
Division/Section: Water Transmission System - Common **End Date:** 06/30/2019
 Facilities Fund

Description:

The project will address potential for structural failure by mitigating the potential for liquefaction induced lateral spread by ground improvements, structural upgrades, or through a combination of approaches.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	8,800	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	1,513	Other:	0
Other:	0		
Project Total:	10,313	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission	675421	413	400	0	600	50	50	8,600	9,300	200	10,313
TOTALS:		413	400	0	600	50	50	8,600	9,300	200	10,313

WA09051

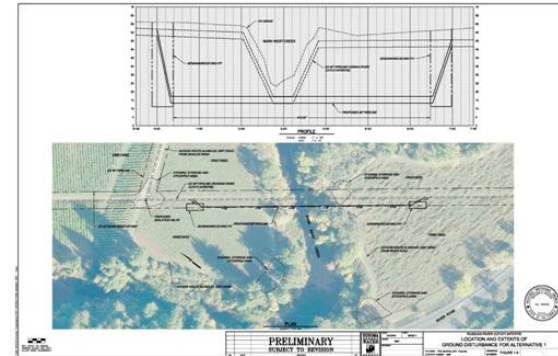
Seismic Hazard Mitigation at the Mark West Creek Crossing

Partially Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Water Transmission System - Common **End Date:** 06/30/2016
 Facilities Fund

Description:

Project will mitigate pipe failure due to liquefaction induced lateral spread by installing a new crossing below liquefiable soil layers.



Project Cost	
Acquisition:	200
Construction:	4,142
Furniture/Reloc:	0
Design/PM:	700
Other:	0
Project Total:	5,042

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
Water Transmission, FEMA PDM	675421	18	554	280	100	4,000	90	0	4,470	0	5,042
TOTALS:		18	554	280	100	4,000	90	0	4,470	0	5,042

All Values are presented in Thousands (1 x 1000)

WA09055

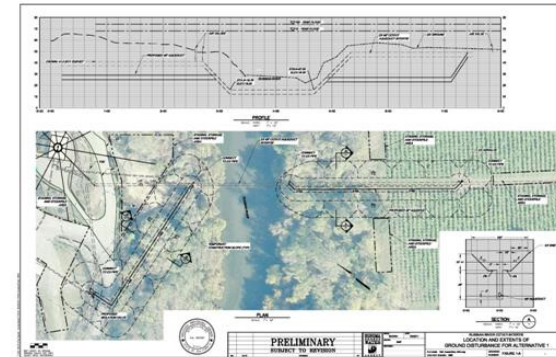
Seismic Hazard Mitigation at the Russian River Crossing

Partially Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 04/01/2011
Division/Section: Water Transmission System - Common **End Date:** 06/30/2016
 Facilities Fund

Description:

Project will mitigate pipe failure due to liquefaction induced lateral spread by lowering at-risk portions of the pipe below liquefiable soil layers.



Project Cost	
Acquisition:	121
Construction:	4,264
Furniture/Reloc:	0
Design/PM:	721
Other:	0
Project Total:	5,106

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission, FEMA PDM	675421	166	405	320	4,215	0	0	0	4,535	0	5,106
TOTALS:		166	405	320	4,215	0	0	0	4,535	0	5,106

All Values are presented in Thousands (1 x 1000)

WA07045

Westside Facility

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2011
Division/Section: Water Transmission System - Common **End Date:** 06/30/2015
 Facilities Fund/General Fund

Description:

Construct a multi-purpose building facility adjacent the Russian River, at Westside Road and the Wohler Bridge, including public education, fisheries, and public restroom facilities.



Project Cost	
Acquisition:	0
Construction:	1,143
Furniture/Reloc:	50
Design/PM:	400
Other:	0
Project Total:	1,593

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
General Fund	627105	43	300	50	300	0	0	0	350	0	693
Water Transmission	675421	0	900	0	0	0	0	0	0	0	900
TOTALS:		43	1,200	50	300	0	0	0	350	0	1,593

WA08061

Cotati 3 Tank Coating Recoat

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Water Transmission System - O&M **End Date:** 06/30/2016
Fund

Description:

To prevent corrosion, recoat interior and exterior surfaces of an 18 MG water reservoir (above ground welded steel tank) and replace cathodic protection system.



Project Cost	
Acquisition:	0
Construction:	3,772
Furniture/Reloc:	0
Design/PM:	328
Other:	0
Project Total:	4,100

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission	675108	0	300	0	0	0	0	0	0	3,800	4,100
TOTALS:		0	300	0	0	0	0	0	0	3,800	4,100

WA09059

Kastania Tank Recoat

Funded

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2015
Division/Section: Water Transmission System - O&M **End Date:** 06/30/2017
Fund

Description:

To prevent corrosion, recoat interior and exterior surfaces of a 12 MG water reservoir (above ground welded steel tank) and replace cathodic protection system, including removal of coal-tar interior coating.



Project Cost	
Acquisition:	0
Construction:	2,484
Furniture/Reloc:	0
Design/PM:	216
Other:	0
Project Total:	2,700

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission	675108	0	0	0	0	200	2,500	0	2,700	0	2,700
TOTALS:		0	0	0	0	200	2,500	0	2,700	0	2,700

WA05066

Petaluma Aqueduct Cathodic Protection

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: Water Transmission System - O&M **End Date:** 06/30/2014
Fund

Description:

Upgrade the Petaluma Aqueduct cathodic protection system to an impressed current system.



Project Cost	
Acquisition:	100
Construction:	700
Furniture/Reloc:	0
Design/PM:	291
Other:	0
Project Total:	1,091

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission	675413	262	829	0	0	0	0	0	0	0	1,091
TOTALS:		262	829	0	0	0	0	0	0	0	1,091

WA09065

Russian River - Cotati Intertie Cathodic Protection

Funded

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Water Transmission System - O&M **End Date:** 06/30/2016
Fund:

Description:

Upgrade the Russian River - Cotati Intertie cathodic protection system to an impressed current system.



Project Cost	
Acquisition:	0
Construction:	1,000
Furniture/Reloc:	0
Design/PM:	200
Other:	0
Project Total:	1,200

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission	675413	0	0	350	50	800	0	0	1,200	0	1,200
TOTALS:		0	0	350	50	800	0	0	1,200	0	1,200

All Values are presented in Thousands (1 x 1000)

WA08064

Santa Rosa Aqueduct Cathodic Protection

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Water Transmission System - O&M **End Date:** 06/30/2015
Fund

Description:

Upgrade the Santa Rosa Aqueduct cathodic protection system to an impressed current system.



Project Cost	
Acquisition:	150
Construction:	800
Furniture/Reloc:	0
Design/PM:	250
Other:	0
Project Total:	1,200

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission	675413	0	800	0	400	0	0	0	400	0	1,200
TOTALS:		0	800	0	400	0	0	0	400	0	1,200

WA10106

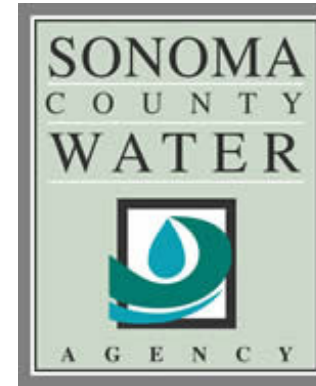
Bennett Valley Fault Crossing

Funded

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2016
Division/Section: Water Transmission System - Pipeline Fund **End Date:** 06/30/2020

Description:

Implement measures to mitigate the risk of pipeline rupture in the vicinity where the Sonoma Aqueduct and Oakmont Pipeline traverse the Bennett Valley Fault in Rincon Valley.



Project Cost		O and M Cost	
Acquisition:	80	Utilities:	0
Construction:	3,200	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	720	Other:	0
Other:	0		
Project Total:	4,000	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission		0	0	0	0	0	50	400	450	3,550	4,000
TOTALS:		0	0	0	0	0	50	400	450	3,550	4,000

WA05063

Santa Rosa Aqueduct at Rodgers Creek Fault

Funded/ Funded by Others

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: Water Transmission System - Pipeline **End Date:** 06/30/2013
Fund:

Description:

Project will secure the Santa Rosa Aqueduct near the Rodgers Creek Fault in Sonoma Avenue against fault rupture during an earthquake and provide safe, reliable water supply.



Project Cost	
Acquisition:	61
Construction:	3,584
Furniture/Reloc:	0
Design/PM:	834
Other:	0
Project Total:	4,479

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission, FEMA HMGP	675413	795	3,624	60	0	0	0	0	60	0	4,479
TOTALS:		795	3,624	60	0	0	0	0	60	0	4,479

All Values are presented in Thousands (1 x 1000)

WA09068

Wohler-Santa Rosa Pipeline Sec1,2,&3(Wohler to Railroad Sq)

Partially Funded

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2014
Division/Section: Water Transmission System - Pipeline **End Date:** TBD
Fund

Description:

To enhance reliability of the water transmission system, construct a new pipeline that hydraulically parallels the existing, and aging, Santa Rosa Aqueduct from the water diversion facilities at the Russian River (near Forestville) to downtown Santa Rosa.



Project Cost	
Acquisition:	500
Construction:	90,416
Furniture/Reloc:	0
Design/PM:	10,557
Other:	0
Project Total:	101,473

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission	675413	3	0	0	470	0	0	0	470	101,000	101,473
TOTALS:		3	0	0	470	0	0	0	470	101,000	101,473

WA96089

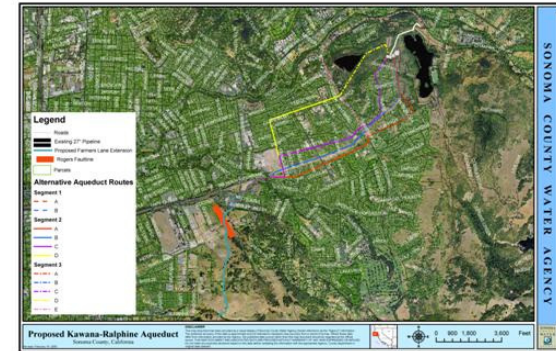
Kawana to SBS Pipeline

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2002
Division/Section: Water Transmission System - Storage Fund **End Date:** 06/30/2019

Description:

Construction of approximately 3 miles of water transmission pipeline, between the Kawana Tanks, Ralphine Tanks, and the Sonoma Booster Pump Station. The pipeline will provide redundancy and reliability to the system should repairs or replacement be necessary or if a catastrophic event occurs.



Project Cost	
Acquisition:	4,800
Construction:	30,800
Furniture/Reloc:	0
Design/PM:	2,720
Other:	0
Project Total:	38,320

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission	675405	371	399	150	200	1,500	15,400	20,200	37,450	100	38,320
TOTALS:		371	399	150	200	1,500	15,400	20,200	37,450	100	38,320

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Water Transmission System - Storage **End Date:** 06/30/2016
Fund

Description:

Reconfigure piping connecting the four above ground steel water reservoirs at the Ralphine Tank farm to improve water circulation/turnover and enhance water quality.



Project Cost	
Acquisition:	0
Construction:	1,300
Furniture/Reloc:	0
Design/PM:	200
Other:	0
Project Total:	1,500

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
Water Transmission	675405	0	0	200	1,300	0	0	0	1,500	0	1,500
TOTALS:		0	0	200	1,300	0	0	0	1,500	0	1,500

WA05070

Kastania Pipeline Relocation (Caltrans)

Funded/ Funded by Others

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2010
Division/Section: Water Transmission System - Storage **End Date:** 06/30/2014
Fund

Description:

Relocate approximately 3000 feet of the existing Petaluma Aqueduct in the vicinity of South Petaluma Blvd. and Kastania Rd. to facilitate Caltrans construction of a new freeway interchange.



Project Cost	
Acquisition:	0
Construction:	240
Furniture/Reloc:	0
Design/PM:	300
Other:	0
Project Total:	540

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Revenue/Refund:	0
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eTons:	0
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Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Water Transmission/CalTrans	675405	0	300	240	0	0	0	0	240	0	540
TOTALS:		0	300	240	0	0	0	0	240	0	540

Sanitation Districts/Zones

Airport-Larkfield-Wikiup Sanitation Zone:

The Airport-Larkfield-Wikiup Sanitation Zone (Airport S.Z.) treatment facility was originally designed as a zero discharge facility with the ability to treat wastewater to secondary wastewater treatment standards. The treatment facility was initially constructed in 1983 and has been expanded twice since then (1989 and 1997). Tertiary filters were installed at the treatment facility in 2005 allowing expanded use of the recycled water produced by the Airport Sanitation Zone. The treatment facility currently has a dry weather capacity of 0.9 million gallons per day (“m.g.d.”).

Funding is being allocated in F.Y. 2013-14 through F.Y. 2017-18 to, make improvements to the plant wastewater treatment process, biosolids management system, gas scrubbing system, the irrigation system, and to relocate a portion of the collection system trunk main to accommodate new freeway construction at the Airport Boulevard Interchange. The projects in this section of the plan meet the objectives in Sanitation Goals and Strategies in the Agency’s Strategic Plan.

Geyserville Sanitation Zone:

The Geyserville Sanitation Zone (Geyserville S.Z.) treatment facility became operational in 1981 and is designed to treat an average dry weather flow of up to 92,000 gallons per day. The current and future treatment facility inflows are expected to remain less than the treatment and disposal capacity of the Geyserville S.Z. facilities. Accordingly, an annual maintenance budget has been included, but there are no expansions to the treatment and disposal facilities planned at this time. The

projects in this section of the plan meet the objectives in Sanitation Goals and Strategies in the Agency’s Strategic Plan.

Occidental County Sanitation District:

The Occidental County Sanitation District (OCSD) treatment plant first became operational in 1950 and was upgraded in 1970 and 1975. The plant is designed to treat an average daily dry weather flow of up to 50,000 gallons per day to secondary treatment standards. Each year, between October 1 and May 14, treated wastewater from the OCSD is discharged into Dutch Bill Creek under a permit from the North Coast Regional Water Quality Control Board (NCRWCB). During the balance of the year, treated wastewater is used for irrigation.

The OCSD is facing very serious financial and operational difficulties. Operating revenues are not sufficient to fund ongoing operations, maintenance and administrative activities; regardless, the OCSD is under orders from the NCRWCB to upgrade its treatment process. Without near term improvement of the facilities and treatment processes, the OCSD’s ability to comply with the conditions of its operating permit remains in doubt.

During F.Y. 1997-98, the OCSD was faced with a Civil Lawsuit under the Clean Water Act and an Administrative Civil Liability (Order No. 97-75) was officially adopted by the NCRWQCB in August of 1997 as a result of violations. Increased requirements for sampling and chemical usage have impacted the OCSD’s operational expenses.

- In addition to the requirements specified above, Cease and Desist Order 97-74 and Time Schedule Order 97-75 required OCSD and the Water Agency to address violations at the treatment facility. In response to these orders, the OCSD has itself or in cooperation with the

Camp Meeker Recreation and Parks District prepared several Environmental Impact Reports (E.I.R.) for projects that would address the NCRWQCB's orders. Such alternatives evaluated have included a standalone treatment plant that would serve the District and Camp Meeker, a leach field disposal project and construction of a pipeline to the Russian River CSD treatment plant. Because of funding and technical constraints, such a project has not been implemented.

The above NCRWQCB orders have been modified several times over the past 15 years in order for the District to pursue these various long-term solutions to its wastewater treatment needs. An interim project (an upgrade to the collection system) was completed in December 2007. The strategy to meet the needs of the District and the regulatory requirements has been determined to be to take the treatment to a zero-discharge status. This will involve a project to provide additional storage and irrigation of treated wastewater. The ability to increase rates in this district is limited, and funding for the above projects will be financed mostly through outside funding. The projects in this section of the plan meet the objectives in Sanitation Goals and Strategies in the Agency's Strategic Plan.

Penngrove Sanitation Zone:

Agency operations in the Penngrove Sanitation Zone (PSZ) are limited to administrative services and operation/maintenance of the collection system and pumping station. The wastewater collected by the PSZ collection system flows through the City of Petaluma's collection system to the City of Petaluma's wastewater treatment facility where it is treated to meet tertiary standards.

There are two projects related to pumping upgrades at the lift station included in the capital plan.

Russian River County Sanitation District:

The Russian River County Sanitation District (RRCSD) treatment plant was completed in September of 1980 and began operating in 1982. The RRCSD treatment plant is designed to treat an average dry weather flow (ADWF) of up to 0.71 mgd to advanced (tertiary) wastewater treatment standards.

The RRCSD has an easement on approximately 77 acres of forest area adjacent to the treatment plant (referred to as the Burch property). 17 acres of the easement are best suited for irrigation purposes and are currently used for spray irrigation. In addition, approximately 43 acres of turf at the Northwood Golf Course is irrigated with tertiary treated wastewater. Expansion of the dry weather disposal area is necessary in order to ensure adequate disposal of dry weather inflow.

The treatment plant has historically experienced operational difficulties associated with major flooding on the Russian River. Soon after the Water Agency assumed operations of the facility in 1996, engineering and environmental documentation began to address operational problems associated with Russian River flood events, the irrigation system, and obsolete equipment at the RRCSD treatment plant.

The NCRWQCB adopted a series of enforcement orders for the RRCSD in response to violations associated with flood events. In response, the RRCSD began implementation of a series of short- and long-term projects aimed at bringing the facility into compliance. The facility was brought into compliance with the completion of the Third Unit Process project in early 2005. This project, along with modifications to the lift station operations during flooding events in the Guerneville area, allows the

treatment plant to pass all influent through the full treatment process. This was not possible during flood events prior to completion of the Third Unit Process Project.

The capital plan for the RRCSD includes the following: (1) treatment process upgrades for removal of nutrients; (2) disposal and irrigation of wastewater; and (3) lift station upgrades. The projects in this section of the plan meet the objectives in Sanitation Goals and Strategies in the Agency's Strategic Plan.

Sea Ranch Sanitation Zone:

The Sea Ranch Sanitation Zone (SRSZ) consists of two wastewater collection and treatment systems located in Central and North Sea Ranch. The Central and North treatment facilities both provide treatment to secondary wastewater treatment standards. Their collection and disposal systems operate independently and are isolated from each other. The Central and North treatment facilities are designed to treat average daily dry weather flows of up to 27,000 and 160,000 gallons per day, respectively. Treated wastewater from the Central treatment facility is disposed of through irrigation on land that is adjacent to the treatment facility. The North treatment facility treated wastewater is pumped to the Gualala Community Services District's (GCSD) wastewater treatment facility for additional treatment to tertiary standards. The combined effluent of North and GCSD's treatment facility is disposed of through irrigation on the Sea Ranch Golf Links. The Sea Ranch Water Company is under contract to operate and maintain the SRSZ facilities for the Water Agency.

The Agency and the Sea Ranch Association, owner of the Sea Ranch Water Company, continue to investigate options for the continued operation of the SRSZ. Options being considered include executing an agreement between the Agency and the Sea

Ranch Association for the continued operation of the sewer facilities and the transfer of all assets, liabilities, and management responsibilities to the Association.

Sonoma Valley County Sanitation District:

The Sonoma Valley County Sanitation District (SVCSD) provides sewage collection, tertiary level treatment of wastewater, and disposal service for the Sonoma Valley area. Wastewater is collected by a gravity system and flows to the SVCSD wastewater treatment facility for processing. Recycled water is used to irrigate local crops during the summer. During the winter, treated wastewater is discharged to San Pablo Bay via Schell Slough and Hudeman Slough. The SVCSD treatment facility is designed to treat an average daily dry weather flow of up to 3.0 million gallons per day. In April 2002, the SVCSD completed a wet weather overflow prevention study (a study that complied with a San Francisco Bay Regional Water Quality Control Board (SFBRWQCB) issuance of a Notice of Violation for sewer system overflows in April of 1999). This study identified areas within the SVCSD collection system where repair and/or replacement projects were most needed. The study identified \$45 million dollars worth of trunk main and collection system projects. The SVCSD has implemented a capital replacement program with the long-term intent of replacing these pipeline sections.

The SVCSD has completed substantial repairs and improvements in the last five fiscal years, and has plans to complete significant additional projects in the next five years to address deficiencies. In the next five years, the following capital projects are programmed: recycled water pipelines and services, including the North Bay Water Reuse Project to install a recycled water pipeline extending to the Napa Salt Marsh; main sewer trunk and collection system repairs and replacements; and upgrades at

the wastewater treatment plant to construct a bio-solids handling facility, re-line the equalization basins, coat the chlorine contact basin, and implement effluent pumping/piping upgrades. The projects in this section of the plan meet the objectives in Sanitation Goals and Strategies in the Agency's Strategic Plan.

South Park County Sanitation District:

The South Park County Sanitation District (SPCSD) provides service to the South Park area using a gravity collection system that discharges to the City of Santa Rosa's collection system. Wastewater from SPCSD is treated and disposed of by the City of Santa Rosa at the Laguna Sub-regional Treatment Plant on Llano Road. In July of 1996, the City of Santa Rosa accepted responsibility for the operation and routine maintenance of the collection system.

An agreement for transfer of responsibility to the City of Santa Rosa of collection system operation and maintenance, and subsequent dissolution of the SPCSD, was finalized on February of 1996. The agreement has been amended several times in the subsequent years. Under this agreement the SPCSD was to be dissolved and transferred to the City of Santa Rosa, subject to certain conditions that included the replacement, slip-line, or repair of 41,610 feet of the collection system and upgrade of the Todd Road lift station before transfer of the SPCSD to the City of Santa Rosa. In 2012, an amended and restated agreement recognized that dissolution of the District and transfer to the City of Santa Rosa could not occur without annexation by the City, and therefore, a specific schedule for dissolution was removed from the agreement, along with specific targets for collection system improvements. Nonetheless, the District and City are continuing to work collaboratively in addressing needed collection system upgrades with the understanding that dissolution and transfer to the City will ultimately occur.

SPCSD is presently replacing or planning to replace four sections of the collection system between F.Y. 2013-14 through F.Y. 2017-18. Projects identified may include: East Robles and West Robles Avenues, Meekland Ct./Gloria Dr., and the Blackwell Tract.

On December 22, 1998, the NCRWQCB released a draft Cleanup and Abatement Order (CAO) for halogenated volatile organic compounds (HVOCs) found in soil and groundwater in the vicinity of Sebastopol Road and West Avenue in the SPCSD service area. The draft CAO specified that HVOCs found in the soil and groundwater are the result of a release from the SPCSD collection system. Potential costs for investigation, remediation, and legal work related to HVOCs in soil and groundwater are substantial (\$2-10 million) and have not been included in this capital plan. Rather than finalize the draft CAO, the SPCSD, County of Sonoma, and the NCRWQCB entered into a cooperative agreement in July of 1999 referred to as the "Plan of Action for HVOC Investigation and Mitigation in the Roseland Area" (Plan of Action). As part of the Plan of Action, SPCSD has performed an investigation of the extent of HVOCs in groundwater in the vicinity of West Avenue and Sebastopol Road. A final report summarizing the results of this investigation was submitted to the NCRWQCB in February of 2002. The SPCSD and the County of Sonoma are currently working with the NCRWQCB to coordinate groundwater studies by other parties for related groundwater contamination issues in the Roseland area. Upon completion of these studies, it is anticipated that remediation strategies will be developed by the SPCSD, County of Sonoma, NCRWQCB, and other parties associated with these groundwater issues.

The projects in this section of the plan meet the objectives in Sanitation Goals and Strategies in the Agency's Strategic Plan.

WA12013

Chlorine Gas Scrubber

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Airport-Larkfield-Wikiup Sanitation Zone **End Date:** 12/30/2013

Description:

Construct a chlorine gas scrubber at the Airport-Larkfield-Wikiup Wastewater Treatment Plant.



Project Cost	
Acquisition:	0
Construction:	270
Furniture/Reloc:	0
Design/PM:	80
Other:	0
Project Total:	350

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
ALWSZ	687202	0	350	0	0	0	0	0	0	0	350
TOTALS:		0	350	0	0	0	0	0	0	0	350

WA15007

Filter Modules Replacement

Funded

Function: Development Services
Department: Water Agency
Division/Section: Airport-Larkfield-Wikiup Sanitation Zone
Description:
 Replace microfiltration filter modules at end of useful life.

Status: Request
Start Date: 07/01/2015
End Date: 06/30/2018



Project Cost	
Acquisition:	0
Construction:	380
Furniture/Reloc:	0
Design/PM:	20
Other:	0
Project Total:	400

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
ALWSZ	682302	0	0	0	0	200	0	200	400	0	400
TOTALS:		0	0	0	0	200	0	200	400	0	400

WA11104

Headworks Screening Equipment

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2011
Division/Section: Airport-Larkfield-Wikiup Sanitation Zone **End Date:** 06/30/2014

Description:

Upgrade the headworks at the treatment plant to improve screening of influent flows.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	100	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	24	Other:	0
Other:	0		
Project Total:	124	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
ALWSZ		24	0	100	0	0	0	0	100	0	124
TOTALS:		24	0	100	0	0	0	0	100	0	124

WA13105

Irrigation Field Improvements

Funded

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Airport-Larkfield-Wikiup Sanitation Zone **End Date:** 06/30/2014
Description:
 Recycled water irrigation field improvements



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	200	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	200	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
ALWSZ		0	0	200	0	0	0	0	200	0	200
TOTALS:		0	0	200	0	0	0	0	200	0	200

WA09009

Sludge Drying Bed

Funded

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Airport-Larkfield-Wikiup Sanitation Zone **End Date:** 06/30/2014

Description:

Construction of a sludge drying bed to facilitate ease of transport.



Project Cost	
Acquisition:	0
Construction:	100
Furniture/Reloc:	0
Design/PM:	25
Other:	0
Project Total:	125

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
ALWSZ	682302	0	0	125	0	0	0	0	125	0	125
TOTALS:		0	0	125	0	0	0	0	125	0	125

WA11005

Solids Handling

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Airport-Larkfield-Wikiup Sanitation Zone **End Date:** 06/30/2015

Description:

Implement process improvements at the treatment plant to improve suspended solids removal.



Project Cost	
Acquisition:	0
Construction:	450
Furniture/Reloc:	0
Design/PM:	150
Other:	0
Project Total:	600

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
ALWSZ	682302	0	100	500	0	0	0	0	500	0	600
TOTALS:		0	100	500	0	0	0	0	500	0	600

WA11008

Trunk Main Relocation at Airport Blvd. Interchange

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2011
Division/Section: Airport-Larkfield-Wikiup Sanitation Zone **End Date:** 06/30/2013

Description:

Relocation of Trunk Main to accommodate freeway interchange project.



Project Cost	
Acquisition:	3
Construction:	120
Furniture/Reloc:	0
Design/PM:	0
Other:	67
Project Total:	190

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
ALWSZ	682302	90	100	0	0	0	0	0	0	0	190
TOTALS:		90	100	0	0	0	0	0	0	0	190

WA08010

Drain Improvement for Microfiltration Building

Unfunded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Airport-Larkfield-Wikiup Sanitation Zone **End Date:** 06/30/2014
Description:
 Improve drainage in the vicinity of the filter building.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	65	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	10	Other:	0
Other:	0		
Project Total:	75	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
ALWSZ	682302	0	75	0	0	0	0	0	0	0	75
TOTALS:		0	75	0	0	0	0	0	0	0	75

WA09011

Capital Replacement Projects

Funded

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Geyserville Sanitation Zone **End Date:** 06/30/2020



Description:

Construction of improvements to repair, rehabilitate, or replace portions of the collection and/or treatment systems that are deteriorated or have insufficient capacity for existing flows.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	120	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	60	Other:	0
Other:	0		
Project Total:	180	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Geyserville Sanitation Zone	681304	0	0	30	30	30	30	30	150	30	180
TOTALS:		0	0	30	30	30	30	30	150	30	180

WA08012

Chlorination System Upgrade

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Geyserville Sanitation Zone **End Date:** 06/30/2014

Description:

Implement modifications to improve the existing chlorination system.



Project Cost	
Acquisition:	0
Construction:	45
Furniture/Reloc:	0
Design/PM:	15
Other:	0
Project Total:	60

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Geyserville Sanitation Zone	681304	0	60	0	0	0	0	0	0	0	60
TOTALS:		0	60	0	0	0	0	0	0	0	60

WA12016

Lift Station Upgrade

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Occidental County Sanitation Dist. **End Date:** 06/30/2014

Description:

Construction of improvements to repair or replace deteriorated portions of the existing wastewater lift station - primarily electrical system components.



Project Cost	
Acquisition:	0
Construction:	70
Furniture/Reloc:	0
Design/PM:	30
Other:	0
Project Total:	100

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
OCSD	651505	0	100	0	0	0	0	0	0	0	100
TOTALS:		0	100	0	0	0	0	0	0	0	100

WA08017

Storage & Reclamation Project

Partially Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: Occidental County Sanitation Dist. **End Date:** 06/30/2019



Description:

The project objective is to satisfy regulatory requirements by eliminating discharges to Dutch Bill Creek through storage and reuse of all recycled water produced by the treatment facility. To achieve this objective, the project will: 1) Improve existing storage and/or add new storage, making it sufficient to store all of the WWTF effluent through the rainy season. 2) Expand the existing irrigation system to a size that is sufficient to dispose of the entire year's WWTF effluent during the irrigation season.

Project Cost	
Acquisition:	750
Construction:	4,500
Furniture/Reloc:	0
Design/PM:	1,409
Other:	0
Project Total:	6,659

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
EPA STAG Grant	651505	659	600	200	200	4,800	200	0	5,400	0	6,659
TOTALS:		659	600	200	200	4,800	200	0	5,400	0	6,659

WA12015

Lateral Replacement Project

Partially Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: Occidental County Sanitation Dist. **End Date:** 06/30/2016

Description:

A program to replace leaking laterals on private property to reduce inflow into the treatment plant.



Project Cost	
Acquisition:	0
Construction:	170
Furniture/Reloc:	0
Design/PM:	119
Other:	0
Project Total:	289

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
OCSD	651505	89	40	40	40	40	40	0	160	0	289
TOTALS:		89	40	40	40	40	40	0	160	0	289

WA12018

Motor Control Cabinets

Funded

Function: Development Services
Department: Water Agency
Division/Section: Penngrove Sanitation Zone

Status: Active
Start Date: 07/01/2012
End Date: 06/30/2014



Description:

Replacement of Motor Control Cabinets in the Pump Station.

Project Cost	
Acquisition:	0
Construction:	40
Furniture/Reloc:	0
Design/PM:	10
Other:	0
Project Total:	50

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
PSZ	680306	0	50	0	0	0	0	0	0	0	50
TOTALS:		0	50	0	0	0	0	0	0	0	50

WA12019

Replace Lift Station Pumps

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Penngrove Sanitation Zone **End Date:** 06/30/2014

Description:

Construction of improvements to replace existing wastewater pumps that are inadequate to pump existing inflow quantities.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	10	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	0	Other:	0
Other:	0		
Project Total:	10	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
PSZ	680306	0	10	0	0	0	0	0	0	0	10
TOTALS:		0	10	0	0	0	0	0	0	0	10

WA08021

Biological Nutrient Removal (BNR)

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Russian River County Sanitation District **End Date:** 12/30/2014

Description:

Construct improvements to the biological treatment process at the Treatment Plant to remove nutrients for compliance with NPDES discharge permit requirements.



Project Cost	
Acquisition:	0
Construction:	3,000
Furniture/Reloc:	0
Design/PM:	616
Other:	0
Project Total:	3,616

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
Other	652305	16	3,400	200	0	0	0	0	200	0	3,616
TOTALS:		16	3,400	200	0	0	0	0	200	0	3,616

WA08022

Lift Station Improvements

**Partially Funded/
Funded by Others**

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Russian River County Sanitation District **End Date:** 06/30/2014



Description:

Replace deteriorated portions of the piping/pumping equipment at the Vacation Beach Lift Station to reduce the risks of failures, leaks, and spills.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	140	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	10	Other:	0
Other:	0		
Project Total:	150	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
RRCSD-CDBG	652305	0	100	50	0	0	0	0	50	0	150
TOTALS:		0	100	50	0	0	0	0	50	0	150

WA08025

Future Capital Replacements

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Sea Ranch Sanitation Zone **End Date:** 06/30/2019



Description:

Construction of improvements to repair, rehabilitate, or replace portions of the collection and/or treatment systems that are deteriorated or have insufficient capacity for existing flows.

Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	307	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	90	Other:	0
Other:	0		
Project Total:	397	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SRSZ	678300	0	10	110	53	54	55	57	329	58	397
TOTALS:		0	10	110	53	54	55	57	329	58	397

WA08027

Chlorine Contact Chamber Coating

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/1/2012
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 06/30/2015



Description:

Provide a protective interior coating for the concrete chlorine contact basin at the wastewater treatment plant to inhibit the degradation of the concrete and reinforcing steel.

Project Cost	
Acquisition:	0
Construction:	430
Furniture/Reloc:	0
Design/PM:	51
Other:	0
Project Total:	481

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SVCSD	653303	1	80	20	380	0	0	0	400	0	481
TOTALS:		1	80	20	380	0	0	0	400	0	481

WA11026

Collection System Creek Crossings

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2011
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 06/30/2016

Description:

Upgrade collection system pipe crossings of waterways to prevent damage during floods.



Project Cost	
Acquisition:	0
Construction:	400
Furniture/Reloc:	0
Design/PM:	706
Other:	0
Project Total:	1,106

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SVCSD	653303	100	206	400	200	200	0	0	800	0	1,106
TOTALS:		100	206	400	200	200	0	0	800	0	1,106

WA11029

New Recycled Water Service

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2011
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 06/30/2013

Description:

Addition of recycled water services to existing main.



Project Cost	
Acquisition:	41
Construction:	214
Furniture/Reloc:	0
Design/PM:	112
Other:	0
Project Total:	367

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SVCSD	653303	10	135	222	0	0	0	0	222	0	367
TOTALS:		10	135	222	0	0	0	0	222	0	367

WA08032

Reline Equalization Ponds

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2012
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 06/30/2014

Description:

Replace the impermeable liners for the existing equalization basins at the wastewater treatment plant to prevent seepage out of the basins.



Project Cost	
Acquisition:	0
Construction:	855
Furniture/Reloc:	0
Design/PM:	82
Other:	0
Project Total:	937

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SVCSD	653303	0	50	887	0	0	0	0	887	0	937
TOTALS:		0	50	887	0	0	0	0	887	0	937

WA11036

Sonoma Valley Recycle Project (8th St. East, WWTP to Napa Rd.)

Funded

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2017
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 06/30/2019

Description:

Construct new recycled water distribution piping along Eighth Street East, from the wastewater treatment plant north to Napa Road.



Project Cost		O and M Cost	
Acquisition:	0	Utilities:	0
Construction:	2,000	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	400	Other:	0
Other:	0		
Project Total:	2,400	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SVCSD	653303	0	0	0	0	0	0	400	400	2,000	2,400
TOTALS:		0	0	0	0	0	0	400	400	2,000	2,400

WA09035

Sonoma Valley Recycle Project (Watmaugh to Arnold)

Funded

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2015
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 06/30/2017

Description:

Construct new recycled water distribution piping along Watmaugh Road, from east of Broadway to Arnold Drive.



Project Cost	
Acquisition:	0
Construction:	2,000
Furniture/Reloc:	0
Design/PM:	400
Other:	0
Project Total:	2,400

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SVCSD	653303	0	0	0	0	400	2,000	0	2,400	0	2,400
TOTALS:		0	0	0	0	400	2,000	0	2,400	0	2,400

WA09030

Trunk Sewer Replacement MH90-3 to MH 136-5

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2008
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 06/30/2019



Description:

The existing 21-inch Reinforced Concrete Pipe trunk sewer, and its appurtenant manholes, was constructed around 1958. This project will replace approximately 9,100 feet of the sewer trunk and appurtenance manholes with new 27-inch diameter sewer trunk from the intersection of 6th St. West at Studley St. to Happy Lane, including a double siphon crossing of Agua Caliente Creek. The overall project has been split into 3 segments of roughly the same size, in addition to a separate segment for the crossing of Agua Caliente Creek. The 2001 Sonoma Valley County Sanitation District wet Weather Sewer Analysis of the existing trunk system found that much of the existing sewer trunk was inadequately sized to carry the discharge for future District buildout plus the inflow and infiltration from a 20-year frequency design storm. Additionally, the original RCP trunk sewer is reaching the end of its service life.

Project Cost	
Acquisition:	860
Construction:	12,367
Furniture/Reloc:	0
Design/PM:	2,103
Other:	0
Project Total:	15,330

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SVCSD	653303	420	230	4,640	540	500	5,380	400	11,460	3,220	15,330
TOTALS:		420	230	4,640	540	500	5,380	400	11,460	3,220	15,330

WA05033

North Bay Water Recycling Program - Napa Salt Marsh Pipeline

Funded/ Funded by Others

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2005
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 06/30/2014



Description:

Construction of approximately 3.5 miles of a new 18-inch recycled water pipeline to convey recycled water from the existing Sonoma Valley County Sanitation District Reclamation Distribution System to the Napa River Unit of the Napa-Sonoma Marshes Wildlife Area located at the northeastern edge of San Pablo Bay. Project will also provide recycled water for agricultural irrigation users.

Project Cost	
Acquisition:	406
Construction:	5,851
Furniture/Reloc:	0
Design/PM:	1,920
Other:	0
Project Total:	8,177

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SVCSD, Bureau of Reclamation (Title 16 Watersmart Development Grant)	653303	1,712	5,430	1,035	0	0	0	0	1,035	0	8,177
TOTALS:		1,712	5,430	1,035	0	0	0	0	1,035	0	8,177

All Values are presented in Thousands (1 x 1000)

WA09028

Biosolids Handling Facility

Funded by Others

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2004
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 07/16/2014

Description:

The Sonoma Valley County Wastewater treatment plant is in need of upgrading its current biosolids system because it is old and inefficient. The project will increase its biosolids process performance. Construction consists of a new screw press with area to dispose of dewatered sludge (cake), new system electrical, instrumentation, controls and demolition of existing biosolids process.



Project Cost	
Acquisition:	0
Construction:	3,480
Furniture/Reloc:	0
Design/PM:	1,036
Other:	0
Project Total:	4,516

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SVCSD, SRF	653303	886	3,150	480	0	0	0	0	480	0	4,516
TOTALS:		886	3,150	480	0	0	0	0	480	0	4,516

WA11034

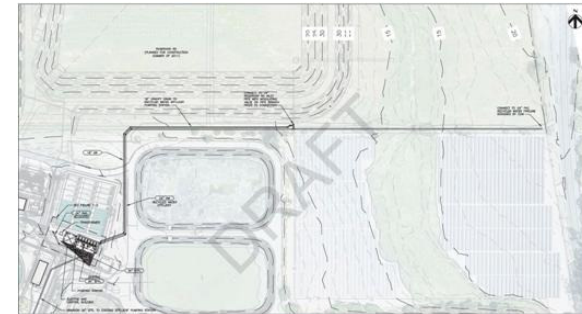
WWTP Pump and Piping Upgrade

Partially Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2010
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 06/30/2019

Description:

Construct pumping and piping upgrades at the wastewater treatment plant in order to increase quantity and flexibility for pumping recycled water to storage reservoirs, existing recycled water users, the Napa Salt Marsh, and new recycled water users located north of the treatment plant.



Project Cost	
Acquisition:	0
Construction:	3,000
Furniture/Reloc:	0
Design/PM:	652
Other:	0
Project Total:	3,652

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
Bureau of Reclamation ARRA grant	653303	577	75	1,000	0	0	0	0	1,000	2,000	3,652
TOTALS:		577	75	1,000	0	0	0	0	1,000	2,000	3,652

WA12103

Sonoma Valley Recycle Project(5th St. E - Denmark St.)

**Partially Funded/
Funded by Others**

Function: Development Services **Status:** Request
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: Sonoma Valley County Sanitation Dist. **End Date:** 06/30/2016



Description:

Construct new recycled water distribution piping along Fifth Street East and connecting portions of Watmaugh Road and Denmark Street.

Project Cost		O and M Cost	
Acquisition:	45	Utilities:	0
Construction:	1,860	Maintenance:	0
Furniture/Reloc:	0	Personnel:	0
Design/PM:	345	Other:	0
Other:	0		
Project Total:	2,250	OM Total:	0
Revenue/Refund:	0	eTons:	0

Net Impact On Operating Budget:

Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
SVCSD		0	0	200	1,900	150	0	0	2,250	0	2,250
TOTALS:		0	0	200	1,900	150	0	0	2,250	0	2,250

WA11037

East Robles Collection System Replacement

Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2013
Division/Section: South Park County Sanitation Dist. **End Date:** 06/30/2015

Description:

This project will replace approximately 3000 feet of deteriorated and sub-standard sewer collection system piping and appurtenances in the vicinity of E. Robles Ave.



Project Cost	
Acquisition:	130
Construction:	1,300
Furniture/Reloc:	0
Design/PM:	180
Other:	0
Project Total:	1,610

O and M Cost	
Utilities:	0
Maintenance:	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
SPCSD	654301	0	100	1,450	60	0	0	0	1,510	0	1,610
TOTALS:		0	100	1,450	60	0	0	0	1,510	0	1,610

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/01/2009
Division/Section: South Park County Sanitation Dist. **End Date:** 06/30/2014

Description:

Replace approximately 6500 feet of existing 6-inch Vitrified Clay Pipe (VCP) and Asbestos Cement Pipe (ACP) sewer mains and appurtenant laterals, manholes, and main line cleanouts with new 8-inch plastic sewer pipe, laterals, mainline cleanouts, and precast concrete manholes. Additionally, approximately 2,070 feet of 4-inch water main and appurtenance will be replaced through an agreement with the City of Santa Rosa. The existing sewer facilities were originally constructed in the early 1950's to the early 1970's and will be replaced because the facilities are reaching the end of their useful life and are requiring increased maintenance efforts.



Project Cost	
Acquisition:	75
Construction:	4,323
Furniture/Reloc:	0
Design/PM:	880
Other:	0
Project Total:	5,278

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					5YR TOTAL	FUTURE YRS	PROJECT TOTAL
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			
SPCSD	654301	595	4,368	315	0	0	0	0	315	0	5,278
TOTALS:		595	4,368	315	0	0	0	0	315	0	5,278

WA05040

Blackwell Tract Collection System Replacement

Partially Funded

Function: Development Services **Status:** Active
Department: Water Agency **Start Date:** 07/1/2009
Division/Section: South Park County Sanitation Dist. **End Date:** 6/30/2018



Description:

Replace approximately 5600 feet of existing 6-inch Vitrified Clay Pipe (VCP) and Asbestos Cement Pipe (ACP) sewer mains and appurtenant laterals, manholes, and main line cleanouts with new 8-inch plastic sewer pipe, laterals, mainline cleanouts, and precast concrete manholes. Additionally, approximately 580 feet of existing 4-inch water main and appurtenance will be replaced with 8-inch plastic water through an agreement with the City of Santa Rosa. The existing sewer facilities were originally constructed in approximately the mid-1950's.

Project Cost	
Acquisition:	50
Construction:	3,000
Furniture/Reloc:	0
Design/PM:	436
Other:	0
Project Total:	3,486

O and M Cost	
Utilities:	0
Maintenance	0
Personnel:	0
Other:	0
OM Total:	0

Net Impact On Operating Budget:

Revenue/Refund:	0
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eTons:	0
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Funding Summary:

FUNDING SOURCE	INDEX	PRIOR YRS PRE 2012	CURRENT YR 2012-13	5 YEAR PLAN FOCUS					FUTURE YRS	PROJECT TOTAL	
				FY1 2013-14	FY2 2014-15	FY3 2015-16	FY4 2016-17	FY5 2017-18			5YR TOTAL
SPCSD	654301	163	0	0	0	0	183	3,000	3,183	140	3,486
TOTALS:		163	0	0	0	0	183	3,000	3,183	140	3,486

Appendix I

Capital Project Plan (CPP) Project/Funding Database Field Descriptions

The following describes the fields used in the Capital Project Plan – Project Details sheets.

LABEL	
Request Number	<p>Located at the top left of the Project Details sheet, this identifier will uniquely identify the request. The format is as follows:</p> <ul style="list-style-type: none"> • First characters identifies Agency (R-General Govt; RP-Regional Parks; TPW=Transportation & Public Works; WA=Water Agency) • The next 2 numbers are the origination year “YY” where a project first requested in 2009 would be 09 • The last numbers are the unique number and range from 001 through 9999. An example would be “TPW02035,” which would translate to: Transportation and Public Works Project #35, started in 2002.
Project Name	<p>Located at the top center of the Project Details sheet is the Name of the project. Project names are brief (less than 50 characters) and include key descriptors like location, requestor or activity.</p>
Funding Status	<p>Located at the top right of the Project Details sheet is the Funding Status.</p> <ul style="list-style-type: none"> • Funded = fully funded from traditional funding sources for FY01 of the project • Funded By Others=fully funded from non-traditional funding sources for FY01 of the project • Partially Funded=project has funding identified but is not fully funded for FY01 • Unfunded=project is not fully funded for FY01
Function	<p>Functional areas identified in the County Budget that groups together Departments for financial reporting:</p> <p>ADMINISTRATIVE SUPPORT AND FISCAL SERVICES</p> <ul style="list-style-type: none"> • Board of Supervisors/County Administrator • County Counsel • Human Resources • General Services • Information Systems • Non-Departmental • Auditor-Controller-Treasurer-Tax Collector • County Clerk-Recorder-Assessor <p>JUSTICE SERVICES</p> <ul style="list-style-type: none"> • Court Support and Grand Jury • Probation • District Attorney • Public Defender

	<ul style="list-style-type: none"> • Sheriff <p>HEALTH AND HUMAN SERVICES</p> <ul style="list-style-type: none"> • Health Services • Human Services • IHSS Public Authority • Child Support Services • Hospital Enterprise Closeout <p>DEVELOPMENT SERVICES</p> <ul style="list-style-type: none"> • Permit and Resource Management • Community Development Commission • Fire and Emergency Services • Transportation & Public Works • Water Agency • Economic Development Board • Regional Parks <p>OTHER COUNTY SERVICES</p> <ul style="list-style-type: none"> • Agricultural Preservation and Open Space District • Agricultural Commissioner • U.C. Cooperative Extension • Library • Fairgrounds • Advertising
Department	Department Name
Division/Section	Division or Section name associated with the project
Status	Provide information for the status of the project to include what stage the project is: <ul style="list-style-type: none"> • Request=Project not previously worked on • Active=Project previously funded and work is in progress
Start Date	This is the estimated start date for a project with Status=Request and actual start date for a project with Status=Active
End Date	This is the estimated end date for the project
Description	Detailed description to include the purpose of the project, who requested the project, benefits, value, or increased efficiencies of the project, how it aligns with current County Goals and objectives and a compelling justification for the project. Also include a statement on how this project aligns with the Board's Strategic Plan Focus Area you assigned to the project.
Net Impact on Operating Budget	Operating cost impact, if clarification is needed. Also include cost savings or additional revenues generated by the project.
Project Costs (in thousands)	All one-time project costs including: <ul style="list-style-type: none"> • Acquisition=All Costs associated with acquiring new land/building or decommissioning • Construction=All cost of Construction • Furniture/Reloc=All cost of Furniture and Relocation

	<ul style="list-style-type: none"> • Design/PM=All cost for designing and Project Management • Other=All other associated project costs • Project Total=Total of all Project Costs listed above. Project Cost should equal requested Funding.
O and M Costs (in thousands)	<p>All Operations and Maintenance related costs including:</p> <ul style="list-style-type: none"> • Utilities=Increase or decrease in utilities baseline. Note comments in Net impacts on Operating particularly for short term changes or unusual situations. • Maintenance= Ongoing Annual Maintenance Cost (+ or -) due to project • Personnel= Cost of FTE (+ or -) associated with project • Other= All other operating costs associated with project • OM Total=Total of all O and M costs listed above.
Refund/Revenue	Identified expected refunds or revenue gained due to the project. Note: This is for information purposes only and not calculated into form totals.
E-Tons	Greenhouse gas changes due to the completion of this project. Entered as tons of Co2.
Funding Summary (in thousands)	<p>Funding details needed to meet Project Cost including:</p> <ul style="list-style-type: none"> • Funding Source=Identified or potential funding sources for each project. Many projects require multiple funding sources to be fully funded • Index= Unique number assigned by Auditor Controller • Prior Years= Project funding for years prior to the current year • Current Year= Project funding for current year • FY01=Project funding required for first Fiscal Year of the 5 Year Plan • FY02=Project funding required for second Fiscal Year of the 5 Year Plan • FY03=Project funding required for third Fiscal Year of the 5 Year Plan • FY04=Project funding required for fourth Fiscal Year of the 5 Year Plan • FY05=Project funding required for fifth Fiscal Year of the 5 Year Plan • 5 YR Total=Total Project Funding for Year one through year 5 of the 5 Year Plan • Future Years=Project funding required for years beyond the 5 Year Plan • Project Total=Project Funding required for the life of the project (all years) • TOTALS=Totals of all Project Funding Sources by Years

Appendix II

Common Acronyms:

Acronym	Translation
ADA	Americans with Disabilities Act
Bldgs	Buildings
CAC	County Administration Center
CAO	County Administrative Office
CCC	Community Corrections Center
CCFP	Consolidated County Facilities Plan
CEP	Consolidated Energy Plan
CFL	Compact Fluorescent Lamp/Light
CJP	Criminal Justice Precinct
CMP	Central Mechanical Plant
CPP	Capital Project Plan
CRA	Clerk Recorder Assessor
CSA	California Service Area?
CSA	Correction Standard Authority
FY	Fiscal Year
GFIE	General Fund Interest Earnings
GGCPP	General Government Capital Project Plan
HOJ	Hall Of Justice

Acronym	Translation
HS	Health Services
HVAC	Heating Ventilation Air Condition
IMP	Improvement
ISD	Information Systems Department
JJC	Juvenile Justice Center
KV	Kilo Volt(s)
L&B	Land and Building
LED	Light Emitting Diode
LG	Los Guilicos
MADF	Main Adult Detention Facility
ME	Major Equipment
MT	Maintenance
NCDF	North County Detention Facility
PL	Planning
TOT	Transient Occupancy Tax
Vets	Veterans Halls
VMCH	Valley of the Moon Children's Home
YR	Year

Appendix III

Funding Source Details:

Acronym	Translation
ARRA	American Recovery Reinvestment Act
CDBG	Community Development Block Grant
CEP	Comprehensive Energy Project
CJCF	Criminal Justice Construction Fund
ESC	Energy Services Company
FES	Fire & Emergency Services
Fleet ACO	Fleet ACO
General Fund	General Fund
OBF	On Bill Financing
PPA	Power Purchase Agreement
QECCB	Qualified Energy Conservation Bond
Recorder Fees	Recorder Improvement Fund
REDCOM	Redwood Empire Dispatch Communications Authority
SCEW	Sonoma County Energy Watch
Sonoma County Library	Sonoma County Library
SCWA	Sonoma County Water Agency
TLF	Tidelands Leases Fund
TS	Tobacco Securitization
TPW	Transportation & Public Works

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257	Adobe Creek Sediment Basin Feasibility Study	WA08079
208	Adobe Road at East Washington Street Intersection Improvements	TPW98045
48	Adult Probation Tenant Improvement	R130022
180	Air Traffic Control Tower Relocation	TPW15007
281	Air Valve Replacements - Phase 2	WA08047
181	Aircraft Rescue and Fire Fighting Building	TPW12009
176	Airfield Improvements	TPW12006
209	Airport Boulevard at Fulton Road Intersection Improvements	TPW05047
210	Arnold Drive at Agua Caliente Intersection Improvements	TPW92043
177	Asphalt Repair/Rejuvenation/Hangar Painting and Reroofing:	TPW12003
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150	Bellevue Creek Trail	RP16053
298	Bennett Valley Fault Crossing	WA10106
324	Biological Nutrient Removal (BNR)	WA08021
274	Biomass Conversion	WA11004
336	Biosolids Handling Facility	WA09028
342	Blackwell Tract Collection System Replacement	WA05040
98	Bodega Bay Bike & Pedestrian Trail - Coastal Prairie	RP08001
99	Bodega Bay Bike & Pedestrian Trail - Harbor	RP09010
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102	Bodega Bay Sport Fishing Center	RP08011
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270	Dry Creek Tributary (Wallace Creek) Fish Passage	WA06041
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