

## SUCCESSOR AGENCY CONTACT INFORMATION

### Successor Agency

ID: **360**  
County: **Sonoma**  
Successor Agency: **Sonoma County**

### Primary Contact

Honorific (Ms, Mr, Mrs)	
First Name	<b>John</b>
Last Name	<b>Haig</b>
Title	<b>Redevelopment Manager</b>
Address	<b>1440 Guerneville Road</b>
City	<b>Santa Rosa</b>
State	<b>CA</b>
Zip	<b>95403</b>
Phone Number	<b>707-765-7508</b>
Email Address	<b>john.haig@sonoma-county.org</b>

### Secondary Contact

Honorific (Ms, Mr, Mrs)	
First Name	<b>Kathleen</b>
Last Name	<b>Kane</b>
Title	<b>Executive Director</b>
Phone Number	<b>707-565-7505</b>
Email Address	<b>kathleen.kane@sonoma-county.org</b>

**SUMMARY OF RECOGNIZED OBLIGATION PAYMENT SCHEDULE**

Filed for the July 1, 2013 to December 31, 2013 Period

Name of Successor Agency: **SONOMA COUNTY (SONOMA)**

Outstanding Debt or Obligation	Total
Total Outstanding Debt or Obligation	\$46,092,480

Current Period Outstanding Debt or Obligation	Six-Month Total
A Available Revenues Other Than Anticipated RPTTF Funding	\$10,284,580
B Enforceable Obligations Funded with RPTTF	\$1,921,561
C Administrative Allowance Funded with RPTTF	\$125,000
D Total RPTTF Funded (B + C = D)	\$2,046,561
E Total Current Period Outstanding Debt or Obligation (A + B + C = E) <i>Should be same amount as ROPS form six-month total</i>	\$12,331,141
F Enter Total Six-Month Anticipated RPTTF Funding	\$2,675,000
G Variance (F - D = G) <i>Maximum RPTTF Allowable should not exceed Total Anticipated RPTTF Funding</i>	\$628,439

**Prior Period (July 1, 2012 through December 31, 2012) Estimated vs. Actual Payments** (as required in HSC section 34186 (a))

H Enter Estimated Obligations Funded by RPTTF ( <i>lesser of Finance's approved RPTTF amount including admin allowance or the actual amount distributed</i> )	\$455,034
I Enter Actual Obligations Paid with RPTTF	\$227,778
J Enter Actual Administrative Expenses Paid with RPTTF	\$122,821
K Adjustment to Redevelopment Obligation Retirement Fund (H - (I + J) = K)	\$104,435
L Adjustment to RPTTF (D - K = L)	\$1,942,126

Certification of Oversight Board Chairman:

Pursuant to Section 34177(m) of the Health and Safety code,

I hereby certify that the above is a true and accurate Recognized

Obligation Payment Schedule for the above named agency.

\_\_\_\_\_  
Name Title

/s/ \_\_\_\_\_

\_\_\_\_\_  
Signature Date



**SONOMA COUNTY (SONOMA)  
RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A)  
July 1, 2013 through December 31, 2013**

Item #	Project Name / Debt Obligation	Contract/Agreement Execution Date	Contract/Agreement Termination Date	Payee	Description/Project Scope	Project Area	Total Outstanding Debt or Obligation	Total Due During Fiscal Year 2013-14	Funding Source						
									Bond Proceeds	Reserve Balance	Admin Allowance	RPTTF	Other	Six-Month Total	
41	Old Sheriff Substation - Property Management	Monthly	Retired Obligation. See notes.	Sonoma County General Services	HVAC service, security, landscape, mowing, etc.	Springs	0	0	0	0	0	0	0	0	0
42	Old Sheriff Substation - Property Management	Annual	Retired Obligation. See notes.	Sonoma County ACTTC	Annual Sewer Service Fee.	Springs	0	0	0	0	0	0	0	0	0
44	RR Housing Rehab Loan Program	9/21/2010	9/20/2030 Retired Obligation. See notes.	Jeff Halbert Construction	Rehabilitation of single-family dwelling.	Russian River	0	0	0	0	0	0	0	0	0
50	Springs Commercial Rehab Loan Program	1/14/2011	1/13/2016 Retired Obligation. See notes.	J.L. Halbert	Facade improvements to Rancho Viejo Restaurant.	Springs	0	0	0	0	0	0	0	0	0
58	RR Commercial Rehab Loan Program	12/22/2010	6/1/2032 Retired Obligation. See notes.	ZSM Construction	Renovations to Bucks Restaurant.	Russian River	0	0	0	0	0	0	0	0	0
59	RR Commercial Rehab Loan Program	1/14/2011	6/1/2032 Retired Obligation. See notes.	ANR Roofing	Renovations to Rio Nido Inn.	Russian River	0	0	0	0	0	0	0	0	0
60	RR Branding / Marketing Planning - GDS	7/21/2010	3/31/2013 See notes.	Great Destination Strategies	Assessment, implementation & way-finding signage plans.	Russian River	23,036	23,036	0	21,399	0	1,637	0	23,036	23,036
61	Riverkeeper Stewardship Park - Phase 3	4/5/2011	6/30/2013	Riverkeeper	Park design & restoration costs.	Russian River	70,552	70,552	0	70,552	0	0	0	70,552	70,552
67	Sweetwater Springs- Phase 5/6	4/5/2011	6/30/2013	Sweetwater Springs Water District	Water delivery system improvements.	Russian River	329,892	329,892	0	329,882	0	0	0	329,882	329,882
68	Monte Rio Creekside Park Phase I	4/19/2011	6/30/2013	Monte Rio Recreation and Park District	Design & construction of park improvements.	Russian River	932,436	932,436	0	859,193	0	73,243	0	932,436	932,436
70	Roseland Village - Redev (see notes)	1/18/2011	12/31/2015	Sonoma County General Services and other contractors TBD	Repairs & maintenance; demolition & environmental clean-up; design & construction of public improvements.	Roseland	0	0	0	0	0	0	0	0	0
71	Highway 12 Phase 2 - Stage 2 (see notes)	1/18/2011	12/31/2013	Sonoma County Public Works	Road, curb and sidewalk improvements.	Springs	0	0	0	0	0	0	0	0	0
74	Personnel	Bi-weekly	N.A. See notes.	Employees, Sonoma County ACTTC, State Compensation Fund, Sonoma County Risk Management	Administrative personnel costs required to comply with ABx1 26 and AB 1484.	All	810,000	201,915	0	0	106,320	0	0	106,320	106,320
75	Personnel	Bi-weekly	N.A. See notes.	Employees, Sonoma County ACTTC, State Compensation Fund, Sonoma County Risk Management	Personnel costs required to implement projects listed on the ROPS.	All	760,000	305,750	0	0	0	152,875	0	152,875	152,875
76	Redevelopment Fiscal Services			Rosenow Spevacek Group or other contractors TBD	Financial services for Successor Agency.	All	0	0	0	0	0	0	0	0	0
77	Legal Services - execution 8/9/2011 and 2/21/2001			Sonoma County Counsel; Goldfarb & Lipman	Legal services for Successor Agency.	All	0	0	0	0	0	0	0	0	0
78	Legal Services	4/30/2012		Betsy Strauss	Legal services for Oversight Board, if deemed necessary and appropriate.	All	0	0	0	0	0	0	0	0	0
79	Audit Services	8/9/2011	Retired Obligation. See notes.	Wallace Rowe & Associates	Annual Audits.	All	0	0	0	0	0	0	0	0	0
80	Leased Space Rent	5/8/1992	N.A. See notes.	Property Owner or Lessor	Monthly Office Lease.	All	110,823	42,294	0	0	2,112	19,032	0	21,144	21,144
81	Lease / Support for Office Equipment	4/3/2007	N.A. See notes.	Kyocera - Mita America Inc	Lease of copiers.	All	5,393	1,880	0	0	96	846	0	942	942

**SONOMA COUNTY (SONOMA)**  
**RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A)**  
**July 1, 2013 through December 31, 2013**

Oversight Board Approval Date: 02/25/2013

Item #	Project Name / Debt Obligation	Contract/Agreement Execution Date	Contract/Agreement Termination Date	Payee	Description/Project Scope	Project Area	Total Outstanding Debt or Obligation	Total Due During Fiscal Year 2013-14	Funding Source						
									Bond Proceeds	Reserve Balance	Admin Allowance	RPTTF	Other	Six-Month Total	
82	Board Services	8/9/2011	Retired Obligation. See notes.	Sonoma County County Administrator	Board Agenda Item processing fees.	All	0	0	0	0	0	0	0	0	0
83	Leased vehicles	8/9/2011	See notes.	Sonoma County General Services	Lease vehicles for work-related travel.	All	0	0	0	0	0	0	0	0	0
84	Computer Hardware and Software, Records	8/9/2011	Retired Obligation. See notes.	Sonoma County Information Systems	Repair and support info systems & equip, record storage, supplies, & destruction.	All	0	0	0	0	0	0	0	0	0
85	Communications	8/9/2011	Retired Obligation. See notes.	Sonoma County Information Systems	Printing of Redevelopment Materials.	All	0	0	0	0	0	0	0	0	0
86	Postage	8/9/2011	Retired Obligation. See notes.	Sonoma County Information Systems	Mailing expenses and Courier Services.	All	0	0	0	0	0	0	0	0	0
87	Supplies & small tools	As needed.	N.A. See notes.	Staples	Office supplies and small tools.	All	6,740	2,350	0	0	120	1,062	0	0	1,182
88	Memberships	Annual	N.A. See notes.	Contractor(s) to be determined	Membership dues/fees.	All	811	282	0	0	12	126	0	0	138
89	Subscriptions	Annual	N.A. See notes.	Local News Publications	Annual subscription costs.	All	536	188	0	0	12	84	0	0	96
90	Communications	8/9/2011	Retired Obligation. See notes.	Sonoma County Information Systems	Phones, data lines, voice mail, TDD, phonetree.	All	0	0	0	0	0	0	0	0	0
91	Legal Notices	As needed.	N.A. See notes.	Local News Publications	Post notices in newspapers of general distribution.	All	15,000	2,500	0	0	500	0	0	0	500
92	Copier and Fax Supplies - execution As Needed and 4/3/2007	As needed	N.A. See notes.	Discovery Office Systems	Toner, maintenance kits, supplies.	All	1,350	470	0	0	24	216	0	0	240
93	Equipment Repairs	As needed.	N.A. See notes.	Discovery Office Systems	Fax & small machine repairs.	All	864	301	0	0	18	132	0	0	150
94	Document Security	Monthly by volume	N.A. See notes.	Access Information Management	Confidential paper shredding.	All	269	94	0	0	6	42	0	0	48
95	Recruitment Expenses	As needed.	N.A. See notes.	The Press Democrat, Jobs Available, Other TBD	Recruitment notices to fill allocated positions.	All	2,745	940	0	0	48	420	0	0	468
96	Recruitment Expenses	As needed.	N.A. See notes.	Employee Relations Inc	Recruitment related background investigations.	All	1,372	470	0	0	24	216	0	0	240
97	Thompson Parking lot - Property Management	To be determined (TBD). See notes.	TBD. See notes.	Contractor to be determined. See notes.	Maintain irrigation, lighting, and landscape.	Springs	8,404	8,404	0	0	0	5,202	0	0	5,202
98	Guerneville Restrooms - Property Management	TBD. See notes.	TBD. See notes.	Contractor to be determined. See notes.	Mainentance	Russian River	58,213	30,000	0	0	0	15,000	0	0	15,000
99	Roseland Village - Environmental Contamination Clean Up	TBD. See notes.	TBD. See notes.	Contractor to be determined. See notes.	Environmental clean-up per order of State Regional Water Quality Control Board	Roseland	1,570,788	1,570,788	0	1,570,788	0	0	0	0	1,570,788
100	Roseland Village Redevelopment See notes.	1/18/2011	12/31/2015	Sonoma County General Services	Design & construction of public improvements.	Roseland	5,072,134	1,000,000	0	0	0	319,477	0	0	319,477
101	Highway 12 Phase 2 - Stage 2 See notes.	1/18/2011	12/31/2013	Sonoma County Public Works	Road, curb and sidewalk improvements.	Springs	2,219,391	2,219,391	2,219,391	0	0	0	0	0	2,219,391
102	Highway 12 Phase 2 - Stage 2 See notes.	1/18/2011	12/31/2013	Sonoma County Public Works	Road, curb and sidewalk improvements.	Springs	7,306,579	7,306,579	0	5,213,375	0	17,563	0	0	5,230,938
103	Fiscal Consultant Services	TBD. See notes.	TBD. See notes.	Contractor to be determined. See notes.	Financial services for Successor Agency.	All	343,019	100,000	0	0	0	50,000	0	0	50,000
104	Real Property Appraisal Services	TBD. See notes.	TBD. See notes.	Contractor to be determined. See notes.	Real estate appraisal services for Successor Agency.	All	100,000	100,000	0	0	0	50,000	0	0	50,000
105	Audit Services	TBD. See notes.	TBD. See notes.	Contractor to be determined. See notes.	Annual and periodic audits as required.	All	50,000	15,000	0	0	500	5,000	0	0	5,500



























SONOMA COUNTY (SONOMA)  
 RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A)  
 July 1, 2013 through December 31, 2013

Oversight Board Approval Date: 02/25/2013

Item #	Project Name / Debt Obligation	Contract/Agreement Execution Date	Contract/Agreement Termination Date	Payee	Description/Project Scope	Project Area	Total Outstanding Debt or Obligation	Total Due During Fiscal Year 2013-14	Funding Source					Six-Month Total	
									Bond Proceeds	Reserve Balance	Admin Allowance	RPTTF	Other		
															0
															0



SONOMA COUNTY (SONOMA)  
Pursuant to Health and Safety Code section 34186 (a)  
PRIOR PERIOD ESTIMATED OBLIGATIONS vs. ACTUAL PAYMENTS  
RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS II)  
July 1, 2012 through December 31, 2012

Item #	Project Name / Debt Obligation	Payee	Description/Project Scope	Project Area	LMIHF		Bond Proceeds		Reserve Balance		Admin Allowance		RPTTF		Other	
					Estimate	Actual	Estimate	Actual	Estimate	Actual	Estimate	Actual	Estimate	Actual	Estimate	Actual
					\$83,155	\$0	\$2,397,148	\$0	\$10,970,867	\$2,023,372	\$125,016	\$122,821	\$2,740,719	\$227,778	\$76,923	\$0
43	Redwood Grove Cottages See notes.	Cipora Properties	Acquisition and rehab of affordable housing units.	Russian River	19,797											
44	RR Housing Rehab Loan Prog	Jeff Halbert Construction	Rehabilitation of single-family dwelling.	Russian River	15,470											
49	Springs Commercial Rehab Loan Prog	J.L. Halbert	Facade improvements to Taqueria Hacienda.	Springs					15,000	12,400						
50	Springs Commercial Rehab Loan Prog	J.L. Halbert	Facade improvements to Rancho Viejo Restaurant.	Springs					15,000	6,750						
51	RR Commercial Rehab Loan Prog	J.L. Halbert	Facade improvements to Hemp & Chocolate.	Russian River					15,000	15,000						
52	RR Commercial Rehab Loan Prog See notes.	Gaddis Construction	Facade improvements to McT's Bullpen.	Russian River					2,515	0						
53	RR Commercial Rehab Loan Prog See notes.	Gaddis Construction	Facade improvements to Monte Rio Rec. Beach Store.	Russian River					11,480	0						
54	RR Commercial Rehab Loan Prog See notes.	Artemio Diaz	Facade improvements to The Gym.	Russian River					15,000	0						
55	RR Commercial Rehab Loan Prog See notes.	Mark E. Gnat	Facade improvements to Pete's Picnic Tables.	Russian River					15,000	0						
56	RR Commercial Rehab Loan Prog See notes.	Bob Sanders & Company	Facade improvements to Guerneville 5 & 10.	Russian River					2,005	0						
57	RR Commercial Rehab Loan Prog See notes.	B&L Glass, Artemio Diaz	Facade improvements to Whitetail Wine Bar.	Russian River					3,562	0						
58	RR Commercial Rehab Loan Prog	ZSM Construction	Renovations to Bucks Restaurant.	Russian River					54,839	15,000						
59	RR Commercial Rehab Loan Prog	ANR Roofing	Renovations to Rio Nido Inn.	Russian River					100,000	48,690						
60	RR Branding / Marketing Planning - GDS	Great Destination Strategies	Assessment, implementation & way-finding signage plans.	Russian River					43,641	14,636						
61	Riverkeeper Stewardship Park - Ph 3	Riverkeeper	Park design & restoration costs.	Russian River					89,344	0						
62	Roseland Commercial Rehab Loan Prog	Gaddis Construction	Facade improvements for Mekong Market.	Roseland					15,000	3,200						
66	Sweetwater Springs- Phases 3 & 4	Sweetwater Springs Water District	Water delivery system improvements.	Russian River					74,375							
67	Sweetwater Springs- Phase 5/6	Sweetwater Springs Water District	Water delivery system improvements.	Russian River					938,224	623,306						
68	Monte Rio Creekside Park Ph. I	Monte Rio Recreation and Park District	Design & construction of park improvements.	Russian River					1,187,400	190,948						
70	Roseland Village - Redevelopment	Sonoma County General Services	Repairs; demolition & environmental clean-up; design & construction of public improvements.	Roseland					1,641,368	50,538			503,242	0		
71	Highway 12 Phase 2 - Stage 2	Sonoma County Public Works	Road, curb and sidewalk improvements.	Springs			2,397,148	0	5,846,981	192,456			1,907,459	0		
74	Personnel	Employees, Sonoma County ACTTC, State Compensation Fund, Sonoma County Risk Management	Administrative personnel costs required to comply with ABx1 26.								100,956	100,956				
75	Personnel	Employees, Sonoma County ACTTC, State Compensation Fund, Sonoma County Risk Management	Personnel costs required to implement projects listed on the ROPS.	All	15,288								137,586	137,586		
76	Redevelopment Fiscal Services	Rosenow Spevacek Group or other contractors TBD	Fiscal consultant services for Successor Agency.	All	5,874						5,874	5,873	46,992	6,626		
77	Legal Services	Sonoma County Counsel; Goldfarb & Lipman	Legal services for Successor Agency.	All	9,396						9,390	9,390	75,198	75,125		



**SONOMA COUNTY (SONOMA)**

**RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A) -- Notes (Optional)**

**July 1, 2013 through December 31, 2013**

<b>Item #</b>	<b>Project Name / Debt Obligation</b>	<b>Notes/Comments</b>
1	1987 Roseland Tax Allocation Bonds	
2	2008 Springs Tax Allocation Bonds	
3	2008 Springs Bonds	
20	Roseland Village - Property Management	<p>(a) Retired Successor Agency obligation. The Roseland Village property was acquired for mixed affordable housing and commercial development. The property, as well as the LMIHF and "Other" funding committed for the housing obligations related to the property, were transferred to the Sonoma County Housing Authority, as the entity assuming the housing functions of the former Redevelopment Agency (the Housing Entity), by operation of law as of 2/1/2012. The appropriate transfer of these assets was subsequently confirmed by DOF via approval of the Sonoma County Housing Asset Transfer (HAT) list. The Housing Entity is now in control of the funding and responsible for these housing obligations.</p> <p>(b) The Successor Agency included this line item on the prior ROPS as this was understood to be required despite the fact that this line item is no longer a Successor Agency obligation. Per the instructions for ROPS 13-14A, the Successor Agency now understands that obligations of the Housing Entity do not belong on the ROPS. Therefore, no funds will be listed on this or future ROPS as required to pay expenses related to this line item.</p> <p>(c) As indicated in the Housing Due Diligence Review (DDR) for the Successor Agency, approved by DOF on 11/8/2012, the Successor Agency does not hold any encumbered LMIHF or other funding for housing obligations, so no Successor Agency expenditures are shown in the "Actual" column for this line as Prior Period Payments made in July to December 2012. All unencumbered LMIHF documented in the Housing DDR has been transferred to the County Auditor-Controller for distribution to other taxing entities.</p>
21	Roseland Village - Property Management	See notes for line 20.
22	Roseland Village - Property Management	See notes for line 20.
23	Roseland Village - Property Management	See notes for line 20.
24	Roseland Village - Property Management	See notes for line 20.
25	Roseland Village - Property Management	See notes for line 20.
26	Roseland Village - Property Management	See notes for line 20.
27	Roseland Village - Property Management	See notes for line 20.
28	Roseland Village - Property Management	See notes for line 20.
29	Thompson Parking lot - Property Management	Costs are billed by the vendor when services are provided on an "as needed" basis. The services will terminate when the property is liquidated and transferred to another owner.
30	Thompson Parking lot - Property Management	Costs are billed by the vendor when services are provided on an "as needed" basis. The services will terminate when the property is liquidated and transferred to another owner.

**SONOMA COUNTY (SONOMA)**

**RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A) -- Notes (Optional)**

**July 1, 2013 through December 31, 2013**

<b>Item #</b>	<b>Project Name / Debt Obligation</b>	<b>Notes/Comments</b>
31	Thompson Parking lot - Property Management	Costs are billed by the vendor when services are provided on an "as needed" basis. The services will terminate when the property is liquidated and transferred to another owner.
32	Thompson Parking lot - Property Management	(a) Line item disallowed by DOF for ROPS III. See notes for line item 97 regarding replacement vendor for this cost. (b) Line item was allowed by DOF for ROPS II. Therefore, some expenditures from cash reserve are listed on Prior Period Payments.
33	All properties - Property Management	Costs are billed by the vendor when services are provided on an "as needed" basis. The services will terminate when the property is liquidated and transferred to another owner.
34	All properties - Property Management	Costs are billed by the vendor when services are provided on an "as needed" basis. The services will terminate when the property is liquidated and transferred to another owner.
35	Guerneville Restrooms -	Obligation to be retired as of 06/30/2013. See notes for line item 98 regarding replacement vendor for this cost.
36	Guerneville Restrooms - Property Management	Amendment extending term of contract was executed on 12/31/2012.
37	Guerneville Restrooms - Property Management	Costs are billed by the vendor when services are provided on an "as needed" basis. The services will terminate when the property is liquidated and transferred to another owner.
38	Old Sheriff Substation - Property Management	(a) Retired Successor Agency obligation. The Old Sheriff Substation property was acquired for affordable housing development. The property, as well as the LMIHF funding committed for the housing obligations related to the property, were transferred to the Sonoma County Housing Authority, as the entity assuming the housing functions of the former Redevelopment Agency (the Housing Entity), by operation of law as of 2/1/2012. The appropriate transfer of these assets was subsequently confirmed by DOF via approval of the Sonoma County Housing Asset Transfer (HAT) list. The Housing Entity is now in control of the funding and responsible for these housing obligations. (b) The Successor Agency included this line item on the prior ROPS as this was understood to be required despite the fact that this line item is no longer a Successor Agency obligation. Per the instructions for ROPS 13-14A, the Successor Agency now understands that obligations of the Housing Entity do not belong on the ROPS. Therefore, no funds will be listed on this or future ROPS as required to pay expenses related to this line item. (c) As indicated in the Housing Due Diligence Review (DDR) for the Successor Agency, approved by DOF on 11/8/2012, the Successor Agency does not hold any encumbered LMIHF funding for housing obligations, so no Successor Agency expenditures are shown in the "Actual" column for this line as Prior Period Payments made in July to December 2012. All unencumbered LMIHF documented in the Housing DDR has been transferred to the County Auditor-Controller for distribution to other taxing entities.
39	Old Sheriff Substation - Property Management	Retired obligation. See notes for line 38.
40	Old Sheriff Substation - Property Management	Retired obligation. See notes for line 38.

**SONOMA COUNTY (SONOMA)**

**RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A) -- Notes (Optional)**

**July 1, 2013 through December 31, 2013**

<b>Item #</b>	<b>Project Name / Debt Obligation</b>	<b>Notes/Comments</b>
41	Old Sheriff Substation - Property Management	Retired obligation. See notes for line 38.
42	Old Sheriff Substation - Property Management	Retired obligation. See notes for line 38.
44	RR Housing Rehab Loan Program	<p>(a) Retired Successor Agency obligation. The note receivable, affordability covenants, and the unexpended LMIHF funding remaining committed for the housing rehabilitation project, were transferred to the Sonoma County Housing Authority, as the entity assuming the housing functions of the former Redevelopment Agency (the Housing Entity), by operation of law as of 2/1/2012. The appropriate transfer of these assets was subsequently confirmed by DOF via approval of the Sonoma County Housing Asset Transfer (HAT) list. The Housing Entity is now in control of the funding and responsible for this housing obligation.</p> <p>(b) The Successor Agency included this line item on the prior ROPS as this was understood to be required despite the fact that this line item is no longer a Successor Agency obligation. Per the instructions for ROPS 13-14A, the Successor Agency now understands that obligations of the Housing Entity do not belong on the ROPS. Therefore, no funds will be listed on this or future ROPS as required to pay expenses related to this line item.</p> <p>(c) As indicated in the Housing Due Diligence Review (DDR) for the Successor Agency, approved by DOF on 11/8/2012, the Successor Agency does not hold any encumbered LMIHF funding for housing obligations, so no Successor Agency expenditures are shown in the "Actual" column for this line as Prior Period Payments made in July to December 2012. All unencumbered LMIHF documented in the Housing DDR has been transferred to the County Auditor-Controller for distribution to other taxing entities.</p>
50	Springs Commercial Rehab Loan Program	Retired obligation. Project completed.
58	RR Commercial Rehab Loan Program	Retired obligation. Project completed.
59	RR Commercial Rehab Loan Program	Retired obligation. Project completed.
60	RR Branding / Marketing Planning - GDS	(a) Project remains in progress as of date of ROPS submission to DOF. Amendment to agreement will be executed prior to current 03/31/2013 termination date to allow project completion.
61	Riverkeeper Stewardship Park - Phase 3	Project remains in progress as of date of ROPS submission to DOF.
67	Sweetwater Springs- Phase 5/6	Project remains in progress as of date of ROPS submission to DOF.
68	Monte Rio Creekside Park Phase I	Project remains in progress as of date of ROPS submission to DOF.
70	Roseland Village - Redev (see notes)	Line item 12 on page 2 (Form A) of ROPS II was disallowed by DOF per its letters dated 05/18/2012 and 05/26/2012, thereby disallowing use of RPTTF for the project. Line item 49 on Form B of ROPS II was not disallowed by DOF, thereby allowing use of cash reserves for the project. Therefore, some expenditures from cash reserves are listed on Prior Period Payments.

**SONOMA COUNTY (SONOMA)**

**RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A) -- Notes (Optional)**

**July 1, 2013 through December 31, 2013**

<b>Item #</b>	<b>Project Name / Debt Obligation</b>	<b>Notes/Comments</b>
71	Highway 12 Phase 2 - Stage 2 (see notes)	Line item 13 on page 2 (Form A) of ROPS II was disallowed by DOF per its letters dated 05/18/2012 and 05/26/2012, thereby disallowing use of RPTTF for the project. Line items 50 and 51 on Form B of ROPS II were not disallowed by DOF, thereby allowing use of bond proceeds and cash reserves for the project. Therefore, some expenditures from cash reserves are listed on Prior Period Payments.
74	Personnel	Administrative personnel charges will continue to be billed until enforceable obligations are fully satisfied and discharged.
75	Personnel	Project delivery personnel charges will continue to be billed until enforceable obligations are fully satisfied and discharged.
76	Redevelopment Fiscal Services	See note for line 103.
77	Legal Services - execution 8/9/2011 and 2/21/2001	See notes for lines 107 and 108.
78	Legal Services	See note for line 106.
79	Audit Services	The Successor Agency will no longer use the services of this auditor. See note at line 105.
80	Leased Space Rent	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.
81	Lease / Support for Office Equipment	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.
82	Board Services	See note for line 109.
83	Leased vehicles	See note for line 110.
84	Computer Hardware and Software,	See note for line 111.
85	Communications	See note for line 112.
86	Postage	See note for line 113.
87	Supplies & small tools	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.
88	Memberships	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.
89	Subscriptions	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.
90	Communications	See note for line 114.
91	Legal Notices	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.



**SONOMA COUNTY (SONOMA)**

**RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A) -- Notes (Optional)**

**July 1, 2013 through December 31, 2013**

<b>Item #</b>	<b>Project Name / Debt Obligation</b>	<b>Notes/Comments</b>
92	Copier and Fax Supplies - execution As Needed and 4/3/2007	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.
93	Equipment Repairs	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.
94	Document Security	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.
95	Recruitment Expenses	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.
96	Recruitment Expenses	Administrative and project delivery costs are billed by the vendors when services are provided on an "as needed" basis. The services will terminate when enforceable obligations are fully satisfied and discharged.
97	Thompson Parking lot - Property Management	DOF has advised Successor Agency that this cost to maintain Successor Agency owned property prior to disposition is an enforceable obligation. DOF has further advised the Successor Agency that the vendor cannot be the County of Sonoma, as listed on the now disallowed line 32. Successor Agency is conducting a solicitation process to obtain a new third-party vendor for this work. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates, and the vendor name, are unknown at the time of ROPS submission.
98	Guerneville Restrooms - Property Management	Successor Agency is conducting a solicitation process to obtain a new third-party vendor for this work. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates, and the vendor name, are unknown at the time of ROPS submission.
99	Roseland Village - Environmental Contamination Clean Up	Successor Agency remains under orders of State Regional Water Quality Control Board to clean up all environmental contamination on the property. In its letter dated 12/18/2012, DOF concurred that Successor Agency retains this liability for the property, but disallowed line item 70 because vendor was County of Sonoma. Successor Agency is conducting a solicitation process to obtain a new third-party vendor to perform the required work. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates, and the vendor name, are unknown at the time of ROPS submission.
100	Roseland Village Redevelopment	The Roseland Village Redevelopment item remains in dispute. As indicated in correspondence and documents submitted to Dept of Finance on May 4, June 8, and July 10, 2012, the Oversight Board authorized the Successor Agency to re-enter into this Agreement pursuant to H&S Code Sections 34178(a) and 34180(h), which it did on 03/26/2012. DOF did not challenge that action. Subsequent meet and confer meetings with DOF failed to resolve the dispute. Successor Agency has filed a Complaint in Superior Court appealing the DOF determination and retains this item on the ROPS pending the Court's decision regarding the enforceability of this obligation.

**SONOMA COUNTY (SONOMA)**

**RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A) -- Notes (Optional)**

**July 1, 2013 through December 31, 2013**

<b>Item #</b>	<b>Project Name / Debt Obligation</b>	<b>Notes/Comments</b>
101	Highway 12 Phase 2 - Stage 2	<p>(a) The Hwy 12 item remains in dispute. As indicated in correspondence and documents submitted to Dept of Finance on May 4, June 8, and July 10, 2012, the Oversight Board authorized the Successor Agency to re-enter into this Agreement pursuant to H&amp;S Code Sections 34178(a) and 34180(h), which it did on 03/26/1012. DOF did not challenge that action. Subsequent meet and confer meetings with DOF failed to resolve the dispute. Successor Agency has filed a Complaint in Superior Court appealing the DOF determination and retains this item on the ROPS pending the Court's decision regarding the enforceability of this obligation.</p> <p>(b) In any event, Successor Agency believes that it is allowed to expend pre-2011 bond proceeds for this project upon receipt of a Finding of Completion after DOF completes review and approval of Non-housing DDR. The bond proceeds have therefore been listed on a separate line item from cash reserves and RPTTF.</p>
102	Highway 12 Phase 2 - Stage 2	See note (a) for line 101.
103	Fiscal Consultant Services	In its letter dated 12/18/2012, DOF disallowed line item 76 for Redevelopment Fiscal Services, stating that the agreement was not valid because it was between the Sonoma County Community Development Commission and the vendor. Successor Agency is conducting a solicitation process to obtain a new third-party vendor for this work. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates, and the vendor name, are unknown at the time of ROPS submission.
104	Real Property Appraisal Services	Successor Agency is conducting a solicitation process to obtain a third-party vendor for this work. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates, and the vendor name, are unknown at the time of ROPS submission.
105	Audit Services	Successor Agency is conducting a solicitation process to obtain a new third-party vendor for this work, previously listed on line.79 . The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates, and the vendor name, are unknown at the time of ROPS submission.
106	Legal Services	In its letter dated 12/18/2012, DOF disallowed the Oversight Board legal services agreement with Betsy Strauss, stating that the agreement was not valid because it was between the Sonoma County Community Development Commission and Betsy Strauss. This agreement was actually entered into between the Successor Agency and Betsy Strauss and was therefore disallowed based on erroneous information. It is therefore re-listed on line 106 as a continuing enforceable obligation with no change. An amendment to extend the term of the agreement beyond 06/30/2013 will be executed prior to beginning of ROPS 13-14A period.
107	Legal Services	In its letter dated 12/18/2012, DOF disallowed the legal services agreements listed on line 77, stating that the agreements were not valid because they were between the Sonoma County Community Development Commission and the vendors. Successor Agency will directly enter into agreements with the vendors to comply with this determination by DOF. Pursuant to instructions for ROPS 13-14A, the two agreements will be listed on separate lines. The new agreement with Goldfarb & Lipman will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates are unknown at the time of ROPS submission.

**SONOMA COUNTY (SONOMA)**

**RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A) -- Notes (Optional)**

**July 1, 2013 through December 31, 2013**

<b>Item #</b>	<b>Project Name / Debt Obligation</b>	<b>Notes/Comments</b>
108	Legal Services	See note at line 107. The new agreement with Sonoma County Counsel will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates are unknown at the time of ROPS submission.
109	Board Services	In its letter dated 12/18/2012, DOF disallowed a number of agreements stating that they were not valid because they were between the Sonoma County Community Development Commission and the vendor. Successor Agency will directly enter into agreement with the vendor for Board Services to comply with this determination by DOF regarding other line items. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates are unknown at the time of ROPS submission.
110	Leased vehicles	In its letter dated 12/18/2012, DOF disallowed the leased vehicle agreement listed on line 83, stating that the agreements was not valid because it was between the Sonoma County Community Development Commission and the vendor. Successor Agency will directly enter into agreement with the vendor to comply with this determination by DOF. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates are unknown at the time of ROPS submission.
111	Computer Hardware and Software, Records	See note for line 109. Successor Agency will directly enter into agreement with the vendor for repair and support info systems & equip, record storage, supplies, & destruction, to comply with the determination by DOF regarding other line items. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates are unknown at the time of ROPS submission.
112	Communications	See note for line 109. Successor Agency will directly enter into agreement with the vendor for printing to comply with the determination by DOF regarding other line items. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates are unknown at the time of ROPS submission.
113	Postage	See note for line 109. Successor Agency will directly enter into agreement with the vendor for postage to comply with the determination by DOF regarding other line items. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates are unknown at the time of ROPS submission.
114	Communications	See note for line 109. Successor Agency will directly enter into agreement with the vendor for phones, data lines, etc., to comply with the determination by DOF regarding other line items. The new agreement will be in place prior to beginning of ROPS 13-14A period, but the contract execution and termination dates are unknown at the time of ROPS submission.
All ROPS lines	All obligations.	Payees listed are those that are under contract as of the date of ROPS adoption by Oversight Board. The vendors may change over time as necessary and appropriate to meet changes in circumstances related to services provided. If vendor changes, new vendor name will be listed on next period ROPS.
Summary Line F	Anticipated RPTTF	RPTTF funding anticipated for receipt for 6-month period ending December 31, 2013 is estimate provided by County Auditor-Controller.

**SONOMA COUNTY (SONOMA)**

**RECOGNIZED OBLIGATION PAYMENT SCHEDULE (ROPS 13-14A) -- Notes (Optional)**

**July 1, 2013 through December 31, 2013**

<b>Item #</b>	<b>Project Name / Debt Obligation</b>	<b>Notes/Comments</b>
Prior Period Adjusments Line 43	Redwood Grove Cottages	<p>(a) Retired Successor Agency obligation. The note receivable, affordability covenants, and the unexpended LMIHF funding remaining committed for this housing project, were transferred to the Sonoma County Housing Authority, as the entity assuming the housing functions of the former Redevelopment Agency (the Housing Entity), by operation of law as of 2/1/2012. The appropriate transfer of these assets was subsequently confirmed by DOF via approval of the Sonoma County Housing Asset Transfer (HAT) list. The Housing Entity is now in control of the funding and responsible for this housing obligation.</p> <p>(b) The Successor Agency included this line item on the prior ROPS as this was understood to be required despite the fact that this line item is no longer a Successor Agency obligation. Per the instructions for ROPS 13-14A, the Successor Agency now understands that obligations of the Housing Entity do not belong on the ROPS. Therefore, no funds will be listed on this or future ROPS as required to pay expenses related to this line item.</p> <p>(c) As indicated in the Housing Due Diligence Review (DDR) for the Successor Agency, approved by DOF on 11/8/2012, the Successor Agency does not hold any encumbered LMIHF funding for housing obligations, so no Successor Agency expenditures are shown in the "Actual" column for this line as Prior Period Payments made in July to December 2012. All unencumbered LMIHF documented in the Housing DDR has been transferred to the County Auditor-Controller for distribution to other taxing entities.</p>
Prior Period Adjusments 52	RR Commercial Rehab Loan Program	Project was listed on ROPS II because it was still in progress when that ROPS was submitted to DOF in May 2012. However, project was subsequently completed and funds disbursed prior to 07/01/2012.
Prior Period Adjusments 53	RR Commercial Rehab Loan Program	Project was listed on ROPS II because it was still in progress when that ROPS was submitted to DOF in May 2012. However, project was subsequently completed and funds disbursed prior to 07/01/2012.
Prior Period Adjusments 54	RR Commercial Rehab Loan Program	Project was listed on ROPS II because it was still in progress when that ROPS was submitted to DOF in May 2012. However, project was subsequently completed and funds disbursed prior to 07/01/2012.
Prior Period Adjusments 55	RR Commercial Rehab Loan Program	Project was listed on ROPS II because it was still in progress when that ROPS was submitted to DOF in May 2012. However, project was subsequently completed and funds disbursed prior to 07/01/2012.
Prior Period Adjusments 56	RR Commercial Rehab Loan Program	Project was listed on ROPS II because it was still in progress when that ROPS was submitted to DOF in May 2012. However, project was subsequently completed and funds disbursed prior to 07/01/2012.
Prior Period Adjusments 57	RR Commercial Rehab Loan Program	Project was listed on ROPS II because it was still in progress when that ROPS was submitted to DOF in May 2012. However, project was subsequently completed and funds disbursed prior to 07/01/2012.







































